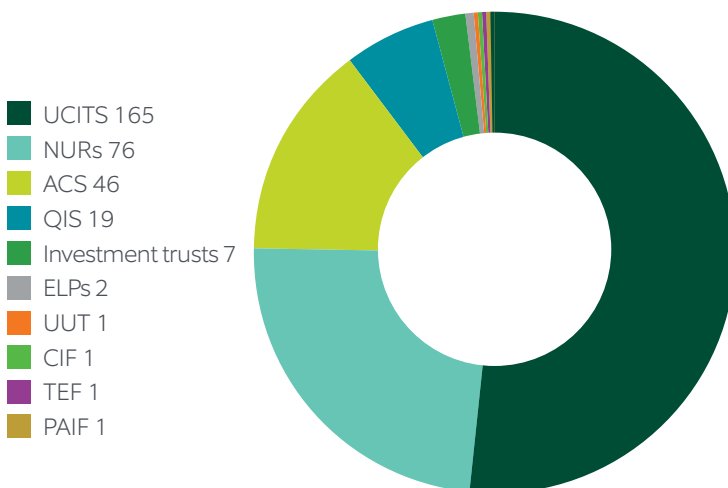


# UK DEPOSITARY SERVICES

Since our launch in 2014, we have grown our UK depositary business significantly, building a team of industry professionals who are at the forefront of regulatory reform. Using our consultative approach, we work hard to understand your needs to provide you with relevant guidance and a first class depositary service, tailored to your business. We support multiple fund types, asset classes and investment strategies.

## SCHEME TYPES

Depositary support for authorised and unauthorised scheme types

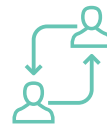


## EXCEPTIONAL CLIENT SERVICE

Working in partnership with all our clients



A client-facing team with more than 150 years of combined experience in depositary services



A dedicated depositary relationship manager for every client



4.3 out of 5 average customer rating  
*UK Depositary Client Satisfaction Survey, since January 2015*

## KEY STATISTICS

Significant UK depositary business growth

£175B

ASSETS UNDER DEPOSITARY



310+

FUNDS

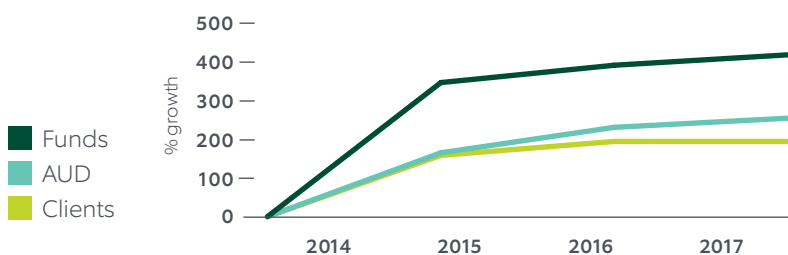


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CLIENTS



OUTSTANDING GROWTH SINCE JUNE 2014 LAUNCH



REGULATORY ENGAGEMENT

- Regular communications, events and client update sessions on the impact of regulation.
- Active engagement with industry, government and regulatory bodies, i.e. DATA, IA, FCA, Treasury, HMRC, ETDF, EFAMA, etc.

RISK MANAGEMENT

- A dynamic, risk-based approach tailored to our clients helps focus our reviews on any potential high-risk areas.

INDEPENDENCE

- Independent oversight to clients delegating services to Northern Trust or external third party administrators.
- Appointment and oversight of custodian services undertaken by Northern Trust or external providers.

**£23 million** investment in EMEA depositary infrastructure

Onboarded **200+** funds with assets of **£68 billion** from other depositaries

Supported five clients in establishing new AFM operating models with AUM of **£14 billion**

**Five** depositary domiciles under one EMEA management structure

TO LEARN MORE

Contact your Northern Trust relationship manager, or visit [northerntrust.com](http://northerntrust.com)

All data as of 30 September 2018

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