

INDIVIDUAL (CONTROLLING PERSON'S) SELF-CERTIFICATION FOR FATCA AND CRS

INSTRUCTIONS FOR COMPLETION

We are obliged under Section 891E, Section 891F and Section 891G of the Taxes Consolidation Act 1997 (as amended) and regulations made pursuant to those sections to collect certain information about each investor's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that in certain circumstances we may be legally obliged to share this information, and other financial information with respect to an investor's interests in the Fund with relevant tax authorities. This form is intended to request information only where such request is not prohibited by Irish law.

If you have any questions about this form or defining the investor's tax residency status, please refer to the OECD CRS Portal or speak to a tax adviser..

For further information on FATCA or CRS please refer to Irish Revenue website at <http://www.revenue.ie/en/business/aeoi/index.html> or the following link to the OECD CRS Information Portal at: <http://www.oecd.org/tax/automatic-exchange/> in the case of CRS only.

If any of the information below about the investor's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly.

Please note that where there are joint or multiple account holders each investor is required to complete a separate Self-Certification form.

Sections 1, 2, 3 and 5 must be completed by all investors.

Section 4 should only be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity. For further guidance see:

<http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>

(Mandatory fields are marked with an *)

SECTION 1: INVESTOR IDENTIFICATION

Name*

Investor Name*

Current Residential Address*

Number

Street

City, Town, State, Province or County

Postal/ZIP Code

Country

Mailing address (if different from above)

Number

Street

City, Town, State, Province or County

Postal/ZIP Code

Country

Birth details*

Town or City of Birth*

Country of Birth*

Date of Birth*



SECTION 2: FATCA DECLARATION OF U.S. CITIZENSHIP OR U.S. RESIDENCE FOR TAX PURPOSES *

Please tick either (a) or (b) and complete as appropriate.

a) I confirm that [I am]/[the investor is] a U.S. citizen and/or resident in the U.S. for tax purposes and [my]/[its] U.S. federal taxpayer identifying number (U.S. TIN) is as follows: _____

OR

(b) I confirm that [I am not]/[the investor is not] a U.S. citizen or resident in the U.S. for tax purposes.

SECTION 3: CRS DECLARATION OF TAX RESIDENCY*

Please note you may chose more than one country*

Please indicate your/ the investor's country of tax residence (if resident in more than one country please detail all countries of tax residence and associated taxpayer identification numbers ("TIN"). Please see the CRS Portal for more information on Tax Residency.

Country of Tax Residency	Tax ID Number
Country of Tax Residency	Tax ID Number
Country of Tax Residency	Tax ID Number

NOTE: Provision of a Tax ID number (TIN) is required unless you are tax resident in a Jurisdiction that does not issue a TIN.

SECTION 4 – TYPE OF CONTROLLING PERSON

ONLY to be completed by any individual who is a Controlling Person of an entity investor which is a Passive Non-Financial Entity or an Investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution

For joint or multiple Controlling Persons please complete a separate Self-Certification form for each Controlling Person

Please Confirm what type of Controlling Person applicable under CRS that applies to you/the investor by ticking the appropriate box.	Entity Name
<input type="checkbox"/> Controlling Person of a legal person – control by ownership	_____
<input type="checkbox"/> Controlling Person of a legal person – control by other means	_____
<input type="checkbox"/> Controlling Person of a legal person – senior managing official	_____
<input type="checkbox"/> Controlling Person of a trust - settlor	_____
<input type="checkbox"/> Controlling Person of a trust – trustee	_____
<input type="checkbox"/> Controlling Person of a trust – protector	_____
<input type="checkbox"/> Controlling Person of a trust – beneficiary	_____
<input type="checkbox"/> Controlling Person of a trust – other	_____
<input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – settlor-equivalent	_____
<input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	_____
<input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – protector-equivalent	_____
<input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – beneficiary-equivalent	_____
<input type="checkbox"/> Controlling Person of a legal arrangement (non-trust) – other-equivalent	_____

SECTION 5: DECLARATION AND UNDERTAKINGS

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange financial account information.

I undertake to advise the recipient promptly and provide an updated Self-Certification form where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

Authorised Signature *:

Print Name *:

Date: (dd/mm/yyyy) *:

Capacity *:

