THE NORTHERN TRUST INSTITUTE

2026 WEALTH PLANNING SYMPOSIUM

CONTINUING EDUCATION CREDIT GUIDE

CONTINUING EDUCATION CREDIT

The Northern Trust Institute's 2026 Wealth Planning Symposium offers continuing education credits. CLE, CFP, CTFA, CIMA, CPWA and CPE for CPAs are offering up to **7.2 continuing education credits.**

Continuing education credit will only be available for sessions attended in real time (not replay).

All attendees must attend each session for at least 60 minutes. To receive credit, scan or click the QR codes on the console to access the ConferenceAdit platform. Log into ConferenceAdit using the email address you provided at registration and enter Event ID 2026WPS. You must check in at the start of each session by scanning or clicking the QR code to timestamp. At the end of the session, check out by scanning or clicking the QR code and entering the four-digit PIN provided. For CPE credit only, submit the four-digit PIN shared by the presenter mid-session using the QR code or link. Watch this instructional video to learn more.

CLE

ConferenceAdit works to accommodate requests for CLE credit in all states. Attorneys may be eligible to receive CLE credit through reciprocity agreements or by self-submitting their attendance, depending on their state's rules.

Attendee Reporting

After the meeting, all attorneys will receive a general CLE attendance certificate that includes formats for both 50-minute and 60-minute credit hour states.

To look up your state's Course ID:

1. Visit tnti.conferenceadit.com.
2. From the drop-down menu, select the appropriate Institute.
3. Enter the event password (provided after the meeting and located at the bottom of your CLE certificate).
4. Once logged in, select your state to view the Course ID for self-reporting.

If your Course ID is not yet available, you may enter your email address to receive a notification once it is posted. For more information, please navigate to page 4.

CIMA

Investments & Wealth Institute has accepted The Northern Trust Institute 2026 Wealth Planning Symposium for **6 hours of CE credit, including 1.0 ethics credit**.

Attendee Reporting

ConferenceAdit will email Certificates of Completion if all requirements have been met. Attendees must then self-submit credit.

CPWA

Investments & Wealth Institute has accepted The Northern Trust Institute 2026 Wealth Planning Symposium for **6 hours of CE credit**.

Attendee Reporting

ConferenceAdit will email Certificates of Completion if all requirements have been met. Attendees must then self-submit credit.

CFP

The Certified Financial Planner Board of Standards Inc. has applied for up to **6 credit hours** for The Northern Trust Institute 2026 Wealth Planning Symposium. Content Level: Intermediate.

Attendee Reporting

Northern Trust will report CE credit hours to The Certified Financial Planner Board of Standards Inc. on behalf of the attendee. CFP will grant the credit(s) if all requirements have been met.

CTFA

American Bankers Association Professional Certifications has approved The Northern Trust Institute 2026 Wealth Planning Symposium up to **7.25 CE credits**. ABA Professional Certifications is dedicated to promoting the highest standards of performance and ethics within the financial services industry. This statement is not an endorsement of this program or its sponsor.

Attendee Reporting

Attendee Instructions to self report for CE Credit

1. Log into certification record at aba.csod.com
2. Hover over ADD CE CREDIT select APPROVED NON-ABA CE
3. Enter key word(s) from program title or sponsor name and Select program from search results
4. Click Request and when page refreshes click Mark Complete

CPE

The Northern Trust Company is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: www.nasbaregistry.org.

For more information, please navigate to page 3.

Attendee Reporting

ConferenceAdit will email Certificates of Completion if all requirements have been met. Attendees must then self-submit credit.

CPE CREDIT INFORMATION

By attending the symposium, participants can earn up to **7.2 CPE credits** in these fields of study:

- **Specialized Knowledge - Technical** — 3.6 CPE credits
- **Taxes - Technical** —2.4 CPE credits
- **Behavioral Ethics** — 1.2 CPE credits

The program is designed for advisors who work with a high-net-worth or ultra-high-net-worth client base serving families, family offices, business owners, executives and the unexpectedly single.

The program will provide experts outlooks, insights and action items on the most vital planning issues of 2026 and beyond.

By attending the Wealth Planning Symposium, you will:

- Gain practical insights on managing complex wealth in an evolving landscape.
- Gain insights and actionable strategies to make the most of opportunities in a new era.

Additional Information

- This program is complimentary for Northern Trust employees and clients
- There are no cancellation fees associated with this program
- **Delivery method:** Group Internet Based
- **Prerequisites:** General familiarity with estate planning principles
- **Program knowledge level:** Intermediate
- **Advance preparation:** None
- To register for this program, visit our registration site.
- For more information regarding administrative policies such as complaints and refunds, please contact our offices at 312 444 3726.
- **Questions:** Contact Sara Cepeda at sic1@ntrs.com or 312-444-4417

Due to NASBA record keeping requirements, you will be monitored on the virtual platform. Information collected by this process is used by Northern Trust and will not be shared with any other organization for any purpose other than NASBA-required audits. You are required to be in attendance for the full session. Any deviation from full session attendance will result in credit forfeit.

NATIONAL REGISTRY OF CPE SPONSORS

About CPE

The Northern Trust Company is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors website: www.nasbaregistry.org.

CLE CREDIT INFORMATION

ConferenceAdit works to accommodate requests for CLE credit in all states. Attorneys may be eligible to receive CLE credit through reciprocity agreements or by self-submitting their attendance, depending on their state's rules.

The final session, **Wealth Planning with AI: Opportunities & Responsibility**, has been approved for **Ethics Continuing Education (CE) credit** in most states. Ethics credit approval is subject to individual state requirements and may vary by jurisdiction.

Current status of each state for credits, including ethics:

6.0 Credits (including 1.0 Ethics): AK, AZ, AR, CT, DC, HI, IL, KY, LA, ME, MD, MA, MI, MN, MS, NE, NV, NH, NC, ND, OH, OR, PA, RI, SD, TX, UT, VT, WA, WY

6.0 Credits (Ethics not specified / different designation): DE – 6.0, TN – 6.0 (incl. 1.0 Dual)

7.0 Credits: CO – 7.0 (including 1.2 Ethics), KS – 7.0, OK – 7.0 (including 1.0 Ethics)

7.2 Credits (incl. 1.2 Ethics): MO, NJ, NY

Florida: 7.0 General, 1.0 Ethics, 1.0 Technology, Specialties: Wills, Trusts & Estates (7.0); Tax Law (7.0)

Pending Approval: AL, CA, GA, ID, IN, IA, MT, NM, SC, VA, WV, WI

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For Presenters:
If you need an updated certificate that reflects speaker credit, please email a copy of your certificate to info@conferenceadit.com with your request.

For additional information about CLE accreditation or attendance reporting, visit tnti.conferenceadit.com or contact cle@conferenceadit.com.

SESSION CE CREDIT DETAILS

9:00 – 10:10 AM CT

FROM ESTATES TO ECOSYSTEMS: THE EVOLUTION OF FAMILY OFFICE DESIGN

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objective:

• Understand the different ways family offices are structured today—and how those choices affect taxes, governance, and day-to-day operations.

• Recognize how ownership, compensation, and profit-sharing decisions can increase or reduce fiduciary and regulatory risk, with practical takeaways from recent court cases.

• Learn how families and their advisors are adapting family office structures to keep pace with growing complexity, changing regulations, and evolving family needs.

CPE Field of Study: Specialized Knowledge - Technical

10:25 – 11:25 AM CT

TRUE WEALTH: INTEGRATING BENEFICIARY WELL-BEING INTO TRUST DESIGN

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

• Identify and interpret the key provisions of Delaware's Beneficiary Well-Being Trust statute, including its statutory purpose and legal framework.

• Apply statutory and drafting considerations when structuring or modifying trusts to incorporate beneficiary well-being objectives while fulfilling fiduciary duties.

• Assess the legal and practical effects of beneficiary well-being trusts on trustee-beneficiary relationships and family governance dynamics.

CPE Field of Study: Specialized Knowledge - Technical

11:40 AM – 12:40 PM CT

QSBS IN FOCUS: OPPORTUNITIES FOR FOUNDERS, INVESTORS, EXECUTIVES AND TRUSTEES

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

• Explain the key requirements for issuing and qualifying as QSBS, including recent enhancements under the One Big Beautiful Bill Act (OBBBA).

• Evaluate planning strategies and tax considerations for business owners, investors, and executives receiving QSBS as compensation.

• Identify trust- and philanthropy-related issues associated with QSBS, including considerations for donors and trustees when QSBS is contributed to or held in trust.

CPE Field of Study: Taxes - Technical

1:00 – 2:00 PM CT

GOING GLOBAL: CROSS-BORDER TRUST PLANNING IN U.S. JURISDICTIONS

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

• Analyze the use of U.S. trust structures, including Delaware trusts, in cross-border wealth planning for globally connected families with non-U.S. settlors and beneficiaries.

• Assess key legal, tax, and planning considerations associated with non-U.S. parties establishing trusts in U.S. jurisdictions as part of international wealth strategies.

• Identify significant trust administration issues and compliance considerations trustees and advisors must address during the settlor's lifetime and following death in a cross-border context.

CPE Field of Study: Specialized Knowledge - Technical

SESSION CE CREDIT DETAILS *(continued)*

2:15 – 3:15 PM CT

FORM 1040: A ROADMAP TO OBBBA TAX PLANNING

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

• Identify key provisions of the OBBBA effective in 2025 that affect individual and fiduciary income tax planning, using Form 1040 as an analytical framework.

• Assess planning opportunities related to wages, capital gains, retirement distributions, and Roth conversions under the revised tax rules.

• Determine strategies to address new limitations on itemized deductions, charitable contributions, and SALT deductions for individual and trust taxpayers.

CPE Field of Study: Taxes - Technical

3:30 – 4:30 PM CT

WEALTH PLANNING WITH AI: OPPORTUNITIES & RESPONSIBILITY

Credits: CLE, CFP, CIMA, CPWA, CTFA and CPE for CPAs

Learning Objectives:

• Identify practical applications of artificial intelligence currently used in wealth planning to support professional judgment and client service.

• Analyze legal, regulatory, and ethical risks associated with AI use in wealth planning, including data privacy, confidentiality, and professional responsibility obligations.

• Apply best practices for the responsible use and supervision of AI-assisted work in compliance with regulatory expectations and professional standards.

CPE Field of Study: Behavioral Ethics

CONTACT INFORMATION

For more information regarding administrative policies, please contact:

Northern Trust Contact:

Sara Cepeda
sic1@ntrs.com

ConferenceAdit platform:
info@conferenceadit.com

CLE Information:
cle@conferenceadit.com

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