

INVESTMENT COMPLIANCE MONITORING

Manage the complexity of today's investment landscape with confidence



CUSTOMISE YOUR RULES

Tailor investment rules to your specific requirements, including

SECURITIES | SECTORS | COUNTRIES | EXPOSURES | RISKS



AUTOMATE YOUR MONITORING

We monitor more than
6,000 unique rules
and guidelines daily

Serving more than
200 CLIENTS
worldwide

Representing over
\$2.7 TRILLION
of assets

All figures as of 31 May 2018. Source: Northern Trust



RESOLVE COMPLIANCE EVENTS

Digital workflow tools and dashboards allow you to:

VISUALISE your
portfolio at-a-glance

IDENTIFY and
investigate issues

COLLABORATE with your teams:
report, document and audit

HAVE CONFIDENCE IN YOUR COMPLIANCE PROGRAM

Discover how Northern Trust can help you manage your post-trade compliance program. Visit northerntrust.com/monitoring or contact your Northern Trust representative today.

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