



THE
NORTHERN TRUST
INSTITUTE

2024 WEALTH PLANNING SYMPOSIUM

CONTINUING EDUCATION CREDIT GUIDE

CONTINUING EDUCATION CREDIT

The Northern Trust Institute's 2024 Wealth Planning Symposium offers continuing education credits. Available credits include CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs.

CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs are offering up to **six continuing education credits**.

Continuing education credit will only be available for sessions attended in real time (not replay).

Virtual: All virtual attendees must attend each session for a minimum of 60 minutes and will be required to confirm their session participation by responding to keywords in the platform during each session.

In-Person: All in-person attendees must attend each session for a minimum of 60 minutes and check in at the registration desk upon arrival, complete the individual attendance sheet and check out when departing for the day.

CLE

IL CLE Accreditation provided by Northern Trust. Credit is approved in IL for **6.00 total credit hours** including **1 credit** of ethics.

Additional states provided by National Academy of Continuing Legal Education. This program is expected to qualify for up to **6.0 hours** of CLE credit including **1.0 hour** of ethics in AK, AL, AZ, CA, CO, CT, DC, FL, GA, HI, IA, ID, IN, LA, MD, MA, MI, MO, NC, NH, NM, NV, NY, NJ, OH, PA, RI, SD, TN, TX, UT, VA, WA, WI, WV, WY. NACLE will try to accommodate requests for CLE credit in your state. Attorneys may be eligible to receive CLE credit through reciprocity or attorney self-submission in other states. For more specific information about CLE accreditation, please contact sdornbush@nacle.com.

[For more information, please navigate to page 4.](#)

Attendee Reporting

For IL, Northern Trust will report your attendance no later than May 14th. For details on other state reporting for CLE credit please contact sdornbush@nacle.com.

CIMA/CPWA

Investments & Wealth Institute has accepted The Northern Trust Institute 2024 Wealth Planning Symposium for **6 hours** of CE credit.

Attendee Reporting

Northern Trust will email requestors the Certificate of Completion if all requirements have been met. Attendees must then self-submit credit.

CFP

The Certified Financial Planner Board of Standards Inc. has accepted **6 credit hours** for The Northern Trust Institute 2024 Wealth Planning Symposium. Content Level: Intermediate.

Attendee Reporting

Northern Trust will report CE credit hours to The Certified Financial Planner Board of Standards Inc. on behalf of the attendee. CFP will grant the credit(s) if all requirements have been met.

CTFA

American Bankers Association Professional Certifications has approved The Northern Trust Institute 2024 Wealth Planning Symposium for **6 CE credits**. ABA Professional Certifications is dedicated to promoting the highest standards of performance and ethics within the financial services industry. This statement is not an endorsement of this program or its sponsor.

Attendee Reporting

Northern Trust will email requestors the Certificate of Completion if all requirements have been met. Attendees must then self-submit credit.

CPE

The Northern Trust Company is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org.

[For more information, please navigate to page 3.](#)

Attendee Reporting

Northern Trust will email requestors the Certificate of Completion if all requirements have been met. Attendees must then self-submit credit.

CPE CREDIT INFORMATION

By attending the symposium, participants can earn up to 6 CPE credits in these fields of study:

- Taxes — 4 CPE credits
- Specialized Knowledge — 1 CPE credit
- Information Technology — 1 CPE credit

The program is designed for advisors who work with a high-net-worth or ultra-high-net-worth client base serving families, family offices, business owners, executives and the unexpectedly single.

The program will provide expert insights and actionable strategies from industry leaders on AI and wealth planning, navigating an uncertain tax landscape, and new and innovative ways families are embracing a changing definition of wealth.

By attending the Wealth Planning Symposium, you will:

- Gain practical insights on managing complex wealth in an evolving landscape.
- Gain insights and actionable strategies to make the most of opportunities in a new era.

Additional Information

- This program is complimentary for Northern Trust employees and clients
- There are no cancellation fees associated with this program
- **Delivery method:** Group Live; Group Internet Based
- **Prerequisites:** General familiarity with estate planning principles
- **Program knowledge level:** Intermediate
- **Advance preparation:** None
- To register for this program virtually, visit our [registration site](#).
- To register for this program in-person, visit our [registration site](#).
- For more information regarding administrative policies such as complaints and refunds, please contact our offices at 312 444 3726.
- **Questions:** Contact Yassir El Maghraoui at ye5@ntrs.com or 312 444 3726

Due to NASBA record keeping requirements, you will be monitored on the virtual platform. Information collected by this process is used by Northern Trust and will not be shared with any other organization for any purpose other than NASBA-required audits. You are required to be in attendance for the full session. Any deviation from full session attendance will result in credit forfeit.



About CPE

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CLE CREDIT INFORMATION

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Additional states provided by National Academy of Continuing Legal Education. NACLE will try to accommodate requests for CLE credit in your state. Attorneys may be eligible to receive CLE credit through reciprocity or attorney self-submission in other states. For more specific information about CLE accreditation and attendance reporting, please contact sdornbush@nacle.com.

This symposium is expected to qualify for up to **6.0 credit hours** of CLE credit including **1.0 hour of ethics** in the following states.

States: AK, AL, AR, CA, CO, CT, DC, DE, GA, HI, IA, ID, IN, KS, KY, LA, MA, MD, MI, MN, MO, MS, MT, NC, ND, NE, NH, NJ, NM, NV, OK, OR, PA, RI, SD, TN, TX, UT, VA, VI, WI, WY

Available CLE Credits: 5.0 general, 1 ethics

State: AZ

The State Bar of Arizona does not approve or accredit CLE activities for the Mandatory Continuing Legal Education requirement. This activity may qualify for up to 6 hours toward your annual CLE requirement for the State Bar of Arizona, including 1 hour of professional responsibility.

State: FL

Available CLE Credits: 6.0 general, 1 ethics, 1 tech

State: OH

Available CLE Credits: 5.0 general, 1 professional conduct

State: NY

Available CLE Credits: 5.0 professional practice, 1 ethics

State: WA

Available CLE Credits: 5.0 Law & Proc, 1 ethics

State: WV

Available CLE Credits: 6.0 general, 1 ethics

SESSION CE CREDIT DETAILS

9:00 – 10:10 AM CT

SCENARIO PLANNING: DEALING WITH AN UNCERTAIN FUTURE

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objective:

- Gain an understanding of planning options and techniques to consider as we enter a planning landscape that is guaranteed to change, regardless of the results of the November elections.

CPE Field of Study: Taxes

CFP Principals of Knowledge Topic: Tax Planning

10:20 – 11:20 AM CT

AN OUNCE OF PREVENTION: AVOIDING DONOR REMORSE THROUGH CAREFUL GIFT PLANNING AND FLEXIBLE DRAFTING

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

- Learn about the sunset of the gift tax exemption and how to evaluate gifts in light of this tax rule.
- Learn how to draft flexible trust provisions in light of changing tax laws.
- Learn about the opportunities and potential tax costs of modifying trusts.

CPE Field of Study: Taxes

CFP Principals of Knowledge Topic: Estate Planning

11:30 AM – 12:30 PM CT

SELLING ON PURPOSE: THE RISE OF THE PURPOSE TRUST FOR BUSINESS OWNERS

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

- Clients' motivations for exploring these alternative transaction structures (and the pros and cons of each).
- How these structures work – how the transaction is financed, trust and corporate structure post-transaction, key roles (trustee, stewardship committee, etc.).
- Wealth planning implications of the purpose trust structure – distributions, family governance, estate tax planning, etc.

CPE Field of Study: Specialized Knowledge

CFP Principals of Knowledge Topic: Financial Planning

12:50 – 1:50 PM CT

RETHINKING TRADITIONAL ESTATE PLANNING STRATEGIES FOR WEALTH STEWARDSHIP

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

- How the future of philanthropy will be shaped by the emerging group of “next gen” wealth holders and inheritors and later generations who will have an historic amount of resources to give.
- Goals and priorities of “next gen” wealth inheritors as they anticipate assuming leadership opportunities relating to managing their families' wealth and social capital and crafting their philanthropic identities.
- How to identify and shape estate and tax planning goals that are aligned across the expectations, needs and priorities of multiple generations of current and future wealth holders and inheritors

CPE Field of Study: Taxes

CFP Principals of Knowledge Topic: Tax Planning

SESSION CE CREDIT DETAILS *(continued)*

2:00 – 3:00 PM CT

IN THE RIGHT HANDS, IN THE WRONG HANDS: WEALTH TRANSFERS IN THE AI ERA

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

- Review the history of Artificial Intelligence and focus on the very recent advent and broad implementation of Generative AI, and review the basics of the science behind AI and Generative AI.
- Understand the risks and promise of generative AI in the field of estate planning, tax and trust administration, discussing AI's beneficial uses in the right hands, and extremely harmful potential in the wrong hands.
- Learn ethical, confidential and malpractice challenges wrought by AI in the estate planning, planning wealth transfers in the AI Era, and how to avoid getting things wrong when relying on AI.

CPE Field of Study: Taxes

CFP Principals of Knowledge Topic: Estate Planning

3:10 – 4:15 PM CT

AI AND WEALTH PLANNING: IMPLEMENTATION AND RISKS

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

- Learn about recent state bar association and court decisions addressing advisors' ethical responsibilities when using AI technology.
- Learn how the rules of professional conduct apply to use of AI by advisors and how to mitigate potential risks to clients.
- Learn about the opportunities and potential risks of using Generative AI in the drafting of estate plans.

CPE Field of Study: Information Technology

CFP Principals of Knowledge Topic: Estate Planning

This session is approved for a CLE Ethics credit.

CONTACT INFORMATION

For more information regarding administrative policies, please contact:

Yassir El Maghraoui

ye5@ntrs.com

312 444 3726



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