

Ten questions asset owners should ask about governance and oversight...

1 How can I gain a single view over my entire portfolio even if assets are split across multiple custodians and asset managers with data stored in multiple systems?

6 How do I build measurable and quantifiable metrics into my governance framework and into my investment policy?

2 How can I achieve greater transparency and depth of understanding across my portfolio?

7 How can I streamline monitoring and oversight as my investment policy becomes increasingly complex?

3 How can I ensure I have all the data required to support my governance and oversight framework on a timely basis?

8 What information is available to support more informed and productive discussions with existing and potential managers?

4 Once I have all this data, how can you help me determine what is most meaningful – particularly as the volume and complexity of data continues to grow?

9 How can you help me keep pace with global regulatory change and remain compliant across multiple regulatory regimes?

5 What analytics are available and what risks do I need to monitor?

10 How can I best leverage my governance and oversight framework to optimise my investment policy?



Solutions enabling investment governance and oversight

1

Data

Capturing quality data

Aggregated

- Capture a complete set of asset and liability data.

Transparent

- Access holdings level data across all investment types.

Enriched

- Apply additional asset characteristics to add depth to the data set.

Timely

- Update data frequently to add value and support structured investment strategies.

2

Analyse

Transforming data into information

Analytics

- Apply powerful analytical capabilities, processing vast amounts of complex data, from multiple sources, to create valuable and usable information.

Flexible

- Adjust data and analytical parameters to focus on what matters to you.

Automated

- Automated data capture and analysis offers scalable simplicity to even the most complex portfolios.

Accessible

- Direct access to the analytical infrastructure puts you in control.

3

Optimise

Applying your information to benefit you

Monitor

- Access your most important information in a way that is most valuable to you.

Reflect

- Be better informed to make better decisions.

Optimise

- Optimise your investment policy, strategies and structure to reduce risks and enhance returns.

Expertise

- Access a global team of dedicated experts and be part of a global client network.

For legal and regulatory information about our offices and legal entities, visit northerntrust.com/disclosures

