



NORTHERN  
TRUST

*Asset Servicing Solutions*

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# GLOBAL FUND SERVICES

As you focus on developing your business, achieving the right combination of asset servicing and fund administration solutions to support your growth is key. Northern Trust can help you respond to today's fast-changing global environment. Tap into more than 30 years of experience so you can concentrate on your core business.

# GROW. MANAGE. EVOLVE.

Get a robust and efficient operations infrastructure that can adapt to your evolving business. Northern Trust can help.

## SOLUTIONS TAILORED ACROSS YOUR FUND LIFECYCLE

Access a full suite of asset administration, servicing and enhancement solutions. Our Global Fund Services team will work alongside you to deliver the transparency and insight you need to help:

- Bring funds to market quickly and reach your distribution channels
- Comply with rapidly changing regulations through practical global and regional solutions
- Make sense of your data and provide portfolio transparency

625+

INVESTMENT MANAGER CLIENTS\*

4000+

GLOBAL FUND SERVICE STAFF\*

26

GLOBAL LOCATIONS\*

80%

OF THE WORLD'S TOP 20 ASSET MANAGERS AS CLIENTS\*\*

\*As of December 2017

\*\*Source: P&I/Towers Watson, Annual Survey of Top 200 Asset Managers

## RESPOND TO NEW OPPORTUNITIES

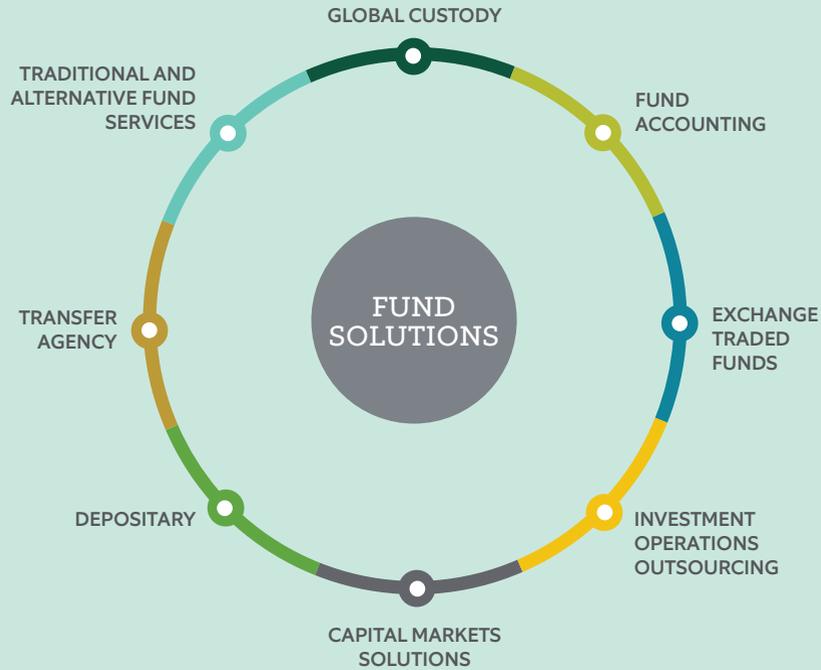
We can help administer a full array of asset classes – from the most straightforward to the most complex.

TRADITIONAL FUNDS	EXCHANGE TRADED FUNDS	TAX-TRANSPARENT FUNDS	LIABILITY-DRIVEN INVESTMENTS	FUND OF FUNDS
HEDGE FUNDS	PRIVATE EQUITY FUNDS	REAL ESTATE FUNDS	INFRA-STRUCTURE FUNDS	BANK DEBT FUNDS

Expand into new markets and sectors: our scale and expertise across asset classes and jurisdictions helps you implement your distribution strategy across geographies and investment approaches.

- Supporting funds in all major fund domiciles around the globe
- Global reach and regional expertise across the Americas, Asia Pacific and Europe, Middle East and Africa (EMEA) regions.
- Our expanding EMEA market reach includes on-the-ground expertise and client servicing in Guernsey, Ireland, Luxembourg, the Netherlands, Saudi Arabia, Sweden, Switzerland, the United Arab Emirates and the United Kingdom.

COMPREHENSIVE ASSET SERVICING SOLUTIONS



Get the services you need, how and when you need them. Working with you we'll create a programme that reflects your strategy and evolves with you.

DELIVERING A BROAD RANGE OF CAPABILITIES

Choose from a wide range of services and solutions.

Asset Administration	Asset Servicing	Asset Enhancement
<p><b>Investment Operations Outsourcing</b></p> <ul style="list-style-type: none"> <li>• Full transaction management</li> <li>• OTC lifecycle management</li> <li>• Investment book of record</li> <li>• Transition management</li> <li>• Reconciliations</li> <li>• Information delivery and client reporting</li> </ul> <p><b>Fund Administration</b></p> <ul style="list-style-type: none"> <li>• Fund launch and distribution support</li> <li>• Fund accounting</li> <li>• Transfer agency / investor services</li> <li>• Corporate secretarial</li> <li>• Reporting</li> <li>• Management company services</li> <li>• Fiduciary</li> </ul>	<p><b>Depository/Trustee</b></p> <ul style="list-style-type: none"> <li>• Cash flow monitoring</li> <li>• Custody and asset ownership verification</li> <li>• Oversight of fund activities</li> </ul> <p><b>Custody</b></p> <ul style="list-style-type: none"> <li>• Safekeeping</li> <li>• Trade settlement</li> <li>• Income protection</li> <li>• Proxy voting</li> <li>• Tax reclamation</li> <li>• Corporate actions</li> </ul> <p><b>Banking</b></p> <ul style="list-style-type: none"> <li>• Liquidity credit facilities</li> <li>• Payments processing</li> <li>• Currency accounts</li> </ul>	<p><b>Capital Markets</b></p> <ul style="list-style-type: none"> <li>• Foreign exchange</li> <li>• Institutional brokerage</li> <li>• Securities lending</li> <li>• Transition management</li> </ul> <p><b>Data</b></p> <ul style="list-style-type: none"> <li>• Data aggregation and management tools</li> </ul> <p><b>Liquidity</b></p> <ul style="list-style-type: none"> <li>• Collateral optimisation and management tools</li> </ul> <p><b>Regulatory</b></p> <ul style="list-style-type: none"> <li>• Regulatory reporting, monitoring and product development</li> </ul>

Sample of services. Not all services are available in all jurisdictions.

## HELPING YOU LAUNCH AND IMPLEMENT YOUR FUND

Bring funds to market faster while using our broad distribution network to pursue your global fund strategy. Our fund distribution support helps you choose markets and channels where your strategies are most likely to be successful. We also support the implementation process.

### Fund Solutions

We'll help you take into consideration:

- Markets and channels offering the most potential for your strategy
- Costs of cross-border distribution
- Local product or currency share class requirements
- Local agent services and fund registration
- Tax and regulatory reporting
- Fund platform dynamics

Leverage our extensive relationships with major platforms to help you connect quickly and efficiently to major distributors in key markets.

### SUPPORT FOR DECISION-MAKING & IMPLEMENTATION

Market Insights

Regulatory Insights

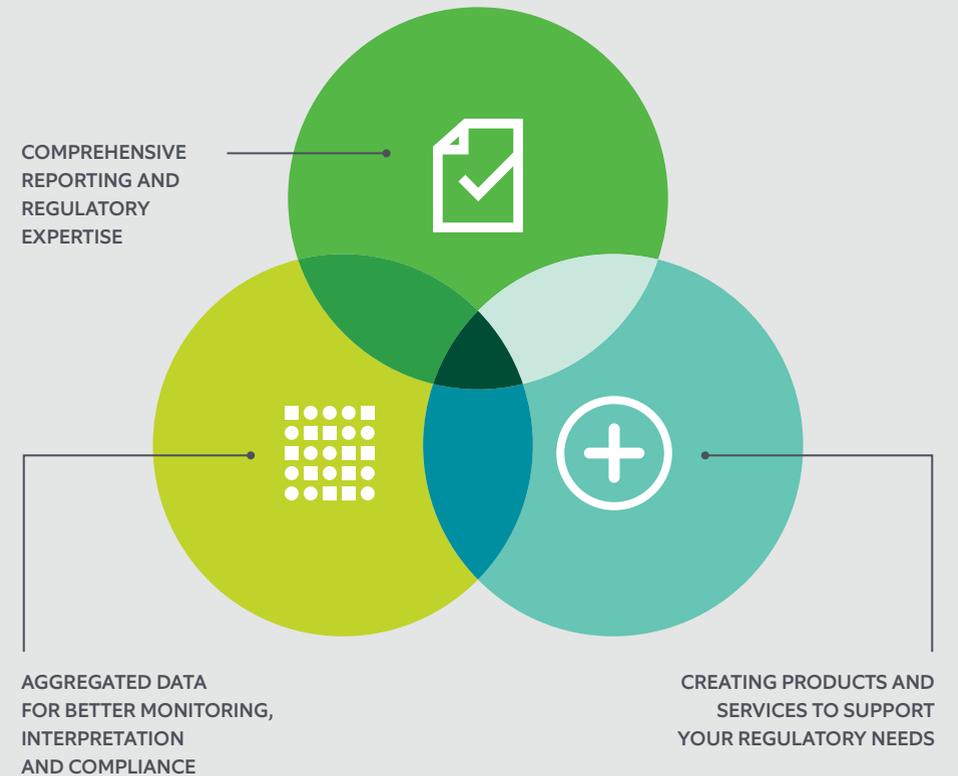
Fund Registration

Channel Selection

Connectivity

Distributor Liaison

## END-TO-END REGULATORY SUPPORT



## GAIN GREATER INSIGHT AND PORTFOLIO OVERSIGHT

Capture, validate and report quality data with our powerful data management solutions. Transform vast amounts of data into usable information you can act on. An integrated, global technology platform and 24/7 operating model can help you make smarter decisions, faster.

### Performance Measurement

To give you a clear picture of portfolio performance we offer interactive comprehensive analytics delivered either through our web portal Northern Trust Passport® or iPad app. You can also export this data securely to Excel® using our industry-leading tool, Data Direct™.

### Risk Services

Whether you need holdings-based analysis to monitor market risk or are using our tools to help build return expectations, our sophisticated risk engines and analytic tools provide the information you need.

### Regulatory Services

Receive support to facilitate compliance and keep up-to-date with evolving regulatory requirements. Our Global Regulatory Solutions team works with regional and global industry organisations to monitor changes, translate regulatory obligations and create services to address them.

## Helping you Stay Competitive

Directly interfacing with your systems and/or data warehouse, Northern Trust Passport gives you access to all your portfolio data and allows you to identify and integrate the precise data necessary to make insightful decisions. Initiate transactions, process trades and corporate actions, move cash and send foreign exchange instructions in a straight-through process.

### VISUALISATION

- *Interactive dashboards to customise portfolio views*
- *Dynamic online query tools with drill-down capabilities*
- *Seamless integration of analytical, portfolio, market and risk data*

### PORTABILITY

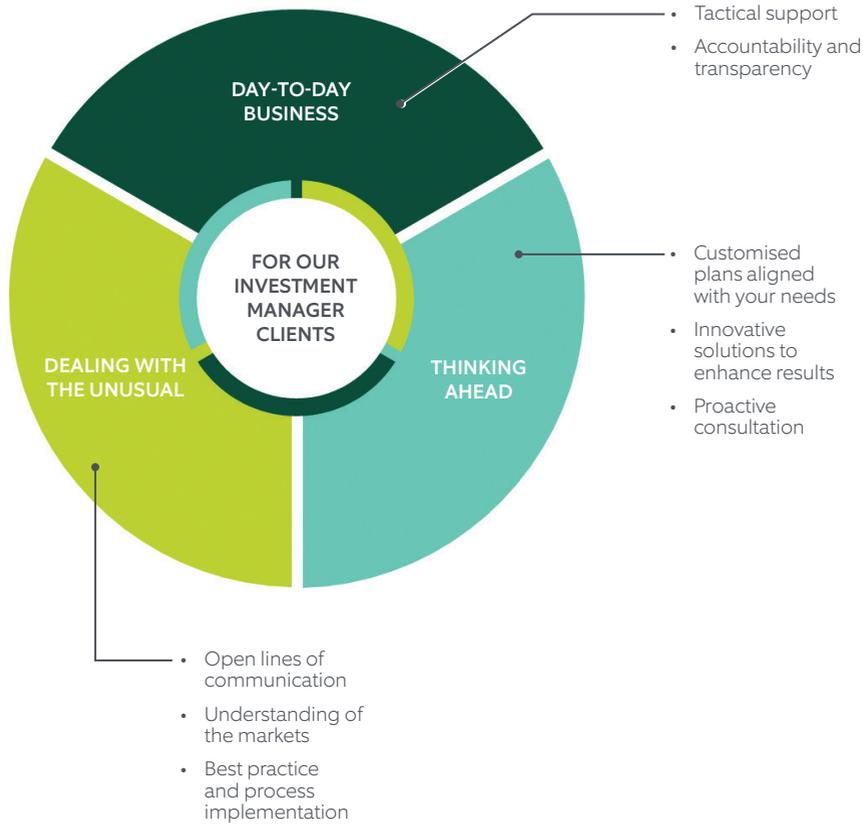
- *Comprehensive reporting with flexible scheduling and distribution capabilities*
- *Secure integration with Excel® for customisable reports and presentations*
- *Powerful mobile site for immediate access to reports, dashboards and insights wherever you are*

### AUTOMATION

- *Configurable “push” notifications for all your key events*
- *Straight-through processing of cash and securities trades with a comprehensive audit trail*
- *Advanced query generation with scheduling and distribution capabilities supporting various applications and formats*

## A CONSULTATIVE APPROACH TO SERVICE

Northern Trust aligns its operations to provide support for both your strategic planning and day-to-day operational requirements. You'll work with a dedicated and highly experienced client servicing team designed to meet your needs.



## WHY NORTHERN TRUST

Secure the competitive edge our experience and capabilities can provide. Our consultative approach, customised solutions, deep expertise and advanced technology can help you reach your long-term goals.

## FOR MORE INFORMATION

To learn more, please contact your Northern Trust relationship manager or:

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