



# MUTUAL FUND TRADING FOR CORRESPONDENT TRUST SERVICES

In the highly competitive and ever-changing financial services landscape, industry professionals face significant challenges including client retention, operational efficiency and keeping pace with regulatory change. Having the right solutions and procedures in place to be able to turn these challenges into opportunities is vital for success in this new environment.

Our mutual fund clearing solution is offered through collaboration with Matrix Financial Solutions, a Broadridge Company. This service expansion gives you the ability to trade mutual funds and exchange-traded funds (ETFs) on a leading technology platform, reducing risk and processing time while increasing operational efficiencies.

## TRADING PLATFORM

We access the National Securities Clearing Corporation's (NSCC) leading trading platform by volume to offer you a true open architecture trading solution that completes more than 25 million trades annually, offering more than 25,000 mutual funds and ETFs from more than 650 mutual fund families.

Our solution provides key benefits:

- A single net settlement for all trade activity
- Fee simplification
- Vendor and contract reduction

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## The conversion process:

- Customize project plan
  - Seamless transition of assets
  - Transfers-in-kind
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## MUTUAL FUND TRADING FOR CORRESPONDENT TRUST SERVICES

- One of the most automated and cost-effective platforms in the industry
- Transparent trading interfaces
- Daily reconciliation capabilities
- A paperless, automated fund account opening process
- Model portfolio capabilities
- Integrated access via Northern Trust Passport©

### SETTLEMENT PROCESS SIMPLIFIED

Our solution gives you the flexibility to trade on one platform for all your Northern Trust and other mutual funds, providing you straight-through processing from trade execution to trade settlement. We provide your firm with consolidated settlement, meaning your organization will no longer need to spend time reconciling holdings and cash with multiple vendors. Leverage our solution to grow your business through our scalability and functionality.

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### Contact us

To learn more about mutual fund clearing services, contact your Northern Trust relationship manager, or visit [www.northerntrust.com](http://www.northerntrust.com).

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