

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## British American Tobacco (Malaysia) Berhad

**Meeting Date:** 09/06/2016

**Country:** Malaysia

**Primary Security ID:** Y0971P110

**Meeting Type:** Special

**Ticker:** BAT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal by Tobacco Importers and Manufacturers Sdn Berhad, a Wholly-Owned Subsidiary of the Company, of 2 Parcels of Leasehold Lands Together with Buildings Elected Thereon	Mgmt	For	For

## Cairn India Limited

**Meeting Date:** 09/11/2016

**Country:** India

**Primary Security ID:** Y1081B108

**Meeting Type:** Special

**Ticker:** CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	Against

## Cairn India Limited

**Meeting Date:** 09/12/2016

**Country:** India

**Primary Security ID:** Y1081B108

**Meeting Type:** Court

**Ticker:** CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	Against

## China Overseas Land & Investment Ltd.

**Meeting Date:** 07/20/2016

**Country:** Hong Kong

**Primary Security ID:** Y15004107

**Meeting Type:** Special

**Ticker:** 688

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## China Overseas Land & Investment Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## Coway Co. Ltd.

**Meeting Date:** 09/12/2016      **Country:** South Korea      **Primary Security ID:** Y1786S109  
**Meeting Type:** Special      **Ticker:** A021240

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Yoon Jong-ha as Inside Director	Mgmt	For	For
1.2	Elect Kim Gwang-il as Non-independent Non-executive Director	Mgmt	For	For

## Cummins India Ltd.

**Meeting Date:** 08/04/2016      **Country:** India      **Primary Security ID:** Y4807D150  
**Meeting Type:** Annual      **Ticker:** 500480

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Final Dividend and Ratify Interim Dividend	Mgmt	For	For
4	Reelect Mark Smith as Director	Mgmt	For	Against
5	Reelect Casimiro Antonio Vieira Leitao as Director	Mgmt	For	For
6	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Related Party Transactions with Cummins Limited, UK	Mgmt	For	For

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## Cummins India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Related Party Transactions with Tata Cummins Private Limited	Mgmt	For	For
10	Approve Revision in the Remuneration of Anant J. Talaulicar, Managing Director	Mgmt	For	For

## Dr. Reddy's Laboratories

**Meeting Date:** 07/27/2016      **Country:** India      **Primary Security ID:** Y21089159  
**Meeting Type:** Annual      **Ticker:** 500124

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect K. Satish Reddy as Director	Mgmt	For	For
4	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G V Prasad as Co-Chairman, Managing Director and CEO	Mgmt	For	For
6	Elect Bharat Narotam Doshi as Independent Director	Mgmt	For	For
7	Elect Hans Peter Hasler as Independent Director	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Dr. Reddy's Laboratories

**Meeting Date:** 07/27/2016      **Country:** India      **Primary Security ID:** Y21089159  
**Meeting Type:** Annual      **Ticker:** 500124

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		

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## Dr. Reddy's Laboratories

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect K. Satish Reddy as Director	Mgmt	For	For
4	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G V Prasad as Co-Chairman, Managing Director and CEO	Mgmt	For	For
6	Elect Bharat Narotam Doshi as Independent Director	Mgmt	For	For
7	Elect Hans Peter Hasler as Independent Director	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## FLEXTRONICS INTERNATIONAL LTD.

**Meeting Date:** 08/24/2016

**Country:** Singapore

**Primary Security ID:** Y2573F102

**Meeting Type:** Annual

**Ticker:** FLEX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director H. Raymond Bingham	Mgmt	For	For
1b	Elect Director Willy C. Shih	Mgmt	For	For
2	Elect Director Lawrence A. Zimmerman	Mgmt	For	For
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Issuance of Shares without Preemptive Rights	Mgmt	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
6	Authorize Share Repurchase Program	Mgmt	For	For
7	Change Company Name to Flex Ltd.	Mgmt	For	For
8	Approve the Adoption of the Company's New Constitution	Mgmt	For	For

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## Housing Development Finance Corp. Ltd.

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y37246207

**Meeting Type:** Annual

**Ticker:** 500010

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect D. M. Sukthankar as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve PKF as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Issuance of Redeemable Non-Convertible Debentures and/or Other Hybrid Instruments	Mgmt	For	For
7	Approve Related Party Transactions with HDFC Bank Ltd.	Mgmt	For	For
8	Approve Increase in Borrowing Powers	Mgmt	For	For
9	Approve Reappointment and Remuneration of Keki M. Mistry as Managing Director	Mgmt	For	For

## Hyundai Mobis Co.

**Meeting Date:** 07/07/2016

**Country:** South Korea

**Primary Security ID:** Y3849A109

**Meeting Type:** Special

**Ticker:** A012330

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Lim Young-deuk as Inside Director	Mgmt	For	For

## Jollibee Foods Corporation

**Meeting Date:** 07/22/2016

**Country:** Philippines

**Primary Security ID:** Y4466S100

**Meeting Type:** Annual

**Ticker:** JFC

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## Jollibee Foods Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Minutes of the Annual Stockholders' Meeting Held on June 26, 2015 and Special Stockholders' Meeting Held on November 23, 2015	Mgmt	For	For
5	Approve 2015 Audited Financial Statements and Annual Report	Mgmt	For	For
6	Ratify Actions by the Board of Directors and Officers of the Corporation	Mgmt	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt		
7.1	Elect Tony Tan Caktiong as Director	Mgmt	For	For
7.2	Elect William Tan Untiong as Director	Mgmt	For	Against
7.3	Elect Ernesto Tanmantiong as Director	Mgmt	For	For
7.4	Elect Joseph C. Tanbuntiong as Director	Mgmt	For	Against
7.5	Elect Ang Cho Sit as Director	Mgmt	For	Against
7.6	Elect Antonio Chua Poe Eng as Director	Mgmt	For	Against
7.7	Elect Artemio V. Panganiban as Director	Mgmt	For	Against
7.8	Elect Monico V. Jacob as Director	Mgmt	For	For
7.9	Elect Cezar P. Consing as Director	Mgmt	For	For
8	Appoint External Auditors	Mgmt	For	For

## Keyence Corp.

**Meeting Date:** 09/09/2016

**Country:** Japan

**Primary Security ID:** J32491102

**Meeting Type:** Annual

**Ticker:** 6861

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, With a Final Dividend of JPY 50	Mgmt	For	Against
2	Appoint Alternate Statutory Auditor Takeda, Hidehiko	Mgmt	For	For

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## Korea Aerospace Industries Co. Ltd.

**Meeting Date:** 09/09/2016

**Country:** South Korea

**Primary Security ID:** Y4838Q105

**Meeting Type:** Special

**Ticker:** A047810

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Cho Yoo-haeng as Member of Audit Committee	Mgmt	For	Against

## Lenovo Group Limited

**Meeting Date:** 07/07/2016

**Country:** Hong Kong

**Primary Security ID:** Y5257Y107

**Meeting Type:** Annual

**Ticker:** 992

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Gordon Robert Halyburton Orr as Director	Mgmt	For	For
3b	Elect Zhu Linan as Director	Mgmt	For	For
3c	Elect Tian Suning as Director	Mgmt	For	For
3d	Elect William Tudor Brown as Director	Mgmt	For	For
3e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## Lupin Ltd.

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y5362X101

**Meeting Type:** Annual

**Ticker:** 500257

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## Lupin Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements	Mgmt	For	For
2	Accept Consolidated Financial Statements	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect Nilesh Gupta as Director	Mgmt	For	For
5	Approve BSR & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Appointment and Remuneration Ramesh Swaminathan as CFO and Executive Director	Mgmt	For	For
7	Elect Jean-Luc Belingard as an Independent Director	Mgmt	For	For
8	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For

## Macquarie Group Limited

**Meeting Date:** 07/28/2016

**Country:** Australia

**Primary Security ID:** Q57085286

**Meeting Type:** Annual

**Ticker:** MQG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Approve the Grant of RSUs and PSUs to Nicholas Moore, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
4a	Elect MJ Hawker as Director	Mgmt	For	For
4b	Elect MJ Coleman as Director	Mgmt	For	For
5a	Ratify the Past Issuance of Shares	Mgmt	For	For
5b	Ratify the Past Issuance of Macquarie Group Capital Notes 2	Mgmt	For	For

## Mahindra & Mahindra Ltd.

**Meeting Date:** 08/10/2016

**Country:** India

**Primary Security ID:** Y54164150

**Meeting Type:** Annual

**Ticker:** M&M



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## Mahindra & Mahindra Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect S.B. Mainak as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Debt Securities	Mgmt	For	For
7	Approve Keeping of Register of Members and Related Documents at the Office of the Company's New Registrar & Share Transfer Agents	Mgmt	For	For

## Maruti Suzuki India Ltd

Meeting Date: 09/08/2016

Country: India

Primary Security ID: Y7565Y100

Meeting Type: Annual

Ticker: 532500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect R. C. Bhargava as Director	Mgmt	For	For
4	Reelect Kazuhiko Ayabe as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Kenichi Ayukawa as Managing Director and CEO	Mgmt	For	For
7	Approve Reappointment and Remuneration of Toshiaki Hasuike as Joint Managing Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

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## Nippon Prologis REIT Inc.

**Meeting Date:** 08/26/2016

**Country:** Japan

**Primary Security ID:** J5528H104

**Meeting Type:** Special

**Ticker:** 3283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Sakashita, Masahiro	Mgmt	For	For
3	Elect Alternate Executive Director Yamaguchi, Satoshi	Mgmt	For	For
4.1	Elect Supervisory Director Shimamura, Katsumi	Mgmt	For	For
4.2	Elect Supervisory Director Hamaoka, Yoichiro	Mgmt	For	For
5	Elect Alternate Supervisory Director Oku, Kuninori	Mgmt	For	For

## Oracle Corp. Japan

**Meeting Date:** 08/24/2016

**Country:** Japan

**Primary Security ID:** J6165M109

**Meeting Type:** Annual

**Ticker:** 4716

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Business Lines	Mgmt	For	For
2.1	Elect Director Sugihara, Hiroshige	Mgmt	For	For
2.2	Elect Director Nosaka, Shigeru	Mgmt	For	For
2.3	Elect Director Derek H. Williams	Mgmt		
2.4	Elect Director Samantha Wellington	Mgmt	For	For
2.5	Elect Director John L. Hall	Mgmt	For	Against
2.6	Elect Director Ogishi, Satoshi	Mgmt	For	For
2.7	Elect Director Edward Paterson	Mgmt	For	For
2.8	Elect Director Matsufuji, Hitoshi	Mgmt	For	For
2.9	Elect Director Natsuno, Takeshi	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

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## Ping An Insurance (Group) Co. of China, Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y69790106

**Meeting Type:** Special

**Ticker:** 2318

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Review of the Provision of Assured Entitlement Only to H Shareholders of the Company in Respect of Overseas Listing of Ping An Securities	Mgmt	For	For

## Ping An Insurance (Group) Co. of China, Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y69790106

**Meeting Type:** Special

**Ticker:** 2318

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Review of Overseas Listing Proposal of Ping An Securities	Mgmt	For	For
2	Approve Review of Overseas Listing of Ping An Securities - Compliance with Relevant Provisions	Mgmt	For	For
3	Approve Review of Company's Undertakings to Maintain Its Independent Listing Status	Mgmt	For	For
4	Approve Review of Sustainable Profitability Statement and Prospect	Mgmt	For	For
5	Approve Review of Authorization Concerning Overseas Listing of Ping An Securities	Mgmt	For	For
6	Approve Adjustment of the Remuneration of Non-executive Directors	Mgmt	For	For
7	Approve Adjustment of the Remuneration of Non-employee Representative Supervisors	Mgmt	For	For
8	Approve Review of the Provision of Assured Entitlement Only to H Shareholders of the Company in Respect of Overseas Listing of Ping An Securities	Mgmt	For	For

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## Power Grid Corporation of India Ltd

Meeting Date: 09/16/2016

Country: India

Primary Security ID: Y7028N105

Meeting Type: Annual

Ticker: 532898

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Note the Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Pradeep Kumar as Director	Mgmt	For	Against
4	Reelect Jyoti Arora as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Statutory Auditors	Mgmt	For	For
6	Elect Jagdish Ishwarbhai Patel as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Authorize Issuance of Bonds Under Private Placement	Mgmt	For	For

## Prestige Estates Projects Limited

Meeting Date: 09/23/2016

Country: India

Primary Security ID: Y707AN101

Meeting Type: Annual

Ticker: 533274

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Noaman Razack as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Increase in Investment Limits	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

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## S H Kelkar & Company Ltd

**Meeting Date:** 08/09/2016

**Country:** India

**Primary Security ID:** Y7T57W116

**Meeting Type:** Annual

**Ticker:** 539450

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Amit Dalmia as Director	Mgmt	For	For
4	Approve B S r & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Singapore Telecommunications Limited

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y79985225

**Meeting Type:** Annual

**Ticker:** Z74

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Christina Hon Kwee Fong (Christina Ong) as Director	Mgmt	For	For
4	Elect Simon Claude Israel as Director	Mgmt	For	For
5	Elect Peter Edward Mason as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Singtel Performance Share Plan 2012	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For
11	Adopt New Constitution	Mgmt	For	For

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## Tata Motors Ltd.

**Meeting Date:** 08/09/2016

**Country:** India

**Primary Security ID:** Y85740267

**Meeting Type:** Annual

**Ticker:** 500570

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Ravindra Pisharody as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Guenter Butschek as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Guenter Butschek as Chief Executive Officer and Managing Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Ravindra Pisharody as Executive Director (Commercial Vehicles)	Mgmt	For	For
8	Approve Reappointment and Remuneration of Satish Borwankar as Executive Director (Quality)	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Tech Mahindra Ltd.

**Meeting Date:** 08/02/2016

**Country:** India

**Primary Security ID:** Y85491127

**Meeting Type:** Annual

**Ticker:** TECHM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect C. P. Gurnani as Director	Mgmt	For	For

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## Tech Mahindra Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Vineet Nayyar as Director	Mgmt	For	For
7	Elect V. S. Parthasarathy as Director	Mgmt	For	For
8	Adopt New Articles of Association	Mgmt	For	For

## 3M India Ltd.

Meeting Date: 08/05/2016

Country: India

Primary Security ID: Y0904K113

Meeting Type: Annual

Ticker: 523395

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Ramesh Ramadurai as Director	Mgmt	For	Against
3	Approve BSR & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Radhika Rajan as Independent Director	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Elect Debarati Sen as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Debarati Sen as Managing Director	Mgmt	For	For
8	Approve Related Party Transaction with 3M Company, USA	Mgmt	For	For
9	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For

## 8990 Holdings, Inc.

Meeting Date: 07/25/2016

Country: Philippines

Primary Security ID: Y2257P103

Meeting Type: Annual

Ticker: HOUSE

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## 8990 Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the President's Report and Annual Report for 2015	Mgmt	For	For
2	Approve the Audited Financial Statements for the Year Ended Dec. 31, 2015	Mgmt	For	For
3	Approve the Minutes of Last Stockholders' Meeting Held on July 27, 2015	Mgmt	For	For
4	Ratify All Acts of the Board of Directors and Management Since the Last Annual Stockholders' Meeting	Mgmt	For	For
	Elect 13 Directors by Cumulative Voting	Mgmt		
5.1	Elect Mariano D. Martinez, Jr. as Director	Mgmt	For	For
5.2	Elect Januario Jesus Gregorio III B. Atencio as Director	Mgmt	For	For
5.3	Elect Luis N. Yu, Jr. as Director	Mgmt	For	For
5.4	Elect Willibaldo J. Uy as Director	Mgmt	For	Against
5.5	Elect Manuel C. Crisostomo as Director	Mgmt	For	For
5.6	Elect Arlene C. Keh as Director	Mgmt	For	For
5.7	Elect Manuel S. Delfin as Director	Mgmt	For	Against
5.8	Elect Lowell L. Yu as Director	Mgmt	For	Against
5.9	Elect Raul Fortunato R. Rocha as Director	Mgmt	For	Against
5.10	Elect Richard L. Haosen as Director	Mgmt	For	Against
5.11	Elect Ian Norman E. Dato as Director	Mgmt	For	Against
5.12	Elect Ben Chan Wei Beng as Director	Mgmt	For	Against
5.13	Elect Dominic J. Picone as Director	Mgmt	For	Against
6	Appoint External Auditor	Mgmt	For	For
7	Approve Other Matters	Mgmt	For	Against

## Aarti Industries Ltd.

Meeting Date: 09/30/2016

Country: India

Primary Security ID: Y0000F133

Meeting Type: Annual

Ticker: 524208



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Aarti Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Parimal H. Desai as Director	Mgmt	For	Against
3	Reelect Hetal Gogri Gala as Director	Mgmt	For	For
4	Approve Gokhale & Sathe as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Hetal Gogri Gala as Executive Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Abbott India Ltd.

Meeting Date: 07/18/2016

Country: India

Primary Security ID: Y4810G125

Meeting Type: Annual

Ticker: 500488

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Munir Shaikh as Director	Mgmt	For	For
4	Reelect Nancy Berce as Director	Mgmt	For	For
5	Approve SRBC & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Kaiyomarz Marfatia as Director	Mgmt	For	For
8	Elect R. A. Shah as Independent Director	Mgmt	For	For

## ACC Ltd.

Meeting Date: 07/14/2016

Country: India

Primary Security ID: Y0022S105

Meeting Type: Special

Ticker: ACC

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ACC Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Variation in the Terms of Remuneration of Harish Badami, CEO & Managing Director	Mgmt	For	For

## Accordia Golf Trust

**Meeting Date:** 07/28/2016      **Country:** Singapore      **Primary Security ID:** Y000HT119  
**Meeting Type:** Annual      **Ticker:** ADQU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee-Manager, Statement by the Trustee-Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve Deloitte & Touche LLP as Auditors and Authorize Board of the Trustee-Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against

## Accordia Golf Trust

**Meeting Date:** 07/28/2016      **Country:** Singapore      **Primary Security ID:** Y000HT119  
**Meeting Type:** Special      **Ticker:** ADQU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Share Repurchase Program	Mgmt	For	For

## Actoz Soft Co.

**Meeting Date:** 08/19/2016      **Country:** South Korea      **Primary Security ID:** Y0010K106  
**Meeting Type:** Special      **Ticker:** A052790

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Actoz Soft Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Dismiss Inside Director: Ma Shengming	Mgmt	For	For
2.1	Elect Xie Fei as Inside Director	Mgmt	For	For
2.2	Elect Chien Tunghai as Inside Director	Mgmt	For	For
2.3	Elect Ma Haoguang as Inside Director	Mgmt	For	For

## Adani Enterprises Ltd.

Meeting Date: 08/10/2016

Country: India

Primary Security ID: Y00106131

Meeting Type: Annual

Ticker: 512599

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Vasant S. Adani as Director	Mgmt	For	Against
4	Reelect Ameet H. Desai as Director	Mgmt	For	Against
5	Approve Dharmesh Parikh and Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Hemant M. Nerurkar as Independent Director	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Approve Option to Convert Loans into Equity Shares	Mgmt	For	For

## Adani Ports and Special Economic Zone Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y00130107

Meeting Type: Annual

Ticker: ADANIPOINTS

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Adani Ports and Special Economic Zone Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Approve Dividend on Preference Shares	Mgmt	For	For
4	Reelect Malay Mahadevia as Director	Mgmt	For	For
5	Approve S R B C & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Karan Adani, Chief Executive Officer	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
9	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Adani Power Ltd

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y0019Q104

Meeting Type: Annual

Ticker: 533096

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Elect Vneet S. Jaain as Director	Mgmt	For	For
3	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Increase in Borrowing Powers	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Related Party Transactions	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Adani Transmission Ltd.

**Meeting Date:** 08/10/2016

**Country:** India

**Primary Security ID:** YOR15U100

**Meeting Type:** Annual

**Ticker:** 539254

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Deepak Bhargava as Director	Mgmt	For	For
3	Approve Dharmesh Parikh and Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Gautam S. Adani as Director	Mgmt	For	For
5	Elect Rajesh S. Adani as Director	Mgmt	For	Against
6	Elect K. Jairaj as Independent Director	Mgmt	For	For
7	Elect V. Subramanian as Independent Director	Mgmt	For	For
8	Elect Ravindra H. Dholakia as Independent Director	Mgmt	For	For
9	Elect Meera Shankar as Independent Director	Mgmt	For	For
10	Approve Waiver of the Excess Remuneration Paid to Deepak Bhargava, Executive Director	Mgmt	For	Against
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
12	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
13	Approve Related Party Transactions	Mgmt	For	Against
14	Amend Articles of Association	Mgmt	For	For

## Aditya Birla Fashion and Retail Limited

**Meeting Date:** 09/07/2016

**Country:** India

**Primary Security ID:** Y6862N106

**Meeting Type:** Annual

**Ticker:** 535755

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sushil Agarwal as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Aditya Birla Fashion and Retail Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Charges on Members of the Company for Service of Documents	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	For
7	Approve Pledging of Assets for Debt	Mgmt	For	For
8	Approve Revision in the Remuneration of Pranab Barua, Managing Director	Mgmt	For	For

## Aditya Birla Nuvo Ltd.

Meeting Date: 08/27/2016

Country: India

Primary Security ID: Y0014E106

Meeting Type: Annual

Ticker: 500303

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Rajashree Birla as Director	Mgmt	For	Against
4	Reelect Lalit Naik as Director	Mgmt	For	For
5	Approve S R B C & CO LLP as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Deloitte Haskins & Sells LLP as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7.1	Approve S R B C & CO LLP as Branch Auditors of the Company's Jaya Shree Textiles Division, Rishra and Indo Gulf Fertilisers, Jagdishpur, and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7.2	Approve Deloitte Haskins & Sells LLP as Branch Auditors of the Company's Aditya Birla Insulators Divisions at Rishra & Halol and Indian Rayon Division at Veraval, and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Elect V. Chandrasekaran as Director	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Aditya Birla Nuvo Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Approve Remuneration of Cost Auditors	Mgmt	For	For
11	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Aegis Logistics Ltd.

Meeting Date: 08/05/2016

Country: India

Primary Security ID: Y0018C122

Meeting Type: Annual

Ticker: 500003

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Anil Kumar Chandaria as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Poonam Ravi Kumar as Independent Director	Mgmt	For	For
6	Elect Raj Kishore Singh as Director	Mgmt	For	For
7	Approve Service of Documents to the Members of the Company	Mgmt	For	For

## Agabang & Co.

Meeting Date: 08/17/2016

Country: South Korea

Primary Security ID: Y0018N102

Meeting Type: Special

Ticker: A013990

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	Against
2.1	Elect Lee Choon-seon as Inside Director	Mgmt	For	For
2.2	Elect Chang Ching as Inside Director	Mgmt	For	For
2.3	Elect Choi Yoon-gyu as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AGL Energy Ltd.

**Meeting Date:** 09/28/2016

**Country:** Australia

**Primary Security ID:** Q01630195

**Meeting Type:** Annual

**Ticker:** AGL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3a	Elect Belinda Jane Hutchinson as Director	Mgmt	For	For
3b	Elect Jacqueline Cherie Hey as Director	Mgmt	For	For
3c	Elect Diane Lee Smith-Gander as Director	Mgmt	For	For
4	Approve the Grant of Performance Rights to Andrew Vesey, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	None	For

## Ai Holdings Corporation

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J0060P101

**Meeting Type:** Annual

**Ticker:** 3076

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2.1	Elect Director Sasaki, Hideyoshi	Mgmt	For	For
2.2	Elect Director Oshima, Akihiko	Mgmt	For	For
2.3	Elect Director Yoshida, Shuji	Mgmt	For	For
2.4	Elect Director Miyama, Yuzo	Mgmt	For	For
2.5	Elect Director Kawamoto, Hirotaka	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Kikuchi, Masato	Mgmt	For	For

## AIA Engineering Limited

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y0028Y106

**Meeting Type:** Annual

**Ticker:** 532683



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AIA Engineering Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect S. Srikumar as Director	Mgmt	For	Against
4	Reelect Yashwant M. Patel as Director	Mgmt	For	For
5	Approve Talati & Talati as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Bhadresh K. Shah as Managing Director	Mgmt	For	For
7	Approve Related Party Transactions with Powertec Infrastructure Holdings Pvt. Ltd.	Mgmt	For	For
8	Approve Related Party Transactions with Welcast Steels Ltd.	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## AIMS AMP Capital Industrial REIT

**Meeting Date:** 07/26/2016

**Country:** Singapore

**Primary Security ID:** Y0029Z136

**Meeting Type:** Annual

**Ticker:** O5RU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve KPMG LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Ain Holdings Inc

**Meeting Date:** 07/28/2016

**Country:** Japan

**Primary Security ID:** J00602102

**Meeting Type:** Annual

**Ticker:** 9627

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ain Holdings Inc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 40	Mgmt	For	For
2.1	Elect Director Otani, Kiichi	Mgmt	For	For
2.2	Elect Director Kato, Hiromi	Mgmt	For	For
2.3	Elect Director Sakurai, Masahito	Mgmt	For	For
2.4	Elect Director Shudo, Shoichi	Mgmt	For	For
2.5	Elect Director Mizushima, Toshihide	Mgmt	For	For
2.6	Elect Director Oishi, Miya	Mgmt	For	For
2.7	Elect Director Kimei, Rieko	Mgmt	For	For
2.8	Elect Director Mori, Ko	Mgmt	For	For
2.9	Elect Director Sato, Seiichiro	Mgmt	For	For
2.10	Elect Director Hamada, Yasuyuki	Mgmt	For	For
3.1	Appoint Statutory Auditor Kawamura, Koichi	Mgmt	For	For
3.2	Appoint Statutory Auditor Ibayashi, Akira	Mgmt	For	Against
3.3	Appoint Statutory Auditor Muramatsu, Osamu	Mgmt	For	Against

## Air China Ltd.

Meeting Date: 08/30/2016

Country: China

Primary Security ID: Y002A6104

Meeting Type: Special

Ticker: 753

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Wang Zhengang as Supervisor	SH	For	For

## Air New Zealand Ltd.

Meeting Date: 09/30/2016

Country: New Zealand

Primary Security ID: Q0169V100

Meeting Type: Annual

Ticker: AIR

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Air New Zealand Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Jan Dawson as Director	Mgmt	For	For
2	Elect Jonathan Mason as Director	Mgmt	For	For
3	Elect Therese Walsh as Director	Mgmt	For	For

## Ajanta Pharma Limited

Meeting Date: 07/05/2016

Country: India

Primary Security ID: Y0R6E1145

Meeting Type: Annual

Ticker: 532331

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Mannalal B. Agrawal as Director	Mgmt	For	For
4	Reelect Purushottam B. Agrawal as Director	Mgmt	For	Against
5	Approve Kapoor & Parekh Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Akzo Nobel India Ltd

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y0035S100

Meeting Type: Annual

Ticker: 500710

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Arabinda Ghosh as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Akzo Nobel India Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Price Waterhouse Chartered Accountants LLP as Auditors and Authorize Board to Fix their Remuneration	Mgmt	For	For
5	Elect Pradip Kumar Menon as Director and Approve Appointment and Remuneration of Pradip Kumar Menon as Executive Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Alembic Pharmaceuticals Limited

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y0R76F109

Meeting Type: Annual

Ticker: 533573

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Shaunak Amin as Director	Mgmt	For	For
4	Approve K. S. Aiyar & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of Chirayu Amin as Executive Chairman	Mgmt	For	For
6	Approve Appointment and Remuneration of Pranav Amin as Managing Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Shaunak Amin as Managing Director	Mgmt	For	For
8	Approve Appointment and Remuneration of R. K. Baheti as Director-Finance & Chief Financial Officer	Mgmt	For	For
9	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For
10	Approve Provision of Loans, Guarantees, or Securities to Aleor Dermaceuticals Limited	Mgmt	For	Against
11	Approve Remuneration of Cost Auditors	Mgmt	For	For
12	Approve Charges on Shareholders for Service of Documents	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Allahabad Bank Ltd.

**Meeting Date:** 09/28/2016

**Country:** India

**Primary Security ID:** Y0031K101

**Meeting Type:** Special

**Ticker:** 532480

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Equity Shares to Government of India (President of India)	Mgmt	For	For
2	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Allcargo Logistics Limited

**Meeting Date:** 08/10/2016

**Country:** India

**Primary Security ID:** Y0034U114

**Meeting Type:** Annual

**Ticker:** 532749

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Arathi Shetty as Director	Mgmt	For	Against
3	Approve Shaparia Mehta & Associates LLP and S R Batliboi & Associates LLP as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Appointment and Remuneration of Adarsh Hegde as Joint Managing Director	Mgmt	For	For

## Alliance Financial Group Berhad

**Meeting Date:** 07/19/2016

**Country:** Malaysia

**Primary Security ID:** Y0034W102

**Meeting Type:** Annual

**Ticker:** AFG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Remuneration of Directors	Mgmt	For	For
2	Elect Ou Shian Waei as Director	Mgmt	For	For
3	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Alliance Financial Group Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Elect Kung Beng Hong as Director	Mgmt	For	For
5	Elect Oh Chong Peng as Director	Mgmt	For	For
6	Approve Oh Chong Peng to Continue Office as Independent Non-Executive Director	Mgmt	For	For
7	Approve Stephen Geh Sim Whye to Continue Office as Independent Non-Executive Director	Mgmt	For	For

## Alliance Global Group, Inc.

**Meeting Date:** 09/29/2016

**Country:** Philippines

**Primary Security ID:** Y00334105

**Meeting Type:** Annual

**Ticker:** AGI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve the Minutes of the Annual Stockholders Meeting Held on Sept. 15, 2015	Mgmt	For	For
5	Appoint Independent Auditors	Mgmt	For	For
6	Ratify Acts of the Board of Directors, Board Committees, and Officers	Mgmt	For	For
	Elect 7 Directors by Cumulative Voting	Mgmt		
7.1	Elect Andrew L. Tan as Director	Mgmt	For	For
7.2	Elect Kingson U. Sian as Director	Mgmt	For	Against
7.3	Elect Katherine L. Tan as Director	Mgmt	For	Against
7.4	Elect Winston S. Co as Director	Mgmt	For	Against
7.5	Elect Kevin Andrew L. Tan as Director	Mgmt	For	Against
7.6	Elect Sergio R. Ortiz-Luis, Jr. as Director	Mgmt	For	For
7.7	Elect Alejo L. Villanueva, Jr. as Director	Mgmt	For	For

## ALPEN CO LTD

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J01219104

**Meeting Type:** Annual

**Ticker:** 3028

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ALPEN CO LTD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Mizuno, Taizo	Mgmt	For	Against
1.2	Elect Director Murase, Kazuo	Mgmt	For	For
1.3	Elect Director Mizuno, Atsushi	Mgmt	For	Against
1.4	Elect Director Ishida, Yoshitaka	Mgmt	For	For
1.5	Elect Director Mizumaki, Yasuhiko	Mgmt	For	For
1.6	Elect Director Suzuki, Takehito	Mgmt	For	For
2	Approve Bonus Related to Retirement Bonus System Abolition	Mgmt	For	Against

## ALS Limited

Meeting Date: 07/26/2016

Country: Australia

Primary Security ID: Q0266A116

Meeting Type: Annual

Ticker: ALQ

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mel Bridges as Director	Mgmt	For	For
2	Elect Tonianne Dwyer as Director	Mgmt	For	For
3	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For
4	Approve the Remuneration Report	Mgmt	For	For
5	Approve the Grant of Performance Rights to Greg Kilmister, Managing Director of the Company	Mgmt	For	For
6	Approve the Spill Resolution	Mgmt	Against	Against

## ALSTOM India Limited

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y0003P112

Meeting Type: Annual

Ticker: 532309

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ALSTOM India Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Rathindra Nath Basu as Director	Mgmt	For	For
3	Approve B S R & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For
5	Elect Alain Christian Spohr as Director	Mgmt	For	For
6	Elect Neera Saggi as Independent Director	Mgmt	For	For
7	Elect Ashok Ganesan as Director and Approve Appointment and Remuneration of Ashok Ganesan as Managing Director from May 1, 2016 to April 30, 2019	Mgmt	For	For
8	Approve Appointment and Remuneration of Patrick Armand Prosper Ledermann as Managing Director from Oct. 1, 2015 to March 31, 2016	Mgmt	For	For
9	Change Company Name	Mgmt	For	For
10	Approve Related Party Transaction with Dedicated Freight Corridor Corporation of India Limited	Mgmt	For	For
11	Approve Related Party Transaction with ALSTOM T&D India Limited	Mgmt	For	Against
12	Approve Related Party Transactions	Mgmt	For	Against
13	Approve Service of Statutory Documents to a Member on Payment of Fee	Mgmt	For	For

## ALSTOM T & D India Limited

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y2683R111

Meeting Type: Annual

Ticker: 522275

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Michel Augonnet as Director	Mgmt	For	Against



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ALSTOM T & D India Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve B S R & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Change Company Name	Mgmt	For	For
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
8	Elect Ravi Kumar Krishnamurthy as Alternate Director to Michel Augonnet	Mgmt	For	Against

## Aluminum Corporation of China Ltd.

Meeting Date: 09/06/2016

Country: China

Primary Security ID: Y0094N125

Meeting Type: Special

Ticker: 2600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Participation in the Bidding for 60% Equity Interest in Chinalco Shanghai	Mgmt	For	For
2	Approve Increase of Guarantee Amount Provided for Ningxia Energy and Its Subsidiaries	Mgmt	For	Against
3	Approve Proposed Guarantee Provided by China Aluminum International Trading Co., Ltd. for Chalco Trading Hong Kong Co., Ltd.	Mgmt	For	For

## Aluminum Corporation of China Ltd.

Meeting Date: 09/06/2016

Country: China

Primary Security ID: Y0094N125

Meeting Type: Special

Ticker: 2600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Approve Participation in the Bidding for 60% Equity Interest in Chinalco Shanghai	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Aluminum Corporation of China Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Increase of Guarantee Amount Provided for Ningxia Energy and Its Subsidiaries	Mgmt	For	Against
3	Approve Proposed Guarantee Provided by China Aluminum International Trading Co., Ltd. for Chalco Trading Hong Kong Co., Ltd.	Mgmt	For	For

## Amara Raja Batteries Ltd

Meeting Date: 08/06/2016

Country: India

Primary Security ID: Y00968142

Meeting Type: Annual

Ticker: 500008

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Payment of Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Ramachandra N Galla as Director	Mgmt	For	For
4	Approve Brahmayya & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Raphael John Shemanski as Director	Mgmt	For	For
6	Approve Fix Remuneration of Cost Auditors	Mgmt	For	For

## AMMB Holdings Berhad

Meeting Date: 08/18/2016

Country: Malaysia

Primary Security ID: Y0122P100

Meeting Type: Annual

Ticker: AMBANK

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	Mgmt	For	For
2	Approve Remuneration of Directors	Mgmt	For	For
3	Elect Soo Kim Wai as Director	Mgmt	For	For
4	Elect Ahmad Johan bin Mohammad Raslan as Director	Mgmt	For	For
5	Elect Graham Kennedy Hodges as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AMMB Holdings Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Wasim Akhtar Saifi as Director	Mgmt	For	For
7	Elect Shireen Ann Zaharah binti Muhiudeen as Director	Mgmt	For	For
8	Elect Seow Yoo Lin as Director	Mgmt	For	For
9	Elect Azman Hashim as Director	Mgmt	For	For
10	Elect Mohammed Hanif bin Omar as Director	Mgmt	For	For
11	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
12	Approve Issuance of Shares Under the Executives' Share Scheme	Mgmt	For	Against
13	Approve Allocation of Shares and Options to Sulaiman Mohd Tahir Under the Executives' Share Scheme	Mgmt	For	Against
14	Approve Issuance of Shares Under the Dividend Reinvestment Plan	Mgmt	For	For
15	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
16	Approve Renewal of Existing Shareholders' Mandate for Recurrent Related Party Transactions with Australia and New Zealand Banking Group Limited Group	Mgmt	For	For
17	Approve Renewal of Existing Shareholders' Mandate for Recurrent Related Party Transactions with Amcorp Group Berhad Group	Mgmt	For	For
18	Approve Renewal of Existing Shareholders' Mandate for Recurrent Related Party Transactions with Modular Techcorp Holdings Berhad Group	Mgmt	For	For

## Andhra Bank Ltd.

Meeting Date: 07/20/2016

Country: India

Primary Security ID: Y01279119

Meeting Type: Annual

Ticker: 532418

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Anhui Gujing Distillery Co., Ltd.

**Meeting Date:** 08/23/2016

**Country:** China

**Primary Security ID:** Y0137M100

**Meeting Type:** Special

**Ticker:** 200596

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	ELECT NON-INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
1.1	Elect Li Peihui as Non-Independent Director	Mgmt	For	For
1.2	Elect Xu Peng as Non-Independent Director	Mgmt	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
2.1	Elect Wang Feng as Supervisor	SH	None	For
2.2	Elect Yang Xiaofan as Supervisor	SH	None	For
2.3	Elect Zhang Bo as Supervisor	SH	None	For
2.4	Elect Wang Zibin as Supervisor	SH	None	For

## APN News & Media Ltd

**Meeting Date:** 09/16/2016

**Country:** Australia

**Primary Security ID:** Q1076J107

**Meeting Type:** Special

**Ticker:** APN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Sale of All the Share Capital in the ARM Sale Companies to NCA Ventures Pty Ltd	Mgmt	For	For

## Apollo Hospitals Enterprise Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y0187F138

**Meeting Type:** Annual

**Ticker:** APOLLOHOSP

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Apollo Hospitals Enterprise Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect SHobana Kamineni as Director	Mgmt	For	For
4	Approve S. Viswanathan LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Increase in Borrowing Powers	Mgmt	For	For
6	Approve Pledging of Assets for Debt	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For

## Apollo Tyres Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y0188S147

Meeting Type: Annual

Ticker: 500877

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Robert Steinmetz as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Elect Bikram Singh as Independent Director	Mgmt	For	For
7	Elect Vinod Rai as Independent Director	Mgmt	For	For
8	Elect Francesco Gori as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Argosy Property Limited

**Meeting Date:** 08/09/2016

**Country:** New Zealand

**Primary Security ID:** Q05262102

**Meeting Type:** Annual

**Ticker:** ARG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Michael Smith as Director	Mgmt	For	For
2	Elect Peter Brook as Director	Mgmt	For	For
3	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Arvind Limited

**Meeting Date:** 08/04/2016

**Country:** India

**Primary Security ID:** Y02047119

**Meeting Type:** Annual

**Ticker:** 500101

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Kulin Lalbhai as Director	Mgmt	For	For
4	Approve Sorab S. Engineers & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Reappointment and Remuneration of Sanjay Lalbhai as Chairman and Managing Director	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Bonds	Mgmt	For	For

## Asahi India Glass Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y0205D131

**Meeting Type:** Annual

**Ticker:** 515030

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Asahi India Glass Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect B. M. Labroo as Director	Mgmt	For	Against
4	Reelect Masahiro Takeda as Director	Mgmt	For	Against
5	Approve Jagdish Sapra & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Toshiaki Hasuike as Director	Mgmt	For	Against
7	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## ASAHI INTECC CO. LTD.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J0279C107

Meeting Type: Annual

Ticker: 7747

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Indemnify Directors	Mgmt	For	For
3.1	Elect Director Miyata, Masahiko	Mgmt	For	For
3.2	Elect Director Miyata, Kenji	Mgmt	For	For
3.3	Elect Director Kato, Tadakazu	Mgmt	For	For
3.4	Elect Director Yugawa, Ippei	Mgmt	For	For
3.5	Elect Director Terai, Yoshinori	Mgmt	For	For
3.6	Elect Director Matsumoto, Munechika	Mgmt	For	For
3.7	Elect Director Ito, Mizuho	Mgmt	For	For
3.8	Elect Director Ito, Kiyomichi	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ASAHI INTECC CO. LTD.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.9	Elect Director Shibazaki, Akinori	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Watanabe, Yukiyo	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Sato, Masami	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Obayashi, Toshiharu	Mgmt	For	For
5	Elect Alternate Director and Audit Committee Member Tomida, Ryuji	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
7	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
8	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against
9	Approve Director Retirement Bonus	Mgmt	For	For

## Ashok Leyland Limited

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y0266N143

Meeting Type: Annual

Ticker: 500477

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividend	Mgmt	For	For
3	Reelect A K Das as Director	Mgmt	For	For
4	Approve M S Krishnaswami & Rajan and Deloitte Haskins & Sells LLP as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Andrew C Palmer as Independent Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Vinod K Dasari as Chief Executive Officer and Managing Director	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ashok Leyland Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
11	Approve Ashok Leyland Employees Stock Option Plan 2016	Mgmt	For	Against

## Ashoka Buildcon Ltd

**Meeting Date:** 08/09/2016      **Country:** India      **Primary Security ID:** Y0R69J126  
**Meeting Type:** Annual      **Ticker:** 533271

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividends	Mgmt	For	For
3	Reelect Sanjay P. Londhe as Director	Mgmt	For	For
4	Approve M. P. Chitale & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Askul Corporation

**Meeting Date:** 08/03/2016      **Country:** Japan      **Primary Security ID:** J03325107  
**Meeting Type:** Annual      **Ticker:** 2678

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 18	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Iwata, Shoichiro	Mgmt	For	For
3.2	Elect Director Imamura, Toshio	Mgmt	For	For
3.3	Elect Director Yoshida, Hitoshi	Mgmt	For	For
3.4	Elect Director Yoshioka, Akira	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Askul Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.5	Elect Director Koshimizu, Hironori	Mgmt	For	For
3.6	Elect Director Toda, Kazuo	Mgmt	For	For
3.7	Elect Director Imaizumi, Koji	Mgmt	For	Against
3.8	Elect Director Ozawa, Takao	Mgmt	For	For
3.9	Elect Director Miyata, Hideaki	Mgmt	For	For
3.10	Elect Director Higuchi, Yasuyuki	Mgmt	For	For
4.1	Appoint Statutory Auditor Orimo, Yoshiyuki	Mgmt	For	For
4.2	Appoint Statutory Auditor Yasumoto, Takaharu	Mgmt	For	Against
5	Approve Aggregate Compensation Ceiling for Directors	Mgmt	For	For

## Associated International Hotels Limited

Meeting Date: 09/08/2016

Country: Hong Kong

Primary Security ID: Y04272103

Meeting Type: Annual

Ticker: 105

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3.1	Elect Cheong Chong Ling as Director	Mgmt	For	For
3.2	Elect Sin Cho Chiu, Charles as Director	Mgmt	For	For
3.3	Elect Lee Chung as Director	Mgmt	For	For
4	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
6.1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6.2	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
6.3	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
7	Amend Articles of Association	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Astral Poly Technik Limited

**Meeting Date:** 09/08/2016

**Country:** India

**Primary Security ID:** Y0433Q169

**Meeting Type:** Annual

**Ticker:** 532830

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Audited Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Audited Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Kyle A. Thompson as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## AstraZeneca Pharma India Limited

**Meeting Date:** 08/11/2016

**Country:** India

**Primary Security ID:** Y0431U139

**Meeting Type:** Annual

**Ticker:** 506820

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sanjay Murdeshwar as Director	Mgmt	For	For
3	Approve Price Waterhouse & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For
5	Approve Related Party Transaction with AstraZeneca UK Ltd.	Mgmt	For	For
6	Approve Reappointment and Remuneration of Sanjay Murdeshwar as Managing Director of the Company	Mgmt	For	For

## ASUKANET CO. LTD.

**Meeting Date:** 07/22/2016

**Country:** Japan

**Primary Security ID:** J0339J100

**Meeting Type:** Annual

**Ticker:** 2438

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ASUKANET CO. LTD.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 10	Mgmt	For	For
2.1	Elect Director Fukuda, Yukio	Mgmt	For	Against
2.2	Elect Director Matsuo, Yuji	Mgmt	For	For
2.3	Elect Director Kono, Kenya	Mgmt	For	For
2.4	Elect Director Hosoi, Kenichi	Mgmt	For	For
3	Appoint Statutory Auditor Oda, Fumio	Mgmt	For	For

## ASX Ltd.

Meeting Date: 09/28/2016

Country: Australia

Primary Security ID: Q0604U105

Meeting Type: Annual

Ticker: ASX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3a	Elect Ken Henry as Director	Mgmt	For	For
3b	Elect Melinda Conrad as Director	Mgmt	For	For
4	Approve the Remuneration Report	Mgmt	For	For
5	Approve the Grant of Performance Rights to Dominic Stevens, Managing Director and CEO of the Company	Mgmt	For	For

## Atul Limited

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y0450Y111

Meeting Type: Annual

Ticker: 500027

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect B. S. Mehta as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Atul Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Reelect B. N. Mohanan as Director	Mgmt	For	For
5	Approve Dalal & Shah Chartered Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of B. N. Mohanan as Executive Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Aurobindo Pharma Ltd.

**Meeting Date:** 08/24/2016      **Country:** India      **Primary Security ID:** Y04527142  
**Meeting Type:** Annual      **Ticker:** 524804

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Interim Dividends	Mgmt	For	For
4	Reelect K. Nithyananda Reddy as Director	Mgmt	For	For
5	Reelect M. Madan Mohan Reddy as Director	Mgmt	For	For
6	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Revision in the Remuneration of N. Govindarajan as Managing Director	Mgmt	For	For
8	Approve Revision in the Remuneration of M. Madan Mohan Reddy as Executive Director	Mgmt	For	For
9	Approve Appointment and Remuneration of P. Sarath Chandra Reddy as Executive Director	Mgmt	For	For

## AusNet Services

**Meeting Date:** 07/21/2016      **Country:** Australia      **Primary Security ID:** Q0708Q109  
**Meeting Type:** Annual      **Ticker:** AST

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AusNet Services

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2a	Elect Peter Mason as Director	Mgmt	For	For
2b	Elect Tan Chee Meng as Director	Mgmt	For	Against
3	Approve the Remuneration Report	Mgmt	For	For
4	Approve the Grant of Performance Rights to Nino Ficca, Managing Director of the Company	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	Against
6	Approve the Issue of Shares Up to 10 Percent Pro Rata	Mgmt	For	For
7	Approve the Issuance of Shares Pursuant to the Dividend Reinvestment Plan	Mgmt	For	For
8	Approve the Issuance of Shares Pursuant to an Employee Incentive Scheme	Mgmt	For	For

## Australian Agricultural Company Ltd.

**Meeting Date:** 07/14/2016

**Country:** Australia

**Primary Security ID:** Q08448112

**Meeting Type:** Annual

**Ticker:** AAC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Elect David Crombie as Director	Mgmt	For	For
4	Elect Tom Keene as Director	Mgmt	For	For
5	Elect Neil Reisman as Director	Mgmt	For	For
6	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For

## AVIC International Holdings Ltd.

**Meeting Date:** 07/26/2016

**Country:** China

**Primary Security ID:** Y1195F102

**Meeting Type:** Special

**Ticker:** 161

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AVIC International Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Spin-Off of Shennan Circuits, Separate Listing of Shares on The Shenzhen Stock Exchange and Related Transactions	Mgmt	For	For
2	Approve Waiver of Any Entitlement or Right of Shareholders to Assured Entitlements to the Shares to be Issued by Shennan Circuits if the Proposed Listing of Securities on The Shenzhen Stock Exchange Proceeds	Mgmt	For	For

## Avichina Industry & Technology Co., Ltd.

**Meeting Date:** 08/25/2016      **Country:** China      **Primary Security ID:** Y0485Q109  
**Meeting Type:** Special      **Ticker:** 2357

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect He Zhiping as Director	Mgmt	For	For

## AWF Madison Group Ltd

**Meeting Date:** 07/27/2016      **Country:** New Zealand      **Primary Security ID:** Q02267104  
**Meeting Type:** Annual      **Ticker:** AWF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Eduard van Arkel as Director	Mgmt	For	For
2	Elect Julia Hoare as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Axis Bank Ltd.

**Meeting Date:** 07/22/2016      **Country:** India      **Primary Security ID:** Y0487S137  
**Meeting Type:** Annual      **Ticker:** 532215

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Axis Bank Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect V. Srinivasan as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Rakesh Makhija as Independent Director	Mgmt	For	For
6	Elect Ketaki Bhagwati as Independent Director	Mgmt	For	For
7	Elect B. Babu Rao as Director	Mgmt	For	For
8	Approve Revision in the Remuneration of Shikha Sharma as Managing Director and CEO	Mgmt	For	For
9	Approve Reappointment of V. Srinivasan as Executive Director & Head (Corporate Banking) from Oct. 15, 2015 to Dec. 20, 2015 and Approve His Appointment and Remuneration as Deputy Managing Director from Dec. 21, 2015 to Dec. 20, 2018	Mgmt	For	For
10	Approve Revision in the Remuneration of V. Srinivasan as Executive Director designated as Deputy Managing Director with effect from June 1, 2016	Mgmt	For	For
11	Elect Sanjiv Misra as Independent Director	Mgmt	For	For
12	Approve Appointment and Remuneration of Sanjiv Misra as Non-Executive Part-Time Chairman	Mgmt	For	For
13	Elect Rajiv Anand as Director	Mgmt	For	For
14	Approve Appointment and Remuneration of Rajiv Anand as Executive Director designated as Executive Director (Retail Banking)	Mgmt	For	For
15	Elect Rajesh Dahiya as Director	Mgmt	For	For
16	Approve Appointment and Remuneration of Rajesh Dahiya as Executive Director designated as Executive Director (Corporate Centre)	Mgmt	For	For
17	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
18	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bajaj Auto Ltd.

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y05490100

**Meeting Type:** Annual

**Ticker:** BAJAJ-AUTO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend and Final Dividend	Mgmt	For	For
3	Reelect Manish Kejriwal as Director	Mgmt	For	Against
4	Reelect Sanjiv Bajaj as Director	Mgmt	For	For
5	Approve Dalal & Shah LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Pradeep Shrivastava as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Pradeep Shrivastava as Executive Director	Mgmt	For	For
8	Approve Payment of Commission to Non-Executive Directors	Mgmt	For	For

## Bajaj Corp Ltd.

**Meeting Date:** 07/20/2016

**Country:** India

**Primary Security ID:** Y05495117

**Meeting Type:** Annual

**Ticker:** 533229

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Kushagra Nayan Bajaj as Director	Mgmt	For	Against
4	Approve R.S. Dani & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of Sumit Malhotra as Managing Director	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bajaj Electricals Ltd.

**Meeting Date:** 08/04/2016

**Country:** India

**Primary Security ID:** Y05477164

**Meeting Type:** Annual

**Ticker:** 500031

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Anant Bajaj as Director	Mgmt	For	For
4	Approve Dalal & Shah LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Anuj Poddar as Independent Director	Mgmt	For	For
6	Elect Siddharth Mehta as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bajaj Finance Ltd.

**Meeting Date:** 07/26/2016

**Country:** India

**Primary Security ID:** Y05473122

**Meeting Type:** Annual

**Ticker:** 500034

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend and Interim Dividend	Mgmt	For	For
3	Reelect Rahul Bajaj as Director	Mgmt	For	For
4	Approve Dalal & Shah LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Bajaj Finance Ltd.

**Meeting Date:** 08/28/2016

**Country:** India

**Primary Security ID:** Y05473122

**Meeting Type:** Special

**Ticker:** 500034

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bajaj Finance Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Stock Split	Mgmt	For	For
2	Increase Authorized Share Capital	Mgmt	For	For
3	Approve Bonus Issue	Mgmt	For	For

## Bajaj Finserv Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y0548X109

Meeting Type: Annual

Ticker: 532978

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Declare Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Madhur Bajaj as Director	Mgmt	For	For
4	Approve Dalal & Shah LLP as Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Increase in Threshold of Loans, Guarantees and /or Security in Other Body Corporate	Mgmt	For	Against

## Balkrishna Industries Ltd.

Meeting Date: 08/06/2016

Country: India

Primary Security ID: Y05506129

Meeting Type: Annual

Ticker: 502355

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Balkrishna Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Vipul Shah as Director	Mgmt	For	For
4	Approve Jayantilal Thakkar & Co as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Arvind Poddar as Chairman and Managing Director	Mgmt	For	For

## Balrampur Chini Mills Ltd.

**Meeting Date:** 08/12/2016      **Country:** India      **Primary Security ID:** Y0548Y149  
**Meeting Type:** Annual      **Ticker:** 500038

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Meenakshi Saraogi as Director	Mgmt	For	Against
3	Approve G.P. Agrawal & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Change in the Designation of Meenakshi Saraogi from Joint Managing Director to Non Executive Director	Mgmt	For	Against
5	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
6	Elect Sakti Prasad Ghosh as Independent Director	Mgmt	For	For
7	Elect Sumit Mazumder as Independent Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Authorize Board to Determine Fee to be Charged from a Member Requesting Delivery of Any Document	Mgmt	For	For

## Bangkok Land Public Co. Ltd

**Meeting Date:** 07/29/2016      **Country:** Thailand      **Primary Security ID:** Y0608Q192  
**Meeting Type:** Annual      **Ticker:** BLAND

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bangkok Land Public Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Minutes of Previous Meeting	Mgmt	For	For
2	Acknowledge Result of Operations of the Company	Mgmt		
3	Accept Financial Statements and Statutory Reports	Mgmt	For	For
4	Approve Allocation of Income and Dividend Payment	Mgmt	For	For
5.1	Elect Shui Pang Kanjanapas as Director	Mgmt	For	Against
5.2	Elect Supavat Saicheua as Director	Mgmt	For	For
5.3	Elect Panya Boonyapiwat as Director	Mgmt	For	For
6	Approve Remuneration of Directors	Mgmt	For	For
7	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Other Business	Mgmt	For	Against

## Bank Of India Ltd

Meeting Date: 07/14/2016

Country: India

Primary Security ID: Y06949112

Meeting Type: Annual

Ticker: 532149

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Bank Of India Ltd

Meeting Date: 08/30/2016

Country: India

Primary Security ID: Y06949112

Meeting Type: Special

Ticker: 532149

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Shares on a Preferential Basis to Government of India	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Bank of Zhengzhou Co. Ltd.

Meeting Date: 09/27/2016

Country: China

Primary Security ID: Y0R99B101

Meeting Type: Special

Ticker: 6196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Three-year Dividend Plan After the A Share Offering	Mgmt	For	Against
2	Approve Price Stabilization Plan for the Bank's A Shares After the A Share Offering	Mgmt	For	Against
3	Approve Undertakings in Connection with the Listing and Proposed Restraint Measures	Mgmt	For	Against
4	Approve Dilution of Current Returns as a Result of the A Share Offering and Proposed Remedial Measures	Mgmt	For	Against
5	Approve Status Report on the Use of Previously Raised Funds	Mgmt	For	For
6	Approve Amendments to the Procedures for General Meetings	Mgmt	For	Against
7	Approve Amendments to the Procedures for Board Meetings	Mgmt	For	Against
8	Approve Amendments to the Procedures for Board of Supervisors Meetings	Mgmt	For	Against
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
	RESOLUTIONS RELATING TO THE PLAN FOR A SHARE OFFERING	Mgmt		
10a	Approve Class of Shares in Relation to the A Share Offering	Mgmt	For	Against
10b	Approve Nominal Value per Share in Relation to the A Share Offering	Mgmt	For	Against
10c	Approve Proposed Stock Exchange for the Listing in Relation to the A Share Offering	Mgmt	For	Against
10d	Approve Offering Size in Relation to the A Share Offering	Mgmt	For	Against
10e	Approve Target Subscribers in Relation to the A Share Offering	Mgmt	For	Against
10f	Approve Strategic Placing in Relation to the A Share Offering	Mgmt	For	Against
10g	Approve Method of Offering in Relation to the A Share Offering	Mgmt	For	Against
10h	Approve Pricing Methodology in Relation to the A Share Offering	Mgmt	For	Against
10i	Approve Form of Underwriting in Relation to the A Share Offering	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bank of Zhengzhou Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10j	Approve Conversion into a Joint Stock Limited Company with Domestic and Overseas Listed Shares in Relation to the A Share Offering	Mgmt	For	Against
10k	Approve Accumulation of Undistributed Profit in Relation to the A Share Offering	Mgmt	For	Against
10l	Approve Term of the Offering Plan in Relation to the A Share Offering	Mgmt	For	Against
11	Amend Articles of Association	Mgmt	For	Against
12	Approve Authorization to Deal with Relevant Matters in Relation to the A Share Offering	Mgmt	For	Against
13	Approve Use of Proceeds from the A Share Offering	Mgmt	For	Against
14	Approve Adjustment to the Issuing Size of Qualified Tier II Capital Bonds	Mgmt	For	For

## Bapcor Limited

Meeting Date: 07/04/2016

Country: Australia

Primary Security ID: Q1921R106

Meeting Type: Special

Ticker: BAP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Change of Company Name to BAPCOR Limited	Mgmt	For	For

## BASF India Ltd.

Meeting Date: 08/11/2016

Country: India

Primary Security ID: Y0710H114

Meeting Type: Annual

Ticker: 500042

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Rainer Diercks as Director	Mgmt	For	Against
4	Approve B S R & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### BASF India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Related Party Transactions with BASF Hongkong Ltd.	Mgmt	For	For
7	Approve Keeping of Register of Members and Other Related Documents at the Office of the Registrar and Share Transfer Agent of the Company	Mgmt	For	For

### Bata India Ltd.

**Meeting Date:** 08/04/2016      **Country:** India      **Primary Security ID:** Y07273165  
**Meeting Type:** Annual      **Ticker:** 500043

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Shaibal Sinha as Director	Mgmt	For	For
4	Approve S.R. Battliboi & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Christopher MacDonald Kirk as Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Rajeev Gopalakrishnan as Managing Director	Mgmt	For	For
7	Elect Ram Kumar Gupta as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Ram Kumar Gupta as Executive Director	Mgmt	For	For

### Bayer Cropsience India Ltd

**Meeting Date:** 09/12/2016      **Country:** India      **Primary Security ID:** Y0761E135  
**Meeting Type:** Annual      **Ticker:** 506285

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bayer Cropscience India Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Dividends	Mgmt	For	For
3	Reelect Peter Mueller as Director	Mgmt	For	Against
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Ulrich Stefer as Director and Approve Appointment and Remuneration of Ulrich Stefer as Executive Director and CFO	Mgmt	For	For
6	Elect Pankaj Patel as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Related Party Transaction with Bayer BioScience Private Ltd.	Mgmt	For	Against
9	Adopt New Articles of Association	Mgmt	For	For

## BBMG Corporation

Meeting Date: 08/15/2016

Country: China

Primary Security ID: Y076A3105

Meeting Type: Special

Ticker: 2009

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Capital Increase Agreement and Equity Transfer Agreement and Related Transactions	Mgmt	For	For
2	Approve Share Issuance and Asset Purchase Agreement, Equity Entrustment Agreement and Related Transactions	Mgmt	For	For
3	Approve Performance Compensation in Connection to Share Issuance and Asset Purchase Agreement, Equity Entrustment Agreement and Related Transactions	SH	For	For
4	Amend Articles of Association	SH	None	For

## Beijing Capital International Airport Co., Ltd.

Meeting Date: 08/25/2016

Country: China

Primary Security ID: Y07717104

Meeting Type: Special

Ticker: 694

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Beijing Capital International Airport Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Han Zhiliang as Director	Mgmt	For	For

## Beijing Capital Land Ltd.

**Meeting Date:** 07/15/2016      **Country:** China      **Primary Security ID:** Y0771C103  
**Meeting Type:** Special      **Ticker:** 2868

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Donghuan Equity Transfer Agreement	Mgmt	For	For
2	Approve the Liujin Equity Transfer Agreement	Mgmt	For	For
3	Approve the Chongqing Equity Transfer Agreement	Mgmt	For	For
4	Approve the Shenyang Equity Transfer Agreement	Mgmt	For	For

## Beijing Capital Land Ltd.

**Meeting Date:** 07/18/2016      **Country:** China      **Primary Security ID:** Y0771C103  
**Meeting Type:** Special      **Ticker:** 2868

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal Agreements and Amended Non-Competition Deed and Related Transactions	Mgmt	For	For
2	Approve the Subscription Agreement and Related Transactions	Mgmt	For	For

## Beijing Capital Land Ltd.

**Meeting Date:** 09/06/2016      **Country:** China      **Primary Security ID:** Y0771C103  
**Meeting Type:** Special      **Ticker:** 2868

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Beijing Capital Land Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Sun Shaolin as Director	Mgmt	For	For
2	Elect Su Jian as Director	Mgmt	For	For
3	Elect Yang Weibin as Director	Mgmt	For	For
4	Elect Li Zhang as Supervisor	Mgmt	For	For
5	Approve Report on Use of Proceeds from Previous Fund Raising Activities	Mgmt	For	For
6	Approve Self-assessment Report on the Company's Property Development Business and Related Undertakings	Mgmt	For	For

## Beijing Development (Hong Kong) Limited

**Meeting Date:** 07/18/2016

**Country:** Hong Kong

**Primary Security ID:** Y0769W103

**Meeting Type:** Special

**Ticker:** 154

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement, Issuance of New Bonds Under Specific Mandate and Related Transactions	Mgmt	For	For
2	Change English Name and Chinese Name	Mgmt	For	For

## BEML Ltd.

**Meeting Date:** 09/15/2016

**Country:** India

**Primary Security ID:** Y0881N114

**Meeting Type:** Annual

**Ticker:** 500048

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Aniruddh Kuma as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

#### BEML Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Elect Sanjay Prasad as Director	Mgmt	For	Against
6	Elect M G Raghuvver as Independent Director	Mgmt	For	For
7	Elect B P Rao as Independent Director	Mgmt	For	For
8	Elect Sudhir Kumar Beri as Independent Director	Mgmt	For	For
9	Elect B R Viswanatha as Director	Mgmt	For	Against
10	Elect R H Muralidhara as Director	Mgmt	For	Against
11	Elect Surina Rajan as Director	Mgmt	For	Against
12	Approve Appointment of D K Hota as Chairman & Managing Director	Mgmt	For	For
13	Approve Remuneration of Cost Auditors	Mgmt	For	For

#### Berger Paints India Ltd

Meeting Date: 07/10/2016

Country: India

Primary Security ID: Y0807G169

Meeting Type: Special

Ticker: 509480

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Bonus Issue	Mgmt	For	For
2	Increase Authorized Share Capital	Mgmt	For	For
3	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
4	Amend Articles of Association to Reflect Changes in Capital	Mgmt	For	For

#### Berger Paints India Ltd

Meeting Date: 08/03/2016

Country: India

Primary Security ID: Y0807G169

Meeting Type: Annual

Ticker: 509480

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Berger Paints India Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Kuldip Singh Dhingra as Director	Mgmt	For	For
4	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Abhijit Roy as Managing Director and Chief Executive Officer	Mgmt	For	For
6	Approve Stock Option Plan Grants to Employees/Directors of the Company Under the Berger Paints India Limited Employees Stock Option Plan 2016	Mgmt	For	Against
7	Approve Stock Option Plan Grants to Employees/Directors of the Subsidiary Companies Under the Berger Paints India Limited Employees Stock Option Plan 2016	Mgmt	For	Against
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bharat Electronics Ltd.

Meeting Date: 09/11/2016

Country: India

Primary Security ID: Y0881Q117

Meeting Type: Special

Ticker: 500049

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Share Repurchase Program	Mgmt	For	For

## Bharat Electronics Ltd.

Meeting Date: 09/22/2016

Country: India

Primary Security ID: Y0881Q117

Meeting Type: Annual

Ticker: 500049

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharat Electronics Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect M L Shanmukh as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect Kusum Singh as Director	Mgmt	For	Against
6	Elect Bhaskar Ramamurthi as Director	Mgmt	For	For
7	Elect R K Shevgaonkar as Director	Mgmt	For	For
8	Elect Usha Mathur as Director	Mgmt	For	For
9	Elect Sharad Sanghi as Director	Mgmt	For	For
10	Elect Girish Kumar as Director	Mgmt	For	Against
11	Elect Nataraj Krishnappa as Director	Mgmt	For	Against
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bharat Financial Inclusion Limited

Meeting Date: 07/19/2016

Country: India

Primary Security ID: Y8084Y100

Meeting Type: Special

Ticker: 533228

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Increase in Borrowing Powers	Mgmt	For	For
2	Approve Pledging of Assets for Debt	Mgmt	For	For
3	Approve Issuance of Non-Convertible Debentures and Other Debt Securities	Mgmt	For	For

## Bharat Financial Inclusion Limited

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y8084Y100

Meeting Type: Annual

Ticker: 533228

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharat Financial Inclusion Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Reelect Paresh D. Patelas Director	Mgmt	For	Against
3	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Rajender Mohan Malla as Independent Director	Mgmt	For	For
5	Approve Revision in the Remuneration of M. Ramachandra Rao as Managing Director and CEO	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
7	Increase Authorized Share Capital and Amend Memorandum of Association	Mgmt	For	For

## Bharat Forge Ltd.

Meeting Date: 08/05/2016

Country: India

Primary Security ID: Y08825179

Meeting Type: Annual

Ticker: 500493

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect B. P. Kalyani as Director	Mgmt	For	For
4	Reelect P. C. Bhalerao as Director	Mgmt	For	For
5	Approve S R B C & CO LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of B. P. Kalyani as Executive Director	Mgmt	For	Against
7	Approve Reappointment and Remuneration of S. E. Tandale as Executive Director	Mgmt	For	Against
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bharat Heavy Electricals Ltd.

Meeting Date: 09/22/2016

Country: India

Primary Security ID: Y0882L133

Meeting Type: Annual

Ticker: 500103

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharat Heavy Electricals Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect D. Bandyopadhyay as Director	Mgmt	For	Against
4	Reelect Amitabh Mathur as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Subrata Biswas as Director	Mgmt	For	Against
8	Elect Rajesh Kishore as Independent Director	Mgmt	For	For
9	Elect Keshav N. Desiraju as Independent Director	Mgmt	For	For
10	Elect R. Swaminathan as Independent Director	Mgmt	For	For
11	Elect T. Chockalingam as Director	Mgmt	For	Against
12	Elect Subhash Chandra Pandey as Director	Mgmt	For	Against
13	Elect Akhil Joshi as Director	Mgmt	For	Against

## Bharat Petroleum Corporation Ltd.

Meeting Date: 07/05/2016

Country: India

Primary Security ID: Y0882Z116

Meeting Type: Special

Ticker: BPCL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Bonus Issue	Mgmt	For	For

## Bharat Petroleum Corporation Ltd.

Meeting Date: 09/21/2016

Country: India

Primary Security ID: Y0882Z116

Meeting Type: Annual

Ticker: BPCL



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharat Petroleum Corporation Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect Shrikant Prakash Gathoo as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Bonds/Debentures and/or Other Debt Securities	Mgmt	For	For
6	Approve Material Related Party Transactions	Mgmt	For	For
7	Elect Rajesh Kumar Mangal as Independent Director	Mgmt	For	For
8	Elect Deepak Bhojwani as Independent Director	Mgmt	For	For
9	Elect DeGopal Chandra Nanda as Independent Director	Mgmt	For	For
10	Elect Anant Kumar Singh as Director	Mgmt	For	Against
11	Elect Ramesh Srinivasan as Director	Mgmt	For	Against
12	Elect Ramamoorthy Ramachandran as Director	Mgmt	For	Against
13	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bharti Airtel Ltd.

**Meeting Date:** 08/19/2016

**Country:** India

**Primary Security ID:** Y0885K108

**Meeting Type:** Annual

**Ticker:** 532454

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Chua Sock Koong as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Bharti Airtel Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Reelect Rakesh Bharti Mittal as Director	Mgmt	For	For
6	Adopt New Articles of Association	Mgmt	For	For
7	Amend Memorandum of Association	Mgmt	For	For
8	Approve Appointment and Remuneration of Sunil Bharti Mittal as Chairman of the Company	Mgmt	For	For
9	Approve Revision in the Remuneration of Gopal Vittal, Managing Director and CEO (India and South Asia)	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For

### Bharti Infratel Ltd.

Meeting Date: 08/10/2016

Country: India

Primary Security ID: Y0R86J109

Meeting Type: Annual

Ticker: INFRATEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Mark Chin Kok Chong as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Rajan Bharti Mittal as Director	Mgmt	For	For

### Blue Dart Express Ltd.

Meeting Date: 07/28/2016

Country: India

Primary Security ID: Y0916Q124

Meeting Type: Annual

Ticker: 526612

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Blue Dart Express Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Malcolm Monteiro as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Revision in the Remuneration of Anil Khanna, Managing Director	Mgmt	For	For
6	Approve Related Party Transactions	Mgmt	For	Against
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Malcolm Monteiro as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Revision in the Remuneration of Anil Khanna, Managing Director	Mgmt	For	For
6	Approve Related Party Transactions	Mgmt	For	For
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For

## Blue Star Limited

Meeting Date: 08/01/2016

Country: India

Primary Security ID: Y09172159

Meeting Type: Annual

Ticker: 500067

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Suneel M. Advani as Director	Mgmt	For	For
4	Approve S R B C & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Blue Star Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Appointment and Remuneration of Vir S. Advani as Managing Director	Mgmt	For	For
7	Approve Appointment and Remuneration of B. Thiagarajan as Joint Managing Director	Mgmt	For	For

## Bosch Limited

**Meeting Date:** 08/23/2016      **Country:** India      **Primary Security ID:** Y6139L131  
**Meeting Type:** Special      **Ticker:** 500530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Buyback of Up to 878,160 Shares	Mgmt	For	For

## Bosch Limited

**Meeting Date:** 09/01/2016      **Country:** India      **Primary Security ID:** Y6139L131  
**Meeting Type:** Annual      **Ticker:** 500530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Soumitra Bhattacharya as Director	Mgmt	For	For
4	Approve Price Waterhouse & Co. Bangalore LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Steffen Berns as Managing Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Soumitra Bhattacharya as Joint Managing Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Adopt New Articles of Association	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Boustead Projects Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** Y0929E100

**Meeting Type:** Annual

**Ticker:** AVM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Elect Wong Yu Wei as Director	Mgmt	For	Against
3	Elect Chong Lit Cheong as Director	Mgmt	For	For
4	Elect John Lim Kok Min as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against

## Boustead Projects Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** Y0929E100

**Meeting Type:** Special

**Ticker:** AVM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Boustead Projects Restricted Share Plan 2016	Mgmt	For	For
2	Approve Participation of Wong Yu Wei in the Boustead Projects Restricted Share Plan 2016	Mgmt	For	For
3	Authorize Share Repurchase Program	Mgmt	For	For

## Boustead Singapore Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** V12756165

**Meeting Type:** Annual

**Ticker:** F9D

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Boustead Singapore Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Wong Yu Loon as Director	Mgmt	For	For
4	Elect Godfrey Ernest Scotchbrook as Director	Mgmt	For	For
5	Elect Wong Fong Fui as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Grant of Awards and Issuance of Shares Under the Boustead Restricted Share Plan 2011	Mgmt	For	For
10	Approve Issuance of Shares Under the Boustead Scrip Dividend Scheme	Mgmt	For	For

## Boustead Singapore Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** V12756165

**Meeting Type:** Special

**Ticker:** F9D

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Share Repurchase Program	Mgmt	For	For

## Britannia Industries Ltd.

**Meeting Date:** 08/08/2016

**Country:** India

**Primary Security ID:** Y0969R136

**Meeting Type:** Annual

**Ticker:** 500825

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Britannia Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Nusli N. Wadia as Director	Mgmt	For	For
4	Approve BSR & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## British American Tobacco (Malaysia) Berhad

Meeting Date: 09/06/2016

Country: Malaysia

Primary Security ID: Y0971P110

Meeting Type: Special

Ticker: BAT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal by Tobacco Importers and Manufacturers Sdn Berhad, a Wholly-Owned Subsidiary of the Company, of 2 Parcels of Leasehold Lands Together with Buildings Elected Thereon	Mgmt	For	For

## BROADBAND TOWER INC

Meeting Date: 09/16/2016

Country: Japan

Primary Security ID: J0497R100

Meeting Type: Annual

Ticker: 3776

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Provisions on Number of Directors	Mgmt	For	For
2.1	Elect Director Fujiwara, Hiroshi	Mgmt	For	Against
2.2	Elect Director Nakagawa, Mieko	Mgmt	For	For
2.3	Elect Director Takahashi, Toshiyuki	Mgmt	For	For
2.4	Elect Director Oikawa, Shigeru	Mgmt	For	For
2.5	Elect Director Owada, Hiroki	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## BROADBAND TOWER INC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.6	Elect Director Murai, Jun	Mgmt	For	Against
2.7	Elect Director Nishimoto, Itsuro	Mgmt	For	For
2.8	Elect Director Ogiso, Ken	Mgmt	For	For
2.9	Elect Director Ri, Hidemoto	Mgmt	For	For
2.10	Elect Director Hiyama, Yosuke	Mgmt	For	For
2.11	Elect Director Shiraki, Michito	Mgmt	For	For
3	Elect Director and Audit Committee Member Shima, Sakurako	Mgmt	For	For
4	Approve Restricted Stock Plan	Mgmt	For	For
5	Approve Restricted Stock Plan	Mgmt	For	For
6	Appoint External Audit Firm	Mgmt	For	For

## BTS Group Holdings PCL

Meeting Date: 07/22/2016

Country: Thailand

Primary Security ID: Y0984D179

Meeting Type: Annual

Ticker: BTS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Chairman's Statement	Mgmt		
2	Approve Minutes of Previous Meeting	Mgmt	For	For
3	Acknowledge Operational Results	Mgmt	For	For
4	Accept Company and Its subsidiaries? Report and Financial Statements	Mgmt	For	For
5	Approve Dividend	Mgmt	For	For
6	Approve Remuneration of Directors	Mgmt	For	For
7.1	Elect Keeree Kanjanapas as Director	Mgmt	For	For
7.2	Elect Paul Tong as Director	Mgmt	For	For
7.3	Elect Kong Chi Keung as Director	Mgmt	For	Against
7.4	Elect Suchin Wanglee as Director	Mgmt	For	For
7.5	Elect Pichitra Mahaphon as Director	Mgmt	For	For
8	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Authorize Issuance of Debentures	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## BTS Group Holdings PCL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Other Business	Mgmt	For	Against

## Bukit Sembawang Estates Limited

Meeting Date: 07/27/2016

Country: Singapore

Primary Security ID: Y1008L122

Meeting Type: Annual

Ticker: B61

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend and Special Dividend	Mgmt	For	For
3a	Elect Lee Chien Shih as Director	Mgmt	For	Against
3b	Elect Fam Lee San as Director	Mgmt	For	For
3c	Elect Chng Kiong Huat as Director	Mgmt	For	For
4	Approve Directors' Fees	Mgmt	For	For
5	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Cadila Healthcare Limited

Meeting Date: 08/03/2016

Country: India

Primary Security ID: Y1R73U123

Meeting Type: Annual

Ticker: 532321

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Elect Sharvil P. Patel as Director	Mgmt	For	For
4	Approve Mukesh M. Shah & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cadila Healthcare Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Reappointment and Remuneration of Pankaj R. Patel as Executive Chairman and Managing Director	Mgmt	For	Against
6	Elect Apurva S. Diwanji as Independent Director	Mgmt	For	For
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Cairn India Limited

**Meeting Date:** 07/21/2016

**Country:** India

**Primary Security ID:** Y1081B108

**Meeting Type:** Annual

**Ticker:** CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Reelect Priya Agarwal as Director	Mgmt	For	Against
4	Approve S.R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Directors	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For

## Cairn India Limited

**Meeting Date:** 09/11/2016

**Country:** India

**Primary Security ID:** Y1081B108

**Meeting Type:** Special

**Ticker:** CAIRN

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cairn India Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	Against

## Cairn India Limited

**Meeting Date:** 09/12/2016      **Country:** India      **Primary Security ID:** Y1081B108  
**Meeting Type:** Court      **Ticker:** CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	Against

## CAN FIN HOMES LTD

**Meeting Date:** 07/20/2016      **Country:** India      **Primary Security ID:** Y1083T115  
**Meeting Type:** Annual      **Ticker:** 511196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect S.A. Kadur as Director	Mgmt	For	For
4	Approve K.P. Rao & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Acceptance of Deposits from the Public	Mgmt	For	For
6	Approve Reappointment of C. Ilango as Managing Director	Mgmt	For	For
7	Elect Sarada Kumar Hota as Director and Approve His Appointment as Executive Director and Managing Director	Mgmt	For	For
8	Approve Increase in Borrowing Powers	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## CAN FIN HOMES LTD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Canara Bank Ltd

**Meeting Date:** 07/26/2016      **Country:** India      **Primary Security ID:** Y1081F109  
**Meeting Type:** Annual      **Ticker:** 532483

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	This is a duplicate meeting for ballots received via Broadridge Global distribution system	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
3	Elect Three Shareholder Directors	Mgmt	For	Against

## Capital First Ltd.

**Meeting Date:** 07/05/2016      **Country:** India      **Primary Security ID:** Y2687H101  
**Meeting Type:** Annual      **Ticker:** 532938

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Narendra Ostawal as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Apul Nayyar as Director and Approve Appointment and Remuneration of Apul Nayyar as Executive Director	Mgmt	For	For
6	Elect Nihal Desai as Director and Approve Appointment and Remuneration of Nihal Desai as Executive Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Capital First Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Increase in Borrowing Powers	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
9	Approve Stock Option Plan Grants to Employees of the Company	Mgmt	For	Against
10	Approve Stock Option Plan Grants to Employees of the Subsidiaries	Mgmt	For	Against

## CapitaLand Commercial Trust

Meeting Date: 07/13/2016

Country: Singapore

Primary Security ID: Y1091N100

Meeting Type: Special

Ticker: C61U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of 50.0 Percent of the Units in MSO Trust Which Holds Capitagreen	Mgmt	For	For

## CCL Products (India) Ltd.

Meeting Date: 09/26/2016

Country: India

Primary Security ID: Y1745C112

Meeting Type: Annual

Ticker: 519600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Kulsoom Noor Saifullah as Director	Mgmt	For	For
4	Approve M. Anandam & Co as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Shantha Prasad Challa as Director	Mgmt	For	For
6	Elect Lanka Krishnanand as Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## CCL Products (India) Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For

## CEAT Limited

**Meeting Date:** 08/09/2016      **Country:** India      **Primary Security ID:** Y1229V149  
**Meeting Type:** Annual      **Ticker:** 500878

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Arnab Banerjee as Director	Mgmt	For	For
4	Approve S R B C & CO LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Paras K. Chowdhary as Independent Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debt Securities	Mgmt	For	For

## Century Plyboards (India) Limited

**Meeting Date:** 08/31/2016      **Country:** India      **Primary Security ID:** Y1274H102  
**Meeting Type:** Annual      **Ticker:** 532548

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Vishnu Khemani as Director	Mgmt	For	Against
4	Reelect Ajay Baldawa as Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Century Plyboards (India) Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Singhi & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect J. P. Dua as Independent Director	Mgmt	For	For
7	Elect Keshav Bhajanka as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Keshav Bhajanka as Executive Director	Mgmt	For	For
9	Approve Reappointment and Remuneration of Sajjan Bhajanka as Chairman and Managing Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of Sanjay Agarwal as Managing Director	Mgmt	For	For
11	Approve Reappointment and Remuneration of Ajay Baldawa as Executive Director (Technical)	Mgmt	For	For
12	Approve Charges on Members of the Company for Service of Documents	Mgmt	For	For

## Century Textiles & Industries Ltd.

Meeting Date: 07/28/2016

Country: India

Primary Security ID: Y12504125

Meeting Type: Annual

Ticker: 500040

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Kumar Mangalam Birla as Director	Mgmt	For	For
4	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of D. K. Agrawal as Executive Director	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Authorize Board to Determine Fee to be Charged from a Member Requesting Delivery of Any Document	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cera Sanitaryware Ltd

**Meeting Date:** 07/29/2016

**Country:** India

**Primary Security ID:** Y5370X119

**Meeting Type:** Annual

**Ticker:** 532443

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Deepshikha Khaitan as Director	Mgmt	For	Against
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Related Party Transactions with Anjani Tiles Ltd.	Mgmt	For	For
7	Approve Reappointment and Remuneration of Kedar Nath Maiti as Consultant	Mgmt	For	For

## CESC Limited

**Meeting Date:** 07/22/2016

**Country:** India

**Primary Security ID:** Y12652189

**Meeting Type:** Annual

**Ticker:** 500084

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	Against
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Sanjiv Goenka as Director	Mgmt	For	Against
4	Approve Lovelock & Lewis as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
5	Approve Pledging of Assets for Debt	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Chennai Petroleum Corp. Ltd.

**Meeting Date:** 09/07/2016

**Country:** India

**Primary Security ID:** Y53733112

**Meeting Type:** Annual

**Ticker:** 500110



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Chennai Petroleum Corp. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect B. Ashok as Director	Mgmt	For	Against
5	Reelect U. Venkata Ramana as Director	Mgmt	For	Against
6	Elect K. M. Mahesh as Director	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Consider Improvement of Net Worth of the Company	Mgmt	For	For

## China Bluechemical Ltd.

**Meeting Date:** 07/20/2016      **Country:** China      **Primary Security ID:** Y14251105  
**Meeting Type:** Special      **Ticker:** 3983

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Xia Qinglong as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For

## China Bluechemical Ltd.

**Meeting Date:** 09/29/2016      **Country:** China      **Primary Security ID:** Y14251105  
**Meeting Type:** Special      **Ticker:** 3983

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Chen Bi as Director, Authorize Executive Director to Sign a Service Contract with Him and Authorize Board to Fix His Remuneration	Mgmt	For	For
2	Elect Xie Weizhi as Director and Authorize Board to Fix His Remuneration	SH	None	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China COSCO Holdings Co., Ltd.

**Meeting Date:** 08/25/2016

**Country:** China

**Primary Security ID:** Y1455B106

**Meeting Type:** Special

**Ticker:** 1919

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Amend Articles of Association, Rules of Procedures of the General Meeting and Rules of Procedures of the Board of Directors	Mgmt	For	For
2	Amend Rules of Procedures of the Supervisory Committee	Mgmt	For	For
3	Change English Name and Chinese Name	Mgmt	For	For
4	Approve Provision of External Guarantees	Mgmt	For	For
5	Approve Acquisition in Eight Offshore Companies and Related Transactions	SH	For	For

## China Fangda Group Co., Ltd.

**Meeting Date:** 09/29/2016

**Country:** China

**Primary Security ID:** Y7742N118

**Meeting Type:** Special

**Ticker:** 200055

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Mutual Guarantee Between the Company and Wholly-owned Subsidiary	Mgmt	For	For
2	Approve Provision of Guarantee to Wholly-owned Subsidiary	Mgmt	For	For
3	Approve Amendments to Articles of Association	Mgmt	For	For
4	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For

## China Huarong Asset Management Co., Ltd.

**Meeting Date:** 09/13/2016

**Country:** China

**Primary Security ID:** Y149A8109

**Meeting Type:** Special

**Ticker:** 2799

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Huarong Asset Management Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	SPECIAL RESOLUTIONS	Mgmt		
1	Approve Proposed Plan for A Share Offering	Mgmt	For	For
2	Authorize Board to Deal with All Matters Relating to the A Share Offering	Mgmt	For	For
3	Approve Plan for Distribution of Accumulated Profits Before the A Share Offering	Mgmt	For	For
4	Approve Proposal on Price Stabilization of A Shares for the Three Years After the A Share Offering	Mgmt	For	For
5	Approve Undertakings Regarding the Information Disclosed in the Prospectus for the A Share Offering	Mgmt	For	For
6	Approve Remedial Measures for the Dilution of Immediate Returns as a Result of the A Share Offering and Undertakings by Relevant Undertaking Parties	Mgmt	For	For
7	Approve Use of Proceeds from the A Share Offering	Mgmt	For	For
8	Amend Articles of Association	Mgmt	For	For
	ORDINARY RESOLUTIONS	Mgmt		
1	Approve Dividend Distribution Plan for the Three Years After the A Share Offering	Mgmt	For	For
2	Approve Report on the Use of Previously Raised Funds	Mgmt	For	For
3	Approve Self-assessment Report on the Land and Residential Property Sales Matters	Mgmt	For	For
4	Approve Undertaking Letter in Relation to the Land and Residential Property Sales Matters	Mgmt	For	For
5	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For
6	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For
7	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	Mgmt	For	For
8	Elect Zhou Langlang as Director	Mgmt	For	For

## China Huarong Asset Management Co., Ltd.

**Meeting Date:** 09/13/2016

**Country:** China

**Primary Security ID:** Y149A8109

**Meeting Type:** Special

**Ticker:** 2799

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Huarong Asset Management Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Proposed Plan for A Share Offering	Mgmt	For	For
2	Authorize Board to Deal with All Matters Relating to the A Share Offering	Mgmt	For	For
3	Approve Plan for Distribution of Accumulated Profits Before the A Share Offering	Mgmt	For	For
4	Approve Proposal on Price Stabilization of A Shares for the Three Years After the A Share Offering	Mgmt	For	For
5	Approve Undertakings Regarding the Information Disclosed in the Prospectus for the A Share Offering	Mgmt	For	For
6	Approve Remedial Measures for the Dilution of Immediate Returns as a Result of the A Share Offering and Undertakings by Relevant Undertaking Parties	Mgmt	For	For

## China Longyuan Power Group Corporation Ltd.

Meeting Date: 08/12/2016

Country: China

Primary Security ID: Y1501T101

Meeting Type: Special

Ticker: 916

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Luan Baoxing as Director, Authorize Remuneration and Assessment Committee of the Board to Fix His Remuneration and Authorize Chairman of the Board to Enter Into Service Contract with Him	Mgmt	For	For
2	Elect Yang Xiangbin as Director, Authorize Remuneration and Assessment Committee of the Board to Fix His Remuneration and Authorize Chairman of the Board to Enter Into Service Contract with Him	Mgmt	For	For

## China Molybdenum Co., Ltd.

Meeting Date: 09/23/2016

Country: China

Primary Security ID: Y1503Z113

Meeting Type: Special

Ticker: 3993

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	THIRD EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Satisfaction of Conditions of the Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
2.01	Approve Class and Par Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.02	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.03	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.04	Approve Target Subscribers in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.05	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.07	Approve Arrangement on Accumulated Profit Distribution Prior to the Non-public Issuance of A Shares	Mgmt	For	For
2.08	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.09	Approve Use of Proceeds from Fund Raising in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.10	Approve Validity Period of the Resolution Relating to the Non-public Issuance of A Shares	Mgmt	For	For
3	Approve Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
4	Approve Non-public Issuance of A Shares Not Constituting a Connected Transaction	Mgmt	For	For
5	Approve Feasibility Report on the Use of Proceeds from Fund Raising Under Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
6	Approve Report on the Use of Proceeds from Previous Fund Raising	Mgmt	For	For
7	Authorize Board to Handle All Matters Relating to the Share Issuance	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Shareholders' Return Plan (2016-2018)	Mgmt	For	For
9	Approve Risk Alert for Dilution of Current Returns as a Result of the Non-public Issuance of A Shares and Adoption of Remedial Measures	Mgmt	For	For
10	Approve Undertakings by Controlling Shareholder and Actual Controller to Take Remedial Measures for Dilution of Current Returns as a Result of Non-public Issuance of A Shares	Mgmt	For	For
11	Approve Undertakings by All Directors and Senior Management to Take Remedial Measures for Dilution of Current Returns as a Result of Non-public Issuance of A Shares	Mgmt	For	For

## China Molybdenum Co., Ltd.

Meeting Date: 09/23/2016

Country: China

Primary Security ID: Y1503Z113

Meeting Type: Special

Ticker: 3993

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	SECOND EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Satisfying Conditions of Material Asset Reorganization of Listed Companies	Mgmt	For	For
2	Approve Plan of Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses)	Mgmt	For	For
3	Approve Material Asset Acquisition Report (Acquisition of Overseas Copper and Cobalt Businesses) (Draft) of China Molybdenum Co., Ltd. and Its Summary	Mgmt	For	For
4	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Not Constituting a Reverse Takeover	Mgmt	For	For
5	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Satisfying the Requirements Under Article 4 of the Regulations Concerning the Standardization of Certain Issues of Material Asset Reorganization of Listed Companies	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Explanation of Whether the Fluctuation in Share Price as a Result of the Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Reached the Relevant Standards	Mgmt	For	For
7	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Not Constituting a Connected Transaction	Mgmt	For	For
8	Authorize Board to Handle All Matters Relating to the Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses)	Mgmt	For	For

## China Molybdenum Co., Ltd.

Meeting Date: 09/23/2016

Country: China

Primary Security ID: Y1503Z113

Meeting Type: Special

Ticker: 3993

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	FIRST EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) of the Company Satisfying Conditions of Material Asset Reorganization of Listed Companies	Mgmt	For	For
2	Approve Plan of Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses)	Mgmt	For	For
3	Approve Material Asset Acquisition Report (Acquisition of Overseas Niobium and Phosphates Businesses) (Draft) of China Molybdenum Co., Ltd. and Its Summary	Mgmt	For	For
4	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Not Constituting a Reverse Takeover	Mgmt	For	For
5	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Satisfying the Requirements Under Article 4 of the Regulations	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Explanation of Whether the Fluctuation in Share Price as a Result of the Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Reached the Relevant Standards	Mgmt	For	For
7	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Not Constituting a Connected Transaction	Mgmt	For	For
8	Authorize Board to Handle All Matters Relating to the Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses)	Mgmt	For	For

## China Molybdenum Co., Ltd.

Meeting Date: 09/23/2016

Country: China

Primary Security ID: Y1503Z113

Meeting Type: Special

Ticker: 3993

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
1.01	Approve Class and Par Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.02	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Target Subscribers in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Arrangement on Accumulated Profit Distribution Prior to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.09	Approve Use of Proceeds from Fund Raising in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Validity Period of the Resolution Relating to the Non-public Issuance of A Shares	Mgmt	For	For
2	Approve Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
3	Authorize Board to Handle All Matters Relating to the Share Issuance	Mgmt	For	For

## China National Materials Co., Ltd.

Meeting Date: 07/29/2016

Country: China

Primary Security ID: Y15048104

Meeting Type: Special

Ticker: 1893

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Association	Mgmt	For	For
2	Amend Rules of Procedures of the General Meeting	Mgmt	For	For
3	Amend Rules of Procedures of the Board of Directors	Mgmt	For	For
4	Amend Rules of Procedures of the Supervisory Committee	Mgmt	For	For
5	Elect Shen Yungang as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
6	Elect Wang Fengting as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
7	Elect Wang Zhulin as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
8	Elect Zhang Hai as Supervisor, Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
9	Elect Guo Yanming as Supervisor, Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China National Materials Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Elect Liu Zhijiang as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
11	Elect Peng Jianxin as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
12	Elect Li Xinhua as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
13	Elect Li Jianlun as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
14	Elect Leung Chong as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
15	Elect Lu Zhengfei as Director, Authorize Remuneration Committee to Fix His Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
16	Elect Xu Weibing as Supervisor, Fix Her Remuneration and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
17	Approve Remuneration of Wang Yingcai and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For
18	Approve Remuneration of Qu Xiaoli and Authorize the Chairman of the Board to Execute a Service Contract	Mgmt	For	For

## China Oilfield Services Ltd.

**Meeting Date:** 07/22/2016

**Country:** China

**Primary Security ID:** Y15002101

**Meeting Type:** Special

**Ticker:** 2883

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES Approve Provision of Guarantees for Subsidiaries	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Oilfield Services Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ELECT 2 EXECUTIVE DIRECTORS AND 1 NON-EXECUTIVE DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
2	Elect Qi Meisheng as Director	Mgmt	For	For
3	Elect Dong Weiliang as Director	Mgmt	For	For
4	Elect Xie Weizhi as Director	Mgmt	For	For
5	Amend Articles of Association	Mgmt	For	For

## China Overseas Land & Investment Ltd.

**Meeting Date:** 07/20/2016      **Country:** Hong Kong      **Primary Security ID:** Y15004107  
**Meeting Type:** Special      **Ticker:** 688

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## China Railway Signal & Communication Corporation Limited

**Meeting Date:** 09/06/2016      **Country:** China      **Primary Security ID:** Y1R0AG105  
**Meeting Type:** Special      **Ticker:** 3969

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Fu Jianguo as Director	SH	For	For

## China Shipping Container Lines Co. Ltd.

**Meeting Date:** 09/06/2016      **Country:** China      **Primary Security ID:** Y1513C104  
**Meeting Type:** Special      **Ticker:** 2866

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Shipping Container Lines Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Chen Dong as Director	Mgmt	For	For
2	Change Company Name	Mgmt	For	For
3	Amend Articles of Association	Mgmt	For	For

## China South City Holdings Ltd

Meeting Date: 08/22/2016

Country: Hong Kong

Primary Security ID: Y1515Q101

Meeting Type: Annual

Ticker: 1668

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Cheng Chung Hing as Director	Mgmt	For	For
3b	Elect Ma Kai Cheung as Director	Mgmt	For	For
3c	Elect Hui Chiu Chung as Director	Mgmt	For	Against
3d	Elect Yung Wing Ki Samuel as Director	Mgmt	For	For
3e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve Ernst & Young as Independent Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## Cholamandalam Investment and Finance Company Ltd

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y1581A113

Meeting Type: Annual

Ticker: 511243

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cholamandalam Investment and Finance Company Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend on Compulsorily Convertible Preference Shares	Mgmt	For	For
3	Confirm Interim Dividend on Equity Shares and Approve Final Dividend	Mgmt	For	For
4	Reelect M.M. Murugappan as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Vellayan Subbiah as Managing Director	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Chongqing Changan Automobile Co., Ltd.

Meeting Date: 08/02/2016

Country: China

Primary Security ID: CNE000000R36

Meeting Type: Special

Ticker: 200625

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve External Donations	Mgmt	For	For

## Chongqing Changan Automobile Co., Ltd.

Meeting Date: 08/31/2016

Country: China

Primary Security ID: CNE000000R36

Meeting Type: Special

Ticker: 200625

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	APPROVE REVISED STOCK OPTION INCENTIVE PLAN AND ITS SUMMARY	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Chongqing Changan Automobile Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Approve Purpose for Implementing Stock Option Incentive Plan	Mgmt	For	For
1.2	Approve Management Institution for Stock Option Incentive Plan	Mgmt	For	For
1.3	Approve Criteria to Select Plan Participants	Mgmt	For	For
1.4	Approve Source, Number and Allocation of Underlying Stocks	Mgmt	For	For
1.5	Approve Validity Period, Granting Date, Waiting Period, Vesting Date and Lock-up Period of Stock Option Incentive Plan	Mgmt	For	For
1.6	Approve Exercise Price and Price-setting Basis	Mgmt	For	For
1.7	Approve Granting Conditions and Vesting Conditions of Stock Option	Mgmt	For	For
1.8	Approve Adjustment Methods and Procedures for Stock Option Incentive Plan	Mgmt	For	For
1.9	Approve Accounting Treatment	Mgmt	For	For
1.10	Approve Procedures for Granting Stock Option and Incentive Rights	Mgmt	For	For
1.11	Approve Respective Rights and Obligations of Company and Incentive Targets	Mgmt	For	For
1.12	Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects	Mgmt	For	For
1.13	Approve Other Significant Matters	Mgmt	For	For
2	Approve Methods to Assess the Performance of Plan Participants (Revised)	Mgmt	For	For
3	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
4	Approve Related Party Transaction in Connection with Stock Option Incentive Plan	Mgmt	For	For

## Cipla Limited

**Meeting Date:** 09/28/2016

**Country:** India

**Primary Security ID:** Y1633P142

**Meeting Type:** Annual

**Ticker:** CIPLA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cipla Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect M. K. Hamied as Director	Mgmt	For	For
4	Approve Walker Chandiook & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Naina Lal Kidwai as Independent Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of S. Radhakrishnan as Executive Director	Mgmt	For	Against
7	Elect Umang Vohra as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Umang Vohra as Managing Director and Global CEO	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## City Union Bank Ltd

Meeting Date: 08/22/2016

Country: India

Primary Security ID: Y1659F135

Meeting Type: Annual

Ticker: 532210

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect R. Mohan as Director	Mgmt	For	For
4	Approve P. Chandrasekar as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of S. Mahalingam, Non-Executive Chairman	Mgmt	For	For
6	Elect M. Narayanan as Independent Director	Mgmt	For	For
7	Elect S. Bernard as Independent Director	Mgmt	For	For
8	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
10	Approve Issuance of Equity or Equity-Linked Securities to Employees of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Coal India Ltd.

**Meeting Date:** 08/24/2016

**Country:** India

**Primary Security ID:** Y1668L107

**Meeting Type:** Special

**Ticker:** COALINDIA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Share Repurchase Program	Mgmt	For	For

## Coal India Ltd.

**Meeting Date:** 09/21/2016

**Country:** India

**Primary Security ID:** Y1668L107

**Meeting Type:** Annual

**Ticker:** COALINDIA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect C K Dey as Director	Mgmt	For	Against
4	Elect Loretta Mary Vas as Independent Director	Mgmt	For	For
5	Elect Satish Balram Agnihotri as Independent Director	Mgmt	For	For
6	Elect D. C. Panigrahi as Independent Director	Mgmt	For	For
7	Elect Khanindra Pathak as Independent Director	Mgmt	For	For
8	Elect Vinod Jain as Independent Director	Mgmt	For	For
9	Elect Shyam Nandan Prasad as Director and Approve His Appointment as Executive Director	Mgmt	For	Against
10	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For
11	Elect Vivek Bharadwaj as Director	Mgmt	For	Against
12	Elect Rajesh Kumar Sinha as Director	Mgmt	For	Against



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Coffee Day Enterprises Limited

**Meeting Date:** 09/14/2016

**Country:** India

**Primary Security ID:** Y1R70B102

**Meeting Type:** Annual

**Ticker:** 539436

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sanjay Nayar as Director	Mgmt	For	For
3	Approve B.S.R & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Colgate-Palmolive (India) Ltd.

**Meeting Date:** 08/08/2016

**Country:** India

**Primary Security ID:** Y1673X104

**Meeting Type:** Annual

**Ticker:** 500830

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Niket Ghate as Director	Mgmt	For	For
3	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Keeping and Maintenance of the Register and Indices of Members and Copies of Annual Returns as the Corporate Office of the Company	Mgmt	For	For

## Container Corporation of India Ltd.

**Meeting Date:** 09/13/2016

**Country:** India

**Primary Security ID:** Y1740A137

**Meeting Type:** Annual

**Ticker:** CONCOR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Container Corporation of India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Anil Kumar Gupta as Director	Mgmt	For	For
4	Reelect P. Alli Rani as Director	Mgmt	For	Against
5	Approve Kumar Vijay Gupta & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Kamlesh Shivji Vikamsey as Independent Director	Mgmt	For	For
7	Elect Raj Krishnan Malhotra as Independent Director	Mgmt	For	For
8	Elect Sanjeev S. Shah as Independent Director	Mgmt	For	For
9	Elect S. K. Sharma as Director	Mgmt	For	Against
10	Elect Sanjay Bajpai as Director	Mgmt	For	Against
11	Elect Pradip Kumar Agrawal as Director	Mgmt	For	Against
12	Elect Sanjay Swarup as Director	Mgmt	For	Against
13	Increase Authorized Share Capital	Mgmt	For	For
14	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
15	Amend Articles of Association to Reflect Changes in Capital	Mgmt	For	For

## Coromandel International Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y1754W140

Meeting Type: Annual

Ticker: 506395

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect A Vellayan as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Sumit Bose as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Coromandel International Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Sameer Goel as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Sameer Goel as Managing Director	Mgmt	For	For
8	Approve Appointment and Remuneration of S Govindarajan as Managing Director	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Cosel Co. Ltd.

**Meeting Date:** 08/09/2016      **Country:** Japan      **Primary Security ID:** J08306102  
**Meeting Type:** Annual      **Ticker:** 6905

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Tanikawa, Masato	Mgmt	For	Against
1.2	Elect Director Yamakage, Takashi	Mgmt	For	For
1.3	Elect Director Kiyosawa, Satoshi	Mgmt	For	For
1.4	Elect Director Konishi, Yukichi	Mgmt	For	For
1.5	Elect Director Saito, Morio	Mgmt	For	For
1.6	Elect Director Yasuda, Isao	Mgmt	For	For
1.7	Elect Director Mano, Tatsuya	Mgmt	For	For
1.8	Elect Director Uchida, Yasuro	Mgmt	For	For

## COSMOS PHARMACEUTICAL CORP

**Meeting Date:** 08/25/2016      **Country:** Japan      **Primary Security ID:** J08959108  
**Meeting Type:** Annual      **Ticker:** 3349

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 35	Mgmt	For	For
2.1	Elect Director Uno, Masateru	Mgmt	For	For
2.2	Elect Director Iwashita, Masahiro	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## COSMOS PHARMACEUTICAL CORP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.3	Elect Director Kawasaki, Yoshikazu	Mgmt	For	For
2.4	Elect Director Takemori, Motoi	Mgmt	For	For
2.5	Elect Director Shibata, Futoshi	Mgmt	For	For
2.6	Elect Director Uno, Yukitaka	Mgmt	For	For
2.7	Elect Director Yokoyama, Hideaki	Mgmt	For	For

## Coway Co. Ltd.

**Meeting Date:** 09/12/2016      **Country:** South Korea      **Primary Security ID:** Y1786S109  
**Meeting Type:** Special      **Ticker:** A021240

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Yoon Jong-ha as Inside Director	Mgmt	For	For
1.2	Elect Kim Gwang-il as Non-independent Non-executive Director	Mgmt	For	For

## Cox & Kings Ltd

**Meeting Date:** 09/23/2016      **Country:** India      **Primary Security ID:** Y17730113  
**Meeting Type:** Annual      **Ticker:** 533144

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Urrshila Kerkar as Director	Mgmt	For	For
4	Approve Chaturvedi & Shah as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## CPMC Holdings Ltd.

**Meeting Date:** 07/15/2016

**Country:** Hong Kong

**Primary Security ID:** Y17739106

**Meeting Type:** Special

**Ticker:** 906

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Subscription Agreement and Related Transactions	Mgmt	For	Against
2	Approve Employee Subscription Agreements and Related Transactions	Mgmt	For	Against

## Create SD Holdings Co., Ltd.

**Meeting Date:** 08/26/2016

**Country:** Japan

**Primary Security ID:** J09178104

**Meeting Type:** Annual

**Ticker:** 3148

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2.1	Elect Director Yamamoto, Hisao	Mgmt	For	For
2.2	Elect Director Hirose, Taizo	Mgmt	For	For
2.3	Elect Director Yamamoto, Itsuko	Mgmt	For	For
2.4	Elect Director Saito, Osamu	Mgmt	For	For
2.5	Elect Director Nakaura, Shigeto	Mgmt	For	For
2.6	Elect Director Kasakawa, Kuniaki	Mgmt	For	For
3	Elect Alternate Director and Audit Committee Member Ampo, Yoko	Mgmt	For	For

## Credit Analysis and Research Limited

**Meeting Date:** 09/27/2016

**Country:** India

**Primary Security ID:** Y1R7BV106

**Meeting Type:** Annual

**Ticker:** 534804

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Credit Analysis and Research Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect S. B. Mainak as Director	Mgmt	For	For
4	Approve Khimji Kunverji & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect A. K. Bansal as Independent Director	Mgmt	For	For
6	Elect Ashima Goyal as Independent Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Rajesh Mokashi as Managing Director and Chief Executive Officer	Mgmt	For	For

## Crompton Greaves Consumer Electricals Limited

Meeting Date: 08/11/2016

Country: India

Primary Security ID: Y1786D102

Meeting Type: Annual

Ticker: 539876

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Sharp & Tannan as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Shantanu Khosla as Director	Mgmt	For	For
4	Approve Appointment and Remuneration of Shantanu Khosla as Managing Director	Mgmt	For	For
5	Elect H. M. Nerurkar as Independent Director	Mgmt	For	For
6	Elect Sonia N. Das as Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For

## Crompton Greaves Ltd.

Meeting Date: 08/30/2016

Country: India

Primary Security ID: Y1788L144

Meeting Type: Annual

Ticker: 500093

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Crompton Greaves Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Omkar Goswami as Director	Mgmt	For	For
3	Reelect Bhuthalingam Hariharan as Director	Mgmt	For	For
4	Approve Sharp & Tannan as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Elect Neelkant Narayanan Kollengode as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Neelkant Narayanan Kollengode as Chief Executive Officer and Managing Director	Mgmt	For	For
8	Elect Madhav Acharya as Director	Mgmt	For	For
9	Approve Appointment and Remuneration of Madhav Acharya as Executive Director	Mgmt	For	For
10	Elect Ramni Nirula as Independent Director	Mgmt	For	For
11	Approve Sale of Non-India Transmission and Distribution Businesses	Mgmt	For	For
12	Approve Chaturvedi & Shah as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Crown International Corp Ltd.

**Meeting Date:** 09/28/2016

**Country:** Hong Kong

**Primary Security ID:** Y1815K101

**Meeting Type:** Annual

**Ticker:** 727

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2.1	Elect Meng Jin Long as Director	Mgmt	For	For
2.2	Elect Chen Fang as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Crown International Corp Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4.1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
4.2	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
4.3	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
4.4	Approve Grant of Options Under the Share Option Scheme	Mgmt	For	For
5	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For

## Cummins India Ltd.

Meeting Date: 08/04/2016

Country: India

Primary Security ID: Y4807D150

Meeting Type: Annual

Ticker: 500480

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Final Dividend and Ratify Interim Dividend	Mgmt	For	For
4	Reelect Mark Smith as Director	Mgmt	For	Against
5	Reelect Casimiro Antonio Vieira Leitao as Director	Mgmt	For	For
6	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Related Party Transactions with Cummins Limited, UK	Mgmt	For	For
9	Approve Related Party Transactions with Tata Cummins Private Limited	Mgmt	For	For
10	Approve Revision in the Remuneration of Anant J. Talaulicar, Managing Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cyient Limited

**Meeting Date:** 08/29/2016

**Country:** India

**Primary Security ID:** Y4082D131

**Meeting Type:** Annual

**Ticker:** 532175

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividends	Mgmt	For	For
3	Reelect B. V. R. Mohan Redy as Director	Mgmt	For	For
4	Reelect Krishna Bodanapu as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Dabur India Ltd.

**Meeting Date:** 07/19/2016

**Country:** India

**Primary Security ID:** Y1855D140

**Meeting Type:** Annual

**Ticker:** DABUR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Anand C Burman as Director	Mgmt	For	For
4	Reelect Pritam Das Narang as Director	Mgmt	For	For
5	Approve Basu & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Daewoo Engineering & Construction Co.

**Meeting Date:** 08/23/2016

**Country:** South Korea

**Primary Security ID:** Y1888W107

**Meeting Type:** Special

**Ticker:** A047040

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Daewoo Engineering & Construction Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect One Inside Director and One Outside Director (Bundled)	Mgmt	For	For

## Daewoo Shipbuilding & Marine Engineering Co.

**Meeting Date:** 08/26/2016      **Country:** South Korea      **Primary Security ID:** 23373A207  
**Meeting Type:** Special      **Ticker:** A042660

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For

## Dah Sing Banking Group Ltd.

**Meeting Date:** 08/05/2016      **Country:** Hong Kong      **Primary Security ID:** Y1923F101  
**Meeting Type:** Special      **Ticker:** 2356

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Distribution Agreements and Related Transactions	Mgmt	For	For

## Dah Sing Financial Holdings Ltd.

**Meeting Date:** 08/25/2016      **Country:** Hong Kong      **Primary Security ID:** Y19182107  
**Meeting Type:** Special      **Ticker:** 440

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Share Sale Agreement and Related Transactions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dahua Group Dalian Chemical Industry Co., Ltd.

**Meeting Date:** 08/30/2016

**Country:** China

**Primary Security ID:** Y1918M103

**Meeting Type:** Special

**Ticker:** 900951

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Association	Mgmt	For	For

## DAIKOKUTENBUSSAN CO. LTD.

**Meeting Date:** 08/24/2016

**Country:** Japan

**Primary Security ID:** J1012U107

**Meeting Type:** Annual

**Ticker:** 2791

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 25	Mgmt	For	For
2.1	Elect Director Oga, Shoji	Mgmt	For	Against
2.2	Elect Director Kikuchi, Kazuhiro	Mgmt	For	For
2.3	Elect Director Kawada, Tomohiro	Mgmt	For	For
2.4	Elect Director Oue, Tadayoshi	Mgmt	For	For
2.5	Elect Director Oga, Masahiko	Mgmt	For	For
2.6	Elect Director Omura, Masashi	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Kuwahara, Kazunari	Mgmt	For	For

## Daiwa Office Investment Corp

**Meeting Date:** 08/19/2016

**Country:** Japan

**Primary Security ID:** J1250G109

**Meeting Type:** Special

**Ticker:** 8976

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Murakami, Yoshimi	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Daiwa Office Investment Corp

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Elect Alternate Executive Director Nishigaki, Yoshiaki	Mgmt	For	For
4.1	Elect Supervisory Director Hiraishi, Takayuki	Mgmt	For	For
4.2	Elect Supervisory Director Sakuma, Hiroshi	Mgmt	For	For

## Dalian Refrigeration Co. Ltd.

**Meeting Date:** 09/13/2016      **Country:** China      **Primary Security ID:** Y19651101  
**Meeting Type:** Special      **Ticker:** 200530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	APPROVE DRAFT AND SUMMARY OF RESTRICTED STOCK INCENTIVE PLAN	Mgmt		
1.1	Approve Criteria to Select Plan Participants	Mgmt	For	For
1.2	Approve Source, Number and Allocation of Restricted Stocks	Mgmt	For	For
1.3	Approve Duration, Grant Date, Locking Period, Unlocking Period and Lock-up Period	Mgmt	For	For
1.4	Approve Grant Price and Price-setting Basis	Mgmt	For	For
1.5	Approve Conditions for Granting and Unlocking	Mgmt	For	For
1.6	Approve Methods and Procedures to Adjust the Incentive Plan	Mgmt	For	For
1.7	Approve Accounting Treatment	Mgmt	For	For
1.8	Approve Implementation Procedures	Mgmt	For	For
1.9	Approve Rights and Obligations of the Plan Participants and the Company	Mgmt	For	For
1.10	Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects	Mgmt	For	For
1.11	Approve Principle of Restricted Stock Repurchase Cancellation	Mgmt	For	For
2	Approve Methods to Assess the Performance of Plan Participants	Mgmt	For	For
3	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dalian Wanda Commercial Properties Co Ltd

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y1966E102

**Meeting Type:** Special

**Ticker:** 3699

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Voluntary Withdrawal of the Listing of the H Shares from the Stock Exchange	Mgmt	For	For
2	Approve Special Report in Relation to the Use of Proceeds Raised by Previous H Share Offering	Mgmt	For	For
3	Approve Extension of the Validity Period of the Shareholders' Approval in Relation to the Proposal on the Plan for the A Share Offering	SH	For	For
4	Authorize Board to Handle All Matters in Relation to the A Share Offering	Mgmt	For	For

## Dalian Wanda Commercial Properties Co Ltd

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y1966E102

**Meeting Type:** Special

**Ticker:** 3699

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Voluntary Withdrawal of the Listing of the H Shares from the Stock Exchange	Mgmt	For	For
2	Approve Extension of the Validity Period of the Shareholders' Approval in Relation to the Proposal on the Plan for the A Share Offering	SH	For	For
3	Authorize Board to Handle All Matters in Relation to the A Share Offering	Mgmt	For	For

## Dalmia Bharat Limited

**Meeting Date:** 09/27/2016

**Country:** India

**Primary Security ID:** Y1986U102

**Meeting Type:** Annual

**Ticker:** 533309

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dalmia Bharat Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Elect Gautam Dalmia as Director	Mgmt	For	Against
4	Approve S.S. Kothari Mehta& Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Commission Remuneration to Jai H. Dalmia, Managing Director	Mgmt	For	For
6	Approve Commission Remuneration to Y.H. Dalmia, Managing Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Jai H. Dalmia as Managing Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Y.H. Dalmia as Managing Director	Mgmt	For	For
9	Approve Increase in Borrowing Powers	Mgmt	For	For

## Datang International Power Generation Co. Ltd

Meeting Date: 08/29/2016

Country: China

Primary Security ID: Y20020106

Meeting Type: Special

Ticker: 991

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Entering Into the Transfer Agreement in Relation to Coal-to-chemical and the Related Project by the Company and Zhongxin Energy and Chemical Technology Company Limited	Mgmt	For	For
2	Approve Provision of Guarantee for Financing Liancheng Power Generation Company	SH	For	Against

## Datasonic Group Berhad

Meeting Date: 07/27/2016

Country: Malaysia

Primary Security ID: Y2020Y100

Meeting Type: Annual

Ticker: DSONIC

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Datasonic Group Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Chew Ben Ben as Director	Mgmt	For	Against
2	Elect Handrianov Putra bin Abu Hanifah as Director	Mgmt	For	For
3	Elect Mohamed Zulkhornain bin Ab Ranees as Director	Mgmt	For	For
4	Elect Aziz Jamaludin bin Hj. Mhd Tahir as Director	Mgmt	For	Against
5	Elect Mohamed Hashim bin Mohd Ali as Director	Mgmt	For	For
6	Elect Yee Kim Shing @ Yew Kim Sing as Director	Mgmt	For	For
7	Elect Raghbir Singh a/l Hari Singh as Director	Mgmt	For	For
8	Approve Crowe Horwath as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Delta Corp Ltd

Meeting Date: 09/23/2016

Country: India

Primary Security ID: Y1869P105

Meeting Type: Annual

Ticker: 532848

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Rakesh Jhunjhunwala as Director	Mgmt	For	Against
4	Approve Walker Chandiok & Co LLP as Auditors to Fill Casual Vacancy and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Walker Chandiok & Co LLP as Auditors for a Period of Five Years and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Related Party Transactions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Den Networks Ltd

**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y2032S109

**Meeting Type:** Annual

**Ticker:** DEN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Reelect Ankur Ambika Sahu as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Krishnakumar Gangadharan as Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Dewan Housing Finance Corporation Limited

**Meeting Date:** 07/20/2016

**Country:** India

**Primary Security ID:** Y2055V112

**Meeting Type:** Annual

**Ticker:** 511072

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm First and Second Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Dheeraj Wadhawan as Director	Mgmt	For	For
4	Approve Chaturvedi & Shah as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Rajiv Kumar as Independent Director	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures and/or Other Hybrid Instruments on a Private Placement Basis	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dhanuka Agritech Limited

**Meeting Date:** 08/11/2016

**Country:** India

**Primary Security ID:** Y2061D106

**Meeting Type:** Annual

**Ticker:** 507717

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend	Mgmt	For	For
3	Elect M.K. Dhanuka as Director	Mgmt	For	For
4	Elect Arun Kumar Dhanuka as Director	Mgmt	For	For
5	Approve Dinesh Mehta & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Sachin Kumar Bhartiya as Independent Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Mridul Dhanuka as Executive Director	Mgmt	For	For
9	Approve Appointment and Remuneration of Rahul Dhanuka as Executive Director	Mgmt	For	For

## Digital Garage, Inc.

**Meeting Date:** 09/29/2016

**Country:** Japan

**Primary Security ID:** J1229F109

**Meeting Type:** Annual

**Ticker:** 4819

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Change Fiscal Year End	Mgmt	For	For
3.1	Elect Director Hayashi, Kaoru	Mgmt	For	For
3.2	Elect Director Rokuyata, Yasuyuki	Mgmt	For	For
3.3	Elect Director Soda, Makoto	Mgmt	For	For
3.4	Elect Director Odori, Keizo	Mgmt	For	For
3.5	Elect Director Tanaka, Masashi	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Digital Garage, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.6	Elect Director Ito, Joichi	Mgmt	For	For
3.7	Elect Director Fujiwara, Kenji	Mgmt	For	For
3.8	Elect Director Omura, Emi	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Ushiku, Hitoshi	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Sakai, Makoto	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Inoue, Junji	Mgmt	For	For
4.4	Elect Director and Audit Committee Member Makino, Koji	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
7	Approve Deep Discount Stock Option Plan	Mgmt	For	For
8	Approve Restricted Stock Plan	Mgmt	For	For
9	Approve Stock Option Plan	Mgmt	For	For

## Dish TV India Ltd.

**Meeting Date:** 09/19/2016

**Country:** India

**Primary Security ID:** Y2076S114

**Meeting Type:** Special

**Ticker:** 532839

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Utilization of Securities Premium Account	Mgmt	For	For
2	Approve Shifting of Registered Office of the Company	Mgmt	For	For

## Dish TV India Ltd.

**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y2076S114

**Meeting Type:** Annual

**Ticker:** 532839

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dish TV India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Elect Ashok Mathai Kurien as Director	Mgmt	For	For
3	Approve Walker Chandiook & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Dishman Pharmaceuticals & Chemicals Ltd.

Meeting Date: 08/05/2016

Country: India

Primary Security ID: Y2076D117

Meeting Type: Court

Ticker: 532526

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Arrangement and Amalgamation	Mgmt	For	For

## Dishman Pharmaceuticals & Chemicals Ltd.

Meeting Date: 09/27/2016

Country: India

Primary Security ID: Y2076D117

Meeting Type: Annual

Ticker: 532526

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend	Mgmt	For	For
3	Reelect Janmejy R. Vyas as Director	Mgmt	For	For
4	Approve V. D. Shukla & Co. and Haribhakti & Co. LLP as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Non-Executive Directors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Divi's Laboratories Limited

**Meeting Date:** 08/29/2016

**Country:** India

**Primary Security ID:** Y2076F112

**Meeting Type:** Annual

**Ticker:** DIVISLAB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Madhusudana Rao Divi as Director	Mgmt	For	For
4	Approve P.V.R.K. Nageswara Rao & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## DLF Ltd.

**Meeting Date:** 08/30/2016

**Country:** India

**Primary Security ID:** Y2089H105

**Meeting Type:** Annual

**Ticker:** DLF

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Pia Singh as Director	Mgmt	For	Against
4	Approve Walker Chandiok & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Reappointment and Remuneration of Mohit Gujral as CEO and Executive Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Rajeev Talwar as CEO and Executive Director	Mgmt	For	For
8	Approve Issuance of Non-convertible Debentures and Other Debt Securities	Mgmt	For	For

## DMCI Holdings, Inc.

**Meeting Date:** 07/27/2016

**Country:** Philippines

**Primary Security ID:** Y2088F100

**Meeting Type:** Annual

**Ticker:** DMC

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## DMCI Holdings, Inc.

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Minutes of the Annual Stockholders' Meeting Held on July 29, 2015	Mgmt	For	For
2	Approve the Management Report	Mgmt	For	For
3	Ratify All Acts of the Board of Directors and Officers During the Preceding Year	Mgmt	For	For
4	Appoint SGV & Co. as External Auditors	Mgmt	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt		
5.1	Elect Isidro A. Consunji as Director	Mgmt	For	For
5.2	Elect Cesar A. Buenaventura as Director	Mgmt	For	Withhold
5.3	Elect Jorge A. Consunji as Director	Mgmt	For	Withhold
5.4	Elect Victor A. Consunji as Director	Mgmt	For	Withhold
5.5	Elect Herbert M. Consunji as Director	Mgmt	For	Withhold
5.6	Elect Ma. Edwina C. Laperal as Director	Mgmt	For	Withhold
5.7	Elect Luz Consuelo A. Consunji as Director	Mgmt	For	Withhold
5.8	Elect Antonio Jose U. Periquet as Director	Mgmt	For	For
5.9	Elect Honorio Reyes-Lao as Director	Mgmt	For	For
6a	Amend Article II, Section 1 of the By-Laws to Provide that the Annual Stockholders' Meeting Shall be Held on the Third Tuesday of May of Each Year	Mgmt	For	For
6b	Amend Article VI, Section 1 of the By-Laws to Provide for the Creation of a Separate Corporate Governance Committee	Mgmt	For	For
6c	Amend Article VI, Section 6 of the By-Laws to Specifically Indicate the Duties and Responsibilities of the Corporate Governance Committee	Mgmt	For	For

## Don Quijote Holdings Co., Ltd.

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**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J1235L108

**Meeting Type:** Annual

**Ticker:** 7532

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## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Don Quijote Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 17	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Indemnify Directors	Mgmt	For	For
3.1	Elect Director Ohara, Koji	Mgmt	For	For
3.2	Elect Director Takahashi, Mitsuo	Mgmt	For	For
3.3	Elect Director Yoshida, Naoki	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Wada, Shoji	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Inoue, Yukihiro	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Yoshimura, Yasunori	Mgmt	For	For
4.4	Elect Director and Audit Committee Member Fukuda, Tomiaki	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
7	Approve Deep Discount Stock Option Plan	Mgmt	For	For

## DoubleDragon Properties Corp

**Meeting Date:** 07/21/2016

**Country:** Philippines

**Primary Security ID:** Y2105Y108

**Meeting Type:** Annual

**Ticker:** DD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Minutes of the Previous Stockholders' Meeting	Mgmt	For	For
2	Approve the 2015 Audited Financial Statements and the 2015 Annual Report	Mgmt	For	For
3	Ratify All Acts of the Board of Directors and Officers	Mgmt	For	For
	Elect 8 Directors by Cumulative Voting	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## DoubleDragon Properties Corp

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.1	Elect Edgar J. Sia II as Director	Mgmt	For	For
4.2	Elect Tony Tan Caktiong as Director	Mgmt	For	Withhold
4.3	Elect Ferdinand J. Sia as Director	Mgmt	For	Withhold
4.4	Elect Rizza Marie Joy J. Sia as Director	Mgmt	For	Withhold
4.5	Elect William Tan Untiong as Director	Mgmt	For	Withhold
4.6	Elect Joseph Tanbuntiong as Director	Mgmt	For	Withhold
4.7	Elect Gary P. Cheng as Director	Mgmt	For	For
4.8	Elect Vicente S. Perez as Director	Mgmt	For	For
5	Appoint KPMG R.G. Manabat & Co. as External Auditors	Mgmt	For	For

## Dr. Reddy's Laboratories

Meeting Date: 07/27/2016

Country: India

Primary Security ID: Y21089159

Meeting Type: Annual

Ticker: 500124

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect K. Satish Reddy as Director	Mgmt	For	For
4	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G V Prasad as Co-Chairman, Managing Director and CEO	Mgmt	For	For
6	Elect Bharat Narotam Doshi as Independent Director	Mgmt	For	For
7	Elect Hans Peter Hasler as Independent Director	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dr. Reddy's Laboratories

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y21089159

**Meeting Type:** Annual

**Ticker:** 500124

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect K. Satish Reddy as Director	Mgmt	For	For
4	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G V Prasad as Co-Chairman, Managing Director and CEO	Mgmt	For	For
6	Elect Bharat Narotam Doshi as Independent Director	Mgmt	For	For
7	Elect Hans Peter Hasler as Independent Director	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## DRB-Hicom Berhad

**Meeting Date:** 07/28/2016

**Country:** Malaysia

**Primary Security ID:** Y21077113

**Meeting Type:** Special

**Ticker:** DRBHC0M

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal by Hicom Holdings Berhad, a Wholly-Owned Subsidiary of the Company, of the Entire Issued Share Capital of KL Airport Services Sdn Bhd	Mgmt	For	For
2	Approve Disposal by Hicom Indungan Sdn Bhd, an Indirect Wholly-Owned Subsidiary of the Company, of Part of a Freehold Industrial Land Situated in Section 28, Shah Alam, Selangor Darul Ehsan to POS Malaysia Berhad	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## DRB-Hicom Berhad

**Meeting Date:** 09/29/2016

**Country:** Malaysia

**Primary Security ID:** Y21077113

**Meeting Type:** Annual

**Ticker:** DRBHCOM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve First and Final Dividend	Mgmt	For	For
2	Elect Faisal Albar bin Syed A.R. Albar as Director	Mgmt	For	For
3	Elect Siti Fatimah binti Daud as Director	Mgmt	For	For
4	Elect Abdul Rahman bin Ahmad as Director	Mgmt	For	For
5	Elect Mohd Khamil bin Jamil as Director	Mgmt	For	For
6	Approve Marzuki bin Mohd Noor to Continue Office as Independent Non-Executive Director	Mgmt	For	For
7	Approve Ong le Cheong to Continue Office as Independent Non-Executive Director	Mgmt	For	For
8	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## DRB-Hicom Berhad

**Meeting Date:** 09/29/2016

**Country:** Malaysia

**Primary Security ID:** Y21077113

**Meeting Type:** Special

**Ticker:** DRBHCOM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Redeemable Convertible Cumulative Preference Shares	Mgmt	For	For

## E.I.D. Parry (India) Ltd.

**Meeting Date:** 08/05/2016

**Country:** India

**Primary Security ID:** Y67828163

**Meeting Type:** Annual

**Ticker:** 500125

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## E.I.D. Parry (India) Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Reelect A. Vellayan as Director	Mgmt	For	For
3	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Rca Godbole as Independent Director	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Elect S. Suresh as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of S. Suresh as Deputy Managing Director	Mgmt	For	For

## Eastern & Oriental Berhad

**Meeting Date:** 08/25/2016

**Country:** Malaysia

**Primary Security ID:** Y22246105

**Meeting Type:** Annual

**Ticker:** E&O

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve First and Final Dividend	Mgmt	For	For
2	Approve Remuneration of Directors	Mgmt	For	For
3	Elect Tham Ka Hon as Director	Mgmt	For	For
4	Elect Kok Meng Chow as Director	Mgmt	For	For
5	Elect Christopher Martin Boyd as Director	Mgmt	For	For
6	Elect Jauhari bin Hamidi as Director	Mgmt	For	For
7	Elect Kok Tuck Cheong as Director	Mgmt	For	For
8	Elect Tan Kar Leng @ Chen Kar Leng as Director	Mgmt	For	For
9	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
10	Approve Azizan bin Abd Rahman to Continue Office as Independent Non-Executive Director	Mgmt	For	For
11	Approve Vijeyaratnam a/l V. Thamothers Pillay to Continue Office as Independent Non-Executive Director	Mgmt	For	For
12	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Eastern & Oriental Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13	Approve Implementation of Shareholders' Mandate for Recurrent Related Party Transactions	Mgmt	For	For
14	Authorize Share Repurchase Program	Mgmt	For	For

## eClerx Services Ltd.

Meeting Date: 07/13/2016

Country: India

Primary Security ID: Y22385101

Meeting Type: Annual

Ticker: 532927

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Anjan Malik as Director	Mgmt	For	For
4	Approve Batliboi and Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Edelweiss Financial Services Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y22490208

Meeting Type: Annual

Ticker: 532922

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Himanshu Kaji as Director	Mgmt	For	For
3	Approve BSR & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Reappointment and Remuneration of Rujan Panjwani as Executive Director	Mgmt	For	For
5	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Edelweiss Financial Services Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Edelweiss Employees Stock Option Plan 2016 and Issuance of Options to Employees and Directors of the Company	Mgmt	For	For
8	Approve Extension of Benefits of Edelweiss Employees Stock Option Plan 2016 to Employees of Subsidiaries of the Company	Mgmt	For	For
9	Approve Acquisition of Shares Through the Edelweiss Employees Stock Option Trust for the Implementation of the Edelweiss Employees Stock Option Plan 2016	Mgmt	For	For
10	Approve Provision of Money to the Edelweiss Employees Stock Option Trust for Purchase of Shares of the Company for the Benefit of the Employees under the Edelweiss Employees Stock Option Plan 2016	Mgmt	For	For
11	Amend Edelweiss Employees Stock Incentive Plan 2009	Mgmt	For	For
12	Amend Edelweiss Employees Stock Incentive Plan 2010	Mgmt	For	For
13	Amend Edelweiss Employees Stock Incentive Plan 2011	Mgmt	For	For

## EIH Ltd.

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y2233X154

**Meeting Type:** Annual

**Ticker:** 500840

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Vikram Oberoi as Director	Mgmt	For	For
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Emami Ltd

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y22891132

**Meeting Type:** Annual

**Ticker:** 531162

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Emami Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Priti A. Sureka as Director	Mgmt	For	For
4	Reelect Prashant Goenka as Director	Mgmt	For	For
5	Reelect A.V. Agarwal as Director	Mgmt	For	For
6	Approve S.K. Agrawal & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Reappointment of Mohan Goenka as Executive Director	Mgmt	For	For
8	Approve Reappointment of H.V. Agarwal as Executive Director	Mgmt	For	For
9	Approve Reappointment of S.K. Goenka as Executive Director	Mgmt	For	For
10	Elect Rama Bijapurkar as Independent Director	Mgmt	For	For
11	Approve Reappointment of R.S. Agarwal as Executive Chairman	Mgmt	For	For
12	Approve Reappointment of R.S. Goenka as Executive Director	Mgmt	For	For
13	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Engineers India Ltd.

**Meeting Date:** 09/19/2016

**Country:** India

**Primary Security ID:** Y2294S136

**Meeting Type:** Annual

**Ticker:** 532178

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Ram Singh as Director	Mgmt	For	Against
4	Reelect Ashwani Soni as Director	Mgmt	For	Against
5	Approve Remuneration of Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Engineers India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Mukesh Khare as Independent Director	Mgmt	For	For
7	Elect Arusha Vasudev as Independent Director	Mgmt	For	For
8	Elect Vikas Khushalrao Deshpande as Independent Director	Mgmt	For	For
9	Elect Umesh Chandra Pandey as Independent Director	Mgmt	For	For

## Escorts Ltd.

Meeting Date: 09/20/2016

Country: India

Primary Security ID: Y2296W127

Meeting Type: Annual

Ticker: 500495

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividend	Mgmt	For	For
3	Reelect Hardeep Singh as Director	Mgmt	For	For
4	Reelect G. B. Mathur as Director	Mgmt	For	Against
5	Approve S. N. Dhawan & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect D J Kakalia as Independent Director	Mgmt	For	For
8	Approve Payment of Professional Fee to G. B. Mathur	Mgmt	For	Against

## EverChina Int'l Holdings Company Limited

Meeting Date: 08/31/2016

Country: Hong Kong

Primary Security ID: Y4099K128

Meeting Type: Annual

Ticker: 202

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2a	Elect Jiang Zhaobai as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## EverChina Int'l Holdings Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2b	Elect Lam Cheung Shing, Richard as Director	Mgmt	For	For
2c	Elect Ko Ming Tung, Edward as Director	Mgmt	For	For
2d	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
3	Approve HLB Hodgson Impey Cheng Limited as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4a	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
4b	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
4c	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## Eveready Industries India Limited

**Meeting Date:** 07/25/2016

**Country:** India

**Primary Security ID:** Y2361Z120

**Meeting Type:** Annual

**Ticker:** 531508

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Suvamoy Saha as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Reclassification of Shareholding of Bennett, Coleman & Co. Ltd. from Promoter and Promoter Group to Public Shareholding	Mgmt	For	For

## Exide Industries Ltd.

**Meeting Date:** 07/19/2016

**Country:** India

**Primary Security ID:** Y2383M131

**Meeting Type:** Annual

**Ticker:** 500086

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Exide Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect A. K. Mukherjee as Director	Mgmt	For	For
4	Reelect Mr. Nadeem Kazim as Director	Mgmt	For	For
5	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Nawshir H. Mirza as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Gautam Chatterjee as Managing Director and CEO	Mgmt	For	For
9	Elect Arun Mittal as Director and Approve His Appointment and Remuneration as Executive Director designated as Director - Industrial	Mgmt	For	For
10	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For

## Ezra Holdings Limited

**Meeting Date:** 09/22/2016

**Country:** Singapore

**Primary Security ID:** Y2401G108

**Meeting Type:** Special

**Ticker:** 5DN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Divestment of 10 Percent of the Issued Share Capital of EMAS CHIYODA Subsea Limited to Nippon Yusen Kabushiki Kaisha	Mgmt	For	Against

## Ezra Holdings Limited

**Meeting Date:** 09/22/2016

**Country:** Singapore

**Primary Security ID:** Y2401G108

**Meeting Type:** Special

**Ticker:** 5DN



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ezra Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Divestment of Shares in the Capital of PV Keez Pte. Ltd. to Petrofirst Infrastructure 2 Limited	Mgmt	For	For

## FDC LIMITED

Meeting Date: 09/17/2016

Country: India

Primary Security ID: Y2477C148

Meeting Type: Annual

Ticker: 531599

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Nomita R. Chandavarkar as Director	Mgmt	For	For
4	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Ashok A. Chandavarkar	Mgmt	For	For
6	Elect CA. Uday Kumar Gurkar as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Finolex Cables Ltd

Meeting Date: 09/08/2016

Country: India

Primary Security ID: Y24953146

Meeting Type: Annual

Ticker: 500144

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Namita V. Thapar as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Finolex Cables Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve B. K. Khare & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Related Party Transactions	Mgmt	For	For

## Finolex Industries Limited

**Meeting Date:** 08/11/2016      **Country:** India      **Primary Security ID:** Y24953109  
**Meeting Type:** Annual      **Ticker:** 500940

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Sanjay S. Math as Director	Mgmt	For	For
4	Approve P. G. Bhagwat as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures for Private Placement	Mgmt	For	For
7	Approve Reappointment and Remuneration of Prakash P. Chhabria as Executive Director designated as Executive Chairman	Mgmt	For	For
8	Approve Appointment and Remuneration of Sanjay S. Math as Managing Director	Mgmt	For	For

## Fisher & Paykel Healthcare Corp

**Meeting Date:** 08/23/2016      **Country:** New Zealand      **Primary Security ID:** Q38992105  
**Meeting Type:** Annual      **Ticker:** FPH

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Michael Daniell as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Fisher & Paykel Healthcare Corp

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Elect Donal O'Dwyer as Director	Mgmt	For	For
3	Elect Lewis Gradon as Director	Mgmt	For	For
4	Elect Scott St John as Director	Mgmt	For	For
5	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For
6	Approve the Grant of Performance Share Rights to Lewis Gradon, Managing Director and CEO of the Company	Mgmt	For	For
7	Approve the Grant of Options to Lewis Gradon, Managing Director and CEO of the Company	Mgmt	For	For

## FLEXTRONICS INTERNATIONAL LTD.

**Meeting Date:** 08/24/2016

**Country:** Singapore

**Primary Security ID:** Y2573F102

**Meeting Type:** Annual

**Ticker:** FLEX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director H. Raymond Bingham	Mgmt	For	For
1b	Elect Director Willy C. Shih	Mgmt	For	For
2	Elect Director Lawrence A. Zimmerman	Mgmt	For	For
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Issuance of Shares without Preemptive Rights	Mgmt	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
6	Authorize Share Repurchase Program	Mgmt	For	For
7	Change Company Name to Flex Ltd.	Mgmt	For	For
8	Approve the Adoption of the Company's New Constitution	Mgmt	For	For

## Force Motors Ltd.

**Meeting Date:** 09/28/2016

**Country:** India

**Primary Security ID:** Y05481117

**Meeting Type:** Annual

**Ticker:** 500033

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Force Motors Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Sudhir Mehta as Director	Mgmt	For	Against
4	Approve P. G. Bhagwat as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Charitable Donations	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Acceptance of Fixed Deposits from Members and Public	Mgmt	For	Against
8	Elect Arvind Rajindernath Mahajan as Independent Director	Mgmt	For	For

## Fortis Healthcare Limited

**Meeting Date:** 09/06/2016      **Country:** India      **Primary Security ID:** Y26160104  
**Meeting Type:** Special      **Ticker:** 532843

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Change Location of Registered Office and Amend Memorandum of Association	Mgmt	For	For

## Fortis Healthcare Limited

**Meeting Date:** 09/27/2016      **Country:** India      **Primary Security ID:** Y26160104  
**Meeting Type:** Annual      **Ticker:** 532843

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sunil Godhwani as Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Fortis Healthcare Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Ravi Umesh Mehrotra as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
7	Approve Appointment and Remuneration of All Non-Independent Directors to Hold a Place of Profit under the Company or its Subsidiary or Associate Company	Mgmt	For	Against

## Foshan Electrical and Lighting Co., Ltd.

Meeting Date: 08/23/2016

Country: China

Primary Security ID: Y2618V104

Meeting Type: Special

Ticker: 000541

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF B SHARES Approve Disposal of Equity	Mgmt	For	For

## Future Enterprises Limited

Meeting Date: 08/29/2016

Country: India

Primary Security ID: Y6722V140

Meeting Type: Annual

Ticker: 523574

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Kishore Biyani as Director	Mgmt	For	For
4	Approve NGS & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of Vijay Biyani as Managing Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Future Enterprises Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Appointment and Remuneration of Dinesh Maheshwari as Executive Director and CFO	Mgmt	For	For
7	Approve Reclassification of Authorized Share Capital and Amend Memorandum of Association to Reflect Changes in Authorized Share Capital	Mgmt	For	For
8	Approve Related Party Transactions	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Gail India Ltd.

Meeting Date: 09/23/2016

Country: India

Primary Security ID: Y2R78N114

Meeting Type: Annual

Ticker: GAIL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Subir Purkayastha as Director	Mgmt	For	Against
4	Reelect Ashutosh Jindal as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Joint Statutory Auditors	Mgmt	For	For
6	Elect Sanjay Kumar Srivastava Independent as Director	Mgmt	For	For
7	Elect Anupam Kulshrestha as Independent Director	Mgmt	For	For
8	Elect Sanjay Tandon as Independent Director	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Approve Related Party Transactions	Mgmt	For	For
11	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Gateway Distriparks Ltd.

Meeting Date: 09/22/2016

Country: India

Primary Security ID: Y26839103

Meeting Type: Annual

Ticker: 532622

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Gateway Distriparks Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividends	Mgmt	For	For
3	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Vacancy on the Board of Directors Resulting from Retirement of Arun Agarwal	Mgmt	For	For
5	Elect Mamta Gupta as Director	Mgmt	For	For
6	Elect Arun Kumar Gupta as Independent Director	Mgmt	For	For
7	Elect Bhaskar Avula Reddy as Independent Director	Mgmt	For	For
8	Elect Shabbir Hassanbhai as Independent Director	Mgmt	For	For

## Gayatri Projects Ltd.

Meeting Date: 09/28/2016

Country: India

Primary Security ID: Y2684C105

Meeting Type: Annual

Ticker: 532767

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect T. Indira Subbarami Reddy as Director	Mgmt	For	For
4	Approve M O S & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Elect J. N. Karamchetti as Independent Director	Mgmt	For	For
7	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## GlaxoSmithKline Consumer Healthcare Ltd.

**Meeting Date:** 08/05/2016

**Country:** India

**Primary Security ID:** Y2710K105

**Meeting Type:** Annual

**Ticker:** 500676

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4.1	Elect Kunal Kashyap as Director	Mgmt	For	For
4.2	Elect P. Dwarakanath as Director	Mgmt	For	For
4.3	Elect Naresh Dayal as Director	Mgmt	For	For
4.4	Elect Mukesh H. Butani as Director	Mgmt	For	For
4.5	Elect Sangeeta Talwar as Director	Mgmt	For	For
4.6	Elect Jaiboy John Phillips as Director	Mgmt	For	For
4.7	Elect Vivek Anand as Director	Mgmt	For	For
5	Approve Appointment and Remuneration of Vivek Anand as Executive Director, Designated as Director-Finance and CFO	Mgmt	For	For
6	Approve Appointment and Remuneration of Jaiboy John Phillips as Executive Director, Director-Operations	Mgmt	For	For

## GlaxoSmithKline Pharmaceuticals Ltd.

**Meeting Date:** 07/28/2016

**Country:** India

**Primary Security ID:** Y2709V112

**Meeting Type:** Annual

**Ticker:** 500660

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Raju Krishnaswamy as Director	Mgmt	For	For
4	Reelect Ronald C. Sequeira as Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## GlaxoSmithKline Pharmaceuticals Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Price Waterhouse & Co Bangalore LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Appointment and Remuneration of Annaswamy Vaidheesh as Managing Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Raju Krishnaswamy as Executive Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Ronald C. Sequeira as Executive Director	Mgmt	For	For
9	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For
10	Approve Remuneration of Directors	Mgmt	For	For

## Glenmark Pharmaceuticals Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y2711C144

**Meeting Type:** Annual

**Ticker:** 532296

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Reelect Rajesh Desai as Director	Mgmt	For	For
5	Approve Walker Chandiok & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Milind Sarwate as Independent Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Rajesh Desai as Executive Director	Mgmt	For	For
9	Approve Stock Option Plan Grants to Employees of the Company Under the Employee Stock Option Scheme 2016	Mgmt	For	Against
10	Approve Stock Option Plan Grants to Employees of Subsidiaries Under the Employee Stock Option Scheme 2016	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Global Logistic Properties Limited

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y27187106

**Meeting Type:** Annual

**Ticker:** MCO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Seek Ngee Huat as Director	Mgmt	For	For
3b	Elect Luciano Lewandowski as Director	Mgmt	For	For
3c	Elect Fang Fenglei as Director	Mgmt	For	For
4a	Elect Paul Cheng Ming Fun as Director	Mgmt	For	For
4b	Elect Yoichiro Furuse as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
8	Approve Grant of Awards and Issuance of Shares Under the GLP Performance Share Plan and/or the GLP Restricted Share Plan	Mgmt	For	For
9	Authorize Share Repurchase Program	Mgmt	For	For
10	Adopt New Constitution	Mgmt	For	For

## GMR Infrastructure Ltd

**Meeting Date:** 09/14/2016

**Country:** India

**Primary Security ID:** Y2730E121

**Meeting Type:** Annual

**Ticker:** 532754

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect G. B. S. Raju as Director	Mgmt	For	For
3	Approve S. R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Jayesh Desai as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## GMR Infrastructure Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Reelect Vissa Siva Kameswari as Independent Director	Mgmt	For	For
6	Reelect R.S.S.L.N. Bhaskarudu as Independent Director	Mgmt	For	For
7	Reelect N.C. Sarabeswaran as Independent Director	Mgmt	For	For
8	Reelect S. Sandilya as Independent Director	Mgmt	For	For
9	Reelect S. Rajagopal as Independent Director	Mgmt	For	For
10	Reelect C.R. Muralidharan as Independent Director	Mgmt	For	Against
11	Approve Remuneration of Cost Auditors	Mgmt	For	For
12	Approve Investment in Any Body Corporate	Mgmt	For	Against
13	Approve Provision of Loan to GMR Energy Limited	Mgmt	For	For
14	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

## Godfrey Phillips India Ltd.

Meeting Date: 09/26/2016

Country: India

Primary Security ID: Y2731H115

Meeting Type: Annual

Ticker: 500163

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect R. A. Shah as Director	Mgmt	For	Against
4	Reelect Bina Modi as Director	Mgmt	For	Against
5	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Appointment and Remuneration of Ruchir Kumar Modi as Non-Executive Director	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Godfrey Phillips India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Keeping of Register of Members and Related Documents at the Company's Registrar and Share Transfer Agents	Mgmt	For	For

## Godrej Consumer Products Ltd.

**Meeting Date:** 07/29/2016      **Country:** India      **Primary Security ID:** Y2732X135  
**Meeting Type:** Annual      **Ticker:** GODREJCP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Tanya Dubash as Director	Mgmt	For	For
4	Approve Kalyaniwalla & Mistry as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Reappointment and Remuneration of Adi Godrej as Executive Director designated as Chairman	Mgmt	For	For
7	Approve Reappointment and Remuneration of Nisaba Godrej as Executive Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of Vivek Gambhir as Executive Director	Mgmt	For	For

## Godrej Industries Ltd.

**Meeting Date:** 08/11/2016      **Country:** India      **Primary Security ID:** Y2733G164  
**Meeting Type:** Annual      **Ticker:** 500164

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect J. N. Godrej as Director	Mgmt	For	For
3	Reelect N. S. Nabar as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Godrej Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Kalyaniwalla & Mistry as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect A. D. Cooper as Independent Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of N. B. Godrej as Managing Director	Mgmt	For	For
7	Approve Investment in Godrej Properties Limited	Mgmt	For	For
8	Approve Investment in Godrej Agrovet Limited	Mgmt	For	For
9	Approve Investment in OPGS Power Gujarat Private Limited	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Godrej Properties Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y2735G139

Meeting Type: Annual

Ticker: 533150

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Parmeshwar A. Godrej as Director	Mgmt	For	For
3	Approve Kalyaniwalla & Mistry as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Commission Remuneration of Directors	Mgmt	For	For
5	Approve Waiver of Excess Remuneration of Pirojsha Godrej as Managing Director and CEO	Mgmt	For	For
6	Approve Waiver of Excess Remuneration of Mohit Malhotra as Executive Director	Mgmt	For	For
7	Approve Waiver of Excess Remuneration of K. T. Jithendran as Executive Director	Mgmt	For	For
8	Approve Revision in the Remuneration of Pirojsha Godrej as Managing Director and CEO with effect from April 1, 2016	Mgmt	For	For
9	Approve Revision in the Remuneration of Mohit Malhotra as Executive Director with effect from April 1, 2016	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Godrej Properties Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For

## Goldin Properties Holdings Ltd.

**Meeting Date:** 08/26/2016      **Country:** Hong Kong      **Primary Security ID:** Y2761P102  
**Meeting Type:** Annual      **Ticker:** 283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2A	Elect Pan Sutong as Director	Mgmt	For	For
2B	Elect Zhou Xiaojun as Director	Mgmt	For	For
2C	Elect Cheng Kwan Wai as Director	Mgmt	For	For
3	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve Deloitte Touche Tohmatsu as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
6	Adopt New Articles of Association	Mgmt	For	For

## Goodman Property Trust

**Meeting Date:** 07/27/2016      **Country:** New Zealand      **Primary Security ID:** Q4232A119  
**Meeting Type:** Annual      **Ticker:** GMT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Keith Smith as Director	Mgmt	For	For
2	Elect Peter Simmonds as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Grand Korea Leisure Co. Ltd.

**Meeting Date:** 09/29/2016

**Country:** South Korea

**Primary Security ID:** Y2847C109

**Meeting Type:** Special

**Ticker:** A114090

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Yoon Nam-soon as Inside Director	Mgmt	For	For

## Granules India Ltd

**Meeting Date:** 08/11/2016

**Country:** India

**Primary Security ID:** Y2849A135

**Meeting Type:** Annual

**Ticker:** 532482

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Harsha Chigurupati as Director	Mgmt	For	Against
4	Approve Kumar & Giri as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Grasim Industries Ltd.

**Meeting Date:** 09/23/2016

**Country:** India

**Primary Security ID:** Y28523135

**Meeting Type:** Annual

**Ticker:** 500300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Shailendra K. Jain as Director	Mgmt	For	For
4	Reelect Rajashree Birla as Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Grasim Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve G.P. Kapadia & Co. as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve BSR & Co. LLP as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Elect K. K. Maheshwari as Director	Mgmt	For	For
8	Elect Arun Kannan Thiagarajan as Independent Director	Mgmt	For	For
9	Elect Dilip Gaur as Director	Mgmt	For	For
10	Approve Appointment and Remuneration of Dilip Gaur as Managing Director	Mgmt	For	For
11	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
12	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
13	Approve Remuneration of Cost Auditors	Mgmt	For	For
14	Approve Stock Split	Mgmt	For	For
15	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
16	Amend Articles of Association to Reflect Changes in Capital	Mgmt	For	For

## Greaves Cotton Ltd

Meeting Date: 09/26/2016

Country: India

Primary Security ID: Y2881B140

Meeting Type: Annual

Ticker: 501455

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Karan Thapar as Director	Mgmt	For	For
4	Approve Deloitte Haskins and Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Kewal Handa as Independent Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Greaves Cotton Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Elect Vijay Rai as Independent Director	Mgmt	For	For
8	Change in place of keeping Registers and Returns	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Gree Inc.

Meeting Date: 09/27/2016

Country: Japan

Primary Security ID: J18807107

Meeting Type: Annual

Ticker: 3632

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 8	Mgmt	For	For
2.1	Elect Director Tanaka, Yoshikazu	Mgmt	For	For
2.2	Elect Director Fujimoto, Masaki	Mgmt	For	For
2.3	Elect Director Akiyama, Jin	Mgmt	For	For
2.4	Elect Director Araki, Eiji	Mgmt	For	For
2.5	Elect Director Shino, Sanku	Mgmt	For	For
2.6	Elect Director Maeda, Yuta	Mgmt	For	For
2.7	Elect Director Yamagishi, Kotaro	Mgmt	For	For
2.8	Elect Director Natsuno, Takeshi	Mgmt	For	For
2.9	Elect Director Iijima, Kazunobu	Mgmt	For	For
3.1	Appoint Statutory Auditor Seyama, Masahiro	Mgmt	For	For
3.2	Appoint Statutory Auditor Nagasawa, Toru	Mgmt	For	For
3.3	Appoint Statutory Auditor Shima, Koichi	Mgmt	For	For

## Guangdong Provincial Expressway Development Co., Ltd.

Meeting Date: 07/21/2016

Country: China

Primary Security ID: Y29303107

Meeting Type: Special

Ticker: 200429

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Guangdong Provincial Expressway Development Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Amendments to Articles of Association	Mgmt	For	For
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.a1	Elect Zhu Zhanliang as Non-Independent Director	Mgmt	For	For
2.a2	Elect Wang Chunhua as Non-Independent Director	Mgmt	For	For
2.a3	Elect Wang Ping as Non-Independent Director	Mgmt	For	For
2.a4	Elect Fang Zhi as Non-Independent Director	Mgmt	For	For
2.a5	Elect Zheng Renfa as Non-Independent Director	Mgmt	For	For
2.a6	Elect Ye Yongcheng as Non-Independent Director	Mgmt	For	For
2.a7	Elect Du Jun as Non-Independent Director	Mgmt	For	For
2.a8	Elect Liu Weimin as Non-Independent Director	Mgmt	For	For
2.a9	Elect Cao Yu as Non-Independent Director	Mgmt	For	For
2.a10	Elect Huang Hai as Non-Independent Director	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.2.1	Elect Tang Qingquan as Independent Director	Mgmt	For	For
2.2.2	Elect Xiao Duan as Independent Director	Mgmt	For	For
2.2.3	Elect Bao Fangzhou as Independent Director	Mgmt	For	For
2.2.4	Elect Gu Naikang as Independent Director	Mgmt	For	For
2.2.5	Elect Peng Xiaolei as Independent Director	Mgmt	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
3.1	Elect Ling Ping as Supervisor	Mgmt	For	For
3.2	Elect Li Haihong as Supervisor	Mgmt	For	For
3.3	Elect Wu Guangze as Supervisor	Mgmt	For	For
4	Approve Remuneration of Directors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Guangdong Provincial Expressway Development Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Remuneration of Supervisors	Mgmt	For	For
6	Approve Increase in Profit Distribution	SH	None	Against

## Guangdong Provincial Expressway Development Co., Ltd.

**Meeting Date:** 08/04/2016      **Country:** China      **Primary Security ID:** Y29303107  
**Meeting Type:** Special      **Ticker:** 200429

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Acquisition through Subscribing to Private Placement of GuoYuan Securities Co., Ltd.	Mgmt	For	For

## Guangdong Yueyun Transportation Company Limited

**Meeting Date:** 08/05/2016      **Country:** China      **Primary Security ID:** Y2930Z106  
**Meeting Type:** Special      **Ticker:** 3399

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Financial Services Agreement and Related Transactions	Mgmt	For	Against
2	Approve Maximum Daily Outstanding Balance of Deposits Placed by the Group with GCGFinance and Related Transactions	Mgmt	For	Against
3	Authorize Director to Take All Steps Necessary in Relation to the Financial Services Agreement	Mgmt	For	Against
4	Authorize Director to Execute All other Documents, Instruments and Agreements and to do All Such Acts Deemed by them Under the Financial Services Agreement	Mgmt	For	Against

## Gujarat Fluorochemicals Ltd.

**Meeting Date:** 09/26/2016      **Country:** India      **Primary Security ID:** Y2943S149  
**Meeting Type:** Annual      **Ticker:** GUJFLUORO

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Gujarat Fluorochemicals Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Pavan Jain as Director	Mgmt	For	Against
3	Approve Patankar & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Reappointment and Remuneration of Dinesh Kumar Sachdeva as Executive Director	Mgmt	For	For
5	Approve Reappointment and Remuneration of Anand Rambhau Bhusari as Executive Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Chandra Prakash Jain as Independent Director	Mgmt	For	For

## Gujarat Gas Ltd.

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y2943Q150

Meeting Type: Annual

Ticker: 539336

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Sanjeev Kumar as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect J.N. Singh as Director	Mgmt	For	For
6	Elect Sujit Gulati as Director	Mgmt	For	For
7	Elect T. Natarajan as Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Gujarat Mineral Development Corp. Ltd.

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y2946M131

Meeting Type: Annual

Ticker: 532181

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Gujarat Mineral Development Corp. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
4	Elect Shailesh Gandhi as Independent Director	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Gujarat Pipavav Port Ltd.

**Meeting Date:** 08/11/2016      **Country:** India      **Primary Security ID:** Y2946J104  
**Meeting Type:** Annual      **Ticker:** 533248

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividend	Mgmt	For	For
3	Reelect Julian Bevis as Director	Mgmt	For	For
4	Reelect Rizwan Soomar as Director	Mgmt	For	For
5	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Related Party Transactions	Mgmt	For	For
7	Approve Commission Remuneration for Independent Directors	Mgmt	For	For

## Gujarat State Petronet Ltd.

**Meeting Date:** 09/22/2016      **Country:** India      **Primary Security ID:** Y2947F101  
**Meeting Type:** Annual      **Ticker:** 532702

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Gujarat State Petronet Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Shridevi Shukla as Director	Mgmt	For	For
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect Sudhir Kumar Jain as Independent Director	Mgmt	For	For
6	Elect Bhadresh Mehta as Independent Director	Mgmt	For	For
7	Elect Sujit Gulati as Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Remuneration of M M Srivastava Non-Executive Chairman	Mgmt	For	For

## Guolian Securities Co., Ltd.

Meeting Date: 07/29/2016

Country: China

Primary Security ID: Y2960K102

Meeting Type: Special

Ticker: 1456

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Approve Class of Shares in Relation to the A Share Offering	Mgmt	For	For
1b	Approve Nominal Value per Share in Relation to the A Share Offering	Mgmt	For	For
1c	Approve Place of Listing in Relation to the A Share Offering	Mgmt	For	For
1d	Approve Offering Size in Relation to the A Share Offering	Mgmt	For	For
1e	Approve Target Subscribers in Relation to the A Share Offering	Mgmt	For	For
1f	Approve Strategic Placing in Relation to the A Share Offering	Mgmt	For	For
1g	Approve Method of Offering in Relation to the A Share Offering	Mgmt	For	For
1h	Approve Pricing Methodology in Relation to the A Share Offering	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Guolian Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1i	Approve Form of Underwriting in Relation to the A Share Offering	Mgmt	For	For
1j	Approve Conversion into Joint Stock Company in Relation to the A Share Offering	Mgmt	For	For
1k	Approve Transfer of State-owned Shares in Relation to the A Share Offering	Mgmt	For	For
1l	Approve Validity Period in Relation to the A Share Offering	Mgmt	For	For
2	Authorize Board to Deal with Matters in Relation to the A Share Offering	Mgmt	For	For
3	Approve Use of Proceeds in Relation to the A Share Offering	Mgmt	For	For
4	Approve Dividend Return Plan within Three Years after the A Share Offering	Mgmt	For	For
5	Approve Amendments to Articles of Association	Mgmt	For	For
6	Amend Rules of Procedures of the General Meetings	Mgmt	For	For
7	Amend Rules of Procedures of the Board of Directors	Mgmt	For	For
8	Amend Rules of Procedures of the Supervisory Committee	Mgmt	For	For
9	Approve Distribution Plan of the Accumulated Undistributed Profits before A Share Offering	Mgmt	For	For
10	Approve Price Establishing Plan for A Shares within Three Years after the A Share Offering	Mgmt	For	For
11	Approve Undertakings Regarding Information Disclosure in the Prospectus Published in Relation to the A Share Offering	Mgmt	For	For
12	Approve Dilution of Immediate Returns Arising from the A Share Offering	Mgmt	For	For
13	Approve Adoption of Policy on Management of Use of Proceeds	Mgmt	For	For
14	Amend Policy on Management of Connected Transactions	Mgmt	For	For
15	Adopt the Policy on Management of External Investment	Mgmt	For	For
16	Adopt the Policy on Management of External Guarantee	Mgmt	For	For
17	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors in Relation to the A Share Offering	Mgmt	For	For
18	Approve Change of Use of IPO Proceeds	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Guolian Securities Co., Ltd.

Meeting Date: 07/29/2016

Country: China

Primary Security ID: Y2960K102

Meeting Type: Special

Ticker: 1456

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1a	Approve Class of Shares in Relation to the A Share Offering	Mgmt	For	For
1b	Approve Nominal Value per Share in Relation to the A Share Offering	Mgmt	For	For
1c	Approve Place of Listing in Relation to the A Share Offering	Mgmt	For	For
1d	Approve Offering Size in Relation to the A Share Offering	Mgmt	For	For
1e	Approve Target Subscribers in Relation to the A Share Offering	Mgmt	For	For
1f	Approve Strategic Placing in Relation to the A Share Offering	Mgmt	For	For
1g	Approve Method of Offering in Relation to the A Share Offering	Mgmt	For	For
1h	Approve Pricing Methodology in Relation to the A Share Offering	Mgmt	For	For
1i	Approve Form of Underwriting in Relation to the A Share Offering	Mgmt	For	For
1j	Approve Conversion into Joint Stock Company in Relation to the A Share Offering	Mgmt	For	For
1k	Approve Transfer of State-owned Shares in Relation to the A Share Offering	Mgmt	For	For
1l	Approve Validity Period in Relation to the A Share Offering	Mgmt	For	For
2	Authorize Board to Deal with Matters in Relation to the A Share Offering	Mgmt	For	For
3	Approve Use of Proceeds in Relation to the A Share Offering	Mgmt	For	For
4	Approve Distribution Plan of the Accumulated Undistributed Profits before A Share Offering	Mgmt	For	For
5	Approve Price Establishing Plan for A Shares within Three Years after the A Share Offering	Mgmt	For	For
6	Approve Undertakings Regarding Information Disclosure in the Prospectus Published in Relation to the A Share Offering	Mgmt	For	For
7	Approve Dilution of Immediate Returns Arising from the A Share Offering	Mgmt	For	For



# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Guolian Securities Co., Ltd.

Meeting Date: 09/19/2016

Country: China

Primary Security ID: Y2960K102

Meeting Type: Special

Ticker: 1456

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	RESOLUTIONS TO BE PASSED RELATING TO A SHARE OFFERING	Mgmt		
1a	Approve Class of Shares in Relation to A Share Offering	Mgmt	For	For
1b	Approve Nominal Value Per Share in Relation to A Share Offering	Mgmt	For	For
1c	Approve Place of Listing in Relation to A Share Offering	Mgmt	For	For
1d	Approve Offering Size in Relation to A Share Offering	Mgmt	For	For
1e	Approve Target Subscribers in Relation to A Share Offering	Mgmt	For	For
1f	Approve Strategic Placing in Relation to A Share Offering	Mgmt	For	For
1g	Approve Method of Offering in Relation to A Share Offering	Mgmt	For	For
1h	Approve Pricing Methodology in Relation to A Share Offering	Mgmt	For	For
1i	Approve Form of Underwriting in Relation to A Share Offering	Mgmt	For	For
1j	Approve Conversion Into a Joint Stock Company with Limited Liability with Domestic and Overseas Listed Shares in Relation to A Share Offering	Mgmt	For	For
1k	Approve Transfer of State-owned Shares in Relation to A Share Offering	Mgmt	For	For
1l	Approve Resolutions Validity Period in Relation to A Share Offering	Mgmt	For	For
2	Authorize Board to Handle All Matters in Relation to A Share Offering	Mgmt	For	For
3	Approve Use of Proceeds from the A Share Offering	Mgmt	For	For
4	Approve Distribution Plan of the Accumulated Undistributed Profits Before the A Share Offering	Mgmt	For	For
5	Approve Undertakings Regarding Information Disclosure in the Prospectus Published in Connection to A Share Offering	Mgmt	For	For
6	Approve Price Stabilizing Plan for the A Shares Within Three Years After the A Share Offering	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Guolian Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Dilution of Immediate Return Arising from the A Share Offering and Remedial Measures	Mgmt	For	For
8	Amend Articles of Association	Mgmt	For	For
9	Approve Amendments to the Existing Rules of Procedures of the General Meetings	Mgmt	For	For

### Guolian Securities Co., Ltd.

Meeting Date: 09/19/2016

Country: China

Primary Security ID: Y2960K102

Meeting Type: Special

Ticker: 1456

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS TO BE PASSED RELATING TO A SHARE OFFERING	Mgmt		
1a	Approve Class of Shares in Relation to A Share Offering	Mgmt	For	For
1b	Approve Nominal Value Per Share in Relation to A Share Offering	Mgmt	For	For
1c	Approve Place of Listing in Relation to A Share Offering	Mgmt	For	For
1d	Approve Offering Size in Relation to A Share Offering	Mgmt	For	For
1e	Approve Target Subscribers in Relation to A Share Offering	Mgmt	For	For
1f	Approve Strategic Placing in Relation to A Share Offering	Mgmt	For	For
1g	Approve Method of Offering in Relation to A Share Offering	Mgmt	For	For
1h	Approve Pricing Methodology in Relation to A Share Offering	Mgmt	For	For
1i	Approve Form of Underwriting in Relation to A Share Offering	Mgmt	For	For
1j	Approve Conversion Into a Joint Stock Company with Limited Liability with Domestic and Overseas Listed Shares in Relation to A Share Offering	Mgmt	For	For
1k	Approve Transfer of State-owned Shares in Relation to A Share Offering	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Guolian Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Resolutions Validity Period in Relation to A Share Offering	Mgmt	For	For
2	Authorize Board to Handle All Matters in Relation to A Share Offering	Mgmt	For	For
3	Approve Use of Proceeds from the A Share Offering	Mgmt	For	For
4	Approve Distribution Plan of the Accumulated Undistributed Profits Before the A Share Offering	Mgmt	For	For
5	Approve Undertakings Regarding Information Disclosure in the Prospectus Published in Connection to A Share Offering	Mgmt	For	For
6	Approve Price Stabilizing Plan for the A Shares Within Three Years After the A Share Offering	Mgmt	For	For
7	Approve Dilution of Immediate Return Arising from the A Share Offering and Remedial Measures	Mgmt	For	For
8	Amend Articles of Association	Mgmt	For	For
9	Approve Amendments to the Existing Rules of Procedures of the General Meetings	Mgmt	For	For

## GVK Power & Infrastructure Ltd

Meeting Date: 07/07/2016

Country: India

Primary Security ID: Y2962K118

Meeting Type: Special

Ticker: 532708

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Increase in Overall Limit to Make Investments, Guarantees, and Securities	Mgmt	For	Against

## Haitong Securities Co., Ltd.

Meeting Date: 09/23/2016

Country: China

Primary Security ID: Y2988F119

Meeting Type: Special

Ticker: 600837

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Haitong Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	ORDINARY RESOLUTIONS	Mgmt		
1.1	Elect Zhou Jie as Director	Mgmt	For	For
1.2	Elect Xu Jianguo as Director	Mgmt	For	For
2	Elect Lam Lee G. as Director	SH	For	Against
	SPECIAL RESOLUTIONS	Mgmt		
1	Amend Articles of Association and Rules of Procedures for Shareholders' General Meetings	Mgmt	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF OFFSHORE DEBT FINANCING INSTRUMENTS	Mgmt		
2.1	Approve Type in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.2	Approve Term in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.3	Approve Interest Rate in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.4	Approve Issuer, Issue Size, and Issue Method in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.5	Approve Issue Price in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.6	Approve Security and Other Credit Enhancement Arrangements in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.7	Approve Use of Proceeds in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.8	Approve Issue Target and Arrangements on Placement to Shareholders in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.9	Approve Guarantee Measures for Repayment in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.10	Approve Listing of Debt Financing Instruments	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Haitong Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.11	Approve Validity Period of Resolution in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.12	Approve Authorization for Issuance of Offshore Debt Financing Instruments	Mgmt	For	For

## Hanjin Heavy Industries & Construction Co.

**Meeting Date:** 07/08/2016      **Country:** South Korea      **Primary Security ID:** Y3R52V106  
**Meeting Type:** Special      **Ticker:** A097230

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	Against
2	Approve Private Placement below Par Value	Mgmt	For	For
3	Approve Issuance of Convertible Bonds below Par Value	Mgmt	For	For

## HANKYU REIT INC

**Meeting Date:** 08/25/2016      **Country:** Japan      **Primary Security ID:** J19573104  
**Meeting Type:** Special      **Ticker:** 8977

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives	Mgmt	For	For
2	Elect Executive Director Shiraki, Yoshiaki	Mgmt	For	For
3	Elect Alternate Executive Director Shoji, Toshinori	Mgmt	For	For
4.1	Elect Supervisory Director Uda, Tamio	Mgmt	For	For
4.2	Elect Supervisory Director Suzuki, Motofumi	Mgmt	For	For
5	Elect Alternate Supervisory Director Shioji, Hiroumi	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hanwha Investment & Securities Co. Ltd.

**Meeting Date:** 07/20/2016

**Country:** South Korea

**Primary Security ID:** Y2562Y104

**Meeting Type:** Special

**Ticker:** A003530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Issuance of New Shares under Par Value	Mgmt	For	Against

## Hartalega Holdings Berhad

**Meeting Date:** 08/23/2016

**Country:** Malaysia

**Primary Security ID:** Y31139101

**Meeting Type:** Annual

**Ticker:** HARTA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	Mgmt	For	For
2	Approve Remuneration of Directors	Mgmt	For	For
3	Elect Kuan Mun Leong as Director	Mgmt	For	For
4	Elect Kuan Mun Keng as Director	Mgmt	For	Against
5	Elect Danaraj A/L Nadarajah as Director	Mgmt	For	For
6	Elect Mohamed Zakri Bin Abdul Rashid as Director	Mgmt	For	For
7	Elect Tan Guan Cheong as Director	Mgmt	For	For
8	Approve Deloitte as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For

## Havells India Ltd.

**Meeting Date:** 07/13/2016

**Country:** India

**Primary Security ID:** Y3116C119

**Meeting Type:** Annual

**Ticker:** 517354

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Havells India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reappoint Surjit Kumar Gupta as Director	Mgmt	For	For
4	Reelect Anil Rai Gupta as Director	Mgmt	For	For
5	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Havells Employee Stock Purchase Scheme 2016 (ESPS 2016) and Its Implementation Through Trust	Mgmt	For	For
8	Authorize Havells Employees Welfare Trust to Subscribe to Shares of the Company Under the ESPS 2016	Mgmt	For	For
9	Approve Provision of Money to the Havells Employees Welfare Trust /Trustees for Subscription of Shares of the Company under the ESPS 2016	Mgmt	For	For
10	Reelect Avinash Parkash Gandhi as Independent Director	Mgmt	For	For
11	Reelect Vijay Kumar Chopra as Independent Director	Mgmt	For	For
12	Reelect Sunil Behari Mathur as Independent Director	Mgmt	For	For
13	Reelect Surender Kumar Tuteja as Independent Director	Mgmt	For	For
14	Reelect Adarsh Kishore as Independent Director	Mgmt	For	For
15	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For

## HCL Technologies Ltd.

Meeting Date: 09/27/2016

Country: India

Primary Security ID: Y3121G147

Meeting Type: Annual

Ticker: HCLTECH

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## HCL Technologies Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Roshni Nadar Malhotra as Director	Mgmt	For	For
3	Approve S. R. Batliboi & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Nishi Vasudeva as Independent Director	Mgmt	For	For

## HDFC Bank Limited

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y3119P174

Meeting Type: Annual

Ticker: HDFCBANK

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Keki Misty as Director	Mgmt	For	For
4	Reelect Renu Karnad as Director	Mgmt	For	Against
5	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Appoint Umesh Chandra Sarangi as Independent Director	Mgmt	For	For
7	Approve Related Party Transactions with Housing Development Finance Corp. Ltd.	Mgmt	For	For
8	Approve Related Party Transactions with HDB Financial Services Ltd.	Mgmt	For	For
9	Amend Terms of Appointment of Paresh Sukthankar, Deputy Managing Director	Mgmt	For	For
10	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
11	Increase Authorized Share Capital	Mgmt	For	For
12	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## HDFC Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13	Authorize Issuance of Perpetual Debt Instruments, Tier II Capital Bonds and Senior Long Term Infrastructure Bonds	Mgmt	For	For
14	Approve Stock Option Plan Grants	Mgmt	For	For

## Hero MotoCorp Ltd.

Meeting Date: 09/23/2016

Country: India

Primary Security ID: Y3194B108

Meeting Type: Annual

Ticker: 500182

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Suman Kant Munjal as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Paul Bradford as Independent Director	Mgmt	For	Against
6	Approve Reappointment and Remuneration of Pawan Munjal as Chairman, Managing Director & CEO	Mgmt	For	For
7	Approve Appointment and Remuneration of Vikram Sitaram Kasbekar as Head of Operations & Supply Chain	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Hexaware Technologies Ltd.

Meeting Date: 08/30/2016

Country: India

Primary Security ID: Y31825121

Meeting Type: Annual

Ticker: 532129

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hexaware Technologies Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Jimmy Mahtani as Director	Mgmt	For	Against
4	Reelect Kosmas Kalliarekos as Director	Mgmt	For	Against
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Reelect Basab Pradhan as Independent Director	Mgmt	For	Against
7	Reelect Christian Oecking as Independent Director	Mgmt	For	For
8	Elect Meera Shankar as Independent Director	Mgmt	For	For
9	Approve Keeping of Register of Members and Related Documents at the Office of the New Registrar and Share Transfer Agents	Mgmt	For	For

## Himachal Futuristic Communications Ltd.

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y3196Q145

Meeting Type: Annual

Ticker: 500183

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends on Cumulative Redeemable Preference Shares	Mgmt	For	For
3	Reelect Ranjeet Mal Kastia as Director	Mgmt	For	For
4	Approve Khandelwal Jain & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Mahendra Pratap Shukla as Independent Director	Mgmt	For	For
6	Reelect Bela Banerjee as Independent Director	Mgmt	For	For
7	Approve Reclassification of Certain Promoters of the Company	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Himatsingka Seide Ltd.

**Meeting Date:** 09/17/2016

**Country:** India

**Primary Security ID:** Y3196U138

**Meeting Type:** Annual

**Ticker:** 514043

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Aditya Himatsingka as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of D. K. Himatsingka as Executive Chairman	Mgmt	For	For
6	Approve Appointment and Remuneration of Shrikant Himatsingka as Managing Director & CEO	Mgmt	For	For
7	Approve Revision in the Remuneration of Aditya Himatsingka as Executive Director	Mgmt	For	Against
8	Elect V. Vasudevan as Director and Approve His Appointment and Remuneration as Executive Director	Mgmt	For	Against
9	Elect Sangeeta Kulkarni as Independent Director	Mgmt	For	For

## Hindalco Industries Ltd

**Meeting Date:** 09/14/2016

**Country:** India

**Primary Security ID:** Y3196V185

**Meeting Type:** Annual

**Ticker:** 500440

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Rajashree Birla as Director	Mgmt	For	Against
4	Reelect D. Bhattacharya as Director	Mgmt	For	For
5	Approve Singhi & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hindalco Industries Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Elect Girish Dave as Independent Director	Mgmt	For	For
8	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Approve Appointment and Remuneration of Satish Pai as Managing Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Praveen Kumar Maheshwari as Executive Director	Mgmt	For	For

## Hindustan Construction Co. Ltd

Meeting Date: 07/14/2016

Country: India

Primary Security ID: Y3213Q136

Meeting Type: Annual

Ticker: 500185

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Walker Chandio & Co.LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect N. R. Acharyulu as Director	Mgmt	For	For
4	Approve Payment of Remuneration to Ajit Gulabchand, Chairman and Managing Director	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
7	Approve Conversion of Loan to Equity Shares	Mgmt	For	Against

## Hindustan Petroleum Corporation Ltd.

Meeting Date: 09/08/2016

Country: India

Primary Security ID: Y3224R123

Meeting Type: Annual

Ticker: 500104

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hindustan Petroleum Corporation Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Pushp Kumar Joshi as Director	Mgmt	For	Against
4	Reelect Y. K. Gawali as Director	Mgmt	For	Against
5	Approve Remuneration of Statutory Auditors	Mgmt	For	For
6	Elect J. Ramaswamy as Director	Mgmt	For	Against
7	Elect Ram Niwas Jain as Independent Director	Mgmt	For	For
8	Elect Urvashi Sadhwani as Director	Mgmt	For	Against
9	Appoint Mukesh Kumar Surana as Chairman & Managing Director	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For
11	Approve Related Party Transactions	Mgmt	For	For
12	Amend Articles of Association Re: Increase Number of Directors	Mgmt	For	For
13	Increase Authorized Share Capital and Amend Memorandum & Articles of Association	Mgmt	For	For
14	Approve Bonus Issue	Mgmt	For	For

## Hiramatsu Inc.

**Meeting Date:** 09/05/2016

**Country:** Japan

**Primary Security ID:** J19688100

**Meeting Type:** Special

**Ticker:** 2764

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Appoint Statutory Auditor Suzuki, Yasuo	Mgmt	For	For
2	Appoint Alternate Statutory Auditor Iwata, Michiyuki	Mgmt	For	For
3	Approve Director Retirement Bonus	Mgmt	For	For
4	Approve Aggregate Compensation Ceiling for Statutory Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hisense Kelon Electrical Holdings Company Ltd.

Meeting Date: 09/26/2016

Country: China

Primary Security ID: Y3226R105

Meeting Type: Special

Ticker: 000921

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Supplemental Agreement to the Financial Services Agreement and Related Transactions	Mgmt	For	Against

## Hitachi Home & Life Solutions (India) Ltd.

Meeting Date: 07/25/2016

Country: India

Primary Security ID: Y3227X119

Meeting Type: Annual

Ticker: 523398

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Anil Shah as Director	Mgmt	For	For
4	Reelect Gurmeet Singh as Director	Mgmt	For	For
5	Approve Price Waterhouse & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Franz Cerwinka as Director	Mgmt	For	For
8	Elect Atsushi Ohtsuka as Director and Approve Appointment and Remuneration of Atsushi Ohtsuka as Managing Director	Mgmt	For	For
9	Elect Varghese Joseph as Director and Approve Appointment and Remuneration of Varghese Joseph	Mgmt	For	For
10	Approve Increase in the Remuneration of Anil Shah, CFO and Executive Director	Mgmt	For	For
11	Approve Reappointment and Remuneration of Anil Shah as CFO and Executive Director	Mgmt	For	For
12	Approve Increase in the Remuneration of Vinay Chauhan, Executive Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hitachi Home & Life Solutions (India) Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13	Approve Reappointment and Remuneration of Vinay Chauhan as Executive Director	Mgmt	For	For
14	Approve Increase in the Remuneration of Gurmeet Singh, Executive Director	Mgmt	For	For
15	Change Company Name	Mgmt	For	For
16	Approve Keeping of Register of Members at M/s. Link Intime India Pvt. Limited, Registrar and Transfer Agent.	Mgmt	For	For
17	Adopt New Articles of Association	Mgmt	For	For

## HNA Innovation (Hainan) Co., Ltd.

Meeting Date: 08/10/2016

Country: China

Primary Security ID: Y3283W112

Meeting Type: Special

Ticker: 600555

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Amend Articles of Association	SH	For	For
2	Approve Further Confirmation of Numbers of Board of Directors within Articles of Association	SH	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
3.01	Elect Guo Yajun as Non-Independent Director	SH	None	For
3.02	Elect Li Tie as Non-Independent Director	SH	None	For
3.03	Elect Liu Dan as Non-Independent Director	SH	None	For
3.04	Elect Li Qiang as Non-Independent Director	SH	None	For
3.05	Elect Liao Hongyu as Non-Independent Director	SH	None	For
3.06	Elect Yang Yingming as Non-Independent Director	SH	None	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
4.01	Elect Fu Jinde as Independent Director	SH	None	For
4.02	Elect Xu Linxiang as Independent Director	SH	None	For
4.03	Elect Shen Yinzhen as Independent Director	SH	None	For
4.04	Elect Qin Bo as Independent Director	SH	None	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## HNA Innovation (Hainan) Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.05	Elect Zhou Li as Independent Director	SH	None	For
5	Approve Allowance of Independent Directors	SH	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
6.01	Elect Quan Dong as Supervisor	SH	None	For
6.02	Elect Shi Yuming as Supervisor	SH	None	For

## HNA International Investment Holdings Ltd.

**Meeting Date:** 07/05/2016      **Country:** Hong Kong      **Primary Security ID:** Y3320Y113  
**Meeting Type:** Special      **Ticker:** 521

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## HNA International Investment Holdings Ltd.

**Meeting Date:** 07/12/2016      **Country:** Hong Kong      **Primary Security ID:** Y3320Y113  
**Meeting Type:** Special      **Ticker:** 521

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Subscription Agreement, Issuance of Convertible Bonds Under Specific Mandate and Related Transactions	Mgmt	For	For

## Housing Development & Infrastructure Ltd.

**Meeting Date:** 07/14/2016      **Country:** India      **Primary Security ID:** Y3722J102  
**Meeting Type:** Special      **Ticker:** 532873



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Housing Development & Infrastructure Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Issuance of Warrants on Preferential Basis to Sarang Wadhawan	Mgmt	For	For

## Housing Development & Infrastructure Ltd.

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y3722J102

Meeting Type: Annual

Ticker: 532873

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Thar & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Ashok Kumar Gupta as Independent Director	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

## Housing Development Finance Corp. Ltd.

Meeting Date: 07/27/2016

Country: India

Primary Security ID: Y37246207

Meeting Type: Annual

Ticker: 500010

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect D. M. Sukthankar as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Housing Development Finance Corp. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve PKF as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Issuance of Redeemable Non-Convertible Debentures and/or Other Hybrid Instruments	Mgmt	For	For
7	Approve Related Party Transactions with HDFC Bank Ltd.	Mgmt	For	For
8	Approve Increase in Borrowing Powers	Mgmt	For	For
9	Approve Reappointment and Remuneration of Keki M. Mistry as Managing Director	Mgmt	For	For

## HSIL Limited

Meeting Date: 07/04/2016

Country: India

Primary Security ID: Y32254149

Meeting Type: Special

Ticker: 500187

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Increase in Borrowing Powers	Mgmt	For	For
2	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## HSIL Limited

Meeting Date: 09/07/2016

Country: India

Primary Security ID: Y32254149

Meeting Type: Annual

Ticker: 500187

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## HSIL Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Rajendra Kumar Somany as Director	Mgmt	For	For
4	Approve Walker Chandio & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Adopt New Articles of Association	Mgmt	For	For
6	Approve Redesignation of Sandip Somany as Vice Chairman and Managing Director and Approve His Remuneration	Mgmt	For	For

## Huadian Energy Co., Ltd.

Meeting Date: 08/24/2016

Country: China

Primary Security ID: Y3120G114

Meeting Type: Special

Ticker: 900937

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Public Offering of Medium-term Notes	Mgmt	For	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.01	Elect Liu Bangjia as Non-Independent Director	Mgmt	For	For
2.02	Elect Mei Junchao as Non-Independent Director	Mgmt	For	For
2.03	Elect Zhang Sufei as Non-Independent Director	Mgmt	For	For
3	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For

## Huangshi Dongbei Electrical Appliance Co., Ltd.

Meeting Date: 07/01/2016

Country: China

Primary Security ID: Y3745A104

Meeting Type: Special

Ticker: 900956

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Huangshi Dongbei Electrical Appliance Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of 39.14% Equity in Huangshi Dongbei Electrical Group Co., Ltd by Huangshi Dongbei Cooler Industry Co., Ltd from Jiangsu Lock Electrical Group Co., Ltd as well as Change of Company Ultimate Shareholder	Mgmt	For	For
2	Amend Articles of Association	Mgmt	For	For

## Huatai Securities Co., Ltd.

Meeting Date: 09/13/2016

Country: China

Primary Security ID: Y37426106

Meeting Type: Special

Ticker: 601688

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES Approve Provision of Guarantee to Wholly-owned Subsidiary Huatai Securities (Shanghai) Asset Management Co., Ltd.	Mgmt	For	For

## Hyundai Merchant Marine Co.

Meeting Date: 07/15/2016

Country: South Korea

Primary Security ID: Y3843P102

Meeting Type: Special

Ticker: A011200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Reduction in Capital	Mgmt	For	For

## Hyundai Mobis Co.

Meeting Date: 07/07/2016

Country: South Korea

Primary Security ID: Y3849A109

Meeting Type: Special

Ticker: A012330

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hyundai Mobis Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Lim Young-deuk as Inside Director	Mgmt	For	For

## Ichibanya Co. Ltd.

**Meeting Date:** 08/25/2016      **Country:** Japan      **Primary Security ID:** J23124100  
**Meeting Type:** Annual      **Ticker:** 7630

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 80	Mgmt	For	For
2	Amend Articles to Change Fiscal Year End	Mgmt	For	For
3.1	Elect Director Hamajima, Toshiya	Mgmt	For	For
3.2	Elect Director Kawai, Naoya	Mgmt	For	For
3.3	Elect Director Hosono, Shuji	Mgmt	For	For
3.4	Elect Director Sakaguchi, Yuji	Mgmt	For	For
3.5	Elect Director Kuzuhara, Mamoru	Mgmt	For	For
3.6	Elect Director Miyazaki, Tatsuo	Mgmt	For	For
3.7	Elect Director Sugihara, Kazushige	Mgmt	For	For
3.8	Elect Director Ishiguro, Keiji	Mgmt	For	For
3.9	Elect Director Adachi, Shiro	Mgmt	For	For
3.10	Elect Director Osawa, Yoshiyuki	Mgmt	For	For

## Ichigo Office REIT Investment

**Meeting Date:** 07/30/2016      **Country:** Japan      **Primary Security ID:** J2382Z106  
**Meeting Type:** Special      **Ticker:** 8975

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ichigo Office REIT Investment

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Elect Executive Director Takatsuka, Yoshihiro	Mgmt	For	For
3.1	Elect Supervisory Director Fukunaga, Takaaki	Mgmt	For	For
3.2	Elect Supervisory Director Terada, Masahiro	Mgmt	For	For
4	Elect Alternate Executive Director Chiba, Keisuke	Mgmt	For	For
5	Elect Alternate Supervisory Director Kita, Nagahisa	Mgmt	For	For

## ICICI Bank Limited

Meeting Date: 07/11/2016

Country: India

Primary Security ID: Y3860Z132

Meeting Type: Annual

Ticker: ICICIBANK

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect Rajiv Sabharwal as Director	Mgmt	For	For
5	Reelect N.S. Kannan as Director	Mgmt	For	For
6	Approve B S R & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Appoint Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Elect Vijay Chandok as Director	Mgmt	For	For
9	Approve Reappointment and Remuneration of Vijay Chandok as a Executive Director	Mgmt	For	For
10	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
11	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## IDBI Bank Limited

Meeting Date: 07/22/2016

Country: India

Primary Security ID: Y40172119

Meeting Type: Annual

Ticker: 500116

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## IDBI Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Reappointment of Joint Statutory Auditors and Branch Statutory Auditor as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Gyan Prakash Joshi as Director	Mgmt	For	Against
4	Approve Appointment and Remuneration of Kishor Paraji Kharat as Managing Director and CEO	Mgmt	For	For
5	Elect Pankaj Jain as Director	Mgmt	For	Against
6	Reelect S. Ravi as Independent Director	Mgmt	For	Against
7	Reelect Ninad Karpe as Independent Director	Mgmt	For	Against
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
9	Authorize Issuance of Bonds	Mgmt	For	For
10	Increase Authorized Share Capital and Amend Articles of Association	Mgmt	For	For

## Idea Cellular Ltd

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y3857E100

Meeting Type: Annual

Ticker: IDEA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Kumar Mangalam Birla as Director	Mgmt	For	For
4	Reelect Sanjeev Aga as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Securities	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Idea Cellular Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Related Party Transactions	Mgmt	For	For
9	Elect Akshaya Moondra as Director	Mgmt	For	For
10	Approve Appointment and Remuneration of Akshaya Moondra as Executive Director	Mgmt	For	For

## IFCI Limited

Meeting Date: 09/28/2016

Country: India

Primary Security ID: Y8743E123

Meeting Type: Annual

Ticker: 500106

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend on Preference Shares as Final Dividend	Mgmt	For	For
3	Approve Interim Dividend on Equity Shares as Final Dividend	Mgmt	For	For
4	Reelect N. Balakrishnan as Director	Mgmt	For	For
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Authorize Issuance of Bonds and Non-Convertible Debentures	Mgmt	For	For
7	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For

## IIFL Holdings Limited

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y3914X109

Meeting Type: Annual

Ticker: IIFL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Nirmal Jain as Director	Mgmt	For	For
3	Reelect R Venkataraman as Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## IIFL Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Reelect Chandran Ratnaswami as Director	Mgmt	For	For
5	Approve Sharp & Tannan Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
7	Adopt New Articles of Association	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## IIFL Holdings Limited

**Meeting Date:** 08/06/2016      **Country:** India      **Primary Security ID:** Y3914X109  
**Meeting Type:** Special      **Ticker:** IIFL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Increase in Threshold of Loans, Investments, or Corporate Guarantees by the Company	Mgmt	For	Against

## IJM Corporation Berhad

**Meeting Date:** 08/24/2016      **Country:** Malaysia      **Primary Security ID:** Y3882M101  
**Meeting Type:** Annual      **Ticker:** IJM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Tan Boon Seng @ Krishnan as Director	Mgmt	For	For
2	Elect Hamzah bin Hasan as Director	Mgmt	For	For
3	Elect Soam Heng Choon as Director	Mgmt	For	For
4	Elect Goh Tian Sui as Director	Mgmt	For	For
5	Elect Abdul Halim bin Ali as Director	Mgmt	For	For
6	Elect David Frederick Wilson as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## IJM Corporation Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Abdul Halim bin Ali to Continue Office as Independent Non-Executive Director	Mgmt	For	For
9	Approve Remuneration of Directors	Mgmt	For	For
10	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
11	Approve Share Repurchase Program	Mgmt	For	For

## IJM Plantations Berhad

**Meeting Date:** 08/23/2016      **Country:** Malaysia      **Primary Security ID:** Y38811116  
**Meeting Type:** Annual      **Ticker:** IJMLNT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Tan Boon Seng @ Krishnan as Director	Mgmt	For	For
2	Elect Pushpanathan A/L S. A. Kanagarayar as Director	Mgmt	For	For
3	Elect Wong See Wah as Director	Mgmt	For	For
4	Elect M. Ramachandran A/L V. D. Nair as Director	Mgmt	For	For
5	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Wong See Wah to Continue Office as Independent Non-Executive Director	Mgmt	For	For
7	Approve M. Ramachandran A/L V. D. Nair to Continue Office as Independent Non-Executive Director	Mgmt	For	For
8	Approve Remuneration of Directors	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For

## India Cements Ltd.

**Meeting Date:** 08/29/2016      **Country:** India      **Primary Security ID:** Y39167153  
**Meeting Type:** Annual      **Ticker:** 530005

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## India Cements Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect Chitra Srinivasan as Director	Mgmt	For	For
5	Approve Brahmayya & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Rabinarayan Panda as Director	Mgmt	For	For
7	Elect S. Balasubramanian Adityan as Independent Director	Mgmt	For	For
8	Elect Arun Datta as Independent Director	Mgmt	For	For
9	Elect N. R. Krishnan as Independent Director	Mgmt	For	For
10	Elect V. Manickam as Independent Director	Mgmt	For	Against
11	Elect N. Srinivasan as Independent Director	Mgmt	For	For
12	Approve Appointment and Remuneration of N. Srinivasan as Managing Director	Mgmt	For	For
13	Approve Remuneration of Cost Auditors	Mgmt	For	For
14	Authorize Issuance of Non-Convertible Debentures/Bonds	Mgmt	For	For

## Indiabulls Housing Finance Ltd.

Meeting Date: 09/08/2016

Country: India

Primary Security ID: Y3R12A119

Meeting Type: Annual

Ticker: 535789

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Appoint Gagan Banga as an Executive Director designated as Vice-Chairman and Managing Director	Mgmt	For	For
4	Appoint Manjari Ashok Kacker as Non-Executive Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Indiabulls Housing Finance Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	Against
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	Against
8	Approve Conversion of Loan to Equity Shares	Mgmt	For	Against
9	Reelect Bisheshwar Prasad Singh as Independent Director	Mgmt	For	For
10	Reelect Labh Singh Sitara as Independent Director	Mgmt	For	For
11	Reelect Shamsher Singh Ahlawat as Independent Director	Mgmt	For	Against
12	Reelect Prem Prakash Mirdha as Independent Director	Mgmt	For	For
13	Reelect Gyan Sudha Misra as Independent Director	Mgmt	For	For

## Indiabulls Real Estate Ltd.

Meeting Date: 09/26/2016

Country: India

Primary Security ID: Y3912A101

Meeting Type: Annual

Ticker: 532832

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Narendra Gehlaut as Director	Mgmt	For	Against
3	Reelect Vishal Gaurishankar Damani as Director	Mgmt	For	For
4	Approve Walker Chandio & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Bisheshwar Prasad Singh as Independent Director	Mgmt	For	Against
6	Reelect Labh Singh Sitara as Independent Director	Mgmt	For	For
7	Reelect Shamsher Singh Ahlawat as Independent Director	Mgmt	For	Against
8	Reelect Aishwarya Katoch as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Indiabulls Real Estate Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Elect Gyan Sudha Misra as Independent Director	Mgmt	For	For
10	Authorize Issuance of Non-Convertible Debentures and/or Other Hybrid Instruments	Mgmt	For	For

## Indian Oil Corporation Ltd

**Meeting Date:** 09/14/2016      **Country:** India      **Primary Security ID:** Y3925Y112  
**Meeting Type:** Annual      **Ticker:** 530965

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect A.K. Sharma as Director	Mgmt	For	Against
4	Elect B.S. Canth as Director	Mgmt	For	Against
5	Elect Subroto Bagchi as Independent Director	Mgmt	For	For
6	Elect Sanjay Kapoor as Independent Director	Mgmt	For	For
7	Elect Parindu K. Bhagat as Independent Director	Mgmt	For	For
8	Elect G.K. Satish as Director	Mgmt	For	Against
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Authorize Issuance of Debentures	Mgmt	For	For

## Indian Overseas Bank Ltd.

**Meeting Date:** 07/18/2016      **Country:** India      **Primary Security ID:** Y39282119  
**Meeting Type:** Annual      **Ticker:** 532388

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Indian Overseas Bank Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Employee Stock Purchase Scheme	Mgmt	For	Against

## Indian Overseas Bank Ltd.

Meeting Date: 09/15/2016      Country: India      Primary Security ID: Y39282119  
Meeting Type: Special      Ticker: 532388

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Shares to Government of India	Mgmt	For	For

## Indo Count Industries Ltd

Meeting Date: 07/26/2016      Country: India      Primary Security ID: Y39739118  
Meeting Type: Annual      Ticker: 521016

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Confirm Interim Dividend	Mgmt	For	For
4	Approve Final Dividend	Mgmt	For	For
5	Reelect Kamal Mitra as Director	Mgmt	For	Against
6	Approve B. K. Shroff & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Elect Mohit Anilkumar Jain as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Mohit Anilkumar Jain as Managing Director	Mgmt	For	For
9	Approve Reappointment and Remuneration of Anil Kumar Jain as Executive Chairman	Mgmt	For	For
10	Approve Reappointment and Remuneration of Kailash R. Lalpuria as Executive Director	Mgmt	For	Against
11	Approve Reappointment and Remuneration of Kamal Mitra as Director (Works)	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Indo Count Industries Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
12	Approve Increase in Borrowing Powers	Mgmt	For	For
13	Approve Pledging of Assets for Debt	Mgmt	For	For

## Indo Count Industries Ltd

**Meeting Date:** 09/29/2016      **Country:** India      **Primary Security ID:** Y39739118  
**Meeting Type:** Special      **Ticker:** 521016

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Stock Split	Mgmt	For	For
2	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For

## Indoco Remedies Ltd.

**Meeting Date:** 07/29/2016      **Country:** India      **Primary Security ID:** Y3939C106  
**Meeting Type:** Annual      **Ticker:** 532612

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Aditi Panandikar as Director	Mgmt	For	For
4	Approve Patkar & Pendse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Keeping of Statutory Records of the Company at the Office of the Registrar and Share Transfer Agent of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Indraprastha Gas Ltd.

**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y39881100

**Meeting Type:** Annual

**Ticker:** 532514

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect M. Ravindran as Director	Mgmt	For	For
4	Approve Walker Chandiok & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment of Narendra Kumar as Managing Director	Mgmt	For	Against
6	Elect E.S. Ranganathan as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of E.S. Ranganathan as Managing Director	Mgmt	For	Against
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Contract for Purchase of APM gas for NCT of Delhi with GAIL (India) Limited	Mgmt	For	Against
10	Approve Contract for Purchase of PMT gas for NCT of Delhi with GAIL (India) Limited	Mgmt	For	Against
11	Approve Increase in Borrowing Powers	Mgmt	For	For
12	Approve Pledging of Assets for Debt	Mgmt	For	For

## IndusInd Bank Limited

**Meeting Date:** 07/01/2016

**Country:** India

**Primary Security ID:** Y3990M134

**Meeting Type:** Annual

**Ticker:** 532187

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect R. Seshasayee as Director	Mgmt	For	For
4	Approve Price Waterhouse Chartered Accountants LLP, Mumbai as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## IndusInd Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Elect Shanker Annaswamy as Independent Director	Mgmt	For	For
6	Elect T. T. Ram Mohan as Independent Director	Mgmt	For	For
7	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
8	Approve Remuneration of R. Seshasayee, Non-Executive Chairman	Mgmt	For	For
9	Authorize Issuance of Long Term Bonds/Non-Convertible Debentures on Private Placement	Mgmt	For	For

## Industrial & Infrastructure Fund Investment

Meeting Date: 09/30/2016

Country: Japan

Primary Security ID: J24393118

Meeting Type: Special

Ticker: 3249

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Allow Acquisition of Majority Stake in Foreign Real Estate Companies - Change Fiscal Term - Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Kuratsu, Yasuyuki	Mgmt	For	For
3.1	Elect Supervisory Director Takiguchi, Katsuaki	Mgmt	For	For
3.2	Elect Supervisory Director Honda, Kumi	Mgmt	For	For
4	Elect Alternate Executive Director Fukai, Toshiaki	Mgmt	For	For
5	Elect Alternate Supervisory Director Usami, Yutaka	Mgmt	For	Against

## Info Edge (India) Limited

Meeting Date: 07/25/2016

Country: India

Primary Security ID: Y40353107

Meeting Type: Annual

Ticker: 532777

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Info Edge (India) Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Kapil Kapoor as Director	Mgmt	For	For
4	Approve Price Waterhouse & Co Bangalore LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Payment of Commission to Non-Executive Directors	Mgmt	For	For
7	Approve Reappointment and Remuneration of Sanjeev Bikhchandani as Executive Vice-Chairman	Mgmt	For	For
8	Approve Reappointment and Remuneration of Hitesh Oberoi as Managing Director & CEO	Mgmt	For	For

## Infratil Limited

**Meeting Date:** 08/24/2016

**Country:** New Zealand

**Primary Security ID:** Q4933Q124

**Meeting Type:** Annual

**Ticker:** IFT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mark Tume as Director	Mgmt	For	For
2	Elect Alison Gerry as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Ingersoll Rand (India) Ltd.

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y4082M115

**Meeting Type:** Annual

**Ticker:** 500210

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ingersoll Rand (India) Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Jayantika Dave as Director	Mgmt	For	Against
4	Approve Price Waterhouse & Co Bangalore LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Amar Kaul as Manager of the Company, Designated as Vice President & General Manager - Air Solutions	Mgmt	For	Against
6	Elect Sekhar Natarajan as Director	Mgmt	For	For
7	Approve Remuneration of Ashish Bhavsar & Associates as Cost Auditors	Mgmt	For	For

## Inner Mongolia Eerduosi Resources Co., Ltd.

Meeting Date: 08/23/2016

Country: China

Primary Security ID: Y40841127

Meeting Type: Special

Ticker: 900936

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Company's Eligibility for Private Placement of Shares	Mgmt	For	For
	APPROVE PRIVATE PLACEMENT OF SHARES	Mgmt		
2.01	Approve Share Type and Par Value	Mgmt	For	For
2.02	Approve Issue Manner and Issue Time	Mgmt	For	For
2.03	Approve Target Subscribers	Mgmt	For	For
2.04	Approve Pricing Reference Date, Issue Price and Pricing Principle	Mgmt	For	For
2.05	Approve Issue Size	Mgmt	For	For
2.06	Approve Subscription Method	Mgmt	For	For
2.07	Approve Lock-up Period	Mgmt	For	For
2.08	Approve Use of Proceeds	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Inner Mongolia Eerduosi Resources Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.09	Approve Distribution Arrangement of Cumulative Earnings	Mgmt	For	For
2.10	Approve Listing Exchange	Mgmt	For	For
2.11	Approve Resolution Validity Period	Mgmt	For	For
3	Approve Plan on Private Placement of Shares	Mgmt	For	For
4	Approve Feasibility Analysis Report on the Use of Proceeds	Mgmt	For	For
5	Approve Establishment of Special Account for Raised Funds	Mgmt	For	For
6	Approve Impact of Dilution of Current Returns and the Relevant Measures to be Taken	Mgmt	For	For
7	Approve Undertakings of Remedial Measures by the Relevant Parties in Relation to the Dilution of Current Returns as a Result of the Private Placement	Mgmt	For	For
8	Approve Authorization of Board to Handle All Matters Related to Private Placement	Mgmt	For	For
9	Approve Company's Eligibility for Corporate Bond Issuance	Mgmt	For	For
	APPROVE CORPORATE BOND ISSUANCE	Mgmt		
10.01	Approve Issue Size	Mgmt	For	For
10.02	Approve Issue Manner	Mgmt	For	For
10.03	Approve Par Value and Issue Price	Mgmt	For	For
10.04	Approve Target Subscribers and Placing Arrangement for Shareholders	Mgmt	For	For
10.05	Approve Bond Maturity	Mgmt	For	For
10.06	Approve Use of Proceeds	Mgmt	For	For
10.07	Approve Arrangement on Redemption and Sale-back	Mgmt	For	For
10.08	Approve Company's Creditworthiness and Safeguard Measures of Debts Repayment	Mgmt	For	For
10.09	Approve Listing Exchange	Mgmt	For	For
10.10	Approve Resolution Validity Period	Mgmt	For	For
11	Approve Authorization of Board to Handle All Matters Related to Corporate Bond Issuance	Mgmt	For	For
12	Approve Provision of Guarantee to Subsidiary	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Invincible Investment Corp

**Meeting Date:** 09/26/2016

**Country:** Japan

**Primary Security ID:** J2442V103

**Meeting Type:** Special

**Ticker:** 8963

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Fukuda, Naoki	Mgmt	For	For
3	Elect Alternate Executive Director Christopher Reed	Mgmt	For	For
4.1	Elect Supervisory Director Takahashi, Takashi	Mgmt	For	For
4.2	Elect Supervisory Director Fujimoto, Hiroyuki	Mgmt	For	For

## Ipca Laboratories Limited

**Meeting Date:** 08/11/2016

**Country:** India

**Primary Security ID:** Y4175R146

**Meeting Type:** Annual

**Ticker:** 524494

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect A.K. Jain as Director	Mgmt	For	For
3	Reelect Pranay Godha as Director	Mgmt	For	For
4	Approve Natvarlal Vepari & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of Prashant Godha as Executive Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Premchand Godha as Managing Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## IRB Infrastructure Developers Limited

**Meeting Date:** 09/23/2016

**Country:** India

**Primary Security ID:** Y41784102

**Meeting Type:** Annual

**Ticker:** IRB

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## IRB Infrastructure Developers Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividends	Mgmt	For	For
3	Reelect Mukeshlhal Gupta as Director	Mgmt	For	Against
4	Approve S. R. Batliboi & Co. LLP and Gokhale & Sathe as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Sunil Tandon as Independent Director	Mgmt	For	For
6	Approve Revision in the Remuneration of Virendra D. Mhaikar as Managing Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Deepali V. Mhaikar as Executive Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Increase in Borrowing Powers	Mgmt	For	For

## ISGEC Heavy Engineering Ltd

Meeting Date: 08/10/2016

Country: India

Primary Security ID: Y7529L129

Meeting Type: Annual

Ticker: 533033

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Ranjit Puri as Director	Mgmt	For	For
4	Approve S. S. Kothari Mehta & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Sirharth Prasad as Independent Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Nina Puri as Executive Director	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Istyle Inc.

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J25587106

**Meeting Type:** Annual

**Ticker:** 3660

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Yoshimatsu, Tetsuro	Mgmt	For	Against
1.2	Elect Director Hara, Meyumi	Mgmt	For	For
1.3	Elect Director Sugawara, Kei	Mgmt	For	For
1.4	Elect Director Tsukuda, Shinichiro	Mgmt	For	For
1.5	Elect Director Takamatsu, Yuko	Mgmt	For	For
1.6	Elect Director Naka, Michimasa	Mgmt	For	For
2	Approve Stock Option Plan	Mgmt	For	Against

## ITC Ltd.

**Meeting Date:** 07/22/2016

**Country:** India

**Primary Security ID:** Y4211T171

**Meeting Type:** Annual

**Ticker:** ITC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Nakul Anand as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Sanjiv Puri as Director and Approve His Appointment and Remuneration as Executive Director	Mgmt	For	For
6	Elect Rajiv Tandon as Director and Approve His Appointment and Remuneration as Executive Director	Mgmt	For	For
7	Elect Nirupama Rao as Independent Director	Mgmt	For	For
8	Reelect Yogesh Chander Deveshwar as Non-Executive Director and Approve His Appointment and Remuneration as Chairman	Mgmt	For	For
9	Approve Variation in the Terms of Remuneration to Executive Directors	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### ITC Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For
11	Approve Remuneration of Cost Auditors	Mgmt	For	For
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

### Ito En Ltd.

Meeting Date: 07/27/2016

Country: Japan

Primary Security ID: J25027103

Meeting Type: Annual

Ticker: 2593

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	For	For
2	Amend Articles to Indemnify Directors - Indemnify Statutory Auditors	Mgmt	For	For
3.1	Elect Director Honjo, Hachiro	Mgmt	For	For
3.2	Elect Director Honjo, Daisuke	Mgmt	For	For
3.3	Elect Director Honjo, Shusuke	Mgmt	For	For
3.4	Elect Director Ejima, Yoshito	Mgmt	For	For
3.5	Elect Director Hashimoto, Shunji	Mgmt	For	For
3.6	Elect Director Watanabe, Minoru	Mgmt	For	For
3.7	Elect Director Yashiro, Mitsuo	Mgmt	For	For
3.8	Elect Director Kobayashi, Yoshio	Mgmt	For	For
3.9	Elect Director Kanayama, Masami	Mgmt	For	For
3.10	Elect Director Nakano, Yoshihisa	Mgmt	For	For
3.11	Elect Director Kamiya, Shigeru	Mgmt	For	For
3.12	Elect Director Yosuke Jay Oceanbright Honjo	Mgmt	For	For
3.13	Elect Director Namioka, Osamu	Mgmt	For	For
3.14	Elect Director Soma, Fujitsugu	Mgmt	For	For
3.15	Elect Director Nakagomi, Shuji	Mgmt	For	For
3.16	Elect Director Ishizaka, Kenichiro	Mgmt	For	For
3.17	Elect Director Yoshida, Hideki	Mgmt	For	For
3.18	Elect Director Uchiki, Hirokazu	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ito En Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.19	Elect Director Taguchi, Morikazu	Mgmt	For	For
4	Appoint Statutory Auditor Takasawa, Yoshiaki	Mgmt	For	For

## J.K. Cement Limited

**Meeting Date:** 08/06/2016      **Country:** India      **Primary Security ID:** Y613A5100  
**Meeting Type:** Annual      **Ticker:** 532644

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Sushila Devi Singhania as Director	Mgmt	For	For
4	Approve P.L. Tandon & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect S. L. Bansal as Independent Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Increase in Borrowing Powers	Mgmt	For	Against
8	Approve Pledging of Assets for Debt	Mgmt	For	Against
9	Approve Reappointment and Remuneration of Yadupati Singhania as Chairman and Managing Director	Mgmt	For	For
10	Authorize Issuance of Non Convertible Debentures on Private Placement Basis	Mgmt	For	For

## Jagran Prakashan Ltd.

**Meeting Date:** 09/23/2016      **Country:** India      **Primary Security ID:** Y42140114  
**Meeting Type:** Annual      **Ticker:** 532705

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jagran Prakashan Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Reelect Sunil Gupta as Director	Mgmt	For	For
3	Reelect Satish Chandra Mishra as Director	Mgmt	For	For
4	Approve PriceWaterhouse Chartered Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Anita Nayyar as Independent Director	Mgmt	For	Against
6	Elect Vikram Sakhuja as Independent Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Mahendra Mohan Gupta as Chairman and Managing Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of Sanjay Gupta as Chief Executive Officer	Mgmt	For	For
9	Approve Reappointment and Remuneration of Shailesh Gupta as Executive Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of Dharendra Mohan Gupta as Executive Director	Mgmt	For	For
11	Approve Reappointment and Remuneration of Sunil Gupta as Executive Director	Mgmt	For	For

## Jain Irrigation Systems Ltd

Meeting Date: 09/30/2016

Country: India

Primary Security ID: Y42531148

Meeting Type: Annual

Ticker: 500219

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends Ordinary and DVR Equity Shares	Mgmt	For	For
3	Reelect Atul B. Jain as Director	Mgmt	For	For
4	Approve Haribhakti & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Amend Articles of Association	Mgmt	For	For
7	Ratify Disclosure in Respect of the 36.2 Million Compulsorily Convertible Debentures Issued to Mandala Rose Co-Investment Limited, Mauritius	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jaiprakash Associates Ltd.

**Meeting Date:** 09/28/2016

**Country:** India

**Primary Security ID:** Y42539117

**Meeting Type:** Annual

**Ticker:** 532532

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sunny Gaur as Director	Mgmt	For	Against
3	Reelect Rahul Kumar as Director	Mgmt	For	For
4	Approve M P Singh & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Option to Convert Loans, Debentures or Other Debt into Equity Shares	Mgmt	For	Against

## Jammu & Kashmir Bank Ltd. (The)

**Meeting Date:** 07/20/2016

**Country:** India

**Primary Security ID:** Y4S53D136

**Meeting Type:** Annual

**Ticker:** 532209

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
4	Elect Masooda Jabeen as Director	Mgmt	For	For
5	Amend Articles of Association	Mgmt	For	For
6	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
7	Amend Articles of Association: Board Size	Mgmt	For	For

## Jammu & Kashmir Bank Ltd. (The)

**Meeting Date:** 09/20/2016

**Country:** India

**Primary Security ID:** Y8743F112

**Meeting Type:** Special

**Ticker:** 532209

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jammu & Kashmir Bank Ltd. (The)

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Authorize Issuance of Bonds in the Nature of Debentures	Mgmt	For	For

## Jiangling Motors Corp. Ltd

**Meeting Date:** 08/12/2016      **Country:** China      **Primary Security ID:** Y4442C104  
**Meeting Type:** Special      **Ticker:** 000550

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Elect Xiao Dawei as Non-independent Director	SH	None	For

## Jiangxi Copper Company Ltd.

**Meeting Date:** 07/18/2016      **Country:** China      **Primary Security ID:** Y4446C100  
**Meeting Type:** Special      **Ticker:** 358

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE PROPOSED ISSUANCE OF A SHARES AND H SHARES	Mgmt		
1.1	Approve Class and Par Value of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.2	Approve Method of Issue in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.3	Approve Subscribers in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.4	Approve Method of Subscription in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.5	Approve Issue Price and Basis for Determining the Issue Price in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.6	Approve Number of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.7	Approve Lock-up Period and Place of Listing in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.8	Approve Total Funds to be Raised and Used in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.9	Approve Distribution of Profit in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.10	Approve Relationship Between the A Share Issue and the H Share Issue	Mgmt	For	For
1.11	Approve Validity Period of Resolution in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2	Approve Execution of Conditional Subscription Agreements and Related Transactions	Mgmt	For	For
3	Approve Proposal in Respect of the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
4	Approve Share Issuance Constituting the Connected Transactions	Mgmt	For	For
5	Authorize Board and/or the Board Committee Authorized by the Board to Complete Matters Relating to the Share Issuance	Mgmt	For	For
6	Approve Feasibility Report on Projects Funded by Use of Proceeds from the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
7	Approve Remedial Measures Regarding Dilution on Current Returns by the Non-public Share Issuance (Revised Version)	Mgmt	For	For
8	Approve Relevant Undertakings in Connection with the Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For
9	Approve Dividend Distribution Policy and 3-year Plan for Shareholders' Return (2016-2018)	Mgmt	For	For
10	Approve All Transactions Contemplated Under the A Share Issue which Constitute a Special Deal	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Jiangxi Copper Company Ltd.

Meeting Date: 07/18/2016

Country: China

Primary Security ID: Y4446C100

Meeting Type: Special

Ticker: 358

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	SPECIAL RESOLUTIONS	Mgmt		
1	Approve Satisfaction of the Conditions of Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE PROPOSED ISSUANCE OF A SHARES AND H SHARES	Mgmt		
2.1	Approve Class and Par Value of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.2	Approve Method of Issue in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.3	Approve Subscribers in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.4	Approve Method of Subscription in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.5	Approve Issue Price and Basis for Determining the Issue Price in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.6	Approve Number of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.7	Approve Lock-up Period and Place of Listing in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.8	Approve Total Funds to be Raised and Used in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.9	Approve Distribution of Profit in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.10	Approve Relationship Between the A Share Issue and the H Share Issue	Mgmt	For	For
2.11	Approve Validity Period of Resolution in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
3	Approve Execution of Conditional Subscription Agreements and Related Transactions	Mgmt	For	For
4	Approve Proposal in Respect of the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Share Issuance Constituting the Connected Transactions	Mgmt	For	For
6	Authorize Board and/or the Board Committee Authorized by the Board to Complete Matters Relating to the Share Issuance	Mgmt	For	For
7	Approve Feasibility Report on Projects Funded by Use of Proceeds from the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
8	Approve Remedial Measures Regarding Dilution on Current Returns by the Non-public Share Issuance (Revised Version)	Mgmt	For	For
9	Approve Relevant Undertakings in Connection with the Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For
10	Approve Dividend Distribution Policy and 3-year Plan for Shareholders' Return (2016-2018)	Mgmt	For	For
11	Approve All Transactions Contemplated Under the A Share Issue which Constitute a Special Deal	Mgmt	For	For
12	Approve Satisfaction of the Conditions of the Issuance of Bonds	Mgmt	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF THE COMPANY BONDS	Mgmt		
13.1	Approve Nominal Value, Issue Price and Size in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.2	Approve Target Subscribers and the Arrangement Regarding Subscription by Existing Shareholders of the Company in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.3	Approve Term and Types of Company Bonds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.4	Approve Interest Rate and Basis of Determination in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.5	Approve Guarantee in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.6	Approve Method of Issuance in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.7	Approve Use of Proceeds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.8	Approve Measures for Safeguarding Repayment in Relation to the Issuance of the Company Bonds	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13.9	Approve Place of listing of Company Bonds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.10	Approve Authorization to the Board in Respect of the Issuance of Company Bonds	Mgmt	For	For
13.11	Approve Validity of the Resolution in Relation to the Issuance of the Company Bonds	Mgmt	For	For
	ORDINARY RESOLUTIONS	Mgmt		
1	Approve Statement on Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For
2	Approve Waiver of Jiangxi Copper Corporation's Obligation to Make a General Offer of the Securities of the Company as a Result of the Share Issuance	Mgmt	For	For
3	Approve Application of the Whitewash Waiver by Jiangxi Copper Corporation	Mgmt	For	For
4	Approve Resignation of Liu Fangyun as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
5	Approve Resignation of Gan Chengjiu as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
6	Approve Resignation of Shi Jialiang as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
7	Approve Resignation of Deng Hui as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
8	Approve Resignation of Qiu Guanzhou as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
9	Approve Resignation of Wu Jinxing as Supervisor and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Approve Resignation of Wan Sujuan as Supervisor and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
	ELECT 3 EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
11.1	Elect Wang Bo as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
11.2	Elect Wu Jinxing as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
11.3	Elect Wu Yuneng as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
	ELECT 2 INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
12.1	Elect Sun Chuanxiao as Director and Authorize Any One Executive Director to Enter Into a Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
12.2	Elect Liu Erfei as Director and Authorize Any One Executive Director to Enter Into a Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
	ELECT 2 SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
13.1	Elect Liao Shengsen as Supervisor and Authorize Any One Director to Enter Into Service Contract or Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
13.2	Elect Zhang Jianhua as Supervisor and Authorize Any One Director to Enter Into Service Contract or Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For

## Jindal Steel and Power Ltd

**Meeting Date:** 07/15/2016

**Country:** India

**Primary Security ID:** Y4447P100

**Meeting Type:** Special

**Ticker:** JINDALSTEL

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jindal Steel and Power Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Divestment of 1000 MW Power Plant of Jindal Power Ltd. to JSW Energy Ltd.	Mgmt	For	For
2	Approve Sale of 920 MW Captive Power Plants of the Company	Mgmt	For	For
3	Change Location of Registered Office of the Company	Mgmt	For	For

## Jindal Steel and Power Ltd

Meeting Date: 08/01/2016

Country: India

Primary Security ID: Y4447P100

Meeting Type: Annual

Ticker: JINDALSTEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect Shallu Jindal as Director	Mgmt	For	Against
3	Reelect Dinesh Kumar Saraogi as Director	Mgmt	For	Against
4	Approve Lodha & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
8	Approve Related Party Transactions with Jindal Power Limited	Mgmt	For	For

## Jinzhou Port Co., Ltd.

Meeting Date: 07/20/2016

Country: China

Primary Security ID: Y44469115

Meeting Type: Special

Ticker: 900952

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jinzhou Port Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Related Party Transactions	Mgmt	For	Against

## JK Lakshmi Cement Ltd.

Meeting Date: 09/07/2016

Country: India

Primary Security ID: Y44451154

Meeting Type: Annual

Ticker: 500380

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Raghupati Singhania as Director	Mgmt	For	For
4	Approve Lodha & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Payment of Minimum Remuneration to Bharat Hari Singhania for FY 2015-2016 and Remaining Tenure for FY 2016-2017	Mgmt	For	Against
7	Approve Payment of Minimum Remuneration to Vinita Singhania for FY 2015-2016 and Remaining Tenure for FY 2016-2017	Mgmt	For	Against
8	Approve Payment of Minimum Remuneration to Sushil Kumar Wali for FY 2015-2016 and Remaining Tenure for FY 2016-2017	Mgmt	For	Against
9	Approve Payment of Minimum Remuneration to Shailendra Chouksey for FY 2015-2016 and Remaining Tenure for FY 2016-2017	Mgmt	For	Against
10	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
11	Approve Reappointment and Remuneration of Bharat Hari Singhania as Managing Director	Mgmt	For	For
12	Approve Reappointment and Remuneration of Vinita Singhania as Managing Director	Mgmt	For	For
13	Approve Reappointment and Remuneration of Sushil Kumar Wali as Executive Director	Mgmt	For	For
14	Approve Reappointment and Remuneration of Shailendra Chouksey as Executive Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## JK Lakshmi Cement Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
15	Approve Charitable Donations	Mgmt	For	Against

## JM Financial Ltd

Meeting Date: 08/02/2016

Country: India

Primary Security ID: Y44462110

Meeting Type: Annual

Ticker: 523405

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Nimesh Kampani as Director	Mgmt	For	For
4	Approve Khimji Kunverji & Co as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Vishal Kampani as Director	Mgmt	For	For
6	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
7	Authorize Issuance of Debentures	Mgmt	For	For
8	Approve Related Party Transactions with JM Financial Credit Solutions Limited	Mgmt	For	Against
9	Approve Related Party Transactions with JM Financial Asset Reconstruction Company Private Limited	Mgmt	For	Against

## Jollibee Foods Corporation

Meeting Date: 07/22/2016

Country: Philippines

Primary Security ID: Y4466S100

Meeting Type: Annual

Ticker: JFC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Minutes of the Annual Stockholders' Meeting Held on June 26, 2015 and Special Stockholders' Meeting Held on November 23, 2015	Mgmt	For	For
5	Approve 2015 Audited Financial Statements and Annual Report	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jollibee Foods Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Ratify Actions by the Board of Directors and Officers of the Corporation	Mgmt	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt		
7.1	Elect Tony Tan Caktiong as Director	Mgmt	For	For
7.2	Elect William Tan Untiong as Director	Mgmt	For	Against
7.3	Elect Ernesto Tanmantiong as Director	Mgmt	For	For
7.4	Elect Joseph C. Tanbuntiong as Director	Mgmt	For	Against
7.5	Elect Ang Cho Sit as Director	Mgmt	For	Against
7.6	Elect Antonio Chua Poe Eng as Director	Mgmt	For	Against
7.7	Elect Artemio V. Panganiban as Director	Mgmt	For	Against
7.8	Elect Monico V. Jacob as Director	Mgmt	For	For
7.9	Elect Cezar P. Consing as Director	Mgmt	For	For
8	Appoint External Auditors	Mgmt	For	For

## Joyful Honda Co., Ltd.

Meeting Date: 09/16/2016

Country: Japan

Primary Security ID: J29248101

Meeting Type: Annual

Ticker: 3191

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 31	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Maruoka, Tadashi	Mgmt	For	For
3.2	Elect Director Hosoya, Taketoshi	Mgmt	For	For
3.3	Elect Director Nonomiya, Hiroshi	Mgmt	For	For
4	Appoint Statutory Auditor Shikano, Hirofumi	Mgmt	For	For
5	Approve Trust-Type Equity Compensation Plan	Mgmt	For	For

## JSW Energy Ltd.

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y44677105

Meeting Type: Annual

Ticker: 533148

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## JSW Energy Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect Pramod Menon as Director	Mgmt	For	For
5	Approve Lodha & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
9	Approve Increase in Investment Limits	Mgmt	For	Against
10	Approve Acquisition of 1,000 MW Power Plant from Jindal Steel & Power Limited / Jindal Power Limited	Mgmt	For	For

## JSW Steel Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y44680109

Meeting Type: Annual

Ticker: 500228

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect Sajjan Jindal as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Malay Mukherjee as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## JSW Steel Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Elect Haigreave Khaitan as Independent Director	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
11	Authorize Issuance of Non-Convertible Foreign Currency Denominated Bonds	Mgmt	For	For
12	Approve Loans, Guarantees, Securities and/or Investments in Other Body Corporate	Mgmt	For	Against
13	Approve Increase in Borrowing Powers	Mgmt	For	For
14	Approve Pledging of Assets for Debt	Mgmt	For	For

## Jubilant Foodworks Ltd

Meeting Date: 09/01/2016

Country: India

Primary Security ID: Y4493W108

Meeting Type: Annual

Ticker: 533155

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Hari S. Bhartia as Director	Mgmt	For	For
4	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Jubilant Life Sciences Limited

Meeting Date: 08/30/2016

Country: India

Primary Security ID: Y44787110

Meeting Type: Annual

Ticker: 530019

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jubilant Life Sciences Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Shyam S Bhartia as Director	Mgmt	For	For
4	Approve B S R & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
5	Approve Reappointment and Remuneration of Hari s Bhartia as Co-Chairman and Managing Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Just Dial Limited

**Meeting Date:** 09/30/2016      **Country:** India      **Primary Security ID:** Y4S789102  
**Meeting Type:** Annual      **Ticker:** JUSTDIAL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Ramani Iyer as Director	Mgmt	For	Against
3	Approve S. R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Reappointment and Remuneration of V. S. S. Mani as Managing Director and CEO	Mgmt	For	For
5	Approve Reappointment and Remuneration of V. Krishnan as Executive Director	Mgmt	For	For
6	Approve Revision in the Remuneration of Ramani Iyer, Executive Director	Mgmt	For	For

## JVM Co.

**Meeting Date:** 08/09/2016      **Country:** South Korea      **Primary Security ID:** Y4S785100  
**Meeting Type:** Special      **Ticker:** A054950

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## JVM Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.1	Elect Kim Jae-seok as Inside Director	Mgmt	For	For
2.2	Elect Woo Gi-seok as Inside Director	Mgmt	For	For
2.3	Elect Min Gyeong-yoon as Outside Director	Mgmt	For	For
2.4	Elect Han Ik-su as Outside Director	Mgmt	For	For
3	Appoint Kim Jeong-su as Internal Auditor	Mgmt	For	For

## Jyothy Laboratories Ltd.

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y44792102

Meeting Type: Annual

Ticker: 532926

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Reelect K. Ullas Kamath as Director	Mgmt	For	Against
5	Approve SRBC & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Appointment and Remuneration of M.P. Ramachandran as Chairman and Managing Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## K.P.R. MILL LTD

Meeting Date: 08/25/2016

Country: India

Primary Security ID: Y4964Y104

Meeting Type: Annual

Ticker: 532889

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## K.P.R. MILL LTD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect P. Selvakumar as Director	Mgmt	For	For
4	Approve Deloitte Haskins and Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect E. K. Sakthivel as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of E. K. Sakthivel as Executive Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Kajaria Ceramics Ltd.

Meeting Date: 08/24/2016

Country: India

Primary Security ID: Y45199133

Meeting Type: Annual

Ticker: 500233

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Basant Kumar Sinha as Director	Mgmt	For	Against
4	Approve O.P. Bagla & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Ashok Kajaria as Chairman & Managing Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Basant Kumar Sinha as Director-Technical	Mgmt	For	For
7	Approve Stock Split	Mgmt	For	For
8	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For

## Kalpataru Power Transmission Ltd

Meeting Date: 08/12/2016

Country: India

Primary Security ID: Y45237131

Meeting Type: Annual

Ticker: 522287

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Kalpataru Power Transmission Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend	Mgmt	For	For
3	Reelect Anjali Seth as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Imtiaz I. Kanga as Director	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Kama Co. Ltd.

**Meeting Date:** 07/15/2016      **Country:** China      **Primary Security ID:** Y45197103  
**Meeting Type:** Special      **Ticker:** 900953

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
1.01	Elect Zhou Ciming as Independent Director	Mgmt	For	For

## Kan-Nanmaru Corp.

**Meeting Date:** 09/23/2016      **Country:** Japan      **Primary Security ID:** J30073100  
**Meeting Type:** Annual      **Ticker:** 7585

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 16	Mgmt	For	For
2.1	Elect Director Sato, Eiji	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Kan-Nanmaru Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.2	Elect Director Sato, Kyoko	Mgmt	For	Against
2.3	Elect Director Mitome, Masahiro	Mgmt	For	For

## Karnataka Bank Ltd. (The)

**Meeting Date:** 07/28/2016      **Country:** India      **Primary Security ID:** Y4590V128  
**Meeting Type:** Annual      **Ticker:** 532652

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Approve Kamath & Rau as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect U R Bhat as Independent Director	Mgmt	For	For
6	Elect Keshav K Desai as Independent Director	Mgmt	For	For

## Karur Vysya Bank Ltd.

**Meeting Date:** 07/21/2016      **Country:** India      **Primary Security ID:** Y4589P124  
**Meeting Type:** Annual      **Ticker:** 590003

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect A J Suriyanarayana as Director	Mgmt	For	For
4	Reelect M K Venkatesan as Director	Mgmt	For	For
5	Approve Abarna & Ananthan as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Karur Vysya Bank Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Appointment and Remuneration of B. Swaminathan as Part-Time Chairman	Mgmt	For	For
8	Elect M V Srinivasamoorthi as Director	Mgmt	For	For
9	Elect CA K L Vijayalakshmi as Independent Director	Mgmt	For	For
10	Elect K S Ravichandran as Independent Director	Mgmt	For	For

## Kaveri Seed Company Limited

Meeting Date: 09/27/2016

Country: India

Primary Security ID: Y458A4137

Meeting Type: Annual

Ticker: 532899

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend	Mgmt	For	For
3	Reelect G. Pawan as Director	Mgmt	For	Against
4	Approve P. R. Reddy & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G. V. Bhaskar Rao as Managing Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of G. Vanaja Devi as Executive Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of C. Vamsheedhar as Executive Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of C. Mithun Chand as Executive Director	Mgmt	For	For
9	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For

## KEC International Ltd.

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y4605M113

Meeting Type: Annual

Ticker: 532714

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## KEC International Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect R. D. Chandak as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Appoint Branch Auditors	Mgmt	For	For
6	Reelect Vimal Kejriwal as Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Increase in Borrowing Powers	Mgmt	For	Against
9	Approve Pledging of Assets for Debt	Mgmt	For	Against

## KEPCO Plant Service & Engineering Co. Ltd.

**Meeting Date:** 07/15/2016

**Country:** South Korea

**Primary Security ID:** Y7076A104

**Meeting Type:** Special

**Ticker:** A051600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Noh Geon-gi as Non-independent Non-executive Director	Mgmt	For	For

## Keyence Corp.

**Meeting Date:** 09/09/2016

**Country:** Japan

**Primary Security ID:** J32491102

**Meeting Type:** Annual

**Ticker:** 6861

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, With a Final Dividend of JPY 50	Mgmt	For	Against
2	Appoint Alternate Statutory Auditor Takeda, Hidehiko	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Kiwi Property Group Ltd

**Meeting Date:** 07/29/2016

**Country:** New Zealand

**Primary Security ID:** Q5349C104

**Meeting Type:** Annual

**Ticker:** KPG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Jane Freeman as Director	Mgmt	For	For
2	Elect Mary Jane Daly as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
4	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## Korea Aerospace Industries Co. Ltd.

**Meeting Date:** 09/09/2016

**Country:** South Korea

**Primary Security ID:** Y4838Q105

**Meeting Type:** Special

**Ticker:** A047810

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Cho Yoo-haeng as Member of Audit Committee	Mgmt	For	Against

## Korea District Heating Corp.

**Meeting Date:** 09/23/2016

**Country:** South Korea

**Primary Security ID:** Y4481W102

**Meeting Type:** Special

**Ticker:** A071320

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Lee Bo-hui as Outside Director	Mgmt	For	For

## Korea Gas Corp.

**Meeting Date:** 07/06/2016

**Country:** South Korea

**Primary Security ID:** Y48861101

**Meeting Type:** Special

**Ticker:** A036460

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Korea Gas Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Kim Myeong-nam as Inside Director	Mgmt	For	For
1.2	Elect Ahn Wan-gi as Inside Director	Mgmt	For	For

## Kotak Mahindra Bank Ltd

Meeting Date: 07/22/2016

Country: India

Primary Security ID: Y4964H150

Meeting Type: Annual

Ticker: 500247

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve that the Vacancy on the Board Resulting from the Retirement of N.P. Sarda Not be Filled	Mgmt	For	For
5	Elect C. Jayaram as Director	Mgmt	For	For
6	Approve Remuneration of Shankar Acharya, Chairman of the Company	Mgmt	For	For
7	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For

## Kotak Mahindra Bank Ltd

Meeting Date: 08/31/2016

Country: India

Primary Security ID: Y4964H150

Meeting Type: Special

Ticker: 500247

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Authorize Issuance of Unsecured, Redeemable Non-Convertible Debentures/Bonds	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## KPIT Technologies Limited

**Meeting Date:** 08/24/2016

**Country:** India

**Primary Security ID:** Y4984R147

**Meeting Type:** Annual

**Ticker:** 532400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
4	Reelect B v R Subbu as Director	Mgmt	For	Against
5	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Sachin Tikekar as Executive Director	Mgmt	For	For

## KRBL Ltd

**Meeting Date:** 09/08/2016

**Country:** India

**Primary Security ID:** Y4991N105

**Meeting Type:** Annual

**Ticker:** 530813

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Anoop Kumar Gupta as Director	Mgmt	For	Against
3	Reelect Ashok Chand as Director	Mgmt	For	Against
4	Approve Vinod Kumar Bindal & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Revision in the Remuneration of Priyanka Mittal, Executive Director	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## KUSURI NO AOKI CO LTD

**Meeting Date:** 08/18/2016

**Country:** Japan

**Primary Security ID:** J37523107

**Meeting Type:** Annual

**Ticker:** 3398

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 6.25	Mgmt	For	For
2	Approve Share Exchange Agreement with KUSURI NO AOKI HOLDINGS CO., LTD.	Mgmt	For	For
3.1	Elect Director Aoki, Keisei	Mgmt	For	For
3.2	Elect Director Aoki, Yasutoshi	Mgmt	For	For
3.3	Elect Director Aoki, Hironori	Mgmt	For	For
3.4	Elect Director Yahata, Ryoichi	Mgmt	For	For
3.5	Elect Director Yoshino, Kunihiko	Mgmt	For	For
3.6	Elect Director Tsuruha, Tatsuru	Mgmt	For	For
3.7	Elect Director Okada, Motoya	Mgmt	For	For
4	Appoint Statutory Auditor Hirota, Kazuo	Mgmt	For	For
5	Appoint Alternate Statutory Auditor Morioka, Shinichi	Mgmt	For	For
6	Approve Director and Statutory Auditor Retirement Bonus	Mgmt	For	Against

## Kyungbang Co.

**Meeting Date:** 09/09/2016

**Country:** South Korea

**Primary Security ID:** Y5093B105

**Meeting Type:** Special

**Ticker:** A000050

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For
2	Approve Stock Split	Mgmt	For	For

## L&T Finance Holdings Limited

**Meeting Date:** 08/23/2016

**Country:** India

**Primary Security ID:** Y5153Q109

**Meeting Type:** Annual

**Ticker:** 533519

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## L&T Finance Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect R. Shankar Raman as Director	Mgmt	For	For
4	Approve B. K. Khare & Co. and Deloitte Haskins & Sells LLP as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Amit Chandra as Director	Mgmt	For	For
6	Elect Dinanath Dubhashi as Director and Approve His Appointment and Remuneration as Executive Director designated as Deputy Managing Director with effect from April 14, 2016 to July 21, 2016 and as Managing Director from July 22, 2016 to April 13, 2021	Mgmt	For	For
7	Approve Commission Remuneration to Non-Executive Directors	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures/Bonds	Mgmt	For	For
9	Authorize Issuance of Cumulative Compulsorily Redeemable Non-Convertible Preference Shares	Mgmt	For	Against

## La Opala Rg Ltd

Meeting Date: 08/13/2016

Country: India

Primary Security ID: Y5211N148

Meeting Type: Annual

Ticker: 526947

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Sushil Jhunjhunwala as Director	Mgmt	For	For
4	Reelect Arun Churiwal as Director	Mgmt	For	Against
5	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Lakshmi Machine Works Ltd

**Meeting Date:** 08/05/2016

**Country:** India

**Primary Security ID:** Y5154R130

**Meeting Type:** Annual

**Ticker:** 500252

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Approve that the Vacancy on the Board Resulting from the Retirement of R. Rajendran Not be Filled	Mgmt	For	For
4	Approve Subbachar and Srinivasan and S Krishnamoorthy & Co as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
6	Approve Reappointment and Remuneration of Sanjay Jayavarthanavelu as Managing Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Larsen & Toubro Ltd

**Meeting Date:** 08/26/2016

**Country:** India

**Primary Security ID:** Y5217N159

**Meeting Type:** Annual

**Ticker:** 500510

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Elect Sunita Sharma as Director	Mgmt	For	For
4	Reelect S. N. Subrahmanyam as Director	Mgmt	For	For
5	Reelect A. M Naik as Director	Mgmt	For	For
6	Elect D. K Sen as Director	Mgmt	For	For
7	Elect M. V Satish as Director	Mgmt	For	For
8	Elect Naina Lal Kidwai as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Larsen & Toubro Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Appointment and Remuneration of S. N Subrahmanyam as Deputy Managing Director and President	Mgmt	For	For
10	Approve Appointment and Remuneration of D. K Sen as Executive Director	Mgmt	For	For
11	Approve Appointment and Remuneration of M. V Satish as Executive Director	Mgmt	For	For
12	Approve Commission Remuneration for Executive Directors	Mgmt	For	Against
13	Approve Reappointment and Remuneration of R. Shankar Raman as Executive Director	Mgmt	For	Against
14	Approve Reappointment and Remuneration of Shailendra Roy as Executive Director	Mgmt	For	Against
15	Elect Sanjeev Aga as Independent Director	Mgmt	For	For
16	Elect Narayanan Kumar as Independent Director	Mgmt	For	For
17	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
18	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
19	Approve Sharp & Tannan as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
20	Approve Deloitte Haskins & Sells as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
21	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Lenovo Group Limited

Meeting Date: 07/07/2016

Country: Hong Kong

Primary Security ID: Y5257Y107

Meeting Type: Annual

Ticker: 992

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Gordon Robert Halyburton Orr as Director	Mgmt	For	For
3b	Elect Zhu Linan as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Lenovo Group Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3c	Elect Tian Suning as Director	Mgmt	For	For
3d	Elect William Tudor Brown as Director	Mgmt	For	For
3e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## LIC Housing Finance Ltd.

**Meeting Date:** 08/19/2016

**Country:** India

**Primary Security ID:** Y5278Z133

**Meeting Type:** Annual

**Ticker:** LICHSGFIN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Savita Singh as Director	Mgmt	For	For
4	Approve Chokshi & Chokshi LLP and Shah Gupta & Co. as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For
6	Elect Ameet N Patel as Independent Director	Mgmt	For	For
7	Elect Usha Sangwan as Director	Mgmt	For	For

## Link Real Estate Investment Trust

**Meeting Date:** 07/27/2016

**Country:** Hong Kong

**Primary Security ID:** Y5281M111

**Meeting Type:** Annual

**Ticker:** 823

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Link Real Estate Investment Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Note the Financial Statements and Statutory Report	Mgmt		
2	Note the Appointment of Auditor and Fixing of Their Remuneration	Mgmt		
3.1	Elect William Chan Chak Cheung as Director	Mgmt	For	For
3.2	Elect David Charles Watt as Director	Mgmt	For	For
4.1	Elect Poh Lee Tan as Director	Mgmt	For	For
4.2	Elect Nicholas Charles Allen as Director	Mgmt	For	For
4.3	Elect Ed Chan Yiu Cheong as Director	Mgmt	For	For
4.4	Elect Blair Chilton Pickerell as Director	Mgmt	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For

## Lupin Ltd.

Meeting Date: 08/03/2016

Country: India

Primary Security ID: Y5362X101

Meeting Type: Annual

Ticker: 500257

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements	Mgmt	For	For
2	Accept Consolidated Financial Statements	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect Nilesh Gupta as Director	Mgmt	For	For
5	Approve BSR & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Appointment and Remuneration Ramesh Swaminathan as CFO and Executive Director	Mgmt	For	For
7	Elect Jean-Luc Belingard as an Independent Director	Mgmt	For	For
8	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Macquarie Group Limited

**Meeting Date:** 07/28/2016

**Country:** Australia

**Primary Security ID:** Q57085286

**Meeting Type:** Annual

**Ticker:** MQG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Approve the Grant of RSUs and PSUs to Nicholas Moore, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
4a	Elect MJ Hawker as Director	Mgmt	For	For
4b	Elect MJ Coleman as Director	Mgmt	For	For
5a	Ratify the Past Issuance of Shares	Mgmt	For	For
5b	Ratify the Past Issuance of Macquarie Group Capital Notes 2	Mgmt	For	For

## Madison Wine Holdings Limited

**Meeting Date:** 08/23/2016

**Country:** Cayman Islands

**Primary Security ID:** G5747N109

**Meeting Type:** Annual

**Ticker:** 8057

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2.1a	Elect Ting Pang Wan Raymond as Director	Mgmt	For	For
2.1b	Elect Kao Sheng-Chi as Director	Mgmt	For	For
2.1c	Elect Zhu Qin as Director	Mgmt	For	For
2.1d	Elect Debra Elaine Meiburg as Director	Mgmt	For	Against
2.1e	Elect Fan Wei as Director	Mgmt	For	Against
2.1f	Elect Chu Kin Wang Peleus as Director	Mgmt	For	Against
2.2	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
3	Approve SHINEWING (HK) CPA Limited as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
4	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Madison Wine Holdings Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## Mahindra & Mahindra Financial Services Limited

Meeting Date: 07/22/2016

Country: India

Primary Security ID: Y53987122

Meeting Type: Annual

Ticker: M&MFIN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect V. S. Parthasarathy as Director	Mgmt	For	For
5	Approve B. K. Khare & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Mahindra & Mahindra Ltd.

Meeting Date: 08/10/2016

Country: India

Primary Security ID: Y54164150

Meeting Type: Annual

Ticker: M&M

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect S.B. Mainak as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Debt Securities	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mahindra & Mahindra Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Keeping of Register of Members and Related Documents at the Office of the Company's New Registrar & Share Transfer Agents	Mgmt	For	For

## Mahindra Holidays & Resorts India Ltd.

**Meeting Date:** 07/29/2016      **Country:** India      **Primary Security ID:** Y54163103  
**Meeting Type:** Annual      **Ticker:** MHRIL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements, Directors' Report, and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Arun Kumar Nanda as Director	Mgmt	For	For
4	Approve Deloitte Haskins and Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Mainfreight Ltd.

**Meeting Date:** 07/28/2016      **Country:** New Zealand      **Primary Security ID:** Q5742H106  
**Meeting Type:** Annual      **Ticker:** MFT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Carl Howard-Smith as Director	Mgmt	For	Against
2	Elect Bruce Plested as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Manappuram Finance Ltd.

**Meeting Date:** 07/03/2016      **Country:** India      **Primary Security ID:** Y5759P141  
**Meeting Type:** Special      **Ticker:** 531213

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Manappuram Finance Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Manappuram Finance Ltd - Employee Stock Option Scheme 2016 (MAFIL - ESOS 2016)	Mgmt	For	Against
2	Approve Grant of Options to Employees/Directors of Subsidiaries Under MAFIL ESOS 2016	Mgmt	For	Against
3	Approve Grant of Options to Employees/Directors of the Company Under MAFIL ESOS 2016	Mgmt	For	Against
4	Approve Revisions in the Remuneration of Sumitha Nandan as Senior Vice President	Mgmt	For	For
5	Approve Revisions in the Remuneration of Sooraj Nandan as Senior Vice President	Mgmt	For	For
6	Approve Revisions in the Remuneration of V. P. Nandakumar as Managing Director and CEO	Mgmt	For	For

## Manappuram Finance Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y5759P141

Meeting Type: Annual

Ticker: 531213

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For

## Mangalore Refinery & Petrochemicals Ltd.

Meeting Date: 09/03/2016

Country: India

Primary Security ID: Y5763P137

Meeting Type: Annual

Ticker: 500109

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mangalore Refinery & Petrochemicals Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect D. K. Sarraf as Director	Mgmt	For	Against
3	Authorize Board to Fix Remuneration of Joint Statutory Auditors	Mgmt	For	For
4	Elect A. K. Sahoo as Director	Mgmt	For	Against
5	Elect Diwakar Nath Misra as Director	Mgmt	For	Against
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Increase in Limit on Public Shareholdings	Mgmt	For	For
8	Approve Remuneration of Cost Auditors for FY 2015-2016	Mgmt	For	For
9	Approve Remuneration of Cost Auditors for FY 2016-2017	Mgmt	For	For
10	Approve Related Party Transaction	Mgmt	For	For

## Mapletree Commercial Trust

Meeting Date: 07/25/2016

Country: Singapore

Primary Security ID: Y5759T101

Meeting Type: Annual

Ticker: N2IU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mapletree Commercial Trust

Meeting Date: 07/25/2016

Country: Singapore

Primary Security ID: Y5759T101

Meeting Type: Special

Ticker: N2IU

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mapletree Commercial Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of the Property as an Interested Person Transaction	Mgmt	For	For
2	Approve Issuance of New Units Under the Equity Fund Raising	Mgmt	For	For
3	Approve Whitewash Resolution	Mgmt	For	For

## Mapletree Greater China Commercial Trust

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y5759X102

Meeting Type: Annual

Ticker: RWOU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mapletree Industrial Trust

Meeting Date: 07/19/2016

Country: Singapore

Primary Security ID: Y5759S103

Meeting Type: Annual

Ticker: ME8U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mapletree Logistics Trust

**Meeting Date:** 07/18/2016

**Country:** Singapore

**Primary Security ID:** Y5759Q107

**Meeting Type:** Annual

**Ticker:** M44U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Marico Limited

**Meeting Date:** 08/05/2016

**Country:** India

**Primary Security ID:** Y5841R170

**Meeting Type:** Annual

**Ticker:** 531642

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Rajen Mariwala as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Marico Employee Stock Option Plan 2016 (Plan) and Grant of Options to Employees of the Company Under the Plan	Mgmt	For	Against
7	Grant of Options to Employees of Subsidiaries Under the Plan	Mgmt	For	Against

## Marksans Pharma Ltd

**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y57686100

**Meeting Type:** Annual

**Ticker:** 524404

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Marksans Pharma Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividends on Equity and Preference Shares	Mgmt	For	For
3	Reelect Sandra Saldanha as Director	Mgmt	For	Against
4	Approve N. K. Mittal & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Vinay Gopal Nayak as Director	Mgmt	For	Against
6	Approve Appointment and Remuneration of Vinay Gopal Nayak as Executive Director	Mgmt	For	For
7	Approve Marksans Employees Stock Option Scheme 2016	Mgmt	For	Against

## Maruti Suzuki India Ltd

Meeting Date: 09/08/2016

Country: India

Primary Security ID: Y7565Y100

Meeting Type: Annual

Ticker: 532500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect R. C. Bhargava as Director	Mgmt	For	For
4	Reelect Kazuhiko Ayabe as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Kenichi Ayukawa as Managing Director and CEO	Mgmt	For	For
7	Approve Reappointment and Remuneration of Toshiaki Hasuike as Joint Managing Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mason Financial Holdings Ltd.

**Meeting Date:** 07/11/2016

**Country:** Hong Kong

**Primary Security ID:** Y95847318

**Meeting Type:** Special

**Ticker:** 273

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## Matrix Concepts Holdings Berhad

**Meeting Date:** 08/18/2016

**Country:** Malaysia

**Primary Security ID:** Y5840A103

**Meeting Type:** Annual

**Ticker:** MATRIX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Remuneration of Directors	Mgmt	For	For
2	Elect Ho Kong Soon as Director	Mgmt	For	Against
3	Elect Batumalai A/L Ramasamy as Director	Mgmt	For	For
4	Elect Hon Choon Kim as Director	Mgmt	For	For
5	Elect Hajah Kalsom Binti Khalid as Director	Mgmt	For	For
6	Approve Crowe Horwath as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
8	Approve Grant of Options to Mohamad Haslah Bin Mohamad Amin Under the ESOS	Mgmt	For	Against
9	Approve Grant of Options to Lee Tian Hock Under the ESOS	Mgmt	For	Against
10	Approve Grant of Options to Ho Kong Soon Under the ESOS	Mgmt	For	Against
11	Approve Grant of Options to Rezal Zain Bin Abdul Rashid Under the ESOS	Mgmt	For	Against
12	Approve Grant of Options to Firdaus Muhammad Rom Bin Harun Under the ESOS	Mgmt	For	Against
13	Approve Grant of Options to Batumalai A/L Ramasamy Under the ESOS	Mgmt	For	Against
14	Approve Grant of Options to Hon Choon Kim Under the ESOS	Mgmt	For	Against
15	Approve Grant of Options to Hajah Kalsom Binti Khalid Under the ESOS	Mgmt	For	Against



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Matrix Concepts Holdings Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
16	Approve Implementation of Shareholders' Mandate for Recurrent Related Party Transactions	Mgmt	For	For

## Max Financial Services Limited

**Meeting Date:** 09/24/2016      **Country:** India      **Primary Security ID:** Y5903C145  
**Meeting Type:** Special      **Ticker:** 500271

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Payment by HDFC Standard Life Insurance to Members of the Promoter Group of the Company under the Non-Compete and Non-Solicit Arrangement in Relation to the Proposed Scheme of Amalgamation and Arrangement	Mgmt	For	Against

## Max Financial Services Limited

**Meeting Date:** 09/27/2016      **Country:** India      **Primary Security ID:** Y5903C145  
**Meeting Type:** Annual      **Ticker:** 500271

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
4	Reelect Ashwani Windlass as Director	Mgmt	For	For
5	Reelect Sanjeev Kishen Mehra as Director	Mgmt	For	Against
6	Approve Deloitte Haskins and Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Elect Naina Lal Kidwai as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Max Financial Services Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Elect Sanjay Omprakash Nayar as Director	Mgmt	For	For
9	Approve Appointment and Remuneration of Mohit Talwar as Managing Director	Mgmt	For	Against
10	Approve Trademark Sub-License Agreement and Other Ancillary Agreements with Max Life Insurance Co. Ltd., a Subsidiary of the Company	Mgmt	For	For

## Max India Limited

**Meeting Date:** 09/24/2016      **Country:** India      **Primary Security ID:** Y8551L102  
**Meeting Type:** Special      **Ticker:** 539981

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Scheme of Amalgamation and Arrangement	Mgmt	For	Against

## Max India Limited

**Meeting Date:** 09/27/2016      **Country:** India      **Primary Security ID:** Y8551L102  
**Meeting Type:** Annual      **Ticker:** 539981

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve S.R. Batliboi & Co., LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Ashok Brijmohan Kacker as Independent Director	Mgmt	For	For
4	Elect Dipankar Gupta as Independent Director	Mgmt	For	For
5	Elect Rahul Khosla as Director	Mgmt	For	Against
6	Elect Mohit Talwar as Director	Mgmt	For	For
7	Elect Tara Singh Vachani as Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Max India Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Elect Ashwani Windlass as Director	Mgmt	For	For
9	Elect Sanjeev Kishen Mehra as Director	Mgmt	For	Against
10	Approve Appointment and Remuneration of Mohit Talwar as Managing Director	Mgmt	For	Against
11	Approve Trade Mark License Agreement and/or Other Related Agreements with Max Bupa Health Insurance Company Limited	Mgmt	For	For
12	Approve MAX INDIA EMPLOYEE STOCK PLAN ? 2016	Mgmt	For	Against

## Mcleod Russel India Ltd

Meeting Date: 08/08/2016

Country: India

Primary Security ID: Y5934T133

Meeting Type: Annual

Ticker: 532654

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect B. M. Khaitan as Director	Mgmt	For	Against
4	Reelect A. Monem as Director	Mgmt	For	Against
5	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Aditya Khaitan as Managing Director for the FY 2015-16 and Approve Waiver of Excess Remuneration for the Year Ended Mar. 31, 2016	Mgmt	For	Against
7	Approve Waiver of Excess Remuneration of R. Takru as Executive Director	Mgmt	For	Against
8	Approve Waiver of Excess Remuneration of A. Monem as Executive Director	Mgmt	For	Against
9	Approve Waiver of Excess Remuneration of K. K. Baheti as Executive Director	Mgmt	For	Against
10	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Metcash Ltd

Meeting Date: 08/31/2016

Country: Australia

Primary Security ID: Q6014C106

Meeting Type: Annual

Ticker: MTS

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Metcash Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2a	Elect Patrick Allaway as Director	Mgmt	For	For
2b	Elect Fiona Balfour as Director	Mgmt	For	For
2c	Elect Helen Nash as Director	Mgmt	For	For
2d	Elect Murray Jordan as Director	Mgmt	For	For
3	Approve the Remuneration Report	Mgmt	For	For
4	Approve the Grant of Performance Rights to Ian Morrice, Chief Executive Officer of the Company	Mgmt	For	For

## Mimasu Semiconductor Industry Co. Ltd.

**Meeting Date:** 08/30/2016      **Country:** Japan      **Primary Security ID:** J42798108  
**Meeting Type:** Annual      **Ticker:** 8155

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 13	Mgmt	For	For
2	Appoint External Audit Firm	Mgmt	For	For

## MindTree Limited

**Meeting Date:** 07/19/2016      **Country:** India      **Primary Security ID:** Y60362103  
**Meeting Type:** Annual      **Ticker:** 532819

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect V. G. Siddhartha as Director	Mgmt	For	Against
4	Approve Deloitte Haskin & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## MindTree Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Adopt New Articles of Association	Mgmt	For	For
6	Elect Milind Sarwate as Independent Director	Mgmt	For	For

## MOIL Ltd.

**Meeting Date:** 07/24/2016      **Country:** India      **Primary Security ID:** Y6131H104  
**Meeting Type:** Special      **Ticker:** 533286

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Share Repurchase Program	Mgmt	For	For

## MOIL Ltd.

**Meeting Date:** 08/30/2016      **Country:** India      **Primary Security ID:** Y6131H104  
**Meeting Type:** Annual      **Ticker:** 533286

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Reelect Urvilla Khati as Director	Mgmt	For	For
4	Reelect T.K. Pattnaik as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	Against
6	Appoint Cost Auditors and Their Remuneration	Mgmt	For	For
7	Reelect Sangita Gairola as Director	Mgmt	For	For

## Monsanto India Ltd.

**Meeting Date:** 08/10/2016      **Country:** India      **Primary Security ID:** Y6137L117  
**Meeting Type:** Annual      **Ticker:** MONSANTO

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Monsanto India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sekhar Natarajan as Director	Mgmt	For	For
3	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Piyush Ramesh Nagar as Director	Mgmt	For	For
5	Elect H. C. Asher as Independent Director	Mgmt	For	For
6	Elect Pradeep Poddar as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Motherson Sumi Systems Ltd.

Meeting Date: 08/31/2016

Country: India

Primary Security ID: Y6139B141

Meeting Type: Annual

Ticker: 517334

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Noriyo Nakamura as Director	Mgmt	For	For
4	Approve Price Waterhouse Chartered Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Naveen Ganzu as Independent Director	Mgmt	For	For
6	Approve Revision in the Remuneration of Pankaj Mital, Executive Director and Chief Operating Officer	Mgmt	For	For
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Issuance of Equity Shares to Sumitomo Wiring Systems Ltd.	Mgmt	For	For
10	Approve Issuance of Foreign Currency Convertible Bonds and/or Other Convertible Securities to Sumitomo Wiring Systems Ltd	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Motherson Sumi Systems Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
12	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Motilal Oswal Financial Services Ltd.

**Meeting Date:** 07/21/2016      **Country:** India      **Primary Security ID:** Y6136Z109  
**Meeting Type:** Annual      **Ticker:** 532892

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Confirm Interim Dividend	Mgmt	For	For
3	Reelect Raamdeo Agarawal as Director	Mgmt	For	Against
4	Approve Haribhakti & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Motilal Oswal as Managing Director	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Sale, Lease, or Disposal by Aspire Home Finance Corp. Ltd., a Material Subsidiary, of its Assets	Mgmt	For	Against

## MRF Ltd.

**Meeting Date:** 08/11/2016      **Country:** India      **Primary Security ID:** Y6145L117  
**Meeting Type:** Annual      **Ticker:** 500290

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Rahul Mammen Mappillai as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

#### MRF Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve SCA AND ASSOCIATES as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Sastri & Shah as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Cibi Mammen as Director	Mgmt	For	For
7	Elect Ambika Mammen as Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

#### MTQ Corporation Limited

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y61469105

Meeting Type: Annual

Ticker: M05

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Elect Kuah Boon Wee as Director	Mgmt	For	For
3	Elect Christopher Ho Han Siong as Director	Mgmt	For	For
4	Elect Kuah Kok Kim as Director	Mgmt	For	Against
5	Elect Ong Choo Eng as Director	Mgmt	For	For
6	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Directors' Fees	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Issuance of Shares Under the MTQ Corporation Limited Scrip Dividend Scheme	Mgmt	For	For
10	Approve Grant of Awards and Issuance of Shares Under the MTQ Share Plan	Mgmt	For	For
11	Authorize Share Repurchase Program	Mgmt	For	For

#### Muthoot Finance Ltd.

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y6190C103

Meeting Type: Annual

Ticker: 533398



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Muthoot Finance Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Rangamani & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Reelect M G George Muthoot as Director	Mgmt	For	For
4	Reelect George Thomas Muthoot as Director	Mgmt	For	For
5	Reelect John Kuttakaran Paul as Independent Director	Mgmt	For	For
6	Reelect George Joseph as Independent Director	Mgmt	For	For
7	Reelect Kariath George John as Independent Director	Mgmt	For	Against
8	Reelect John Mathew Kattapurath as Independent Director	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	Against

## Namhae Chemical Corp.

Meeting Date: 07/27/2016

Country: South Korea

Primary Security ID: Y6200J106

Meeting Type: Special

Ticker: A025860

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Baek Gwang-jin as Outside Director	Mgmt	For	For

## Nanjing Panda Electronics Co., Ltd.

Meeting Date: 08/19/2016

Country: China

Primary Security ID: Y6204F118

Meeting Type: Special

Ticker: 600775

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR THE HOLDERS OF H SHARES	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Nanjing Panda Electronics Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Provision of Guarantee to Nanjing Panda Electronics Equipment Co., Ltd.	Mgmt	For	For
2	Approve Provision of Guarantee to Nanjing Panda Information Industry Co., Ltd.	Mgmt	For	For
3	Approve Provision of Guarantee to Nanjing Panda Electronic Manufacture Co., Ltd.	Mgmt	For	For
4	Approve Provision of Guarantee to Nanjing Huage Appliance and Plastic Industrial Co., Ltd.	Mgmt	For	For
5	Approve Provision of Guarantee to Nanjing Panda Mechanical Manufacturing Co., Ltd.	Mgmt	For	For

## Narayana Hrudayalaya Limited

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y6S9B1106

Meeting Type: Annual

Ticker: 539551

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reappoint Devi Prasad Shetty as Director	Mgmt	For	For
3	Approve BSR & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For
5	Approve Increase in Borrowing Powers	Mgmt	For	For
6	Approve Reappointment and Remuneration of Anesh Shetty for Office in Health City Cayman Islands Limited	Mgmt	For	For
7	Amend Liability Clause of Memorandum of Association	Mgmt	For	For
8	Amend Articles of Association	Mgmt	For	For

## Narayana Hrudayalaya Limited

Meeting Date: 08/03/2016

Country: India

Primary Security ID: Y6S9B1106

Meeting Type: Special

Ticker: 539551

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Narayana Hrudayalaya Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	POSTAL BALLOT	Mgmt		
1	Amend Memorandum of Association re: Corporate Purpose	Mgmt	For	For
2	Approve Loans, Guarantees, Securities, and Investments in Other Body Corporate	Mgmt	For	Against
3	Approve Pledging of Assets for Debt	Mgmt	For	For

## NATCO Pharma Ltd.

Meeting Date: 09/30/2016

Country: India

Primary Security ID: Y6211C157

Meeting Type: Annual

Ticker: 524816

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect AKS Bhujanga Rao as Director	Mgmt	For	For
4	Approve Walker, Chandio & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment of V C Nannapaneni as Chairman and Managing Director	Mgmt	For	For
6	Approve Increase in the Remuneration of A.K.S. Bhujanga Rao, President (R&D and Tech.)	Mgmt	For	For
7	Approve Increase in the Remuneration of P.S.R.K.Prasad, Director and Executive Vice President (Corporate Engineering Services)	Mgmt	For	For
8	Approve Increase in the Remuneration of D. Linga Rao, Director & President (Tech. Affairs)	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Approve NATCO Employees Stock Options Scheme-2016 and Grant of Options to Employees of the Company	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## National Aluminium Company Ltd

**Meeting Date:** 07/12/2016

**Country:** India

**Primary Security ID:** Y6211M130

**Meeting Type:** Special

**Ticker:** 532234

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Amend Articles of Association Re: Share Repurchase	Mgmt	For	For
2	Approve Share Repurchase Program	Mgmt	For	For

## National Aluminium Company Ltd

**Meeting Date:** 09/30/2016

**Country:** India

**Primary Security ID:** Y6211M130

**Meeting Type:** Annual

**Ticker:** 532234

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect R. Sridharan as Director	Mgmt	For	Against
4	Reelect K C Samal as Director	Mgmt	For	Against
5	Elect Dipankar Mahanta as Independent Director	Mgmt	For	For
6	Elect S.Sankararaman as Independent Director	Mgmt	For	For
7	Elect Pravat Keshari Nayak as Independent Director	Mgmt	For	For
8	Elect Damodar Acharya as Independent Director	Mgmt	For	For
9	Elect Maheswar Sahu as Independent Director	Mgmt	For	For
10	Elect Nikunja Bihari Dhal as Director	Mgmt	For	Against
11	Elect Basant Kumar Thakur as Director	Mgmt	For	Against
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Navkar Corporation Ltd

**Meeting Date:** 09/27/2016

**Country:** India

**Primary Security ID:** Y6S11Y102

**Meeting Type:** Annual

**Ticker:** 539332

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Shantilal Jayavantraj Mehta as Director	Mgmt	For	For
3	Approve S. K. Patodia & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Appointment and Remuneration of Nemichand Jayavantraj Mehta as Executive Director	Mgmt	For	For

## NCC Ltd.

**Meeting Date:** 08/24/2016

**Country:** India

**Primary Security ID:** Y6198W135

**Meeting Type:** Annual

**Ticker:** 500294

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Utpal Sheth as Director	Mgmt	For	For
4	Reelect A V N Raju as Director	Mgmt	For	Against
5	Approve Bhaskara Rao & Co. and Deloitte Haskins and Sells as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect S. Ravi as Independent Director	Mgmt	For	For
7	Elect A S Durga Prasad as Independent Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of A V N Raju as Executive Director	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Network 18 Media & Investments Ltd.

**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y62588101

**Meeting Type:** Annual

**Ticker:** 532798

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Vinay Chand Chhajlani as Director	Mgmt	For	For
3	Approve Walker Chandiok & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Prasoon Joshi as Independent Director	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For

## NHPC Limited

**Meeting Date:** 09/22/2016

**Country:** India

**Primary Security ID:** Y6268G101

**Meeting Type:** Annual

**Ticker:** 533098

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Jayant Kumar as Director	Mgmt	For	Against
4	Reelect Radhashyam Mina as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Joint Auditors	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Krishna Mohan Singh as Director and Approve His Appointment as Chairman and Managing Director	Mgmt	For	For
8	Elect Ratish Kumar as Director and Approve His Appointment as Director (Projects)	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## NHPC Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Elect Balraj Joshi as Director and Approve His Appointment as Director (Technical)	Mgmt	For	Against
10	Elect Satya Prakash Mangal as Independent Director	Mgmt	For	For
11	Elect Arun Kumar as Independent Director	Mgmt	For	For
12	Elect Kanika T. Bhal as Independent Director	Mgmt	For	For
13	Authorize Issuance of Non-Convertible Debentures/Bonds	Mgmt	For	For

## NIIT Technologies Limited

Meeting Date: 08/01/2016

Country: India

Primary Security ID: Y62769107

Meeting Type: Annual

Ticker: 532541

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Vijay Kumar Thadani as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Nippon Koei Co. Ltd.

Meeting Date: 09/29/2016

Country: Japan

Primary Security ID: J34770107

Meeting Type: Annual

Ticker: 1954

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Hirose, Noriaki	Mgmt	For	Against
1.2	Elect Director Arimoto, Ryuichi	Mgmt	For	Against
1.3	Elect Director Yamakawa, Asao	Mgmt	For	For
1.4	Elect Director Mizukoshi, Akira	Mgmt	For	For
1.5	Elect Director Takano, Noboru	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Nippon Koei Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.6	Elect Director Akiyoshi, Hiroyuki	Mgmt	For	For
1.7	Elect Director Seki, Takashi	Mgmt	For	For
1.8	Elect Director Honjo, Naoki	Mgmt	For	For
1.9	Elect Director Sakunaka, Hideyuki	Mgmt	For	For
1.10	Elect Director Ichikawa, Hiizu	Mgmt	For	For
1.11	Elect Director Kusaka, Kazumasa	Mgmt	For	For
2	Appoint Statutory Auditor Shimizu, Toshiaki	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Sudo, Hideaki	Mgmt	For	For
4	Approve Reverse Stock Split	Mgmt	For	For
5	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against

## Nippon Prologis REIT Inc.

**Meeting Date:** 08/26/2016

**Country:** Japan

**Primary Security ID:** J5528H104

**Meeting Type:** Special

**Ticker:** 3283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Sakashita, Masahiro	Mgmt	For	For
3	Elect Alternate Executive Director Yamaguchi, Satoshi	Mgmt	For	For
4.1	Elect Supervisory Director Shimamura, Katsumi	Mgmt	For	For
4.2	Elect Supervisory Director Hamaoka, Yoichiro	Mgmt	For	For
5	Elect Alternate Supervisory Director Oku, Kuninori	Mgmt	For	For

## NMDC Ltd.

**Meeting Date:** 08/02/2016

**Country:** India

**Primary Security ID:** Y6223W100

**Meeting Type:** Special

**Ticker:** 526371



# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### NMDC Ltd.

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Authorize Share Repurchase Program	Mgmt	For	For

### NMDC Ltd.

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**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y6223W100

**Meeting Type:** Annual

**Ticker:** 526371

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend	Mgmt	For	For
3	Reelect T. R. K. Rao as Director	Mgmt	For	Against
4	Reelect P.K. Satpathy as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Elect Arun Kumar Srivastava as Independent Director	Mgmt	For	For
7	Elect Bhagwati Mahesh Baldewa as Independent Director	Mgmt	For	For
8	Elect Rajesh Kumar Mangal as Independent Director	Mgmt	For	For
9	Elect Pradip Bhargava as Independent Director	Mgmt	For	For
10	Elect Syamal Kumar Sarkar as Independent Director	Mgmt	For	For
11	Elect Shyam Murari Nigam as Independent Director	Mgmt	For	For
12	Elect Sandeep Tula as Director	Mgmt	For	Against
13	Approve Remuneration of Cost Auditors	Mgmt	For	For
14	Approve Keeping of Register of Members and Related Documents at the Company's Registrar and Share Transfer Agents	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Nong Woo Bio Co. Ltd.

**Meeting Date:** 08/31/2016

**Country:** South Korea

**Primary Security ID:** Y6364T106

**Meeting Type:** Special

**Ticker:** A054050

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Jeong Yong-dong as Inside Director	Mgmt	For	For
1.2	Elect Bae Gi-seop as Non-independent Non-executive Director	Mgmt	For	For
1.3	Elect Koh Hui-jong as Outside Director	Mgmt	For	For
1.4	Elect Seong Jin-geun as Outside Director	Mgmt	For	For
1.5	Elect Seo Gyu-yong as Outside Director	Mgmt	For	For
2	Appoint Yoo Min-seon as Internal Auditor	Mgmt	For	For

## NTPC Ltd.

**Meeting Date:** 09/20/2016

**Country:** India

**Primary Security ID:** Y6421X116

**Meeting Type:** Annual

**Ticker:** NTPC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect S.C.Pandey as Director	Mgmt	For	Against
4	Reelect Kulamani Biswal as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Elect Gurdeep Singh as Director and Approve Appointment of Gurdeep Singh as Chairman and Managing Director	Mgmt	For	For
7	Elect Aniruddha Kumar as Director	Mgmt	For	Against
8	Elect Rajesh Jain as Independent Director	Mgmt	For	For
9	Elect Gauri Trivedi as Independent Director	Mgmt	For	For
10	Elect Seethapathy Chander as Independent Director	Mgmt	For	For
11	Authorize Issuance of Bonds/Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

#### NTPC Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
12	Approve Remuneration of Auditors	Mgmt	For	For

#### Nuplex Industries Ltd.

**Meeting Date:** 07/07/2016      **Country:** New Zealand      **Primary Security ID:** Q70156106  
**Meeting Type:** Court      **Ticker:** NPX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting	Mgmt		
1	Approve the Scheme of Arrangement Between Nuplex Industries Ltd and Its Shareholders	Mgmt	For	For

#### Oberoi Realty Ltd.

**Meeting Date:** 08/19/2016      **Country:** India      **Primary Security ID:** Y6424D109  
**Meeting Type:** Annual      **Ticker:** 533273

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Saumil Daru as Director	Mgmt	For	For
4	Approve P. Raj & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Borrowings from Vikas Oberoi	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Oil & Natural Gas Corporation Ltd.

**Meeting Date:** 09/08/2016

**Country:** India

**Primary Security ID:** Y64606133

**Meeting Type:** Annual

**Ticker:** ONGC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Payment of Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect T K Sengupta as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect A K Srinivasan as Director	Mgmt	For	Against
6	Elect Ajai Malhotra as Director	Mgmt	For	For
7	Elect S B Kedare as Director	Mgmt	For	For
8	Elect K M Padmanabhan as Director	Mgmt	For	For
9	Elect A P Sawhney as Director	Mgmt	For	Against
10	Elect Amar Nath as Director	Mgmt	For	Against
11	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Oil India Ltd

**Meeting Date:** 09/24/2016

**Country:** India

**Primary Security ID:** Y64210100

**Meeting Type:** Annual

**Ticker:** OIL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect R.S. Borah as Director	Mgmt	For	Against
4	Reelect Sudhakar Mahapatra as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Elect Utpal Bora as Director and Approve Appointment of Utpal Bora as Chairman and Managing Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Oil India Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Optex Co., Ltd.

**Meeting Date:** 09/30/2016      **Country:** Japan      **Primary Security ID:** J61654109  
**Meeting Type:** Special      **Ticker:** 6914

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Adoption of Holding Company and Transfer of Operations to Wholly Owned Subsidiary	Mgmt	For	For
2	Amend Articles to Change Company Name - Amend Business Lines	Mgmt	For	For
3.1	Elect Director Oguni, Isamu	Mgmt	For	For
3.2	Elect Director Onishi, Hiroyuki	Mgmt	For	For
4	Approve Deep Discount Stock Option Plan	Mgmt	For	For

## Oracle Corp. Japan

**Meeting Date:** 08/24/2016      **Country:** Japan      **Primary Security ID:** J6165M109  
**Meeting Type:** Annual      **Ticker:** 4716

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Business Lines	Mgmt	For	For
2.1	Elect Director Sugihara, Hiroshige	Mgmt	For	For
2.2	Elect Director Nosaka, Shigeru	Mgmt	For	For
2.3	Elect Director Derek H. Williams	Mgmt		
2.4	Elect Director Samantha Wellington	Mgmt	For	For
2.5	Elect Director John L. Hall	Mgmt	For	Against
2.6	Elect Director Ogishi, Satoshi	Mgmt	For	For
2.7	Elect Director Edward Paterson	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Oracle Corp. Japan

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.8	Elect Director Matsufuji, Hitoshi	Mgmt	For	For
2.9	Elect Director Natsuno, Takeshi	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

## Oracle Financial Services Software Ltd.

Meeting Date: 09/07/2016

Country: India

Primary Security ID: Y3864R102

Meeting Type: Annual

Ticker: 532466

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Robert K. Weiler as Director	Mgmt	For	Against
3	Reelect Harinderjit Singh as Director	Mgmt	For	Against
4	Approve Dividend	Mgmt	For	For
5	Approve S. R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve S. R. Batliboi & Associates LLP as Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Reappointment and Remuneration of Chaitanya Kamat as Managing Director and CEO	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For

## Orient Cement Ltd.

Meeting Date: 07/23/2016

Country: India

Primary Security ID: Y6477M105

Meeting Type: Annual

Ticker: 535754

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Orient Cement Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Amita Birla as Director	Mgmt	For	For
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Swapan Dasgupta as Independent Director	Mgmt	For	For
6	Approve Remuneration of Desh Deepak Khetrpal as Managing Director and CEO	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Orion Health Group Ltd.

Meeting Date: 09/22/2016

Country: New Zealand

Primary Security ID: Q7161U105

Meeting Type: Annual

Ticker: OHE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Ronald Andrews as Director	Mgmt	For	For
2	Elect Roger France as Director	Mgmt	For	For
3	Elect Paul Shearer as Director	Mgmt	For	For
4	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For
5	Approve the Issuance of Equity Securities as Director Remuneration	Mgmt	For	For
6	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Ozforex Group Ltd

Meeting Date: 08/03/2016

Country: Australia

Primary Security ID: Q71872107

Meeting Type: Annual

Ticker: OFX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Elect Melinda Conrad as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ozforex Group Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve the Change of Company Name to OFX Group Limited	Mgmt	For	For
5	Approve the OFX Group Limited Share Plan	Mgmt	For	For
6	Approve the Grant of Shares and Loan to Richard Kimber, Chief Executive Officer and Managing Director of the Company	Mgmt	For	For

## Page Industries Ltd.

Meeting Date: 08/11/2016

Country: India

Primary Security ID: Y6592S102

Meeting Type: Annual

Ticker: 532827

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend and Confirm Interim Dividend	Mgmt	For	For
3	Reelect Pius Thomas as Director	Mgmt	For	For
4	Reelect Shamir Genomal as Director	Mgmt	For	For
5	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Sunder Genomal as Managing Director	Mgmt	For	For
7	Approve Remuneration of Non-Executive Directors	Mgmt	For	For

## PC Jeweller Limited

Meeting Date: 07/04/2016

Country: India

Primary Security ID: Y6S75W109

Meeting Type: Special

Ticker: 534809

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Amend Articles of Association	Mgmt	For	For
2	Elect Muneesh Chawla as Director	Mgmt	For	Against



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PC Jeweller Limited

**Meeting Date:** 08/22/2016

**Country:** India

**Primary Security ID:** Y6S75W109

**Meeting Type:** Special

**Ticker:** 534809

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Increase Authorized Share Capital and Amend Memorandum of Association	Mgmt	For	For
2	Approve Issuance of Compulsorily Convertible Preference Shares (CCPS) and Equity Shares Arising Upon Conversion of CCPS	Mgmt	For	For

## PC Jeweller Limited

**Meeting Date:** 09/19/2016

**Country:** India

**Primary Security ID:** Y6S75W109

**Meeting Type:** Annual

**Ticker:** 534809

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Padam Chand Gupta as Director	Mgmt	For	Against
4	Approve Walker Chandiook & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Ramesh Kumar Sharma as Executive Director	Mgmt	For	Against
6	Approve Reappointment and Remuneration of Nitin Gupta as President (Manufacturing)	Mgmt	For	For

## PeptiDream Inc.

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J6363M109

**Meeting Type:** Annual

**Ticker:** 4587

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Business Lines - Change Location of Head Office	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PeptiDream Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.1	Elect Director Kubota, Kiichi	Mgmt	For	For
2.2	Elect Director Patrick C. Reid	Mgmt	For	For
2.3	Elect Director Sekine, Yoshiyuki	Mgmt	For	For
2.4	Elect Director Masuya, Keiichi	Mgmt	For	For
2.5	Elect Director Suga, Hiroaki	Mgmt	For	For
3	Approve Trust-Type Equity Compensation Plan	Mgmt	For	For

## Persistent Systems Ltd

Meeting Date: 07/22/2016

Country: India

Primary Security ID: Y68031106

Meeting Type: Annual

Ticker: 533179

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Approve Deloitte Haskins & Sells LLP as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Joshi Apte & Co. as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Mritunjay Kumar Singh as Director and Approve His Reappointment and Remuneration as Executive Director	Mgmt	For	Against
6	Elect Thomas (Tom) Kendra as Independent Director	Mgmt	For	For

## Pestech International Berhad

Meeting Date: 07/15/2016

Country: Malaysia

Primary Security ID: Y6801F107

Meeting Type: Special

Ticker: PESTECH

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ORDINARY RESOLUTIONS	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Pestech International Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Share Split	Mgmt	For	Against
2	Approve Bonus Issue	Mgmt	For	Against
3	Approve Increase in Authorized Share Capital	Mgmt	For	Against
	SPECIAL RESOLUTION	Mgmt		
1	Amend Memorandum and Articles of Association to Facilitate the Implementation of the Proposed Share Split and to Reflect Increase in Authorized Share Capital	Mgmt	For	Against

## Petronet LNG Ltd.

Meeting Date: 09/21/2016

Country: India

Primary Security ID: Y68259103

Meeting Type: Annual

Ticker: 532522

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect D. K. Sarraf as Director	Mgmt	For	Against
4	Reelect Philip Olivier as Director	Mgmt	For	Against
5	Approve T. R. Chadha & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Subir Purkayastha as Director	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Related Party Transactions	Mgmt	For	For
9	Approve Commission Remuneration of Directors	Mgmt	For	For
10	Approve Extension of the Tenure of R. K. Garg as Director (Finance)	Mgmt	For	For

## Pfizer Ltd.

Meeting Date: 07/05/2016

Country: India

Primary Security ID: Y6890M120

Meeting Type: Annual

Ticker: 500680

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Pfizer Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Vivek Dhariwal as Director	Mgmt	For	For
4	Approve B S R & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of S. Sridhar as Managing Director	Mgmt	For	For
6	Elect Lu Hong as Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Aijaz Tobaccowalla as Managing Director from August 16, 2015 to October 16, 2015	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Related Party Transactions with Pfizer Service Company BVBA, Belgium	Mgmt	For	For
10	Approve Related Party Transactions with Pfizer Innovative Supply Point Intl BVBA, Belgium	Mgmt	For	For

## PI Industries Ltd

**Meeting Date:** 09/09/2016

**Country:** India

**Primary Security ID:** Y6978D141

**Meeting Type:** Annual

**Ticker:** 523642

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Rajnish Sarna as Director	Mgmt	For	Against
4	Approve S.S. Kothari Mehta & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Ravi Narain as Independent Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Pidilite Industries Limited

**Meeting Date:** 09/02/2016

**Country:** India

**Primary Security ID:** Y6977T139

**Meeting Type:** Annual

**Ticker:** 500331

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect A B Parekh as Director	Mgmt	For	For
4	Reelect Sabyaschi Patnaik as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Vinod Kumar Dasar as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Continuation of Appointment of M B Parek as Executive Chairman	Mgmt	For	For

## Ping An Insurance (Group) Co. of China, Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y69790106

**Meeting Type:** Special

**Ticker:** 2318

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	CLASS MEETING FOR HOLDERS OF H SHARES Approve Review of the Provision of Assured Entitlement Only to H Shareholders of the Company in Respect of Overseas Listing of Ping An Securities	Mgmt	For	For

## Ping An Insurance (Group) Co. of China, Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y69790106

**Meeting Type:** Special

**Ticker:** 2318

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ping An Insurance (Group) Co. of China, Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Review of Overseas Listing Proposal of Ping An Securities	Mgmt	For	For
2	Approve Review of Overseas Listing of Ping An Securities - Compliance with Relevant Provisions	Mgmt	For	For
3	Approve Review of Company's Undertakings to Maintain Its Independent Listing Status	Mgmt	For	For
4	Approve Review of Sustainable Profitability Statement and Prospect	Mgmt	For	For
5	Approve Review of Authorization Concerning Overseas Listing of Ping An Securities	Mgmt	For	For
6	Approve Adjustment of the Remuneration of Non-executive Directors	Mgmt	For	For
7	Approve Adjustment of the Remuneration of Non-employee Representative Supervisors	Mgmt	For	For
8	Approve Review of the Provision of Assured Entitlement Only to H Shareholders of the Company in Respect of Overseas Listing of Ping An Securities	Mgmt	For	For

## Piramal Enterprises Limited

Meeting Date: 08/01/2016

Country: India

Primary Security ID: Y6941N101

Meeting Type: Annual

Ticker: 500302

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Swati A. Piramal as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Increase in Threshold of Loans, Guarantees, and/or Securities in Other Body Corporate	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Piramal Enterprises Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Purchase of Office Premises from PRL Agastya Private Limited	Mgmt	For	For
8	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For

## PNC Infratech Ltd.

**Meeting Date:** 07/17/2016

**Country:** India

**Primary Security ID:** Y6S76U102

**Meeting Type:** Special

**Ticker:** 539150

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Revision in Remuneration of Pradeep Kumar Jain, Chairman and Managing Director	Mgmt	For	For
2	Approve Revision in Remuneration of Naveen Kumar Jain, Executive Director	Mgmt	For	For
3	Approve Revision in Remuneration of Chakresh Kumar Jain, Managing Director	Mgmt	For	For
4	Approve Revision in Remuneration of Yogesh Kumar Jain, Managing Director	Mgmt	For	For
5	Approve Revision in Remuneration of Anil Kumar Rao, Executive Director	Mgmt	For	For
6	Approve Stock Split	Mgmt	For	For
7	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
8	Approve Special Incentive to Anil Kumar Rao, Executive Director	Mgmt	For	For
9	Approve Change in Utilization of IPO Proceeds	Mgmt	For	For

## PNC Infratech Ltd.

**Meeting Date:** 09/30/2016

**Country:** India

**Primary Security ID:** Y6S76U136

**Meeting Type:** Annual

**Ticker:** 539150

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PNC Infratech Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Elect Chakresh Kumar Jain as Director	Mgmt	For	For
4	Elect Yogesh Kumar Jain as Director	Mgmt	For	For
5	Approve Purushottam Agrawal & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve S.S. Kothari Mehta & Co. as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Reappointment and Remuneration of Pradeep Kumar Jain as Chairman & Managing Director	Mgmt	For	For
9	Approve Reappointment and Remuneration of Chakresh Kumar Jain as Managing Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of Yogesh Kumar Jain, Managing Director	Mgmt	For	For
11	Approve Reappointment and Remuneration of Anil Kumar Rao, Executive Director	Mgmt	For	For

## Polaris Consulting & Services Limited

**Meeting Date:** 07/07/2016

**Country:** India

**Primary Security ID:** Y70587111

**Meeting Type:** Annual

**Ticker:** 532254

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Jitin Goyal as Director	Mgmt	For	For
3	Approve BSR & Co., LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Pos Malaysia Bhd

**Meeting Date:** 08/18/2016

**Country:** Malaysia

**Primary Security ID:** Y7026S106

**Meeting Type:** Special

**Ticker:** POS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of the Entire Issued Share Capital of KL Airport Services Sdn Bhd and Acquisition of a Part of a Parcel of Freehold Industrial Land Held Under Petaling, Selangor Darul Ehsan	Mgmt	For	For
2	Approve Exemption to DRB-Hicom Berhad and the Persons Acting in Concert with it to Undertake a Mandatory Take-Over Offer to Acquire All the Remaining POS Shares Not Already Held by Them	Mgmt	For	For

## Pos Malaysia Bhd

**Meeting Date:** 08/18/2016

**Country:** Malaysia

**Primary Security ID:** Y7026S106

**Meeting Type:** Annual

**Ticker:** POS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve First and Final Dividend	Mgmt	For	For
2	Elect Faisal Albar bin Syed A.R. Albar as Director	Mgmt	For	For
3	Elect Mohmad Isa bin Hussain as Director	Mgmt	For	For
4	Elect Puteh Rukiah binti Abd. Majid as Director	Mgmt	For	For
5	Elect Eshah binti Meor Suleiman as Director	Mgmt	For	For
6	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Remuneration of Directors	Mgmt	For	For
8	Approve Renewal of Shareholders' Mandate for Recurrent Related Party Transactions	Mgmt	For	For
9	Approve Implementation of New Shareholders' Mandate for Recurrent Related Party Transactions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Power Finance Corporation Ltd.

**Meeting Date:** 08/19/2016

**Country:** India

**Primary Security ID:** Y7082R109

**Meeting Type:** Annual

**Ticker:** PFC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect R. Nagarajan as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect D. Ravi as Director	Mgmt	For	Against
6	Approve Increase in Borrowing Powers	Mgmt	For	For
7	Authorize Issuance of Debt Securities	Mgmt	For	For
8	Increase Authorized Share Capital and Amend Memorandum of Association	Mgmt	For	For
9	Approve Bonus Issue	Mgmt	For	For

## Power Grid Corporation of India Ltd

**Meeting Date:** 09/16/2016

**Country:** India

**Primary Security ID:** Y7028N105

**Meeting Type:** Annual

**Ticker:** 532898

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Note the Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Pradeep Kumar as Director	Mgmt	For	Against
4	Reelect Jyoti Arora as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Statutory Auditors	Mgmt	For	For
6	Elect Jagdish Ishwarbhai Patel as Independent Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Authorize Issuance of Bonds Under Private Placement	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Prestige Estates Projects Limited

**Meeting Date:** 09/23/2016

**Country:** India

**Primary Security ID:** Y707AN101

**Meeting Type:** Annual

**Ticker:** 533274

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect Noaman Razack as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Increase in Investment Limits	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Prism Cement Ltd

**Meeting Date:** 08/23/2016

**Country:** India

**Primary Security ID:** Y70826139

**Meeting Type:** Annual

**Ticker:** 500338

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Rajan B. Raheja as Director	Mgmt	For	Against
3	Approve G. M. Kapadia & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Reappointment and Remuneration of Vijay Aggarwal as Managing Director	Mgmt	For	For
5	Approve Appointment and Remuneration of Vivek Krishan Agnihotri as Executive Director and CEO (Cement)	Mgmt	For	For
6	Elect Joydeep Mukherjee as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Joydeep Mukherjee as Executive Director and CEO (HRJ)	Mgmt	For	For
8	Approve to Waive the Recovery of Excess Remuneration of Vijay Aggarwal, Managing Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Prism Cement Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve to Waive the Recovery of Excess Remuneration of Vivek Agnihotri, Executive Director & CEO (Cement)	Mgmt	For	Against
10	Approve to Waive the Recovery of Excess Remuneration of Joydeep Mukherjee, Executive Director & CEO (HRJ)	Mgmt	For	Against
11	Approve Remuneration of Cost Auditors	Mgmt	For	For
12	Authorize Issuance of Non-Convertible Debentures and/or Other Debt Securities	Mgmt	For	For

## Programmed Maintenance Services Ltd.

Meeting Date: 07/27/2016

Country: Australia

Primary Security ID: Q7762R105

Meeting Type: Annual

Ticker: PRG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Remuneration Report	Mgmt	For	For
2	Elect James Walker as Director	Mgmt	For	For
3	Elect Lisa Paul as Director	Mgmt	For	For
4	Elect Bruce Brook as Director	Mgmt	For	For
5	Elect Andrea Grant as Director	Mgmt	For	For
6	Approve the Programmed Managing Director Long Term Incentive Plan and Grant of Performance Rights to the Managing Director	Mgmt	For	For

## PT Adhi Karya (Persero) Tbk

Meeting Date: 08/05/2016

Country: Indonesia

Primary Security ID: Y7115S108

Meeting Type: Special

Ticker: ADHI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Shares Seri A Dwiwarna for the Government of the Republic of Indonesia	Mgmt	For	Against
2	Amend Articles of Association	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Bank Danamon Indonesia Tbk

**Meeting Date:** 09/07/2016

**Country:** Indonesia

**Primary Security ID:** Y71188190

**Meeting Type:** Special

**Ticker:** BDMN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Rita Mirasari as Director	Mgmt	For	For

## PT Berlian Laju Tanker Tbk

**Meeting Date:** 07/20/2016

**Country:** Indonesia

**Primary Security ID:** Y7123K170

**Meeting Type:** Annual

**Ticker:** BLTA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Allocation of Income	Mgmt	For	For
3	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Directors	Mgmt	For	Against
5	Approve Remuneration of Directors and Commissioners	Mgmt	For	For

## PT Global Mediacom Tbk

**Meeting Date:** 09/30/2016

**Country:** Indonesia

**Primary Security ID:** Y7119T144

**Meeting Type:** Special

**Ticker:** BMTR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors and Commissioners	Mgmt	For	Against

## PT Hanson International Tbk

**Meeting Date:** 07/28/2016

**Country:** Indonesia

**Primary Security ID:** Y71225166

**Meeting Type:** Annual/Special

**Ticker:** MYRX

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Hanson International Tbk

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ANNUAL GENERAL MEETING AGENDA	Mgmt		
1	Approve Financial Statements, Statutory Reports, and Discharge of Directors and Commissioners	Mgmt	For	For
2	Approve Allocation of Income	Mgmt	For	For
3	Approve Remuneration of Directors and Commissioners	Mgmt	For	For
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
	EXTRAORDINARY GENERAL MEETING AGENDA	Mgmt		
1	Approve Stock Split	Mgmt	For	For

## PT Japfa Comfeed Indonesia Tbk

Meeting Date: 07/18/2016

Country: Indonesia

Primary Security ID: Y71281144

Meeting Type: Special

Ticker: JPFA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
2	Approve Increase in Authorized Capital and Amend Related Articles	Mgmt	For	For
3	Amend Articles of Association in Relation to the Amount of Member of Board of Director	Mgmt	For	For
4	Elect Jaka Prasetya as Director	Mgmt	For	For

## PT Jasa Marga (Persero) Tbk

Meeting Date: 08/29/2016

Country: Indonesia

Primary Security ID: Y71285103

Meeting Type: Special

Ticker: JSMR

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Jasa Marga (Persero) Tbk

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Rights Issue	Mgmt	For	For
2	Elect Directors and Commissioners	Mgmt	For	Against

## PT Krakatau Steel (Persero) Tbk

**Meeting Date:** 08/25/2016      **Country:** Indonesia      **Primary Security ID:** Y71262102  
**Meeting Type:** Special      **Ticker:** KRAS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Rights Issue	Mgmt	For	For
2	Amend Articles of Association and Authorize Commissioners to Declare the Real Amount of Shares to be Issued	Mgmt	For	For
3	Elect Directors and Commissioners	Mgmt	For	Against

## PT Lippo Cikarang Tbk

**Meeting Date:** 09/02/2016      **Country:** Indonesia      **Primary Security ID:** Y7130J117  
**Meeting Type:** Special      **Ticker:** LPCK

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect T. Bachrumsjah Hamzah as Commissioners	Mgmt	For	For

## PT Lippo Karawaci Tbk

**Meeting Date:** 08/31/2016      **Country:** Indonesia      **Primary Security ID:** Y7129W186  
**Meeting Type:** Special      **Ticker:** LPKR

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Lippo Karawaci Tbk

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors	Mgmt	For	Against

## PT Media Nusantara Citra Tbk

**Meeting Date:** 09/30/2016      **Country:** Indonesia      **Primary Security ID:** Y71280104  
**Meeting Type:** Special      **Ticker:** MNCN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors and Commissioners	Mgmt	For	Against

## PT MNC Investama TBK

**Meeting Date:** 09/30/2016      **Country:** Indonesia      **Primary Security ID:** Y7122Y122  
**Meeting Type:** Special      **Ticker:** BHIT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors and Commissioners	Mgmt	For	Against

## PT PP (Persero) Tbk

**Meeting Date:** 08/23/2016      **Country:** Indonesia      **Primary Security ID:** Y7131Q102  
**Meeting Type:** Special      **Ticker:** PTPP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Rights Issue I	Mgmt	For	For
2	Amend Articles of the Association	Mgmt	For	For
3	Elect Directors and Commissioners	Mgmt	For	Against



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Sawit Sumbermas Sarana Tbk

**Meeting Date:** 08/31/2016

**Country:** Indonesia

**Primary Security ID:** Y71391109

**Meeting Type:** Special

**Ticker:** SSMS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors and Commissioners	Mgmt	For	Against

## PT Sekawan Intipratama Tbk

**Meeting Date:** 08/15/2016

**Country:** Indonesia

**Primary Security ID:** Y71361102

**Meeting Type:** Annual/Special

**Ticker:** SIAP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ANNUAL GENERAL MEETING AGENDA	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Allocation of Income	Mgmt	For	For
3	Elect Directors	Mgmt	For	Against
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Directors and Commissioners	Mgmt	For	For
	EXTRAORDINARY GENERAL MEETING AGENDA	Mgmt		
1	Elect Directors and Commissioners	Mgmt	For	Against
2	Amend Corporate Purpose	Mgmt	For	Against

## PT Unilever Indonesia Tbk

**Meeting Date:** 08/30/2016

**Country:** Indonesia

**Primary Security ID:** Y9064H141

**Meeting Type:** Special

**Ticker:** UNVR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### PT Vale Indonesia Tbk

**Meeting Date:** 07/01/2016

**Country:** Indonesia

**Primary Security ID:** Y7150Y101

**Meeting Type:** Special

**Ticker:** INCO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mahendra Siregar as Commissioner	Mgmt	For	For

### PT Visi Media Asia Tbk

**Meeting Date:** 09/02/2016

**Country:** Indonesia

**Primary Security ID:** Y71464112

**Meeting Type:** Annual/Special

**Ticker:** VIVA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ANNUAL GENERAL MEETING AGENDA	Mgmt		
1	Approve Financial Statements, Statutory Reports, and Discharge of Directors and Commissioners	Mgmt	For	For
2	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Directors and Commissioners	Mgmt	For	Against
	EXTRAORDINARY GENERAL MEETING AGENDA	Mgmt		
1	Approve Pledging of Assets for Debt	Mgmt	For	Against
2	Approve Sale of 15% Shares Held in PT Intermedia Capital, Tbk.	Mgmt	For	For
3	Approve Loan Agreement	Mgmt	For	For

### PT Visi Media Asia Tbk

**Meeting Date:** 09/30/2016

**Country:** Indonesia

**Primary Security ID:** Y71464112

**Meeting Type:** Special

**Ticker:** VIVA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Loan Agreement	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Wijaya Karya (Persero) Tbk

**Meeting Date:** 08/22/2016

**Country:** Indonesia

**Primary Security ID:** Y7148V102

**Meeting Type:** Special

**Ticker:** WIKA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Rights Issue	Mgmt	For	For
2	Amend Articles of Association	Mgmt	For	For
3	Elect Directors and Commissioners	Mgmt	For	Against

## PT Wijaya Karya Beton TBK

**Meeting Date:** 07/20/2016

**Country:** Indonesia

**Primary Security ID:** Y714AL101

**Meeting Type:** Special

**Ticker:** WTON

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Resignation of Commissioner	Mgmt	For	For
2	Amend Articles of the Association	Mgmt	For	Against

## PT XL Axiata Tbk

**Meeting Date:** 09/29/2016

**Country:** Indonesia

**Primary Security ID:** Y7125N107

**Meeting Type:** Special

**Ticker:** EXCL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Commissioners	Mgmt	For	For

## PTC India Financial Services Ltd.

**Meeting Date:** 09/28/2016

**Country:** India

**Primary Security ID:** Y711AW103

**Meeting Type:** Annual

**Ticker:** 533344

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PTC India Financial Services Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Ajit Kumar as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Pravin Tripathi as Independent Director	Mgmt	For	For
6	Elect Harbans Lal Bajaj as Independent Director	Mgmt	For	For

## PTC India Ltd.

Meeting Date: 09/28/2016

Country: India

Primary Security ID: Y7043E106

Meeting Type: Annual

Ticker: 532524

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Ravi Prakash Singh as Director	Mgmt	For	Against
4	Reelect Jyoti Arora as Director	Mgmt	For	Against
5	Approve K. G. Somani & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Kulamani Biswal as Director	Mgmt	For	Against
7	Elect Jayant Kumar as Director	Mgmt	For	Against

## PVR Limited

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y71626108

Meeting Type: Annual

Ticker: 532689

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PVR Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Sanjeev Kumar as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## QL Resources Berhad

Meeting Date: 08/25/2016

Country: Malaysia

Primary Security ID: Y7171B106

Meeting Type: Annual

Ticker: QL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	Mgmt	For	For
2	Elect Chia Song Kooi as Director	Mgmt	For	For
3	Elect Chia Song Swa as Director	Mgmt	For	For
4	Elect Chia Mak Hooi as Director	Mgmt	For	For
5	Elect Aini Binti Ideris as Director	Mgmt	For	For
6	Approve Remuneration of Directors	Mgmt	For	For
7	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Elect Zainal Rashid Bin Tengku Mahmood as Director	Mgmt	For	Against
9	Approve Zainal Rashid Bin Tengku Mahmood to Continue Office as Independent Non-Executive Director	Mgmt	For	For
10	Approve Chieng Ing Huong, Eddy to Continue Office as Independent Non-Executive Director	Mgmt	For	For
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## QL Resources Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13	Approve Implementation of Shareholders' Mandate for Recurrent Related Party Transactions	Mgmt	For	For

## Rajesh Exports Ltd.

**Meeting Date:** 09/30/2016      **Country:** India      **Primary Security ID:** Y7181T147  
**Meeting Type:** Annual      **Ticker:** 531500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Rajesh Mehta as Director	Mgmt	For	For
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Ramco Cements Ltd.

**Meeting Date:** 08/04/2016      **Country:** India      **Primary Security ID:** Y53727148  
**Meeting Type:** Annual      **Ticker:** 500260

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	For
2	Reelect P.R.Ramasubrahmaneya Rajha as Director	Mgmt	For	For
3	Approve M.S. Jagannathan & N.Krishnaswami and CNGSN & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Appointment and Remuneration of P.R.Ramasubrahmaneya Rajha as Chairman and Managing Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ratnamani Metals & Tubes Ltd

**Meeting Date:** 08/27/2016

**Country:** India

**Primary Security ID:** Y7198B113

**Meeting Type:** Annual

**Ticker:** 520111

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Shanti M. Sanghvi as Director	Mgmt	For	For
3	Approve Mehta Lodha & Co. and S.R.B.C. & Co. LLP as Auditors Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For
5	Approve Keeping of Register of Members, Index of Members, Register and Index of Debenture Holders at the Office of the New Registrar and Transfer Agents	Mgmt	For	For

## Redington (India) Limited

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y72020111

**Meeting Type:** Annual

**Ticker:** 532805

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect Tu Shu-Chyuan as Director	Mgmt	For	For
5	Reelect Lin Tai-Yang as Director	Mgmt	For	For
6	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Appointment and Remuneration of M. Raghunandan as Executive Director	Mgmt	For	For
8	Reelect E H Kasturi Rangan as Director and Approve Appointment and Remuneration of E H Kasturi Rangan as Executive Director	Mgmt	For	For
9	Elect B. Ramaratnam as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Redington (India) Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Approve Ernst & Young LLP as Branch Auditors	Mgmt	For	For

## Relaxo Footwears Ltd

**Meeting Date:** 09/15/2016      **Country:** India      **Primary Security ID:** Y7255B162  
**Meeting Type:** Annual      **Ticker:** 530517

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Nikhil Dua as Director	Mgmt	For	Against
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of Nikhil Dua as Executive Director	Mgmt	For	For

## Reliance Capital Ltd

**Meeting Date:** 09/10/2016      **Country:** India      **Primary Security ID:** Y72561114  
**Meeting Type:** Court      **Ticker:** 500111

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Court Ordered Meeting for Shareholders Approve Scheme of Arrangement	Mgmt	For	For

## Reliance Capital Ltd

**Meeting Date:** 09/27/2016      **Country:** India      **Primary Security ID:** Y72561114  
**Meeting Type:** Annual      **Ticker:** 500111



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Reliance Capital Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Soument Ghosh as Director	Mgmt	For	For
4	Approve B S R & Co. LLP and Pathak H.D. & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Jai Anmol Ambani as Director and Approve Appointment and Remuneration of Jai Anmol Ambani as Executive Director	Mgmt	For	Against
6	Authorize Issuance of Debentures and Other Debt Securities	Mgmt	For	Against
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights to Qualified Institutional Buyers	Mgmt	For	For

## Reliance Communications Ltd.

Meeting Date: 09/27/2016

Country: India

Primary Security ID: Y72317103

Meeting Type: Annual

Ticker: RCOM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Manjari Kacker as Director	Mgmt	For	For
3	Approve B S R & Co. LLP and Pathak H.D. & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Authorize Issuance of Non-Convertible Debentures and/or Other Debt Securities	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Reappointment and Remuneration of Prakash Shenoy as Manager	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Reliance Industries Ltd.

**Meeting Date:** 09/01/2016

**Country:** India

**Primary Security ID:** Y72596102

**Meeting Type:** Annual

**Ticker:** RELIANCE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Audited Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Audited Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Nikhil R. Meswani as Director	Mgmt	For	For
4	Reelect Pawan Kumar Kapil as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For

## Reliance Infrastructure Ltd.

**Meeting Date:** 09/27/2016

**Country:** India

**Primary Security ID:** Y09789127

**Meeting Type:** Annual

**Ticker:** 500390

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect V K Chaturvedi as Director	Mgmt	For	For
4	Approve Haribhakti & Co. LLP and Pathak H.D. & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Shiv Prabhat as Director	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures and/or Other Debt Securities	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Reliance Power Ltd.

**Meeting Date:** 09/27/2016

**Country:** India

**Primary Security ID:** Y7236V105

**Meeting Type:** Annual

**Ticker:** 532939

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect V. K. Chaturvedi as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Pathak H. D. & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Yogendra Narain as Independent Director	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Religare Enterprises Ltd

**Meeting Date:** 07/16/2016

**Country:** India

**Primary Security ID:** Y7237L106

**Meeting Type:** Special

**Ticker:** 532915

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Issuance of Non-Convertible Non-Cumulative Redeemable Preference Shares	Mgmt	For	For
2	Change Location of Registered Office	Mgmt	For	For

## Religare Enterprises Ltd

**Meeting Date:** 09/05/2016

**Country:** India

**Primary Security ID:** Y7237L106

**Meeting Type:** Annual

**Ticker:** 532915

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Religare Enterprises Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Monish Kant Dutt as Director	Mgmt	For	For
3	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
5	Appoint Malvinder Mohan Singh as Non-Executive Chairman	Mgmt	For	For
6	Appoint Shivinder Mohan Singh as Non-Executive Vice-Chairman	Mgmt	For	For
7	Approve Redesignation of Sunil Godhwani from Chairman & Managing Director to Executive Director & CEO	Mgmt	For	For
8	Elect Rashi Dhir as Independent Director	Mgmt	For	For

## Religare Health Trust

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y72378105

**Meeting Type:** Annual

**Ticker:** RF1U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee-Manager, Statement by the Trustee-Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve Ernst & Young LLP as Auditors and Authorize the Trustee-Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
4	Other Business (Voting)	Mgmt	For	Against

## Religare Health Trust

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y72378105

**Meeting Type:** Special

**Ticker:** RF1U

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Religare Health Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal of the Relevant Securities to Interested Persons and Related Arrangements	Mgmt	For	For
2	Approve Whitewash Resolution	Mgmt	For	For

## Repc Home Finance Limited

Meeting Date: 09/22/2016

Country: India

Primary Security ID: Y7S54C114

Meeting Type: Annual

Ticker: REPCOHME

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Sanjeevane Kuty as Director	Mgmt	For	Against
4	Approve R. Subramanian and Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Debentures and Commercial Paper	Mgmt	For	For
6	Approve RHFL ESOP Scheme 2016	Mgmt	For	Against

## Restaurant Brands New Zealand Limited

Meeting Date: 07/22/2016

Country: New Zealand

Primary Security ID: Q8121Q101

Meeting Type: Annual

Ticker: RBD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Sue Suckling as Director	Mgmt	For	For
2	Elect Stephen Copulos as Director	Mgmt	For	For
3	Elect Vicky Taylor as Director	Mgmt	For	For
4	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Restaurant Brands New Zealand Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Ricoh India Ltd

**Meeting Date:** 08/05/2016      **Country:** India      **Primary Security ID:** Y7342F119  
**Meeting Type:** Special      **Ticker:** 517496

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Remove U.P. Mathur as Director	SH	None	For
2	Remove R. K. Pandey as Director	SH	None	For
3	Remove Ashish Garg as Director	SH	None	For
4	Remove Hiroyasu Kitada as Director	SH	None	For
5	Elect Atul Thakker as Independent Director	SH	None	Against

## Ricoh India Ltd

**Meeting Date:** 09/21/2016      **Country:** India      **Primary Security ID:** Y7342F119  
**Meeting Type:** Special      **Ticker:** 517496

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Elect Ian Peter Winham as Director	Mgmt	For	For
2	Elect AT Rajan as Director	Mgmt	For	For
3	Approve Appointment and Remuneration AT Rajan as Managing Director and Chief Executive Officer	Mgmt	For	For

## Robinsons Retail Holdings, Inc.

**Meeting Date:** 07/22/2016      **Country:** Philippines      **Primary Security ID:** Y7318T101  
**Meeting Type:** Written Consent      **Ticker:** RRHI

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Robinsons Retail Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Article Third of the Amended Articles of Incorporation to Reflect the Change in the Principal Office of the Company	Mgmt	For	For

## Relta India Limited

Meeting Date: 08/20/2016

Country: India

Primary Security ID: Y7324A112

Meeting Type: Annual

Ticker: 500366

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve N. M. Rajji & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Homai A. Daruwalla as Independent Director	Mgmt	For	For
4	Elect Ramnath Pradeep as Independent Director	Mgmt	For	For
5	Elect Padam Pal Singh Bhandari as Independent Director	Mgmt	For	For
6	Elect K. T. Parnaik as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of K. T. Parnaik as Joint Managing Director	Mgmt	For	For
8	Elect Rajesh Ramachandran as Director	Mgmt	For	For
9	Approve Appointment and Remuneration of Rajesh Ramachandran as Joint Managing Director	Mgmt	For	For
10	Elect Ramakrishna Prabhu as Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Ramakrishna as Executive Director, Corporate Affairs & CFO	Mgmt	For	For
12	Approve Service of Documents to the Members of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Rural Electrification Corporation Ltd.

**Meeting Date:** 09/21/2016

**Country:** India

**Primary Security ID:** Y73650106

**Meeting Type:** Annual

**Ticker:** RECLTD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Divided	Mgmt	For	For
3	Reelect Ajeet Kumar Agarwal as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect Sanjeev Kumar Gupta as Director	Mgmt	For	Against
6	Elect Arun Singh as Independent Director	Mgmt	For	For
7	Elect Aravamudan Krishna Kumar as Independent Director	Mgmt	For	For
8	Elect Tiruvallur Thattai Ram Mohan as Independent Director	Mgmt	For	For
9	Approve Related Party Transactions	Mgmt	For	Against
10	Increase Authorized Share Capital	Mgmt	For	For
11	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
12	Approve Bonus Issue	Mgmt	For	For
13	Adopt New Articles of Association	Mgmt	For	For
14	Authorize Issuance of Non-Convertible Bonds/Debentures	Mgmt	For	For

## Ryman Healthcare Ltd.

**Meeting Date:** 07/27/2016

**Country:** New Zealand

**Primary Security ID:** Q8203F106

**Meeting Type:** Annual

**Ticker:** RYM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect George Savvides as Director	Mgmt	For	For
2	Elect David Kerr as Director	Mgmt	For	For
3	Elect Kevin Hickman as Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ryman Healthcare Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## S H Kelkar & Company Ltd

**Meeting Date:** 08/09/2016      **Country:** India      **Primary Security ID:** Y7T57W116  
**Meeting Type:** Annual      **Ticker:** 539450

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Amit Dalmia as Director	Mgmt	For	For
4	Approve B S r & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## S2 Resources Ltd.

**Meeting Date:** 09/09/2016      **Country:** Australia      **Primary Security ID:** Q8797V103  
**Meeting Type:** Special      **Ticker:** S2R

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Ratify Past Issuance of Shares	Mgmt	For	For
2	Ratify Past Issuance of 22.7 Million Shares to Domestic and International Professional, Sophisticated and Other Institutional Investors	Mgmt	For	For

## Sadbhav Engineering Ltd.

**Meeting Date:** 08/27/2016      **Country:** India      **Primary Security ID:** Y7369C130  
**Meeting Type:** Special      **Ticker:** 532710

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sadbhav Engineering Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Related Party Transactions	Mgmt	For	For

## Sadbhav Engineering Ltd.

Meeting Date: 09/28/2016

Country: India

Primary Security ID: Y7369C130

Meeting Type: Annual

Ticker: 532710

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Vasistha C. Patel as Director	Mgmt	For	Against
4	Reelect Vikramkumar R. Patel as Director	Mgmt	For	For
5	Approve Surana Maloo & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
6	Elect Vipul H. Patel as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Vipul H. Patel as Executive Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Conversion of Loan to Equity Shares	Mgmt	For	Against

## Sakata Seed Corp.

Meeting Date: 08/23/2016

Country: Japan

Primary Security ID: J66704107

Meeting Type: Annual

Ticker: 1377

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2	Elect Director Ihara, Yoshitaka	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sakata Seed Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.1	Appoint Statutory Auditor Enda, Mitsuo	Mgmt	For	For
3.2	Appoint Statutory Auditor Hasegawa, Noboru	Mgmt	For	Against
3.3	Appoint Statutory Auditor Numata, Yasunori	Mgmt	For	For
4	Approve Statutory Auditor Retirement Bonus	Mgmt	For	Against

## Samsung Heavy Industries Co. Ltd.

**Meeting Date:** 08/19/2016      **Country:** South Korea      **Primary Security ID:** Y7474M106  
**Meeting Type:** Special      **Ticker:** A010140

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For

## Sankyo Tateyama Inc.

**Meeting Date:** 08/29/2016      **Country:** Japan      **Primary Security ID:** J67779124  
**Meeting Type:** Annual      **Ticker:** 5932

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	For	For
2.1	Elect Director Yamashita, Kiyotsugu	Mgmt	For	For
2.2	Elect Director Kambara, Shozo	Mgmt	For	For
2.3	Elect Director Okamoto, Makoto	Mgmt	For	For
2.4	Elect Director Shoji, Mitsugu	Mgmt	For	For
2.5	Elect Director Yamada, Hiroshi	Mgmt	For	For
2.6	Elect Director Nakano, Takashi	Mgmt	For	For
2.7	Elect Director Ami, Shuichi	Mgmt	For	For
2.8	Elect Director Hirano, Shozo	Mgmt	For	For
2.9	Elect Director Kurosaki, Satoshi	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SapuraKencana Petroleum Berhad

**Meeting Date:** 07/26/2016

**Country:** Malaysia

**Primary Security ID:** Y7516Y100

**Meeting Type:** Annual

**Ticker:** SKPETRO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Remuneration of Directors	Mgmt	For	For
2	Elect Shahril Shamsuddin as Director	Mgmt	For	For
3	Elect Gee Siew Yoong as Director	Mgmt	For	For
4	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Hamzah Bakar as Director	Mgmt	For	For
6	Elect Amar Hamid Bugo as Director	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## SATS Ltd

**Meeting Date:** 07/19/2016

**Country:** Singapore

**Primary Security ID:** Y7992U101

**Meeting Type:** Annual

**Ticker:** S58

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Alexander Charles Hungate as Director	Mgmt	For	For
4	Elect Koh Poh Tiong as Director	Mgmt	For	For
5	Elect Thierry Breton as Director	Mgmt	For	For
6	Elect Tan Soo Nan as Director	Mgmt	For	For
7	Approve Directors' Fees	Mgmt	For	For
8	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Issuance of Shares and/or Grant of Awards Under the SATS Performance Share Plan, SATS Restricted Share Plan, and SATS Employee Share Option Plan	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SATS Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For
13	Adopt New Constitution	Mgmt	For	For

## Schneider Electric Infrastructure Ltd

Meeting Date: 09/23/2016

Country: India

Primary Security ID: Y806B5109

Meeting Type: Annual

Ticker: 534139

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Sugata Sircar as Director	Mgmt	For	For
3	Reelect Sonali Kaushik as Director	Mgmt	For	For
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Vivek Sarwate as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Vivek Sarwate as Executive Director and Key Managerial Personnel	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Participation of Employees of the Company in the World Wide Employee Share Ownership Plan of the Ultimate Holding Company	Mgmt	For	For

## Sekisui House Reit Inc.

Meeting Date: 07/28/2016

Country: Japan

Primary Security ID: J91258103

Meeting Type: Special

Ticker: 3309

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sekisui House Reit Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Allow Acquisition of Majority Stake in Foreign Real Estate Companies - Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Inoue, Junichi	Mgmt	For	For
3	Elect Alternate Executive Director Kida, Atsuhiko	Mgmt	For	For
4.1	Elect Supervisory Director Nomura, Shigeru	Mgmt	For	For
4.2	Elect Supervisory Director Omiya, Tatsushi	Mgmt	For	For

## Sequent Scientific Ltd

Meeting Date: 09/23/2016

Country: India

Primary Security ID: Y7670U126

Meeting Type: Annual

Ticker: 512529

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Manish Gupta as Director	Mgmt	For	For
3	Approve Deloitte Haskins and Sellis as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect S. Devendra Kumar as Director	Mgmt	For	For
5	Elect K E C Rajakumar as Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Related Party Transactions	Mgmt	For	Against
8	Approve Reappointment and Remuneration of Gautam Kumar Das as Joint Managing Director	Mgmt	For	For
9	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Dasheng Agriculture Finance Technology Co., Ltd.

Meeting Date: 08/23/2016

Country: China

Primary Security ID: Y7690D104

Meeting Type: Annual

Ticker: 1103

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve 2015 Audited Financial Statements and Auditors' Report and Its Subsidiaries	Mgmt	For	For
2	Approve 2015 Report of the Board of Directors	Mgmt	For	For
3	Approve 2015 Report of the Supervisory Committee	Mgmt	For	For
4	Approve Final Dividend	Mgmt	For	For
5	Authorize Board to Fix 2016 Remuneration of Directors and Supervisors	Mgmt	For	For
6	Authorize Board to Determine and Approve the Declaration and Payment of 2016 Interim Dividend and Special Dividend	Mgmt	For	For
7	Approve BDO Limited and BDO China Shu Lun Pan Certified Public Accountants LLP as International Auditors and PRC Auditors Respectively and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8a	Elect Lan Huasheng as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
8b	Elect Mo Luojiang as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
8c	Elect Wang Liguang as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
8d	Elect Zhu Tianxiang as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
8e	Elect Chung Cheuk Ming as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
8f	Elect Zhou Jianhao as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
9	Elect Yang Gaoyu as Director and Authorize Board to Fix His Remuneration	Mgmt	For	For
10a	Elect Lu Tingfu as Shareholders' Representative Supervisor and Authorize Board to Fix His Remuneration	Mgmt	For	For
10b	Elect Ye Mingzhu as Independent Supervisor and Authorize Board to Fix Her Remuneration	Mgmt	For	For
10c	Elect Chen Yuanling as Independent Supervisor and Authorize Board to Fix Her Remuneration	Mgmt	For	For
11	Authorize Board to Enter into Service Contracts with the Directors and Supervisors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Dasheng Agriculture Finance Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
12	Approve Provision of Guarantee to Subsidiaries	Mgmt	For	Against
13	Approve Bonus Issue	Mgmt	For	For
14	Amend Articles of Association Re: Bonus Issue	Mgmt	For	For
15	Amend Articles of Association Re: Business Scope	Mgmt	For	For
16	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against

## Shanghai Dasheng Agriculture Finance Technology Co., Ltd.

Meeting Date: 08/23/2016

Country: China

Primary Security ID: Y7690D104

Meeting Type: Special

Ticker: 1103

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Bonus Issue	Mgmt	For	For
2	Amend Articles of Association	Mgmt	For	For

## Shanghai Dingli Technology Development (Group) Co., Ltd.

Meeting Date: 08/01/2016

Country: China

Primary Security ID: Y7690P123

Meeting Type: Special

Ticker: 900907

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Continued Suspension of Trading	Mgmt	For	For
2	Approve Amendments to Articles of Association	Mgmt	For	For
3	Approve Postponement in Additional Subscription of Company's Shares by Dingli Holding Group Co., Ltd.	SH	None	For



# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

**Meeting Date:** 08/31/2016

**Country:** China

**Primary Security ID:** Y7687D117

**Meeting Type:** Special

**Ticker:** 600196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Wu Yifang as Director	Mgmt	For	For
2	Approve Compliance of the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
	RESOLUTIONS RELATING TO THE OVERSEAS LISTING OF SISRAM MEDICAL LTD.	Mgmt		
3.01	Approve Issuing Entity in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.02	Approve Place of Listing in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.03	Approve Type of Securities to be Issued in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.04	Approve Target Subscribers in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.05	Approve Listing Date in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.06	Approve Method of Issuance in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.07	Approve Size of Issuance in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.08	Approve Offer Price in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.09	Approve Underwriting in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.10	Approve Use of Proceeds in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
4	Approve Undertaking of Maintaining Independent Listing Status of the Company	Mgmt	For	For
5	Approve Description of the Sustainable Profitability and Prospects of the Group	Mgmt	For	For
6	Authorize Board to Deal with All Matters in Relation to the Overseas Listing of Sisram Listco	Mgmt	For	For
7	Approve Provision of Assured Entitlements to H Shareholders Only in Connection with the Spin-off and the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

**Meeting Date:** 08/31/2016

**Country:** China

**Primary Security ID:** Y7687D117

**Meeting Type:** Special

**Ticker:** 600196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Provision of Assured Entitlements to H Shareholders Only in Connection with the Spin-off and the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

**Meeting Date:** 09/29/2016

**Country:** China

**Primary Security ID:** Y7687D117

**Meeting Type:** Special

**Ticker:** 600196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Proposed Acquisition of the Controlling Interest in Gland Pharma Limited	Mgmt	For	For
2	Approve Provision of Additional Guarantee Limit in Respect of Fosun Industrial Co., Ltd.	Mgmt	For	For

## Shanghai Haixin Group Co., Ltd.

**Meeting Date:** 09/22/2016

**Country:** China

**Primary Security ID:** Y7683H104

**Meeting Type:** Special

**Ticker:** 900917

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Election of Board of Directors in Advance	Mgmt	For	For
2	Approve Election of Board of Supervisors in Advance	Mgmt	For	For
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Haixin Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.01	Elect Yu Feng as Non-Independent Director	SH	None	For
3.02	Elect Wang Peiguang as Non-Independent Director	SH	None	For
3.03	Elect Meng Wenbo as Non-Independent Director	SH	None	For
3.04	Elect Ying Zheng as Non-Independent Director	SH	None	For
3.05	Elect Bao Sui as Non-Independent Director	SH	None	For
3.06	Elect Tao Jianming as Non-Independent Director	SH	None	For
3.07	Elect Zhu Zhaosong as Independent Director	SH	None	For
3.08	Elect Wang Hongyan as Independent Director	SH	None	For
3.09	Elect Zhou Lan as Independent Director	SH	None	For
	ELECT SUPERVISORS	Mgmt		
4.01	Elect Long Haiyu as Supervisor	SH	None	For
4.02	Elect Zhao Yimei as Supervisor	SH	None	For
5	Amend Articles of Association	Mgmt	For	For

## Shanghai JinJiang International Industrial Investment Co., Ltd.

Meeting Date: 09/22/2016

Country: China

Primary Security ID: Y7686D118

Meeting Type: Special

Ticker: 900914

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Amendments to Articles of Association	Mgmt	For	For
	ELECT NON-INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
2.01	Elect Xu Ming as Non-Independent Director	Mgmt	For	For

## Shanghai Lingang Holdings Corp Ltd.

Meeting Date: 08/22/2016

Country: China

Primary Security ID: Y7679S106

Meeting Type: Special

Ticker: 900928

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Shanghai Lingang Holdings Corp Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF A SHARES	Mgmt		
1	Approve Company's Plan for Acquisition by Issuance of Shares as well as Raising Supporting Funds Complies with Relevant Laws and Regulations	Mgmt	For	For
	APPROVE RELATED PARTY TRANSACTION IN CONNECTION TO ACQUISITION BY ISSUANCE OF SHARES AS WELL AS RAISING SUPPORTING FUNDS	Mgmt		
2.01	Approve Overall Plan	Mgmt	For	For
2.02	Approve Issue Type and Par Value	Mgmt	For	For
2.03	Approve Issue Manner	Mgmt	For	For
2.04	Approve Target Subscribers and Subscription Method	Mgmt	For	For
2.05	Approve Pricing Reference Date and Issue Price	Mgmt	For	For
2.06	Approve Pricing Basis	Mgmt	For	For
2.07	Approve Transaction Price	Mgmt	For	For
2.08	Approve Issue Size	Mgmt	For	For
2.09	Approve Lock-up Arrangement	Mgmt	For	For
2.10	Approve Attribution of Profit and Loss During the Transition Period	Mgmt	For	For
2.11	Approve Listing Exchange	Mgmt	For	For
2.12	Approve Issue Type and Par Value in Connection to Raising Supporting Funds	Mgmt	For	For
2.13	Approve Issue Method in Connection to Raising Supporting Funds	Mgmt	For	For
2.14	Approve Target Subscribers and Subscription Method in Connection to Raising Supporting Funds	Mgmt	For	For
2.15	Approve Pricing Reference Date and Issue Price in Connection to Raising Supporting Funds	Mgmt	For	For
2.16	Approve Amount of Proceeds	Mgmt	For	For
2.17	Approve Issue Size in Connection to Raising Supporting Funds	Mgmt	For	For
2.18	Approve Lock-up Arrangement in Connection to Raising Supporting Funds	Mgmt	For	For
2.19	Approve Listing Exchange in Connection to Raising Supporting Funds	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Lingang Holdings Corp Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.20	Approve Use of Proceeds	Mgmt	For	For
2.21	Approve Resolution Validity Period	Mgmt	For	For
2.22	Approve Transaction Constitute as Related-Party Transaction	Mgmt	For	For
3	Approve Report (Draft) and Summary on Company's Acquisition by Issuance of Shares as well as Raising Supporting Funds	Mgmt	For	For
4	Approve Relevant Agreements and Their Supplementary Agreements	Mgmt	For	For
5	Approve Asset Custody Transaction Between Controlling Shareholder and Shanghai Caohe Economic Development Zone Puxing Construction Development Co., Ltd.	Mgmt	For	For
6	Approve Profit Compensation Agreement	Mgmt	For	For
7	Approve White Wash Waiver and Related Transactions	Mgmt	For	For
8	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	Mgmt	For	For
9	Approve Relevant Audit Report, Profit Forecast Review Report and Asset Appraisal Report	Mgmt	For	For
10	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	Mgmt	For	For
11	Approve Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	For
12	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	Mgmt	For	For
13	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
14	Approve Report on the Usage of Previously Raised Funds	Mgmt	For	For

## Shanghai Lingyun Industries Development Co., Ltd.

Meeting Date: 07/04/2016

Country: China

Primary Security ID: Y7687E107

Meeting Type: Special

Ticker: 900957

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Lingyun Industries Development Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Provision of Joint Responsibility Guarantee for Fixed Asset Loan Application Amounting to CNY 80,000,000 of Wholly-owned Subsidiary Jingyuan Deyou New Energy Co., Ltd. from China Everbright Bank in Lanzhou Branch	Mgmt	For	For

## Shanghai Lujiazui Finance & Trade Zone Development Co. Ltd.

**Meeting Date:** 09/14/2016      **Country:** China      **Primary Security ID:** Y7686Q119  
**Meeting Type:** Special      **Ticker:** 900932

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Company's Eligibility for Major Assets Restructuring	Mgmt	For	For
2	Approve the Notion that the Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	For
	APPROVE PLAN FOR MAJOR ASSET RESTRUCTURING	Mgmt		
3.01	Approve Target Assets, Transaction Manner and Transaction Counterparty	Mgmt	For	For
3.02	Approve Transaction Price and Pricing Basis	Mgmt	For	For
3.03	Approve Payment Manner	Mgmt	For	For
3.04	Approve Time Arrangement Regarding Transition of Assets	Mgmt	For	For
3.05	Approve Attribution of Profit and Loss During the Transition Period	Mgmt	For	For
3.06	Approve Staffing Arrangement	Mgmt	For	For
3.07	Approve Relevant Arrangements in Connection to Funds and Signing of Agreements	Mgmt	For	For
3.08	Approve Signing of Agreements and Vesting conditions	Mgmt	For	For
3.09	Approve Liability for Breach of Contract	Mgmt	For	For
3.10	Approve Resolution Validity Period	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Lujiazui Finance & Trade Zone Development Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Relevant Financial and Appraisal Reports	Mgmt	For	For
5	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	Mgmt	For	For
6	Approve Report (Draft) and Summary of Company's Major Asset Purchase	Mgmt	For	For
7	Approve the Notion That This Transaction Constitute as Related-Party Transaction	Mgmt	For	For
8	Approve Signing of Relevant Agreements	Mgmt	For	For
9	Approve the Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	Mgmt	For	For
10	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
11	Approve Impact of Dilution of Current Returns, Relevant Measures to be Taken and Relevant Commitments	Mgmt	For	For
12	Approve Amendments to Articles of Association	Mgmt	For	For
13	Elect Liu Jun as Supervisor	SH	None	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
14.01	Elect Li Zuoqiang as Non-Independent Director	SH	None	For
14.02	Elect Deng Weili as Non-Independent Director	SH	None	For

## Shanghai Shenqi Pharmaceutical Investment Management Co., Ltd.

Meeting Date: 09/27/2016

Country: China

Primary Security ID: Y7695W107

Meeting Type: Special

Ticker: 900904

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve External Investment by Subsidiary with Related Party	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Yaohua Pilkington Glass Group Co., Ltd.

**Meeting Date:** 09/20/2016

**Country:** China

**Primary Security ID:** Y7698W104

**Meeting Type:** Special

**Ticker:** 900918

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Controlled Subsidiary to Transfer Equity via Public Listing	Mgmt	For	For
2	Approve 2015 Provision for Asset Impairment	Mgmt	For	For

## Shanghai Young Sun Investment Co., Ltd.

**Meeting Date:** 09/14/2016

**Country:** China

**Primary Security ID:** Y7686L102

**Meeting Type:** Special

**Ticker:** 900935

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Extension of Authorization of Board to Handle the Resolution Validity Period of Major Assets Restructuring Plan	Mgmt	For	For

## Shanghai Zhongyida Co., Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y1505Q129

**Meeting Type:** Special

**Ticker:** 900906

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Acquisition Agreement and Authorization of General Manager to Handle All Related Matters	Mgmt	For	For
2	Elect Huang Qi as Independent Director	SH	None	For
3	Elect Li Baojiang as Independent Director	SH	None	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Zhongyida Co., Ltd.

**Meeting Date:** 08/29/2016

**Country:** China

**Primary Security ID:** Y1505Q129

**Meeting Type:** Special

**Ticker:** 900906

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Dismissal of Chen Guozhong as Director	Mgmt	For	Against

## Shanxi Guoxin Energy Corporation Ltd.

**Meeting Date:** 09/14/2016

**Country:** China

**Primary Security ID:** Y7686K112

**Meeting Type:** Special

**Ticker:** 600617

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDER OF B SHARES	Mgmt		
1	Approve Use of Raised Funds from 2014 Private Placement to Permanently Replenish Working Capital	Mgmt	For	For
2	Approve Increase in Provision of Guarantee to Subsidiary	Mgmt	For	For
3	Approve Provision of Guarantee by Subsidiary to Related Party	Mgmt	For	For

## Sharda Cropchem Ltd.

**Meeting Date:** 09/03/2016

**Country:** India

**Primary Security ID:** Y7T01D107

**Meeting Type:** Annual

**Ticker:** 538666

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Statements and Statutory Reports	Mgmt	For	For
3	Approve Interim Dividend	Mgmt	For	For
4	Reelect Ashish R. Bubna as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sharda Cropchem Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Shengjing Bank Co Ltd

**Meeting Date:** 09/13/2016      **Country:** China      **Primary Security ID:** Y7704K102  
**Meeting Type:** Special      **Ticker:** 2066

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	Mgmt	For	For

## Shenzhen Chiwan Petroleum Supply Base Co., Ltd.

**Meeting Date:** 08/04/2016      **Country:** China      **Primary Security ID:** Y1565H118  
**Meeting Type:** Special      **Ticker:** 200053

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Plan for Merger by Absorption of the Company via Share Swap of Shenzhen New Nanshan Holding (Group) Co., Ltd. as well as Raising Supporting Funds Complies with Relevant Laws and Regulations	Mgmt	For	For
	APPROVE RELATED PARTY TRANSACTION IN CONNECTION TO MERGER BY ABSORPTION OF THE COMPANY VIA SHARE SWAP OF SHENZHEN NEW NANSHAN HOLDING (GROUP) CO., LTD. AS WELL AS RAISING SUPPORTING FUNDS	Mgmt		
2.1	Approve Merger Subject	Mgmt	For	For
2.2	Approve Merger Method	Mgmt	For	For
2.3	Approve Merger Effective Date and Merger Completion Date	Mgmt	For	For
2.4	Approve Share Type and Par Value	Mgmt	For	For
2.5	Approve Target Subscribers	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Chiwan Petroleum Supply Base Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.6	Approve Share Swap Price of Nanshan Holding	Mgmt	For	For
2.7	Approve Share Swap Price of the Company	Mgmt	For	For
2.8	Approve Share Swap Ratio	Mgmt	For	For
2.9	Approve Issue Size	Mgmt	For	For
2.10	Approve Cash Option for Nanshan Holding's Shareholders	Mgmt	For	For
2.11	Approve Cash Option for Shareholders of the Company	Mgmt	For	For
2.12	Approve Share Swap Implementation Date	Mgmt	For	For
2.13	Approve Share Swap Method	Mgmt	For	For
2.14	Approve Trading of Issued Shares of Nanshan Holding	Mgmt	For	For
2.15	Approve Treatment for Fractional Shares	Mgmt	For	For
2.16	Approve Treatment for the Restricted Shares of the Company	Mgmt	For	For
2.17	Approve Distribution Arrangement of Cumulative Earnings	Mgmt	For	For
2.18	Approve Creditor Protection Arrangement	Mgmt	For	For
2.19	Approve Arrangement During Transition Period	Mgmt	For	For
2.20	Approve Staffing Arrangement	Mgmt	For	For
2.21	Approve Lock-up Period Arrangement	Mgmt	For	For
2.22	Approve Matters Regarding Delivery	Mgmt	For	For
	APPROVE RAISING OF SUPPORTING FUNDS	Mgmt		
2.23a	Approve Share Type, Par Value and Listing Exchange	Mgmt	For	For
2.23b	Approve Issue Size	Mgmt	For	For
2.23c	Approve Target Subscribers and Subscription Method	Mgmt	For	For
2.23d	Approve Issue Price and Pricing Basis	Mgmt	For	For
2.23e	Approve Amount of Raised Funds and Investment Project	Mgmt	For	For
2.23f	Approve Lock-up Period Arrangement	Mgmt	For	For
2.24	Approve Resolution Validity Period	Mgmt	For	For
3	Approve the Notion that the Transaction Constitute as Related-Party Transaction	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Chiwan Petroleum Supply Base Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Report (Draft) and Summary on Merger by Absorption via Share Swap as well as Raising Supporting Funds and Its Summary	Mgmt	For	For
5	Approve the Notion that the Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	For
6	Approve Conditional Merger by Absorption Agreement	Mgmt	For	For
7	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	Mgmt	For	For
8	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	Mgmt	For	For
9	Approve Relevant Financial Reports	Mgmt	For	For
10	Approve Relevant Commitments in Connection to the Transaction	Mgmt	For	For
11	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
12	Approve Confirmation of the Appraisal Report of the Transaction	Mgmt	For	For

## Shenzhen Chiwan Petroleum Supply Base Co., Ltd.

**Meeting Date:** 09/23/2016      **Country:** China      **Primary Security ID:** Y1565H118  
**Meeting Type:** Special      **Ticker:** 200053

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Guarantee Provision Plan	Mgmt	For	For
2	Approve Authorization of Management in Connection to the Financing	Mgmt	For	For

## Shenzhen Seg Co. Ltd

**Meeting Date:** 07/15/2016      **Country:** China      **Primary Security ID:** Y7741D111  
**Meeting Type:** Special      **Ticker:** 200058

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
1.1	Elect Wang Li as Non-Independent Director	SH	None	For
1.2	Elect Zhang Guangliu as Non-Independent Director	SH	None	For
1.3	Elect Cao Xiang as Non-Independent Director	SH	None	For
1.4	Elect Yu Qian as Non-Independent Director	SH	None	For
1.5	Elect Liu Zhijun as Non-Independent Director	SH	None	For
1.6	Elect Zheng Dan as Non-Independent Director	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.1	Elect Li Luoli as Non-Independent Director	SH	None	For
2.2	Elect Song Pingping as Non-Independent Director	SH	None	For
2.3	Elect Fan Zhiqing as Non-Independent Director	SH	None	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
3.1	Elect Xu Ning as Supervisor	SH	None	For
3.2	Elect Tang Chongyin as Supervisor	SH	None	For
3.3	Elect Liu Fusong as Supervisor	SH	None	For

## Shenzhen Seg Co. Ltd

Meeting Date: 09/02/2016

Country: China

Primary Security ID: Y7741D111

Meeting Type: Special

Ticker: 200058

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Company's Eligibility for Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds	Mgmt	For	Against

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Related Party Transaction on Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds	Mgmt	For	Against
3	Approve Transaction Does Not Comply with Article 13 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	Against
4	Approve Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	Against
5	Approve Transaction Complies Provisions of the Management Approach Regarding Major Asset Restructuring of Listed Companies and No. 12 Opinion of the Securities and Future Laws	Mgmt	For	Against
	APPROVE ASSET PURCHASE BY CASH PAYMENT AND ISSUANCE OF NEW SHARES AND RAISING SUPPORTING FUNDS AND RELATED PARTY TRANSACTIONS	Mgmt		
6.1	Approve Share Type and Par Value	Mgmt	For	Against
6.2	Approve Issue Manner and Target Parties	Mgmt	For	Against
6.3	Approve Target Assets	Mgmt	For	Against
6.4	Approve Pricing Basis and Transaction Price	Mgmt	For	Against
6.5	Approve Payment Manner and Source	Mgmt	For	Against
6.6	Approve Issue Price and Pricing Reference	Mgmt	For	Against
6.7	Approve Issue Scale	Mgmt	For	Against
6.8	Approve Cash Payment for Purchase of Asset and Adjustment to Issue Price	Mgmt	For	Against
6.9	Approve Listing Location	Mgmt	For	Against
6.10	Approve Lock-up Period	Mgmt	For	Against
6.11	Approve Performance Commitment and Compensation Arrangement	Mgmt	For	Against
6.12	Approve Attribution of Profit and Loss During the Transition Period	Mgmt	For	Against
6.13	Approve Attribution of Accumulated Profits Prior to the Reference Date	Mgmt	For	Against
6.14	Approve Resolution Validity Period	Mgmt	For	Against
6.15	Approve Share Type and Par Value in Connection to Raising Supporting Funds	Mgmt	For	Against
6.16	Approve Issue Manner and Target Subscriber in Connection to Raising Supporting Funds	Mgmt	For	Against

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6.17	Approve Issue Price and Pricing Basis in Connection to Raising Supporting Funds	Mgmt	For	Against
6.18	Approve Issue Scale and Amount of Raised Funds in Connection to Raising Supporting Funds	Mgmt	For	Against
6.19	Approve Adjustment to Issue Price in Connection to Raising Supporting Funds	Mgmt	For	Against
6.20	Approve Listing Location in Connection to Raising Supporting Funds	Mgmt	For	Against
6.21	Approve Lock-up Period in Connection to Raising Supporting Funds	Mgmt	For	Against
6.22	Approve Attribution of Accumulated Profits Prior to the Reference Date in Connection to Raising Supporting Funds	Mgmt	For	Against
6.23	Approve Resolution Validity Period in Connection to Raising Supporting Funds	Mgmt	For	Against
6.24	Approve Intended Usage of Raised Funds	Mgmt	For	Against
7	Approve Report (Draft) on Company's Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds and Its Summary	Mgmt	For	Against
8	Approve Conditional Subscription Agreement in Connection to the Transaction	Mgmt	For	Against
9	Approve Signing of Performance Commitment and Compensation Agreement in Connection to the Transaction	Mgmt	For	Against
10	Approve Diluted Earnings Per Ratio of this Transaction	Mgmt	For	Against
11	Approve Use of Raised Funds to Replenish Own-funds Used to Pre-invest in Raised Funds Project	Mgmt	For	Against
12	Approve Audit Report, Profit Forecast Report and Appraisal Report of the Major Assets Restructuring Plan	Mgmt	For	Against
13	Approve Transaction Price Reference and Fairness Opinion	Mgmt	For	Against
14	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	Mgmt	For	Against
15	Approve White Wash Waiver	Mgmt	For	Against
16	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	Against
17	Approve Authorization of the Board to Handle Performance Commitment Compensation and Repurchase and Cancellation	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
18	Approve Self-inspection Report on Company's Real Estate Business	Mgmt	For	Against
19	Approve Commitment of Controlling Shareholder in Relation to the Company's Real Estate Business	Mgmt	For	Against
20	Approve Commitment of Directors, Supervisors, Senior Managers in Relation to the Company's Real Estate Business	Mgmt	For	Against
21	Amend Articles of Association	SH	For	For
22	Approve Use of Own Idle Funds to Invest In Financial Products	SH	For	Against

## Shenzhen Wongtee International Enterprise Co., Ltd.

Meeting Date: 09/26/2016

Country: China

Primary Security ID: Y7741Q112

Meeting Type: Special

Ticker: 200056

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
1.1	Elect Zheng Kanghao as Non-Independent Director	Mgmt	For	For
1.2	Elect Kang Ruomin as Non-Independent Director	Mgmt	For	For
1.3	Elect Lin Qinghui as Non-Independent Director	Mgmt	For	For
1.4	Elect Liu Xiaohong as Non-Independent Director	Mgmt	For	For
1.5	Elect Zhu Bo as Non-Independent Director	SH	None	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.1	Elect Xiong Chuxiong as Independent Director	Mgmt	For	For
2.2	Elect Wang Pei as Independent Director	Mgmt	For	For
2.3	Elect Wang Junmin as Independent Director	Mgmt	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
3.1	Elect Liu Haibo as Supervisor	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Wongtee International Enterprise Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.2	Elect Liu Yuying as Supervisor	Mgmt	For	For
3.3	Elect Long Guangming as Supervisor	Mgmt	For	For
4	Approve Acquisition of Partial Equity by Wholly-owned Subsidiary	Mgmt	For	For

## Shibuya Kogyo Co. Ltd.

**Meeting Date:** 09/28/2016      **Country:** Japan      **Primary Security ID:** J71649107  
**Meeting Type:** Annual      **Ticker:** 6340

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 10	Mgmt	For	For
2.1	Elect Director Ota, Masato	Mgmt	For	For
2.2	Elect Director Nakanishi, Shinji	Mgmt	For	For
3	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against

## Shilpa Medicare Ltd.

**Meeting Date:** 09/29/2016      **Country:** India      **Primary Security ID:** Y7747K150  
**Meeting Type:** Annual      **Ticker:** 530549

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Omprakash Inani as Director	Mgmt	For	For
4	Approve Bohara Bhandari Bung & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Elect Narinder Pal Singh Shinh as Independent Director	Mgmt	For	For
7	Elect Carlton Felix Pereira as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shilpa Medicare Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Elect Ajeet Singh Karan as Independent Director	Mgmt	For	For
9	Elect Pramod Kasat as Independent Director	Mgmt	For	For
10	Elect Venugopal Loya as Independent Director	Mgmt	For	For
11	Elect Rajender Sunki Reddy as Independent Director	Mgmt	For	For
12	Approve Related Party Transactions	Mgmt	For	For

## Shipping Corporation of India Ltd.

Meeting Date: 09/26/2016

Country: India

Primary Security ID: Y7757H113

Meeting Type: Annual

Ticker: 523598

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect K. Devadas as Director	Mgmt	For	Against
3	Reelect H. K. Joshi as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect S V Kher as Director	Mgmt	For	Against
6	Elect Arun Balakrishnan as Director	Mgmt	For	For
7	Elect Sukamal Chandra as Director	Mgmt	For	For

## SHO-BOND Holdings Co., Ltd.

Meeting Date: 09/26/2016

Country: Japan

Primary Security ID: J7447D107

Meeting Type: Annual

Ticker: 1414

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 60	Mgmt	For	For
2.1	Elect Director Ishihara, Kazuhiro	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SHO-BOND Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.2	Elect Director Fujii, Soshi	Mgmt	For	For
2.3	Elect Director Kishimoto, Tatsuya	Mgmt	For	For
2.4	Elect Director Takeo, Koyo	Mgmt	For	For
2.5	Elect Director Suzuki, Shigeaki	Mgmt	For	For
2.6	Elect Director Tojo, Shunya	Mgmt	For	For
3	Elect Alternate Director and Audit Committee Member Yamazaki, Kaoru	Mgmt	For	Against

## Shoppers Stop Limited

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y77590118

Meeting Type: Annual

Ticker: 532638

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect B. S. Nagesh as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
6	Approve Related Party Transactions with Hypercity Retail (India) Ltd.	Mgmt	For	Against

## Shoppers Stop Limited

Meeting Date: 09/07/2016

Country: India

Primary Security ID: Y77590118

Meeting Type: Special

Ticker: 532638

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Reappointment and Remuneration of Govind Shrikhande as Managing Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shree Cement Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y7757Y132

**Meeting Type:** Annual

**Ticker:** 500387

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Prashant Bangur as Director	Mgmt	For	Against
4	Approve B. R. Maheswari & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Amend Terms of Appointment and Approve Remuneration of Prashant Bangur	Mgmt	For	For
7	Approve Reappointment and Remuneration of H. M. Bangur as Managing Director	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Shriram City Union Finance Limited

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y7761X104

**Meeting Type:** Special

**Ticker:** 532498

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Pledging of Assets for Debt	Mgmt	For	Against

## Shriram City Union Finance Limited

**Meeting Date:** 07/28/2016

**Country:** India

**Primary Security ID:** Y7761X104

**Meeting Type:** Annual

**Ticker:** 532498

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shriram City Union Finance Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Ranvir Dewan as Director	Mgmt	For	Against
4	Approve Pijush Gupta & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Retirement of Gopalamudram Srinivasaraghavan Sundararajan as Director	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	Against
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Elect Khushru Burjor Jijina as Director	Mgmt	For	For
9	Elect Shashank Singh as Director	Mgmt	For	For
10	Amend Articles of Association	Mgmt	For	For

## Shriram Transport Finance Company Limited

Meeting Date: 07/27/2016

Country: India

Primary Security ID: Y7758E119

Meeting Type: Annual

Ticker: 511218

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Approve S. R. Batliboi & Co. LLP and G. D. Apte & Co. as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve that the Vacancy on the Board Resulting from the Retirement of Umesh Revankar Not be Filled	Mgmt	For	For
5	Elect Jasmit Singh Gujral as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Jasmit Singh Gujral as Managing Director and CEO	Mgmt	For	For
7	Approve Increase in Borrowing Powers	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shriram Transport Finance Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Pledging of Assets for Debt	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Amend Articles of Association Re: Common Seal	Mgmt	For	For

## Shun Tak Holdings Ltd.

Meeting Date: 08/30/2016

Country: Hong Kong

Primary Security ID: Y78567107

Meeting Type: Special

Ticker: 242

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement, Transfer of the Property Under the Promissory Sale and Purchase Agreement, Joint Venture Formation and Related Transactions	Mgmt	For	For

## SIA Engineering Company Limited

Meeting Date: 07/22/2016

Country: Singapore

Primary Security ID: Y78599100

Meeting Type: Annual

Ticker: S59

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3.1	Elect Manohar Khiatani as Director	Mgmt	For	For
3.2	Elect Chew Teck Soon as Director	Mgmt	For	For
4	Approve Directors' Fees	Mgmt	For	For
5	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6.1	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SIA Engineering Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6.2	Approve Grant of Awards and Issuance of Shares Pursuant to the SIAEC Performance Share Plan 2014 and/or the SIAEC Restricted Share Plan 2014	Mgmt	For	For
6.3	Approve Mandate for Interested Person Transactions	Mgmt	For	For
6.4	Authorize Share Repurchase Program	Mgmt	For	For
6.5	Adopt New Constitution	Mgmt	For	For

## Sichuan Expressway Company Ltd.

Meeting Date: 07/26/2016

Country: China

Primary Security ID: Y79325109

Meeting Type: Special

Ticker: 107

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	ELECT 12 DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
1.01	Elect Zhou Liming as Director	Mgmt	For	For
1.02	Elect Gan Yongyi as Director	Mgmt	For	For
1.03	Elect Zheng Haijun as Director	Mgmt	For	For
1.04	Elect Tang Yong as Director	Mgmt	For	Against
1.05	Elect Huang Bin as Director	Mgmt	For	For
1.06	Elect Wang Shuanming as Director	Mgmt	For	For
1.07	Elect Luo Maoquan as Director	Mgmt	For	For
1.08	Elect Ni Shilin as Director	Mgmt	For	For
2.01	Elect Sun Huibi as Director	Mgmt	For	For
2.02	Elect Guo Yuanxi as Director	Mgmt	For	For
2.03	Elect Yu Haizong as Director	Mgmt	For	For
2.04	Elect Liu Lina as Director	Mgmt	For	For
	ELECT 4 SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
3.01	Elect Feng Bing as Supervisor	Mgmt	For	For
3.02	Elect Dan Yong as Supervisor	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sichuan Expressway Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.03	Elect Ouyang Huajie as Supervisor	Mgmt	For	For
3.04	Elect Meng Jie as Supervisor	Mgmt	For	For
4	Approve Remuneration Scheme for Zhou Liming	Mgmt	For	For
5	Approve Remuneration Scheme for Gan Yongyi and Luo Maoquan	Mgmt	For	For
6	Approve Remuneration Scheme for Zheng Haijun, Tang Yong, Huang Bin, Wang Shuanming and Ni Shilin	Mgmt	For	For
7	Approve Remuneration Scheme for Sun Huibi, Guo Yuanxi, Yu Haizong and Liu Lina	Mgmt	For	For
8	Approve Remuneration Scheme for Feng Bing	Mgmt	For	For
9	Approve Remuneration Scheme for Dan Yong, Ouyang Huajie and Meng Jie	Mgmt	For	For
10	Approve Remuneration Scheme for the Staff Supervisors	Mgmt	For	For
11	Approve Service Contracts of Directors and Supervisors and Other Related Documents	Mgmt	For	For

## Sify Technologies Limited

Meeting Date: 07/04/2016

Country: India

Primary Security ID: 82655M107

Meeting Type: Annual

Ticker: SIFY

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividend	Mgmt	For	For
3	Reelect Ananda Raju Vegesna as Director	Mgmt	For	Against
4	Approve ASA & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Vegesna Bala Saraswathi as Director	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sime Darby Berhad

**Meeting Date:** 09/30/2016

**Country:** Malaysia

**Primary Security ID:** Y7962G108

**Meeting Type:** Special

**Ticker:** SIME

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Private Placement of New Ordinary Shares	Mgmt	For	For
2	Approve Private Placement to Amanahraya Trustees Berhad - Amanah Saham Bumiputera and/or Persons Connected with It	Mgmt	For	For
3	Approve Placement to Employees Provident Fund Board and/or Persons Connected with It	Mgmt	For	For

## Singapore Airlines Limited

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y7992P128

**Meeting Type:** Annual

**Ticker:** C6L

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Gautam Banerjee as Director	Mgmt	For	For
3b	Elect Goh Choon Phong as Director	Mgmt	For	For
3c	Elect Hsieh Tsun-yan as Director	Mgmt	For	For
4	Elect Peter Seah Lim Huat as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
8	Approve Grant of Awards and Issuance of Shares Under the SIA Performance Share Plan 2014 and/or the SIA Restricted Share Plan 2014	Mgmt	For	For
9	Approve Mandate for Interested Person Transactions	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Airlines Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
11	Adopt New Constitution	Mgmt	For	For

## Singapore Exchange Limited

Meeting Date: 09/22/2016

Country: Singapore

Primary Security ID: Y79946102

Meeting Type: Annual

Ticker: S68

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Jane Diplock as Director	Mgmt	For	For
3b	Elect Chew Gek Khim as Director	Mgmt	For	For
4	Elect Lim Sok Hui (Chng Sok Hui) as Director	Mgmt	For	For
5	Approve Payment of Director's Fees to the Chairman and Provision to Him of a Car with a Driver for the Financial Year Ending June 30, 2017	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
9	Authorize Share Repurchase Program	Mgmt	For	For
10	Adopt New Constitution	Mgmt	For	For

## Singapore Post Limited

Meeting Date: 07/14/2016

Country: Singapore

Primary Security ID: Y8120Z103

Meeting Type: Annual

Ticker: S08

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Post Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Final Dividend	Mgmt	For	For
3	Elect Simon Claude Israel as Director	Mgmt	For	For
4	Elect Aliza Knox as Director	Mgmt	For	For
5	Elect Soo Nam Chow as Director	Mgmt	For	For
6	Elect Zulkifli Bin Baharudin as Director	Mgmt	For	For
7	Approve Directors' Fees	Mgmt	For	For
8	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Grant of Options and Awards and Issuance of Shares Pursuant to the Share Option Scheme 2012 and Restricted Share Plan 2013	Mgmt	For	For
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For

## Singapore Telecommunications Limited

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y79985225

Meeting Type: Annual

Ticker: Z74

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Christina Hon Kwee Fong (Christina Ong) as Director	Mgmt	For	For
4	Elect Simon Claude Israel as Director	Mgmt	For	For
5	Elect Peter Edward Mason as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Telecommunications Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Singtel Performance Share Plan 2012	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For
11	Adopt New Constitution	Mgmt	For	For

## Sintex Industries Ltd. (fmrely. Bharat Vijay Mills)

**Meeting Date:** 09/26/2016

**Country:** India

**Primary Security ID:** Y8064D142

**Meeting Type:** Annual

**Ticker:** 502742

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Elect Dinesh B. Patel as Director	Mgmt	For	For
4	Elect Rahul A. Patel as Director	Mgmt	For	For
5	Approve Shah & Shah Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	For
7	Approve Pledging of Assets for Debt	Mgmt	For	For
8	Authorize Issuance of Bonds/Debentures	Mgmt	For	For
9	Approve Loan from Lenders with Option to Convert Such Loan to Equity Shares	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For
11	Approve Charging of Fees for Delivery of Any Document to a Member	Mgmt	For	For
12	Approve Keeping of Register of Members and Other Related Documents at the Place Other than the Registered Office of the Company	Mgmt	For	For

## SKF India Ltd.

**Meeting Date:** 07/20/2016

**Country:** India

**Primary Security ID:** Y80664140

**Meeting Type:** Annual

**Ticker:** 500472

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SKF India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Rakesh Makhija as Director	Mgmt	For	For
4	Approve Price Waterhouse & Co., Bangalore LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Stephane Le Mounier as Director	Mgmt	For	For
6	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
7	Approve Related Party Transaction with SKF Asia Pacific Pte. Ltd.	Mgmt	For	For

## SKP Resources Bhd

Meeting Date: 08/26/2016

Country: Malaysia

Primary Security ID: Y8067C109

Meeting Type: Annual

Ticker: SKPRES

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	Mgmt	For	For
2	Elect Chia Choong Kim as Director	Mgmt	For	For
3	Elect Chew Teck Cheng as Director	Mgmt	For	Against
4	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Directors	Mgmt	For	For
6	Approve Koh Chin Koon to Continue Office as Independent Non-Executive Director	Mgmt	For	For
7	Approve Chew Teck Cheng to Continue Office as Independent Non-Executive Director	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sky Network Television Ltd.

**Meeting Date:** 07/06/2016

**Country:** New Zealand

**Primary Security ID:** NZSKTE0001S6

**Meeting Type:** Special

**Ticker:** SKT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Acquisition of Shares by SKY of All the Shares of Vodafone	Mgmt	For	For
2	Approve the Incurrence of the New Debt	Mgmt	For	For
3	Approve the Issuance of Shares	Mgmt	For	For

## SMRT Corporation Ltd

**Meeting Date:** 07/05/2016

**Country:** Singapore

**Primary Security ID:** Y8077D105

**Meeting Type:** Annual

**Ticker:** S53

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Approve Directors' Fees	Mgmt	For	For
4	Elect Patrick Ang Peng Koon as Director	Mgmt	For	Against
5	Elect Yap Kim Wah as Director	Mgmt	For	For
6	Elect Peter Tan Boon Heng as Director	Mgmt	For	For
7	Elect Moliah Hashim as Director	Mgmt	For	For
8	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Grant of Awards and Issuance of Shares Under the SMRT Corporation Restricted Share Plan 2014 and/or the SMRT Corporation Performance Share Plan 2014	Mgmt	For	For
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SMRT Corporation Ltd

**Meeting Date:** 09/29/2016

**Country:** Singapore

**Primary Security ID:** Y8077D105

**Meeting Type:** Special

**Ticker:** S53

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale of Operating Assets in Connection with the New Rail Financing Framework	Mgmt	For	For

## SMRT Corporation Ltd

**Meeting Date:** 09/29/2016

**Country:** Singapore

**Primary Security ID:** Y8077D105

**Meeting Type:** Court

**Ticker:** S53

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	For

## Sobha Limited

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y806AJ100

**Meeting Type:** Annual

**Ticker:** 532784

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect J C Sharma as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Ravi PNC Menon as Executive Chairman	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## S-Oil Corp.

**Meeting Date:** 09/05/2016

**Country:** South Korea

**Primary Security ID:** Y80710109

**Meeting Type:** Special

**Ticker:** A010950

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Othman Al-Ghamdi as Inside Director	Mgmt	For	For

## Soilbuild Business Space REIT

**Meeting Date:** 08/18/2016

**Country:** Singapore

**Primary Security ID:** Y806D3102

**Meeting Type:** Special

**Ticker:** SV3U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of Bukit Batok Connection and the Entry into of the Master Lease Agreement in Relation to Bukit Batok Connection	Mgmt	For	For

## Solar Industries India Ltd.

**Meeting Date:** 09/22/2016

**Country:** India

**Primary Security ID:** Y806C9126

**Meeting Type:** Annual

**Ticker:** 532725

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Roomie Dara Wakil as Director	Mgmt	For	For
4	Approve Gandhi Rathi & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SREI Infrastructure Finance Ltd

**Meeting Date:** 08/06/2016

**Country:** India

**Primary Security ID:** Y8133H116

**Meeting Type:** Annual

**Ticker:** 523756

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Hemant Kanoria as Director	Mgmt	For	For
4	Approve Haribhakti & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Ram Krishna Agarwal as Director	Mgmt	For	Against
6	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For

## SRF Limited

**Meeting Date:** 08/08/2016

**Country:** India

**Primary Security ID:** Y8133G134

**Meeting Type:** Annual

**Ticker:** 503806

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Meenakshi Gopinath as Director	Mgmt	For	For
3	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Reappointment and Remuneration of Kartik Bharat Ram as Deputy Managing Director	Mgmt	For	Against
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Commission Remuneration of Directors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Steel Authority of India Limited

**Meeting Date:** 09/21/2016

**Country:** India

**Primary Security ID:** Y8166R114

**Meeting Type:** Annual

**Ticker:** 500113

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect Binod Kumar as Director	Mgmt	For	Against
3	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
4	Elect P.K. Dash as Independent Director	Mgmt	For	For
5	Elect Ashok Gupta as Independent Director	Mgmt	For	For
6	Elect Parmod Bindal as Independent Director	Mgmt	For	For
7	Elect Anshu Vaish as Independent Director	Mgmt	For	For
8	Elect N. Mohapatra as Director	Mgmt	For	Against
9	Elect G. Vishwakarma as Director	Mgmt	For	Against
10	Elect Raman as Director	Mgmt	For	Against
11	Authorize Issuance of Non-Convertible Debentures/Bonds and Pledging of Assets for Debt	Mgmt	For	For
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Sterlite Technologies Limited

**Meeting Date:** 08/30/2016

**Country:** India

**Primary Security ID:** Y8170C137

**Meeting Type:** Annual

**Ticker:** 532374

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Pratik Agarwal as Director	Mgmt	For	For
4	Approve S R B C & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sterlite Technologies Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Stride Stapled Group

**Meeting Date:** 08/29/2016      **Country:** New Zealand      **Primary Security ID:** Q8782R109  
**Meeting Type:** Annual      **Ticker:** N/A

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting of Stride Property Limited	Mgmt		
1	Appoint PricewaterhouseCoopers as Auditor and Authorize the Board to Fix Their Remuneration	Mgmt	For	For
	Meeting of Stride Investment Management Limited	Mgmt		
1	Appoint PricewaterhouseCoopers as Auditor and Authorize the Board to Fix Their Remuneration	Mgmt	For	For
2	Elect Michael Peter Stiassny as Director	Mgmt	For	For
3	Elect David Gregory van Schaardenburg as Director	Mgmt	For	For

## Strides Shasun Limited

**Meeting Date:** 07/29/2016      **Country:** India      **Primary Security ID:** Y8175G117  
**Meeting Type:** Annual      **Ticker:** 532531

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Reelect Deepak Vaidya as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Strides Shasun Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Deloitte Haskins and Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Appointment and Remuneration of Abhaya Kumar as Executive Director	Mgmt	For	For
6	Elect Bharat Dhirajlal Shah as Independent Director	Mgmt	For	For
7	Adopt New Articles of Association	Mgmt	For	For
8	Approve Service of Documents to the Members of the Company	Mgmt	For	For

## Sun Pharma Advanced Research Company Ltd.

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y8240G100

Meeting Type: Annual

Ticker: 532872

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	For
2	Reelect Dilip S. Shanghvi as Director	Mgmt	For	For
3	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Andrea Vasella as Independent Director	Mgmt	For	For
5	Elect Goverdhan Mehta as Independent Director	Mgmt	For	Against
6	Elect S Mohanchand Dadha as Independent Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Dilip S. Shanghvi as Managing Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of Sudhir V. Valia as CFO and Executive Director	Mgmt	For	For
9	Approve Transactions with Sun Pharmaceutical Industries Inc., USA under Master License Agreement	Mgmt	For	For
10	Approve Transactions with Sun Pharma Global FZE, UAE under Master Service Agreement	Mgmt	For	For
11	Approve Transactions with Taro Pharmaceutical Industries Ltd., Israel under Master Support Service Agreement	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sun Pharma Advanced Research Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
12	Approve Reclassification of Shareholders from Promoter and Promoter Group to Public Category	Mgmt	For	For

## Sun Pharma Advanced Research Company Ltd.

**Meeting Date:** 09/17/2016      **Country:** India      **Primary Security ID:** Y8240G100  
**Meeting Type:** Special      **Ticker:** 532872

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Change in Use of Proceeds from the Rights Issue of Equity Shares	Mgmt	For	For

## Sun Pharmaceutical Industries Ltd.

**Meeting Date:** 09/17/2016      **Country:** India      **Primary Security ID:** Y8523Y158  
**Meeting Type:** Annual      **Ticker:** SUNPHARMA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Dilip S. Shanghvi as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Reelect S. Mohanchand Dadha as Independent Director	Mgmt	For	For
7	Reelect Keki Mistry as Independent Director	Mgmt	For	For
8	Reelect Ashwin Danias Independent Director	Mgmt	For	For
9	Reelect Hasmukh Shah as Independent Director	Mgmt	For	For
10	Reelect Rekha Sethi as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sun Pharmaceutical Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
11	Approve Revision in the Remuneration of Dilip S. Shanghvi, Managing Director	Mgmt	For	For
12	Approve Revision in the Remuneration of Sudhir Valia, Executive Director	Mgmt	For	For
13	Approve Revision in the Remuneration of Sailesh T. Desai, Executive Director	Mgmt	For	For
14	Adopt New Articles of Association	Mgmt	For	For
15	Approve Reclassification of Certain Promoter Group/Entities	Mgmt	For	For

## Sun TV Network Ltd

**Meeting Date:** 09/23/2016

**Country:** India

**Primary Security ID:** Y8295N133

**Meeting Type:** Annual

**Ticker:** 532733

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends as Final Dividend	Mgmt	For	For
3	Reelect Kavery Kalanithi as Director	Mgmt	For	Against
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Suncorp Group Ltd.

**Meeting Date:** 09/22/2016

**Country:** Australia

**Primary Security ID:** Q88040110

**Meeting Type:** Annual

**Ticker:** SUN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Remuneration Report	Mgmt	For	For
2	Approve the Grant of Performance Rights to Michael Cameron, Chief Executive Officer and Managing Director of the Company	Mgmt	For	For
3	Elect Sally Herman as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Suncorp Group Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For

## Sundaram Finance Limited

**Meeting Date:** 07/22/2016      **Country:** India      **Primary Security ID:** Y8210G114  
**Meeting Type:** Annual      **Ticker:** 590071

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect T T Srinivasaraghavan as Director	Mgmt	For	For
4	Reelect S Ravindran as Director	Mgmt	For	For
5	Approve Brahmayya & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Sundram Fasteners Ltd.

**Meeting Date:** 08/19/2016      **Country:** India      **Primary Security ID:** Y8209Q131  
**Meeting Type:** Annual      **Ticker:** 500403

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect K. Ramesh as Director	Mgmt	For	Against
3	Approve Sundaram & Srinivasan as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For

## SunEdison Semiconductor Limited

**Meeting Date:** 08/03/2016      **Country:** Singapore      **Primary Security ID:** Y8213L102  
**Meeting Type:** Annual      **Ticker:** SEMI

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SunEdison Semiconductor Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Antonio R. Alvarez	Mgmt	For	For
1b	Elect Director Gideon Argov	Mgmt	For	For
1c	Elect Director Michael F. Bartholomeusz	Mgmt	For	For
1d	Elect Director Jeffrey A. Beck	Mgmt	For	For
1e	Elect Director Justine F. Lien	Mgmt	For	For
1f	Elect Director Shaker Sadasivam	Mgmt	For	For
1g	Elect Director Abdul Jabbar Bin Karam Din	Mgmt	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Approve Non-Employee Directors' Cash Compensation	Mgmt	For	For
4	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
5	Authorize Share Repurchase Program	Mgmt	For	For
6	Amend Constitution to Eliminate the Corporate Opportunity Exclusion for the Former Parent Company	Mgmt	For	For

## Sunway Real Estate Investment Trust

**Meeting Date:** 09/29/2016

**Country:** Malaysia

**Primary Security ID:** Y83099104

**Meeting Type:** Annual

**Ticker:** SUNREIT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## Supermax Corporation Berhad

**Meeting Date:** 08/12/2016

**Country:** Malaysia

**Primary Security ID:** Y8310G105

**Meeting Type:** Special

**Ticker:** SUPERMX



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Supermax Corporation Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Afrizan Tarmili Khairul Azhar as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Suven Life Sciences Limited

Meeting Date: 08/10/2016

Country: India

Primary Security ID: Y8317Y133

Meeting Type: Annual

Ticker: 530239

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Sudharani Jasti as Director	Mgmt	For	For
4	Approve Karvy & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Suzlon Energy Ltd.

Meeting Date: 09/30/2016

Country: India

Primary Security ID: Y8315Y119

Meeting Type: Annual

Ticker: 532667

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Girish R.Tanti as Director	Mgmt	For	For
3	Reelect Tulsi R.Tanti as Director	Mgmt	For	For
4	Approve SNK & Co. and S.R.Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect PerHornung Pedersen as Independent Director	Mgmt	For	For
6	Elect Vijaya Sampath as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Suzlon Energy Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
9	Adopt New Articles of Association	Mgmt	For	For
10	Approve Appointment and Remuneration of Vinod R.Tanti as Executive Director and COO	Mgmt	For	For

## Symphony Ltd.

**Meeting Date:** 08/31/2016      **Country:** India      **Primary Security ID:** Y8320B104  
**Meeting Type:** Annual      **Ticker:** 517385

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends as Final Dividend	Mgmt	For	For
3	Reelect Nrupesh Shah as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Nrupesh Shah as Executive Director	Mgmt	For	For
6	Approve Bonus Issue	Mgmt	For	For

## Symphony Ltd.

**Meeting Date:** 09/15/2016      **Country:** India      **Primary Security ID:** Y8320B104  
**Meeting Type:** Special      **Ticker:** 517385

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Loans, Guarantees, and/or Securities in Other Body Corporate	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Syndicate Bank Ltd.

**Meeting Date:** 09/16/2016

**Country:** India

**Primary Security ID:** Y8345G112

**Meeting Type:** Special

**Ticker:** 532276

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Shares to Government of India	Mgmt	For	For
	Elect One Shareholder Director from the Nominees Below	Mgmt		
2.1	Elect S Rajagopalan as Director	Mgmt	For	For
2.2	Elect Sunil Vashisht as Director	Mgmt	For	Do Not Vote

## T.O.OGASAWARA CO LTD

**Meeting Date:** 08/25/2016

**Country:** Japan

**Primary Security ID:** J8930V109

**Meeting Type:** Annual

**Ticker:** 9812

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 10	Mgmt	For	For
2	Amend Articles to Change Company Name - Amend Business Lines	Mgmt	For	For
3.1	Elect Director Ogasawara, Yasumasa	Mgmt	For	Against
3.2	Elect Director Ota, Shuji	Mgmt	For	For
3.3	Elect Director Fukuoka, Takao	Mgmt	For	For
3.4	Elect Director Takada, Ikuo	Mgmt	For	For
3.5	Elect Director Yonezuka, Shigeki	Mgmt	For	For
4.1	Appoint Alternate Statutory Auditor Sakai, Nobuyuki	Mgmt	For	For
4.2	Appoint Alternate Statutory Auditor Kobayashi, Hiroyasu	Mgmt	For	Against
5	Approve Statutory Auditor Retirement Bonus	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tat Hong Holdings Ltd

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** Y8548U124

**Meeting Type:** Annual

**Ticker:** T03

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Elect Ng San Tiong Roland as Director	Mgmt	For	For
3	Elect Leong Horn Kee as Director	Mgmt	For	For
4	Elect Tse Po Shing Andy as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
8	Approve Grant of Options and Issuance of Shares Pursuant to the Tat Hong Share Option Scheme 2006	Mgmt	For	For
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Tat Hong Performance Shares Plan	Mgmt	For	For
10	Approve Mandate for Interested Person Transactions	Mgmt	For	For
11	Authorize Share Repurchase Program	Mgmt	For	For

## Tata Communications Ltd.

**Meeting Date:** 08/01/2016

**Country:** India

**Primary Security ID:** Y8550P112

**Meeting Type:** Annual

**Ticker:** 500483

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividend	Mgmt	For	For
3	Reelect Kishor Anant Chaukar as Director	Mgmt	For	Against
4	Reelect Saurabh Kumar Tiwari as Director	Mgmt	For	Against
5	Approve S.B. Billimoria & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tata Communications Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Reappointment and Remuneration of Vinod Kumar as Managing Director and Group CEO	Mgmt	For	For
7	Approve Remuneration of Auditors	Mgmt	For	For

## Tata Elxsi Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y8560N107

Meeting Type: Annual

Ticker: 500408

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect N. G. Subramaniam as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect M. S. Ananth as Independent Director	Mgmt	For	For
6	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For

## Tata Global Beverages Ltd.

Meeting Date: 08/24/2016

Country: India

Primary Security ID: Y85484130

Meeting Type: Annual

Ticker: 500800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Cyrus P. Mistry as Director	Mgmt	For	For
4	Approve Lovelock & Lewes as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tata Global Beverages Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Tata Investment Corporation Ltd

**Meeting Date:** 08/05/2016      **Country:** India      **Primary Security ID:** Y4175D113  
**Meeting Type:** Annual      **Ticker:** 501301

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Reelect K. A. Chaukar as Director	Mgmt	For	For
4	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Tata Motors Ltd.

**Meeting Date:** 08/09/2016      **Country:** India      **Primary Security ID:** Y85740267  
**Meeting Type:** Annual      **Ticker:** 500570

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Ravindra Pisharody as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Guenter Butschek as Director	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Tata Motors Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Appointment and Remuneration of Guenter Butschek as Chief Executive Officer and Managing Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Ravindra Pisharody as Executive Director (Commercial Vehicles)	Mgmt	For	For
8	Approve Reappointment and Remuneration of Satish Borwankar as Executive Director (Quality)	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

### Tata Motors Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y85740267

Meeting Type: Annual

Ticker: 500570

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Ravindra Pisharody as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Guenter Butschek as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Guenter Butschek as Chief Executive Officer and Managing Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Ravindra Pisharody as Executive Director (Commercial Vehicles)	Mgmt	For	For
8	Approve Reappointment and Remuneration of Satish Borwankar as Executive Director (Quality)	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tata Power Company Ltd.

**Meeting Date:** 09/21/2016

**Country:** India

**Primary Security ID:** Y85481169

**Meeting Type:** Annual

**Ticker:** 500400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend Payment	Mgmt	For	For
4	Reelect Anil Sardana as Director	Mgmt	For	For
5	Approve Deloitte Haskins and Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Pravin H. Kutumbe as Director	Mgmt	For	For
7	Elect Sandhya S. Kudtarkar as Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of Anil Sardana as CEO and Managing Director	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Approve Increase in Investment Limits in Other Bodies Corporate	Mgmt	For	Against
11	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Tata Steel Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y8547N139

**Meeting Type:** Annual

**Ticker:** 500470

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Audited Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Audited Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Declare Dividend	Mgmt	For	For
4	Elect Cyrus P. Mistry as Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tata Steel Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Tech Mahindra Ltd.

Meeting Date: 08/02/2016

Country: India

Primary Security ID: Y85491127

Meeting Type: Annual

Ticker: TECHM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect C. P. Gurnani as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Vineet Nayyar as Director	Mgmt	For	For
7	Elect V. S. Parthasarathy as Director	Mgmt	For	For
8	Adopt New Articles of Association	Mgmt	For	For

## TECHNO MEDICA CO. LTD.

Meeting Date: 09/15/2016

Country: Japan

Primary Security ID: J8224W102

Meeting Type: Annual

Ticker: 6678

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Authorize Board to Set Record Dates for Dividends	Mgmt	For	For
2	Approve Allocation of Income, With a Final Dividend of JPY 43	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## TECHNO MEDICA CO. LTD.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.1	Elect Director Saneyoshi, Masatomo	Mgmt	For	For
3.2	Elect Director Hirasawa, Yoshimi	Mgmt	For	For
3.3	Elect Director Matsumoto, Toshikazu	Mgmt	For	For
3.4	Elect Director Takeda, Masato	Mgmt	For	For
3.5	Elect Director Inoue, Jun	Mgmt	For	For
3.6	Elect Director Hagiwara, Hitoshi	Mgmt	For	For
4	Elect Director and Audit Committee Member Yasukata, Yosuke	Mgmt	For	For
5	Appoint External Audit Firm	Mgmt	For	For

## TechnoPro Holdings Inc.

**Meeting Date:** 09/29/2016

**Country:** Japan

**Primary Security ID:** J82251109

**Meeting Type:** Annual

**Ticker:** 6028

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 61.52	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Nishio, Yasuji	Mgmt	For	For
3.2	Elect Director Sato, Hiroshi	Mgmt	For	For
3.3	Elect Director Shimaoka, Gaku	Mgmt	For	For
3.4	Elect Director Asai, Koichiro	Mgmt	For	For
3.5	Elect Director Yagi, Takeshi	Mgmt	For	For
3.6	Elect Director Watabe, Tsunehiro	Mgmt	For	For
3.7	Elect Director Yamada, Kazuhiko	Mgmt	For	For
3.8	Elect Director Sakamoto, Harumi	Mgmt	For	For

## Tera Resource Co. Ltd.

**Meeting Date:** 07/21/2016

**Country:** South Korea

**Primary Security ID:** Y8587U100

**Meeting Type:** Special

**Ticker:** 053320

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tera Resource Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Director	Mgmt	For	For
2	Appoint Internal Auditor	Mgmt	For	For
3	Approve Stock Option Grants	Mgmt	For	For
4	Amend Articles of Incorporation	Mgmt	For	For

## Texmaco Rail and Engineering Ltd.

Meeting Date: 09/26/2016

Country: India

Primary Security ID: Y85848102

Meeting Type: Annual

Ticker: 533326

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3.1	Reelect Akshay Poddar as Director	Mgmt	For	Against
3.2	Reelect Sandeep Fuller as Director	Mgmt	For	For
4	Approve K. N. Gutgutia & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Reappointment and Remuneration of D.H. Kela as Executive Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Sandeep Fuller as Executive Director	Mgmt	For	For
8	Elect Vinod Kumar Sharma as Independent Director	Mgmt	For	For
9	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
10	Approve Remuneration for Executive Directors	Mgmt	For	Against

## The Federal Bank Ltd.

Meeting Date: 08/11/2016

Country: India

Primary Security ID: Y24781182

Meeting Type: Annual

Ticker: FEDERALBNK

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## The Federal Bank Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Shyam Srinivasan as Director	Mgmt	For	For
4	Approve B S R & Co. LLP and M M Nissim & Co. as Joint Central Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect C Balagopal as Independent Director	Mgmt	For	For
7	Approve Remuneration of Nilesh Vikamsey as Chairman	Mgmt	For	For
8	Approve Remuneration of Shyam Srinivasan as Managing Director & CEO	Mgmt	For	For
9	Approve Appointment and Remuneration of Ashutosh Khajuria as Executive Director and CFO	Mgmt	For	For
10	Approve Appointment and Remuneration of Ganesh Sankaran as Executive Director	Mgmt	For	For
11	Approve Payment of Variable Pay to Abraham Chacko as Executive Director	Mgmt	For	For
12	Amend Memorandum of Association	Mgmt	For	For
13	Amend Articles of Association	Mgmt	For	For
14	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## The Great Eastern Shipping Co., Ltd.

Meeting Date: 08/11/2016

Country: India

Primary Security ID: Y2857Q154

Meeting Type: Annual

Ticker: 500620

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Tapas Icot as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## The Great Eastern Shipping Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Kalyaniwalla & Ministry as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## The Hour Glass Limited

**Meeting Date:** 07/20/2016      **Country:** Singapore      **Primary Security ID:** V46058125  
**Meeting Type:** Annual      **Ticker:** AGS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve First and Final Dividend	Mgmt	For	For
3a1	Elect Henry Tay Yun Chwan as Director	Mgmt	For	For
3a2	Elect Jannie Chan Siew Lee as Director	Mgmt	For	For
3b1	Elect Kenny Chan Swee Kheng as Director	Mgmt	For	For
3b2	Elect Michael Tay Wee Jin as Director	Mgmt	For	For
3b3	Elect Philip Eng Heng Nee as Director	Mgmt	For	For
4	Approve Directors' Fees	Mgmt	For	For
5	Approve Ernst & Young LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
7	Authorize Share Repurchase Program	Mgmt	For	For

## The Indian Hotels Co., Ltd.

**Meeting Date:** 07/06/2016      **Country:** India      **Primary Security ID:** Y3925F147  
**Meeting Type:** Special      **Ticker:** 500850

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## The Indian Hotels Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale of LLC Interest in IHMS (Boston) LLC	Mgmt	For	For

## The Indian Hotels Co., Ltd.

**Meeting Date:** 08/23/2016      **Country:** India      **Primary Security ID:** Y3925F147  
**Meeting Type:** Annual      **Ticker:** 500850

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Cyrus P. Mistry as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect N.S. Rajan as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Anil P. Goel as Executive Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Mehernosh S. Kapadia as Executive Director	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Thermax Limited

**Meeting Date:** 08/10/2016      **Country:** India      **Primary Security ID:** Y87948140  
**Meeting Type:** Annual      **Ticker:** 500411

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect M. S. Unnikrishnan as Director	Mgmt	For	For
4	Approve B. K. Khare & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Thermax Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve SRBC & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Keeping of Register of Members, Documents and Certificates at the Office of the Registrar	Mgmt	For	For
8	Approve Extension of the Benefits to Employees of the Company under the Staff General Welfare Scheme	Mgmt	For	For
9	Approve the Amendment in the Objectives of the Thermax Employees Stock Option Plan Trust	Mgmt	For	Against

## Thomas Cook (India) Limited

Meeting Date: 09/02/2016

Country: India

Primary Security ID: Y8806V137

Meeting Type: Annual

Ticker: 500413

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2a	Approve Dividend on Convertible Preference Shares	Mgmt	For	For
2b	Approve Dividend on Non-Convertible Preference Shares	Mgmt	For	For
2c	Approve Dividend on Equity Shares	Mgmt	For	For
3	Reelect Chandran Ratnaswami as Director	Mgmt	For	Against
4	Approve Lovelock & Lewes as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Sunil Mathur as Independent Director	Mgmt	For	For
6	Elect Nilesh Vikamsey as Independent Director	Mgmt	For	For
7	Amend Memorandum of Association re: Corporate Purpose	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Thorn Group Limited

**Meeting Date:** 08/23/2016

**Country:** Australia

**Primary Security ID:** Q9063N106

**Meeting Type:** Annual

**Ticker:** TGA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve the Remuneration Report	Mgmt	For	For
4	Elect Stephen Kulmar as Director	Mgmt	For	For
5	Elect Belinda Gibson as Director	Mgmt	For	For
6	Approve the Grant of Performance Rights to James Marshall, Managing Director and CEO of the Company	Mgmt	For	For

## Tian An China Investment Co. Ltd.

**Meeting Date:** 09/08/2016

**Country:** Hong Kong

**Primary Security ID:** Y88170207

**Meeting Type:** Special

**Ticker:** 28

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreements for the Sale and Purchase of One Issued Share of Full Choice Resources Limited and One Issued Share of Ace Mission Investments Limited	Mgmt	For	For

## Tianjin Teda Biomedical Engineering Co., Ltd.

**Meeting Date:** 09/12/2016

**Country:** China

**Primary Security ID:** Y8831C104

**Meeting Type:** Special

**Ticker:** 8189

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
a	Approve the Agreement and Related Transactions	Mgmt	For	For
b	Approve Supplemental Agreement and Related Transactions	Mgmt	For	For
c	Approve Issuance of New H Shares in Relation to the Agreement and Related Transactions	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tianjin Teda Biomedical Engineering Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
d	Approve Specific Mandate to Issue Consideration Shares in Relation to the Agreement	Mgmt	For	For
e	Amend Articles of Association	Mgmt	For	For
f	Approve All Transactions in Connection with the Specific Mandate, the Agreement, and the Supplemental Agreement	Mgmt	For	For
g	Authorize Board to Deal with All Matters in Relation to the Specific Mandate, the Agreement, the Supplemental Agreement and Related Transactions	Mgmt	For	For

## Tianjin Teda Biomedical Engineering Co., Ltd.

Meeting Date: 09/12/2016

Country: China

Primary Security ID: Y8831C104

Meeting Type: Special

Ticker: 8189

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
a	Approve the Agreement and Related Transactions	Mgmt	For	For
b	Approve Supplemental Agreement and Related Transactions	Mgmt	For	For
c	Approve Issuance of New H Shares in Relation to the Agreement and Related Transactions	Mgmt	For	For
d	Approve Specific Mandate to Issue Consideration Shares in Relation to the Agreement	Mgmt	For	For
e	Amend Articles of Association	Mgmt	For	For
f	Approve All Transactions in Connection with the Specific Mandate, the Agreement, and the Supplemental Agreement	Mgmt	For	For
g	Authorize Board to Deal with All Matters in Relation to the Specific Mandate, the Agreement, the Supplemental Agreement and Related Transactions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Timken India Ltd.

**Meeting Date:** 08/10/2016

**Country:** India

**Primary Security ID:** Y8840Y105

**Meeting Type:** Annual

**Ticker:** 522113

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Ajay K Das as Director	Mgmt	For	Against
4	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Avishrant Keshava as Director	Mgmt	For	For
6	Approve Appointment of Avishrant Keshava as Executive Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Related Party Transactions with The Timken Company	Mgmt	For	For
9	Approve Related Party Transactions with The Timken Corporation	Mgmt	For	For
10	Approve Related Party Transactions with Timken Engineering and Research-India Pvt. Ltd.	Mgmt	For	For

## Titan Company Limited

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y88425148

**Meeting Type:** Annual

**Ticker:** 500114

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividends	Mgmt	For	For
3	Reelect C. V. Sankar as Director	Mgmt	For	For
4	Approve Deloitte Haskins and Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Appoin Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Titan Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Reappointment and Remuneration of Bhaskar Bhat as Managing Director	Mgmt	For	For
8	Elect Ashwani Puri as Independent Director	Mgmt	For	For
9	Elect K. Gnanadesikan as Director	Mgmt	For	For

## Token Corp.

**Meeting Date:** 07/28/2016      **Country:** Japan      **Primary Security ID:** J8612T109  
**Meeting Type:** Annual      **Ticker:** 1766

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 95	Mgmt	For	For
2.1	Appoint Statutory Auditor Hotta, Eiichiro	Mgmt	For	For
2.2	Appoint Statutory Auditor Hibi, Kiyomi	Mgmt	For	For
2.3	Appoint Statutory Auditor Mitsuya, Masahiro	Mgmt	For	For
2.4	Appoint Statutory Auditor Kitamura, Akemi	Mgmt	For	For
3	Approve Annual Bonus	Mgmt	For	For

## TOP REIT INC.

**Meeting Date:** 07/28/2016      **Country:** Japan      **Primary Security ID:** J89592109  
**Meeting Type:** Special      **Ticker:** 8982

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement with Nomura Real Estate Master Fund, Inc.	Mgmt	For	For
2	Terminate Asset Management Contract	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Torrent Pharmaceuticals Ltd

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y8896L148

**Meeting Type:** Annual

**Ticker:** 500420

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Samir Mehta as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Torrent Power Limited

**Meeting Date:** 08/02/2016

**Country:** India

**Primary Security ID:** Y8903W103

**Meeting Type:** Annual

**Ticker:** 532779

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Jinal Mehta as Director	Mgmt	For	For
4	Approve Deloitte Haskins and Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect P.K. Taneja as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Markand Bhatt as Executive Director	Mgmt	For	Against
7	Approve Revision in the Terms of Appointment of Jinal Mehta as Executive Director	Mgmt	For	Against
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## TrustPower Limited

**Meeting Date:** 09/09/2016

**Country:** New Zealand

**Primary Security ID:** Q9239W105

**Meeting Type:** Special

**Ticker:** TPW

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Demerger Resolution	Mgmt	For	For
2	Approve Remuneration of Directors	Mgmt	For	For

## TrustPower Limited

**Meeting Date:** 09/09/2016

**Country:** New Zealand

**Primary Security ID:** Q9239W105

**Meeting Type:** Annual

**Ticker:** TPW

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
2	Elect M Bogoievski as Director	Mgmt	For	For
3	Elect GJC Swier as Director	Mgmt	For	For
4	Elect AN Bickers as Director	Mgmt	For	For
5	Elect RH Aitken as Director	Mgmt	For	For
6	Elect SR Peterson as Director	Mgmt	For	For
7	Elect PM Ridley-Smith as Director	Mgmt	For	For

## Tsuruha Holdings Inc.

**Meeting Date:** 08/10/2016

**Country:** Japan

**Primary Security ID:** J9348C105

**Meeting Type:** Annual

**Ticker:** 3391

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Tsuruha, Tatsuru	Mgmt	For	For
1.2	Elect Director Horikawa, Masashi	Mgmt	For	For
1.3	Elect Director Tsuruha, Jun	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tsuruha Holdings Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.4	Elect Director Goto, Teruaki	Mgmt	For	For
1.5	Elect Director Ofune, Masahiro	Mgmt	For	For
1.6	Elect Director Abe, Mitsunobu	Mgmt	For	For
1.7	Elect Director Kijima, Keisuke	Mgmt	For	For
1.8	Elect Director Aoki, Keisei	Mgmt	For	For
1.9	Elect Director Okada, Motoya	Mgmt	For	For
1.10	Elect Director Mitsuhashi, Shinya	Mgmt	For	For
1.11	Elect Director Yamada, Eiji	Mgmt	For	For
2	Appoint External Audit Firm	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

## TTK Prestige Ltd

**Meeting Date:** 08/03/2016      **Country:** India      **Primary Security ID:** Y89993110  
**Meeting Type:** Annual      **Ticker:** 517506

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect T.T. Raghunathan as Director	Mgmt	For	Against
4	Approve S. Viswanathan as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
7	Approve Keeping of Register of Members and Other Records at the Corporate Office of the Company	Mgmt	For	For

## Tube Investments of India Ltd.

**Meeting Date:** 08/04/2016      **Country:** India      **Primary Security ID:** Y9001B132  
**Meeting Type:** Annual      **Ticker:** 504973

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tube Investments of India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Special Dividend	Mgmt	For	For
4	Reelect N Srinivasan as Director	Mgmt	For	For
5	Approve S. R. Batliboi & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of L Ramkumar as Managing Director	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## TV18 Broadcast Limited

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y9T05C119

Meeting Type: Annual

Ticker: 532800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Elect Dhruv Subodh Kaji as Independent Director	Mgmt	For	For
4	Elect Rajiv Krishan Luthra as Independent Director	Mgmt	For	For
5	Elect Nirupama Rao as Independent Director	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## TVS Motor Company Ltd

**Meeting Date:** 08/02/2016

**Country:** India

**Primary Security ID:** Y9014B103

**Meeting Type:** Annual

**Ticker:** 532343

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect H Lakshmanan as Director	Mgmt	For	For
3	Approve V Sankar Aiyar & Co. as Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Variation in the Terms of Remuneration of Venu Srinivasan, Chairman and Managing Director	Mgmt	For	Against
5	Approve Variation in the Terms of Remuneration of Sudarshan Venu, Joint Managing Director	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## UCO Bank Ltd.

**Meeting Date:** 09/17/2016

**Country:** India

**Primary Security ID:** Y9035A100

**Meeting Type:** Special

**Ticker:** 532505

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance Shares on a Preferential Basis to Government of India	Mgmt	For	For

## Ultratech Cement Ltd.

**Meeting Date:** 07/19/2016

**Country:** India

**Primary Security ID:** Y9046E109

**Meeting Type:** Annual

**Ticker:** ULTRACEMCO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ultratech Cement Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Rajashree Birla as Director	Mgmt	For	Against
4	Approve BSR & Co. LLP as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Khimji Kunverji & Co. as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Krishna Kishore Maheshwari as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Krishna Kishore Maheshwari as Managing Director	Mgmt	For	For
9	Elect Alka Marezban Bharucha as Director	Mgmt	For	For
10	Elect Atul Satish Daga as Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Atul Satish Daga as Executive Director and CFO	Mgmt	For	For
12	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
13	Approve Increase in Borrowing Powers	Mgmt	For	For
14	Approve Pledging of Assets for Debt	Mgmt	For	For
15	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Ulvac Inc.

Meeting Date: 09/29/2016

Country: Japan

Primary Security ID: J94048105

Meeting Type: Annual

Ticker: 6728

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Amend Provisions on Number of Directors - Reduce Directors' Term	Mgmt	For	For
3.1	Elect Director Obinata, Hisaharu	Mgmt	For	For
3.2	Elect Director Iwashita, Setsuo	Mgmt	For	For
3.3	Elect Director Fujiyama, Junki	Mgmt	For	For
3.4	Elect Director Odagi, Hideyuki	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ulvac Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.5	Elect Director Mihayashi, Akira	Mgmt	For	For
3.6	Elect Director Ishida, Kozo	Mgmt	For	For
4	Appoint Alternate Statutory Auditor Nonaka, Takao	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors	Mgmt	For	For
6	Approve Trust-Type Equity Compensation Plan	Mgmt	For	For

## Unichem Laboratories Ltd.

Meeting Date: 07/23/2016

Country: India

Primary Security ID: Y9057R155

Meeting Type: Annual

Ticker: 506690

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Approve B.D. Jokhakar & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Reelect Prakash A. Mody as Director	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Unichem Laboratories Ltd.

Meeting Date: 09/12/2016

Country: India

Primary Security ID: Y9057R155

Meeting Type: Special

Ticker: 506690

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Pledging of Assets for Debt	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Unitech Limited

**Meeting Date:** 09/12/2016

**Country:** India

**Primary Security ID:** Y9164M149

**Meeting Type:** Annual

**Ticker:** 507878

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect Ajay Chandra as Director	Mgmt	For	Against
3	Approve R. Nagpal Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Reelect Virender Kumar Bhutani as Independent Director	Mgmt	For	Against
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## United Breweries Ltd.

**Meeting Date:** 09/07/2016

**Country:** India

**Primary Security ID:** Y9181N153

**Meeting Type:** Annual

**Ticker:** 532478

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect A K Ravi Nedungadi as Director	Mgmt	For	Against
4	Approve S. R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Henricus Petrus van Zon as Executive Director and Chief Financial Officer Until August 31, 2016	Mgmt	For	For
6	Elect Steven Bosch as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Steven Bosch as Executive Director and Chief Financial Officer With Effect From September 1, 2016	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## United Spirits Limited

**Meeting Date:** 07/14/2016

**Country:** India

**Primary Security ID:** Y92311102

**Meeting Type:** Annual

**Ticker:** UNITDSPR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect Nicholas Bodo Blasquez as Director	Mgmt	For	For
3	Approve Price Waterhouse & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Vinod Rao as Director	Mgmt	For	For
5	Consider Erosion of Net Worth of the Company	Mgmt	For	For

## VA Tech Wabag Ltd.

**Meeting Date:** 07/25/2016

**Country:** India

**Primary Security ID:** Y9356W111

**Meeting Type:** Annual

**Ticker:** WABAG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect S Varadarajan as Director	Mgmt	For	For
4	Approve Walker Chandio & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Vakrangee Limited

**Meeting Date:** 09/30/2016

**Country:** India

**Primary Security ID:** Y9316P107

**Meeting Type:** Annual

**Ticker:** 511431

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Vakrangee Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Nishikant Hayatnagarkar as Director	Mgmt	For	For
4	Approve XS K Patodia & Associates as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Vardhman Textiles Ltd

Meeting Date: 09/05/2016

Country: India

Primary Security ID: Y5408N117

Meeting Type: Annual

Ticker: 502986

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Suchita Jain as Director	Mgmt	For	For
3	Approve S.C. Vasudeva & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Reappointment and Remuneration of Neeraj Jain as Joint Managing Director	Mgmt	For	For
5	Approve Reappointment and Remuneration of Sachit Jain as Joint Managing Director	Mgmt	For	For
6	Approve Vardhman Textiles Limited Employee Stock Option Plan 2016	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Vector Ltd.

Meeting Date: 09/29/2016

Country: New Zealand

Primary Security ID: Q9389B109

Meeting Type: Annual

Ticker: VCT

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

#### Vector Ltd.

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Bob Thomson as Director	Mgmt	For	For
2	Elect Karen Sherry as Director	Mgmt	For	For
3	Approve KPMG as Auditor and Authorize the Board to Fix Their Remuneration	Mgmt	For	For
4	Approve the Amendments to the Constitution	Mgmt	For	For

#### Vedanta Ltd.

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**Meeting Date:** 09/07/2016      **Country:** India      **Primary Security ID:** Y7673N111  
**Meeting Type:** Special      **Ticker:** VEDL

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	For
2	Approve Reduction of Capital by an Adjustment/Utilization of the Securities Premium Account	Mgmt	For	For

#### Vedanta Ltd.

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**Meeting Date:** 09/08/2016      **Country:** India      **Primary Security ID:** Y7673N111  
**Meeting Type:** Court      **Ticker:** VEDL

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Amalgamation	Mgmt	For	For

#### Vedanta Ltd.

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**Meeting Date:** 09/08/2016      **Country:** India      **Primary Security ID:** Y7673N111  
**Meeting Type:** Special      **Ticker:** VEDL

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## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Vedanta Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
	Court Ordered Meeting	Mgmt		
1	Approve Scheme of Amalgamation	Mgmt	For	For
	Postal Ballot	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	For
2	Approve Reduction of Capital by an Adjustment/Utilization of the Securities Premium Account	Mgmt	For	For

## V-Guard Industries Limited

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y93629106

Meeting Type: Annual

Ticker: 532953

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Joshna Johnson Thomas as Director	Mgmt	For	Against
4	Approve SR Batliboi and Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Ramachandran Venkataraman as Executive Director	Mgmt	For	For
6	Approve Commission Remuneration for Joshna Johnson Thomas, Non-Executive Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Increase in Borrowing Powers	Mgmt	For	For
9	Approve Investment in Securities of Bodies Corporate	Mgmt	For	Against
10	Approve Issuance of Options Exercisable into Not More Than 225,000 Shares Under the Employee Stock Option Scheme 2013	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## V-Guard Industries Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
11	Approve Stock Option Plan Grants to Employees of the Company	Mgmt	For	Against
12	Approve Stock Split	Mgmt	For	For
13	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
14	Increase Authorized Share Capital	Mgmt	For	For

## Videocon D2H Ltd.

**Meeting Date:** 09/29/2016      **Country:** India      **Primary Security ID:** 92657J101  
**Meeting Type:** Annual      **Ticker:** VDTH

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Radhika Dhoot as Director	Mgmt	For	Against
3	Approve Kadam & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Kahndelwal Jain & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Harry Sloan as Director	Mgmt	For	Against
6	Elect Jeffrey Sagansky as Director	Mgmt	For	Against

## Vinati Organics Ltd

**Meeting Date:** 08/06/2016      **Country:** India      **Primary Security ID:** Y9376K121  
**Meeting Type:** Annual      **Ticker:** 524200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Mohit Mutreja as Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Vinati Organics Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Reelect Sunil Saraf as Director	Mgmt	For	For
5	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Vinod Saraf as Managing Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Vinati Saraf Mutreja as Executive Director	Mgmt	For	For

## Vitasoy International Holdings Ltd.

**Meeting Date:** 09/02/2016

**Country:** Hong Kong

**Primary Security ID:** Y93794108

**Meeting Type:** Annual

**Ticker:** 345

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3A1	Elect Winston Yau-lai Lo as Director	Mgmt	For	For
3A2	Elect Myrna Mo-ching Lo as Director	Mgmt	For	Against
3B	Approve Remuneration of Directors	Mgmt	For	For
4	Approve KPMG as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
5B	Authorize Repurchase of Up to Ten Percent of Issued Share Capital	Mgmt	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
5D	Approve Grant of Share Option to Winston Yau-lai Lo Under the 2012 Share Option Scheme	Mgmt	For	For

## Voltas Ltd.

**Meeting Date:** 08/29/2016

**Country:** India

**Primary Security ID:** Y93817149

**Meeting Type:** Annual

**Ticker:** 500575

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Voltas Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend Payment	Mgmt	For	For
4	Reelect Noel N. Tata as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Usha Sangwan as Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For

## VRL Logistics Ltd.

**Meeting Date:** 09/24/2016

**Country:** India

**Primary Security ID:** Y9380Z106

**Meeting Type:** Annual

**Ticker:** VRLLOG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Declare Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect S R Prabhu as Director	Mgmt	For	For
4	Reelect Raghottam Akamanchi as Director	Mgmt	For	For
5	Approve Walker Chandiok & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## WABCO India Limited

**Meeting Date:** 07/29/2016

**Country:** India

**Primary Security ID:** Y9381Z105

**Meeting Type:** Annual

**Ticker:** WABCOINDIA

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## WABCO India Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Lisa Brown as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Shivaram Narayanaswami as Director	Mgmt	For	For
6	Elect Sean Ernest Deason as Director	Mgmt	For	For
7	Elect Lakshmi Venu as Independent Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Related Party Transactions with WABCO Europe BVBA	Mgmt	For	For

## WAVE Life Sciences Ltd.

Meeting Date: 08/18/2016

Country: Singapore

Primary Security ID: Y95308105

Meeting Type: Annual

Ticker: WVE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Paul B. Bolno	Mgmt	For	For
1.2	Elect Director Gregory L. Verdine	Mgmt	For	For
1.3	Elect Director Peter Kolchinsky	Mgmt	For	For
1.4	Elect Director Koji Miura	Mgmt	For	For
1.5	Elect Director Ken Takanashi	Mgmt	For	For
1.6	Elect Director Masaharu Tanaka	Mgmt	For	For
1.7	Elect Director Takeshi Wada	Mgmt	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Approve Directors' Fees	Mgmt	For	For
4	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Welspun Corp Ltd.

**Meeting Date:** 09/29/2016

**Country:** India

**Primary Security ID:** Y9536Y102

**Meeting Type:** Annual

**Ticker:** 532144

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Rajesh Mandawewala as Director	Mgmt	For	For
4	Reelect Braja Mishra as Director	Mgmt	For	For
5	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of COst Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Welspun India Ltd.

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y9T340128

**Meeting Type:** Annual

**Ticker:** 514162

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Rajesh Mandawewala as Director	Mgmt	For	For
4	Approve Price Waterhouse Chartered Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Arvind Kumar Singhal as Independent Director	Mgmt	For	Against
6	Approve Commission Remuneration for Balkrishan Goenka, Chairman	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Appointment and Remuneration of Dipali Goenka as Joint Managing Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Whirlpool of India Ltd

**Meeting Date:** 09/13/2016

**Country:** India

**Primary Security ID:** Y4641Q128

**Meeting Type:** Annual

**Ticker:** 500238

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Arvind Uppal as Director	Mgmt	For	For
3	Approve S. R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Remuneration of Cost Auditors	Mgmt	For	For
5	Approve Revision in the Remuneration of Arvind Uppal, Chairman & Executive Director	Mgmt	For	For
6	Approve Revision in the Remuneration of Sunil Alaric D'Souza, Managing Director	Mgmt	For	For
7	Approve Revision in the Remuneration of Anil Berera, Executive Director & CFO	Mgmt	For	For
8	Approve Revision in the Remuneration of Vikas Singhal, Executive Director	Mgmt	For	For

## WIPRO Limited

**Meeting Date:** 07/18/2016

**Country:** India

**Primary Security ID:** Y96659142

**Meeting Type:** Annual

**Ticker:** 507685

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Rishad A. Premji as Director	Mgmt	For	For
4	Approve BSR & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Patrick J. Ennis as Independent Director	Mgmt	For	For
6	Elect Patrick Dupuis as Independent Director	Mgmt	For	For
7	Reelect N. Vaghul as Independent Director	Mgmt	For	For
8	Reelect Ashok S. Ganguly as Independent Director	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### WIPRO Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Reelect M. K. Sharma as Independent Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of T. K. Kurien as Executive Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Abidali Z. Neemuchwala as Executive Director	Mgmt	For	For
12	Approve Revision in the Remuneration of Rishad A. Premji as Executive Director and Chief Strategy Officer	Mgmt	For	For

### WIPRO Limited

Meeting Date: 07/18/2016

Country: India

Primary Security ID: Y96659142

Meeting Type: Annual

Ticker: 507685

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Rishad A. Premji as Director	Mgmt	For	For
4	Approve BSR & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Patrick J. Ennis as Independent Director	Mgmt	For	For
6	Elect Patrick Dupuis as Independent Director	Mgmt	For	For
7	Reelect N. Vaghul as Independent Director	Mgmt	For	For
8	Reelect Ashok S. Ganguly as Independent Director	Mgmt	For	For
9	Reelect M. K. Sharma as Independent Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of T. K. Kurien as Executive Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Abidali Z. Neemuchwala as Executive Director	Mgmt	For	For
12	Approve Revision in the Remuneration of Rishad A. Premji as Executive Director and Chief Strategy Officer	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Wockhardt Ltd.

**Meeting Date:** 08/13/2016

**Country:** India

**Primary Security ID:** Y73505136

**Meeting Type:** Annual

**Ticker:** 532300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend on Preference Shares	Mgmt	For	For
3	Reelect Huzaifa Khorakiwala as Director	Mgmt	For	For
4	Approve Haribhakti and Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
7	Approve Delivery of a Document as Requested by a Member at a Fee to be Determined by the Board	Mgmt	For	For

## Xero Limited

**Meeting Date:** 07/20/2016

**Country:** New Zealand

**Primary Security ID:** Q98665104

**Meeting Type:** Annual

**Ticker:** XRO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Appoint Ernst & Young as Auditor of the Company	Mgmt	For	For
2	Authorize the Board to Fix the Remuneration of the Auditor	Mgmt	For	For
3	Elect Chris Liddell as Director	Mgmt	For	For
4	Elect Bill Veghte as Director	Mgmt	For	For
5	Approve the Grant of Options to Chris Liddell and Bill Veghte	Mgmt	For	For
6	Approve the Grant of Shares to Lee Hatton, Non-Executive Director of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yantai Changyu Pioneer Wine Co., Ltd.

**Meeting Date:** 09/23/2016

**Country:** China

**Primary Security ID:** Y9739T108

**Meeting Type:** Special

**Ticker:** 200869

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDER OF B SHARES	Mgmt		
1	Approve Expansion of Business Scope and Amend Articles of Association	Mgmt	For	For
2	Approve Increase in Allowance of Independent Directors	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS	Mgmt		
3.1	Elect Luo Fei as Independent Director	Mgmt	For	For
3.2	Elect Liu Yan as Independent Director	Mgmt	For	For

## Yanzhou Coal Mining Company Limited

**Meeting Date:** 08/19/2016

**Country:** China

**Primary Security ID:** Y97417102

**Meeting Type:** Special

**Ticker:** 1171

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Compliance with the Requirements of Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
2.01	Approve Class and Nominal Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.02	Approve Method and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.03	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.04	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.05	Approve Use of Proceeds in Relation to the Non-public Issuance of A Shares	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.06	Approve Lock-Up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.07	Approve Arrangement Relating to the Accumulated Undistributed Profits in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.08	Approve Validity of Resolution in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.09	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.10	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
3	Approve Feasibility Analysis Report of Utilizing the Proceeds Raised from the Non-Public Issuance of A Shares	Mgmt	For	For
4	Approve Proposal in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For
5	Authorize Board to Deal with All Matters in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For
6	Approve Dilution of Immediate Return and Remedial Measures Upon the Non-Public Issuance of A Shares	Mgmt	For	For
7	Approve Certain Commitments by the Controlling Shareholders, Directors and Senior Management on the Recovery of Immediate Return	Mgmt	For	For
8	Approve Shareholders Return for the Next Three Years Ending 2016-2018	Mgmt	For	For
9	Amendment Articles of Association	Mgmt	For	For

## Yanzhou Coal Mining Company Limited

Meeting Date: 08/19/2016

Country: China

Primary Security ID: Y97417102

Meeting Type: Special

Ticker: 1171

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
1.01	Approve Class and Nominal Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.02	Approve Method and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Use of Proceeds in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-Up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Arrangement Relating to the Accumulated Undistributed Profits in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Validity of Resolution in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.09	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2	Approve Proposal in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For

## Yanzhou Coal Mining Company Limited

**Meeting Date:** 08/19/2016

**Country:** China

**Primary Security ID:** Y97417102

**Meeting Type:** Special

**Ticker:** 1171

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Compliance with the Requirements of Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
2.01	Approve Class and Nominal Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.02	Approve Method and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.03	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.04	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.05	Approve Use of Proceeds in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.06	Approve Lock-Up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.07	Approve Arrangement Relating to the Accumulated Undistributed Profits in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.08	Approve Validity of Resolution in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.09	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.10	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
3	Approve Feasibility Analysis Report of Utilizing the Proceeds Raised from the Non-Public Issuance of A Shares	Mgmt	For	For
4	Approve Proposal in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For
5	Authorize Board to Deal with All Matters in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For
6	Approve Dilution of Immediate Return and Remedial Measures Upon the Non-Public Issuance of A Shares	Mgmt	For	For
7	Approve Certain Commitments by the Controlling Shareholders, Directors and Senior Management on the Recovery of Immediate Return	Mgmt	For	For
8	Approve Shareholders Return for the Next Three Years Ending 2016-2018	Mgmt	For	For
9	Amendment Articles of Association	Mgmt	For	For
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
1.01	Approve Class and Nominal Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.02	Approve Method and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Use of Proceeds in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-Up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Arrangement Relating to the Accumulated Undistributed Profits in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Validity of Resolution in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.09	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2	Approve Proposal in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For

## YG Entertainment Inc.

**Meeting Date:** 08/31/2016

**Country:** South Korea

**Primary Security ID:** Y9819R105

**Meeting Type:** Special

**Ticker:** A122870

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For
2	Elect Tang Xiaoming as Outside Director	Mgmt	For	For

## Yoma Strategic Holdings Ltd.

**Meeting Date:** 07/26/2016

**Country:** Singapore

**Primary Security ID:** Y9841J113

**Meeting Type:** Annual

**Ticker:** Z59

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yoma Strategic Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Approve Directors' Fees	Mgmt	For	For
3b	Approve Grant of Shares as Part of the Directors' Fees for the Financial Year Ending March 31, 2017	Mgmt	For	For
4	Elect Adrian Chan Pengee as Director	Mgmt	For	For
5	Elect Wong Su Yen as Director	Mgmt	For	For
6	Elect Timothy Ong Teck Mong as Director	Mgmt	For	For
7	Approve Nexia TS Public Accounting Corporation as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Mandate for Interested Person Transactions	Mgmt	For	For
10	Approve Grant of Options and Issuance of Shares Pursuant to the Yoma Strategic Holdings Employee Share Option Scheme 2012	Mgmt	For	Against
11	Approve Grant of Awards and Issuance of Shares Pursuant to the Yoma Performance Share Plan	Mgmt	For	Against

## Z Energy Limited

**Meeting Date:** 07/01/2016

**Country:** New Zealand

**Primary Security ID:** Q9898K103

**Meeting Type:** Annual

**Ticker:** ZEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
2	Elect Abby Foote as Director	Mgmt	For	For
3	Elect Justine Munro as Director	Mgmt	For	For
4	Elect Mark Cross as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Z Energy Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Elect Julia Raue as Director	Mgmt	For	For
6	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	For

## Zee Entertainment Enterprises Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y98893152

Meeting Type: Annual

Ticker: ZEEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect Subhash Chandra as Director	Mgmt	For	Against
5	Approve MGB & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Adesh Kumar Gupta as Director	Mgmt	For	For
7	Reelect Sunil Sharma as Independent Director	Mgmt	For	For
8	Reelect Neharika Vohra as Independent Director	Mgmt	For	For
9	Approve Keeping of the Register of Members, Debenture-Holders, and Other Related Documents at the Office of the Registrar and Transfer Agent of the Company	Mgmt	For	For

## Zensar Technologies Limited

Meeting Date: 07/20/2016

Country: India

Primary Security ID: Y9894D104

Meeting Type: Annual

Ticker: 504067

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements	Mgmt	For	For
2	Approve Interim Dividend	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zensar Technologies Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Arvind Agrawal as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Cancellation of Ungranted Stock Options from 2002 Employees Stock Option Plan	Mgmt	For	Against
6	Approve Increase of Performance Award Units Under the Employee Performance Award Unit Plan 2016	Mgmt	For	Against

## Zhaojin Mining Industry Co., Ltd.

**Meeting Date:** 08/15/2016      **Country:** China      **Primary Security ID:** Y988A6104  
**Meeting Type:** Special      **Ticker:** 1818

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Renewable Bonds	Mgmt	For	For

## Zhaojin Mining Industry Co., Ltd.

**Meeting Date:** 09/19/2016      **Country:** China      **Primary Security ID:** Y988A6104  
**Meeting Type:** Special      **Ticker:** 1818

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Employee Share Purchase Plan	Mgmt	For	For

## Zhaojin Mining Industry Co., Ltd.

**Meeting Date:** 09/19/2016      **Country:** China      **Primary Security ID:** Y988A6104  
**Meeting Type:** Special      **Ticker:** 1818

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zhaojin Mining Industry Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Employee Share Purchase Plan	Mgmt	For	For

## Zhengzhou Coal Mining Machinery Group Co Ltd

**Meeting Date:** 09/05/2016      **Country:** China      **Primary Security ID:** Y98949103  
**Meeting Type:** Special      **Ticker:** 564

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Adjustment to the Issue Price of the Shares Involved in the Fundraising Under the Reorganization	Mgmt	For	For
2	Approve Impact of Diluted Immediate Return in the Reorganization and Remedial Measures Adopted	Mgmt	For	For

## Zhengzhou Coal Mining Machinery Group Co Ltd

**Meeting Date:** 09/05/2016      **Country:** China      **Primary Security ID:** Y98949103  
**Meeting Type:** Special      **Ticker:** 564

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Adjustment to the Issue Price of the Shares Involved in the Fundraising Under the Reorganization	Mgmt	For	For

## Zijin Mining Group Co., Ltd.

**Meeting Date:** 08/25/2016      **Country:** China      **Primary Security ID:** Y9892H115  
**Meeting Type:** Special      **Ticker:** 2899



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	Approve Proposals on the Non-Public Issuance of A Shares	Mgmt		
1.01	Approve Class and Nominal Value of the Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.02	Approve Methods and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Issuing Objects and Methods of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Subscription Price and Pricing Principles in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Use of Proceeds Raised in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Arrangement of the Accumulated Distributable Profits before the Non-public Issuance of A Shares	Mgmt	For	For
1.09	Approve Listing Place of Shares in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Validity Period of the Resolutions in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2	Approve Plan for the Non-public Issuance of A Shares	Mgmt	For	For
3	Authorize Board to Handle All Matters in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Zijin Mining Group Co., Ltd.

Meeting Date: 08/25/2016

Country: China

Primary Security ID: Y9892H115

Meeting Type: Special

Ticker: 2899

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Phase 1 of the Employee Stock Ownership Scheme	Mgmt	For	For
2	Approve Formulation of the Administrative Policy of the Employee Stock Ownership Scheme	Mgmt	For	For
3	Authorize Board to Handle All Matters in Relation to the Current Employee Stock Ownership Scheme	Mgmt	For	For
4	Approve Satisfaction of the Conditions for the Non-public Issuance of A Shares	Mgmt	For	For
	Approve Proposals on the Non-public Issuance of A Shares	Mgmt		
5.01	Approve Class and Nominal Value of the Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.02	Approve Methods and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.03	Approve Issuing Objects and Methods of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.04	Approve Subscription Price and Pricing Principles in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.05	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.07	Approve Use of Proceeds Raised in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.08	Approve Arrangement of the Accumulated Distributable Profits before the Non-public Issuance of A Shares	Mgmt	For	For
5.09	Approve Listing Place of Shares in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.10	Approve Validity Period of the Resolutions in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
6	Approve Plan for the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Feasibility Report on the Use of Proceeds Raised in the Non-public Issuance of A Shares	Mgmt	For	For
8	Approve Non-Production of Report on the Use of Proceeds Previously Raised	Mgmt	For	For
9	Approve Connected Transactions Under the Non-public Issuance of A Shares	Mgmt	For	For
10	Approve Conditional Share Subscription Agreement for the Non-public Issuance of A Shares with Its Controlling Shareholder	Mgmt	For	For
11	Approve Conditional Share Subscription Agreement for the Non-public Issuance of A Shares with Phase 1 of the Employee Stock Ownership Scheme	Mgmt	For	For
12	Approve Dilutive Impact of the Non-public Issuance of A Shares on Immediate Returns and Adoption of Recovery Measures	Mgmt	For	For
13	Approve Undertakings of the Directors and Senior Management of the Company in Relation to the Recovery Measures for Returns	Mgmt	For	For
14	Authorize Board to Handle All Matters in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
15	Approve Satisfaction of Conditions for Issuance of the Renewable Corporate Bonds	SH	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF THE RENEWABLE CORPORATE BONDS	Mgmt		
16.01	Approve Size of Issuance and Face Value in Relation to the Renewable Corporate Bonds	SH	For	For
16.02	Approve Maturity in Relation to the Renewable Corporate Bonds	SH	For	For
16.03	Approve Coupon Rate and Determination Method in Relation to the Renewable Corporate Bonds	SH	For	For
16.04	Approve Method of Issuance in Relation to the Renewable Corporate Bonds	SH	For	For
16.05	Approve Guarantee Arrangement in Relation to the Renewable Corporate Bonds	SH	For	For
16.06	Approve Redemption Terms or Repurchase Terms in Relation to the Renewable Corporate Bonds	SH	For	For
16.07	Approve Terms for Deferring Interest Payment in Relation to the Renewable Corporate Bonds	SH	For	For
16.08	Approve Restrictions on Deferring Interest Payment in Relation to the Renewable Corporate Bonds	SH	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
16.09	Approve Use of Proceeds Raised in Relation to the Renewable Corporate Bonds	SH	For	For
16.10	Approve Issuing Objects and Placement Arrangements for Shareholders in Relation to the Renewable Corporate Bonds	SH	For	For
16.11	Approve Underwriting Method and Listing Arrangement in Relation to the Renewable Corporate Bonds	SH	For	For
16.12	Approve Creditworthiness and the Guarantee Measures of Repayment in Relation to the Renewable Corporate Bonds	SH	For	For
16.13	Approve Resolutions Validity Period in Relation to the Renewable Corporate Bonds	SH	For	For
16.14	Authorize Board to Handle All Matters in Relation to Issuance of the Renewable Corporate Bonds	SH	For	For

## Zydus Wellness Ltd.

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y11229112

**Meeting Type:** Annual

**Ticker:** 531335

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Ganesh N. Nayak as Director	Mgmt	For	For
4	Approve Dhirubhai Shah & Doshi as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## ACC Ltd.

**Meeting Date:** 07/14/2016

**Country:** India

**Primary Security ID:** Y0022S105

**Meeting Type:** Special

**Ticker:** ACC

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ACC Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Variation in the Terms of Remuneration of Harish Badami, CEO & Managing Director	Mgmt	For	For

## Accordia Golf Trust

**Meeting Date:** 07/28/2016      **Country:** Singapore      **Primary Security ID:** Y000HT119  
**Meeting Type:** Annual      **Ticker:** ADQU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee-Manager, Statement by the Trustee-Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve Deloitte & Touche LLP as Auditors and Authorize Board of the Trustee-Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against

## Accordia Golf Trust

**Meeting Date:** 07/28/2016      **Country:** Singapore      **Primary Security ID:** Y000HT119  
**Meeting Type:** Special      **Ticker:** ADQU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Share Repurchase Program	Mgmt	For	For

## Adani Ports and Special Economic Zone Ltd.

**Meeting Date:** 08/09/2016      **Country:** India      **Primary Security ID:** Y00130107  
**Meeting Type:** Annual      **Ticker:** ADANIPORTS

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Adani Ports and Special Economic Zone Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend	Mgmt	For	For
3	Approve Dividend on Preference Shares	Mgmt	For	For
4	Reelect Malay Mahadevia as Director	Mgmt	For	For
5	Approve S R B C & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Karan Adani, Chief Executive Officer	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
9	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## AGL Energy Ltd.

Meeting Date: 09/28/2016

Country: Australia

Primary Security ID: Q01630195

Meeting Type: Annual

Ticker: AGL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3a	Elect Belinda Jane Hutchinson as Director	Mgmt	For	For
3b	Elect Jacqueline Cherie Hey as Director	Mgmt	For	For
3c	Elect Diane Lee Smith-Gander as Director	Mgmt	For	For
4	Approve the Grant of Performance Rights to Andrew Vesey, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	None	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AIMS AMP Capital Industrial REIT

**Meeting Date:** 07/26/2016

**Country:** Singapore

**Primary Security ID:** Y0029Z136

**Meeting Type:** Annual

**Ticker:** O5RU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve KPMG LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Ain Holdings Inc

**Meeting Date:** 07/28/2016

**Country:** Japan

**Primary Security ID:** J00602102

**Meeting Type:** Annual

**Ticker:** 9627

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 40	Mgmt	For	For
2.1	Elect Director Otani, Kiichi	Mgmt	For	For
2.2	Elect Director Kato, Hiromi	Mgmt	For	For
2.3	Elect Director Sakurai, Masahito	Mgmt	For	For
2.4	Elect Director Shudo, Shoichi	Mgmt	For	For
2.5	Elect Director Mizushima, Toshihide	Mgmt	For	For
2.6	Elect Director Oishi, Miya	Mgmt	For	For
2.7	Elect Director Kimei, Rieko	Mgmt	For	For
2.8	Elect Director Mori, Ko	Mgmt	For	For
2.9	Elect Director Sato, Seiichiro	Mgmt	For	For
2.10	Elect Director Hamada, Yasuyuki	Mgmt	For	For
3.1	Appoint Statutory Auditor Kawamura, Koichi	Mgmt	For	For
3.2	Appoint Statutory Auditor Ibayashi, Akira	Mgmt	For	Against
3.3	Appoint Statutory Auditor Muramatsu, Osamu	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Air China Ltd.

**Meeting Date:** 08/30/2016

**Country:** China

**Primary Security ID:** Y002A6104

**Meeting Type:** Special

**Ticker:** 753

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Wang Zhengang as Supervisor	SH	For	For

## Air New Zealand Ltd.

**Meeting Date:** 09/30/2016

**Country:** New Zealand

**Primary Security ID:** Q0169V100

**Meeting Type:** Annual

**Ticker:** AIR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Jan Dawson as Director	Mgmt	For	For
2	Elect Jonathan Mason as Director	Mgmt	For	For
3	Elect Therese Walsh as Director	Mgmt	For	For

## Alliance Financial Group Berhad

**Meeting Date:** 07/19/2016

**Country:** Malaysia

**Primary Security ID:** Y0034W102

**Meeting Type:** Annual

**Ticker:** AFG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Remuneration of Directors	Mgmt	For	For
2	Elect Ou Shian Waei as Director	Mgmt	For	For
3	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Kung Beng Hong as Director	Mgmt	For	For
5	Elect Oh Chong Peng as Director	Mgmt	For	For
6	Approve Oh Chong Peng to Continue Office as Independent Non-Executive Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Alliance Financial Group Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Stephen Geh Sim Whye to Continue Office as Independent Non-Executive Director	Mgmt	For	For

## Alliance Global Group, Inc.

**Meeting Date:** 09/29/2016      **Country:** Philippines      **Primary Security ID:** Y00334105  
**Meeting Type:** Annual      **Ticker:** AGI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve the Minutes of the Annual Stockholders Meeting Held on Sept. 15, 2015	Mgmt	For	For
5	Appoint Independent Auditors	Mgmt	For	For
6	Ratify Acts of the Board of Directors, Board Committees, and Officers	Mgmt	For	For
	Elect 7 Directors by Cumulative Voting	Mgmt		
7.1	Elect Andrew L. Tan as Director	Mgmt	For	For
7.2	Elect Kingson U. Sian as Director	Mgmt	For	Against
7.3	Elect Katherine L. Tan as Director	Mgmt	For	Against
7.4	Elect Winston S. Co as Director	Mgmt	For	Against
7.5	Elect Kevin Andrew L. Tan as Director	Mgmt	For	Against
7.6	Elect Sergio R. Ortiz-Luis, Jr. as Director	Mgmt	For	For
7.7	Elect Alejo L. Villanueva, Jr. as Director	Mgmt	For	For

## ALPEN CO LTD

**Meeting Date:** 09/28/2016      **Country:** Japan      **Primary Security ID:** J01219104  
**Meeting Type:** Annual      **Ticker:** 3028

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Mizuno, Taizo	Mgmt	For	Against
1.2	Elect Director Murase, Kazuo	Mgmt	For	For
1.3	Elect Director Mizuno, Atsushi	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ALPEN CO LTD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.4	Elect Director Ishida, Yoshitaka	Mgmt	For	For
1.5	Elect Director Mizumaki, Yasuhiko	Mgmt	For	For
1.6	Elect Director Suzuki, Takehito	Mgmt	For	For
2	Approve Bonus Related to Retirement Bonus System Abolition	Mgmt	For	Against

## ALS Limited

Meeting Date: 07/26/2016

Country: Australia

Primary Security ID: Q0266A116

Meeting Type: Annual

Ticker: ALQ

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mel Bridges as Director	Mgmt	For	For
2	Elect Tonianne Dwyer as Director	Mgmt	For	For
3	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For
4	Approve the Remuneration Report	Mgmt	For	For
5	Approve the Grant of Performance Rights to Greg Kilmister, Managing Director of the Company	Mgmt	For	For
6	Approve the Spill Resolution	Mgmt	Against	Against

## Aluminum Corporation of China Ltd.

Meeting Date: 09/06/2016

Country: China

Primary Security ID: Y0094N125

Meeting Type: Special

Ticker: 2600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Participation in the Bidding for 60% Equity Interest in Chinalco Shanghai	Mgmt	For	For
2	Approve Increase of Guarantee Amount Provided for Ningxia Energy and Its Subsidiaries	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Aluminum Corporation of China Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Proposed Guarantee Provided by China Aluminum International Trading Co., Ltd. for Chalco Trading Hong Kong Co., Ltd.	Mgmt	For	For

## AMMB Holdings Berhad

Meeting Date: 08/18/2016

Country: Malaysia

Primary Security ID: Y0122P100

Meeting Type: Annual

Ticker: AMBANK

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	Mgmt	For	For
2	Approve Remuneration of Directors	Mgmt	For	For
3	Elect Soo Kim Wai as Director	Mgmt	For	For
4	Elect Ahmad Johan bin Mohammad Raslan as Director	Mgmt	For	For
5	Elect Graham Kennedy Hodges as Director	Mgmt	For	For
6	Elect Wasim Akhtar Saifi as Director	Mgmt	For	For
7	Elect Shireen Ann Zaharah binti Muhiudeen as Director	Mgmt	For	For
8	Elect Seow Yoo Lin as Director	Mgmt	For	For
9	Elect Azman Hashim as Director	Mgmt	For	For
10	Elect Mohammed Hanif bin Omar as Director	Mgmt	For	For
11	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
12	Approve Issuance of Shares Under the Executives' Share Scheme	Mgmt	For	Against
13	Approve Allocation of Shares and Options to Sulaiman Mohd Tahir Under the Executives' Share Scheme	Mgmt	For	Against
14	Approve Issuance of Shares Under the Dividend Reinvestment Plan	Mgmt	For	For
15	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
16	Approve Renewal of Existing Shareholders' Mandate for Recurrent Related Party Transactions with Australia and New Zealand Banking Group Limited Group	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AMMB Holdings Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
17	Approve Renewal of Existing Shareholders' Mandate for Recurrent Related Party Transactions with Amcorp Group Berhad Group	Mgmt	For	For
18	Approve Renewal of Existing Shareholders' Mandate for Recurrent Related Party Transactions with Modular Techcorp Holdings Berhad Group	Mgmt	For	For

## Anhui Gujing Distillery Co., Ltd.

Meeting Date: 08/23/2016

Country: China

Primary Security ID: Y0137M100

Meeting Type: Special

Ticker: 200596

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	ELECT NON-INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
1.1	Elect Li Peihui as Non-Independent Director	Mgmt	For	For
1.2	Elect Xu Peng as Non-Independent Director	Mgmt	For	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
2.1	Elect Wang Feng as Supervisor	SH	None	For
2.2	Elect Yang Xiaofan as Supervisor	SH	None	For
2.3	Elect Zhang Bo as Supervisor	SH	None	For
2.4	Elect Wang Zibin as Supervisor	SH	None	For

## APN News & Media Ltd

Meeting Date: 09/16/2016

Country: Australia

Primary Security ID: Q1076J107

Meeting Type: Special

Ticker: APN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Sale of All the Share Capital in the ARM Sale Companies to NCA Ventures Pty Ltd	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Apollo Hospitals Enterprise Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y0187F138

**Meeting Type:** Annual

**Ticker:** APOLLOHOSP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect SHobana Kamineni as Director	Mgmt	For	For
4	Approve S. Viswanathan LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Increase in Borrowing Powers	Mgmt	For	For
6	Approve Pledging of Assets for Debt	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
8	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For

## Argosy Property Limited

**Meeting Date:** 08/09/2016

**Country:** New Zealand

**Primary Security ID:** Q05262102

**Meeting Type:** Annual

**Ticker:** ARG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Michael Smith as Director	Mgmt	For	For
2	Elect Peter Brook as Director	Mgmt	For	For
3	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For

## ASAHI INTECC CO. LTD.

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J0279C107

**Meeting Type:** Annual

**Ticker:** 7747

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ASAHI INTECC CO. LTD.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Indemnify Directors	Mgmt	For	For
3.1	Elect Director Miyata, Masahiko	Mgmt	For	For
3.2	Elect Director Miyata, Kenji	Mgmt	For	For
3.3	Elect Director Kato, Tadakazu	Mgmt	For	For
3.4	Elect Director Yugawa, Ippei	Mgmt	For	For
3.5	Elect Director Terai, Yoshinori	Mgmt	For	For
3.6	Elect Director Matsumoto, Munechika	Mgmt	For	For
3.7	Elect Director Ito, Mizuho	Mgmt	For	For
3.8	Elect Director Ito, Kiyomichi	Mgmt	For	For
3.9	Elect Director Shibazaki, Akinori	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Watanabe, Yukiyo	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Sato, Masami	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Obayashi, Toshiharu	Mgmt	For	For
5	Elect Alternate Director and Audit Committee Member Tomida, Ryuji	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
7	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
8	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against
9	Approve Director Retirement Bonus	Mgmt	For	For

## Ashok Leyland Limited

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y0266N143

Meeting Type: Annual

Ticker: 500477

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ashok Leyland Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Approve Dividend	Mgmt	For	For
3	Reelect A K Das as Director	Mgmt	For	For
4	Approve M S Krishnaswami & Rajan and Deloitte Haskins & Sells LLP as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Andrew C Palmer as Independent Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Vinod K Dasari as Chief Executive Officer and Managing Director	Mgmt	For	Against
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
11	Approve Ashok Leyland Employees Stock Option Plan 2016	Mgmt	For	Against

## Askul Corporation

Meeting Date: 08/03/2016

Country: Japan

Primary Security ID: J03325107

Meeting Type: Annual

Ticker: 2678

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 18	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Iwata, Shoichiro	Mgmt	For	For
3.2	Elect Director Imamura, Toshio	Mgmt	For	For
3.3	Elect Director Yoshida, Hitoshi	Mgmt	For	For
3.4	Elect Director Yoshioka, Akira	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Askul Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.5	Elect Director Koshimizu, Hironori	Mgmt	For	For
3.6	Elect Director Toda, Kazuo	Mgmt	For	For
3.7	Elect Director Imaizumi, Koji	Mgmt	For	Against
3.8	Elect Director Ozawa, Takao	Mgmt	For	For
3.9	Elect Director Miyata, Hideaki	Mgmt	For	For
3.10	Elect Director Higuchi, Yasuyuki	Mgmt	For	For
4.1	Appoint Statutory Auditor Orimo, Yoshiyuki	Mgmt	For	For
4.2	Appoint Statutory Auditor Yasumoto, Takaharu	Mgmt	For	Against
5	Approve Aggregate Compensation Ceiling for Directors	Mgmt	For	For

## ASUKANET CO. LTD.

**Meeting Date:** 07/22/2016

**Country:** Japan

**Primary Security ID:** J0339J100

**Meeting Type:** Annual

**Ticker:** 2438

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 10	Mgmt	For	For
2.1	Elect Director Fukuda, Yukio	Mgmt	For	Against
2.2	Elect Director Matsuo, Yuji	Mgmt	For	For
2.3	Elect Director Kono, Kenya	Mgmt	For	For
2.4	Elect Director Hosoi, Kenichi	Mgmt	For	For
3	Appoint Statutory Auditor Oda, Fumio	Mgmt	For	For

## ASX Ltd.

**Meeting Date:** 09/28/2016

**Country:** Australia

**Primary Security ID:** Q0604U105

**Meeting Type:** Annual

**Ticker:** ASX



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ASX Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3a	Elect Ken Henry as Director	Mgmt	For	For
3b	Elect Melinda Conrad as Director	Mgmt	For	For
4	Approve the Remuneration Report	Mgmt	For	For
5	Approve the Grant of Performance Rights to Dominic Stevens, Managing Director and CEO of the Company	Mgmt	For	For

## Aurobindo Pharma Ltd.

Meeting Date: 08/24/2016

Country: India

Primary Security ID: Y04527142

Meeting Type: Annual

Ticker: 524804

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Interim Dividends	Mgmt	For	For
4	Reelect K. Nithyananda Reddy as Director	Mgmt	For	For
5	Reelect M. Madan Mohan Reddy as Director	Mgmt	For	For
6	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Revision in the Remuneration of N. Govindarajan as Managing Director	Mgmt	For	For
8	Approve Revision in the Remuneration of M. Madan Mohan Reddy as Executive Director	Mgmt	For	For
9	Approve Appointment and Remuneration of P. Sarath Chandra Reddy as Executive Director	Mgmt	For	For

## AusNet Services

Meeting Date: 07/21/2016

Country: Australia

Primary Security ID: Q0708Q109

Meeting Type: Annual

Ticker: AST

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## AusNet Services

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2a	Elect Peter Mason as Director	Mgmt	For	For
2b	Elect Tan Chee Meng as Director	Mgmt	For	Against
3	Approve the Remuneration Report	Mgmt	For	For
4	Approve the Grant of Performance Rights to Nino Ficca, Managing Director of the Company	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	Against
6	Approve the Issue of Shares Up to 10 Percent Pro Rata	Mgmt	For	For
7	Approve the Issuance of Shares Pursuant to the Dividend Reinvestment Plan	Mgmt	For	For
8	Approve the Issuance of Shares Pursuant to an Employee Incentive Scheme	Mgmt	For	For

## Australian Agricultural Company Ltd.

**Meeting Date:** 07/14/2016

**Country:** Australia

**Primary Security ID:** Q08448112

**Meeting Type:** Annual

**Ticker:** AAC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Elect David Crombie as Director	Mgmt	For	For
4	Elect Tom Keene as Director	Mgmt	For	For
5	Elect Neil Reisman as Director	Mgmt	For	For
6	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For

## Avichina Industry & Technology Co., Ltd.

**Meeting Date:** 08/25/2016

**Country:** China

**Primary Security ID:** Y0485Q109

**Meeting Type:** Special

**Ticker:** 2357

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Avichina Industry & Technology Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect He Zhiping as Director	Mgmt	For	For

## Axis Bank Ltd.

<b>Meeting Date:</b> 07/22/2016	<b>Country:</b> India	<b>Primary Security ID:</b> Y0487S137
	<b>Meeting Type:</b> Annual	<b>Ticker:</b> 532215

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect V. Srinivasan as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Rakesh Makhija as Independent Director	Mgmt	For	For
6	Elect Ketaki Bhagwati as Independent Director	Mgmt	For	For
7	Elect B. Babu Rao as Director	Mgmt	For	For
8	Approve Revision in the Remuneration of Shikha Sharma as Managing Director and CEO	Mgmt	For	For
9	Approve Reappointment of V. Srinivasan as Executive Director & Head (Corporate Banking) from Oct. 15, 2015 to Dec. 20, 2015 and Approve His Appointment and Remuneration as Deputy Managing Director from Dec. 21, 2015 to Dec. 20, 2018	Mgmt	For	For
10	Approve Revision in the Remuneration of V. Srinivasan as Executive Director designated as Deputy Managing Director with effect from June 1, 2016	Mgmt	For	For
11	Elect Sanjiv Misra as Independent Director	Mgmt	For	For
12	Approve Appointment and Remuneration of Sanjiv Misra as Non-Executive Part-Time Chairman	Mgmt	For	For
13	Elect Rajiv Anand as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Axis Bank Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
14	Approve Appointment and Remuneration of Rajiv Anand as Executive Director designated as Executive Director (Retail Banking)	Mgmt	For	For
15	Elect Rajesh Dahiya as Director	Mgmt	For	For
16	Approve Appointment and Remuneration of Rajesh Dahiya as Executive Director designated as Executive Director (Corporate Centre)	Mgmt	For	For
17	Approve Remuneration of Non-Executive Directors	Mgmt	For	For
18	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

### Bajaj Auto Ltd.

Meeting Date: 07/27/2016

Country: India

Primary Security ID: Y05490100

Meeting Type: Annual

Ticker: BAJAJ-AUTO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend and Final Dividend	Mgmt	For	For
3	Reelect Manish Kejriwal as Director	Mgmt	For	Against
4	Reelect Sanjiv Bajaj as Director	Mgmt	For	For
5	Approve Dalal & Shah LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Pradeep Shrivastava as Director	Mgmt	For	For
7	Approve Appointment and Remuneration of Pradeep Shrivastava as Executive Director	Mgmt	For	For
8	Approve Payment of Commission to Non-Executive Directors	Mgmt	For	For

### Bajaj Finance Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y05473122

Meeting Type: Annual

Ticker: 500034

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bajaj Finance Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend and Interim Dividend	Mgmt	For	For
3	Reelect Rahul Bajaj as Director	Mgmt	For	For
4	Approve Dalal & Shah LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Bajaj Finance Ltd.

Meeting Date: 08/28/2016

Country: India

Primary Security ID: Y05473122

Meeting Type: Special

Ticker: 500034

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Stock Split	Mgmt	For	For
2	Increase Authorized Share Capital	Mgmt	For	For
3	Approve Bonus Issue	Mgmt	For	For

## Bapcor Limited

Meeting Date: 07/04/2016

Country: Australia

Primary Security ID: Q1921R106

Meeting Type: Special

Ticker: BAP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Change of Company Name to BAPCOR Limited	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## BBMG Corporation

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y076A3105

**Meeting Type:** Special

**Ticker:** 2009

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Capital Increase Agreement and Equity Transfer Agreement and Related Transactions	Mgmt	For	For
2	Approve Share Issuance and Asset Purchase Agreement, Equity Entrustment Agreement and Related Transactions	Mgmt	For	For
3	Approve Performance Compensation in Connection to Share Issuance and Asset Purchase Agreement, Equity Entrustment Agreement and Related Transactions	SH	For	For
4	Amend Articles of Association	SH	None	For

## Beijing Capital International Airport Co., Ltd.

**Meeting Date:** 08/25/2016

**Country:** China

**Primary Security ID:** Y07717104

**Meeting Type:** Special

**Ticker:** 694

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Han Zhiliang as Director	Mgmt	For	For

## Bharat Forge Ltd.

**Meeting Date:** 08/05/2016

**Country:** India

**Primary Security ID:** Y08825179

**Meeting Type:** Annual

**Ticker:** 500493

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect B. P. Kalyani as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharat Forge Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Reelect P. C. Bhalerao as Director	Mgmt	For	For
5	Approve S R B C & CO LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of B. P. Kalyani as Executive Director	Mgmt	For	Against
7	Approve Reappointment and Remuneration of S. E. Tandale as Executive Director	Mgmt	For	Against
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bharat Heavy Electricals Ltd.

Meeting Date: 09/22/2016

Country: India

Primary Security ID: Y0882L133

Meeting Type: Annual

Ticker: 500103

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect D. Bandyopadhyay as Director	Mgmt	For	Against
4	Reelect Amitabh Mathur as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Subrata Biswas as Director	Mgmt	For	Against
8	Elect Rajesh Kishore as Independent Director	Mgmt	For	For
9	Elect Keshav N. Desiraju as Independent Director	Mgmt	For	For
10	Elect R. Swaminathan as Independent Director	Mgmt	For	For
11	Elect T. Chockalingam as Director	Mgmt	For	Against
12	Elect Subhash Chandra Pandey as Director	Mgmt	For	Against
13	Elect Akhil Joshi as Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharat Petroleum Corporation Ltd.

**Meeting Date:** 07/05/2016

**Country:** India

**Primary Security ID:** Y0882Z116

**Meeting Type:** Special

**Ticker:** BPCL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Bonus Issue	Mgmt	For	For

## Bharat Petroleum Corporation Ltd.

**Meeting Date:** 09/21/2016

**Country:** India

**Primary Security ID:** Y0882Z116

**Meeting Type:** Annual

**Ticker:** BPCL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect Shrikant Prakash Gathoo as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Authorize Issuance of Non-Convertible Bonds/Debentures and/or Other Debt Securities	Mgmt	For	For
6	Approve Material Related Party Transactions	Mgmt	For	For
7	Elect Rajesh Kumar Mangal as Independent Director	Mgmt	For	For
8	Elect Deepak Bhojwani as Independent Director	Mgmt	For	For
9	Elect DeGopal Chandra Nanda as Independent Director	Mgmt	For	For
10	Elect Anant Kumar Singh as Director	Mgmt	For	Against
11	Elect Ramesh Srinivasan as Director	Mgmt	For	Against
12	Elect Ramamoorthy Ramachandran as Director	Mgmt	For	Against
13	Approve Remuneration of Cost Auditors	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bharti Airtel Ltd.

**Meeting Date:** 08/19/2016

**Country:** India

**Primary Security ID:** Y0885K108

**Meeting Type:** Annual

**Ticker:** 532454

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Chua Sock Koong as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Rakesh Bharti Mittal as Director	Mgmt	For	For
6	Adopt New Articles of Association	Mgmt	For	For
7	Amend Memorandum of Association	Mgmt	For	For
8	Approve Appointment and Remuneration of Sunil Bharti Mittal as Chairman of the Company	Mgmt	For	For
9	Approve Revision in the Remuneration of Gopal Vittal, Managing Director and CEO (India and South Asia)	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Bharti Infratel Ltd.

**Meeting Date:** 08/10/2016

**Country:** India

**Primary Security ID:** Y0R86J109

**Meeting Type:** Annual

**Ticker:** INFRATEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Mark Chin Kok Chong as Director	Mgmt	For	For
4	Approve S.R. Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Reelect Rajan Bharti Mittal as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Bosch Limited

**Meeting Date:** 08/23/2016

**Country:** India

**Primary Security ID:** Y6139L131

**Meeting Type:** Special

**Ticker:** 500530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Buyback of Up to 878,160 Shares	Mgmt	For	For

## Bosch Limited

**Meeting Date:** 09/01/2016

**Country:** India

**Primary Security ID:** Y6139L131

**Meeting Type:** Annual

**Ticker:** 500530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Soumitra Bhattacharya as Director	Mgmt	For	For
4	Approve Price Waterhouse & Co. Bangalore LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Steffen Berns as Managing Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of Soumitra Bhattacharya as Joint Managing Director	Mgmt	For	For
7	Approve Remuneration of Cost Auditors	Mgmt	For	For
8	Adopt New Articles of Association	Mgmt	For	For

## Boustead Singapore Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** V12756165

**Meeting Type:** Annual

**Ticker:** F9D

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Boustead Singapore Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Wong Yu Loon as Director	Mgmt	For	For
4	Elect Godfrey Ernest Scotchbrook as Director	Mgmt	For	For
5	Elect Wong Fong Fui as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Grant of Awards and Issuance of Shares Under the Boustead Restricted Share Plan 2011	Mgmt	For	For
10	Approve Issuance of Shares Under the Boustead Scrip Dividend Scheme	Mgmt	For	For

## Boustead Singapore Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** V12756165

**Meeting Type:** Special

**Ticker:** F9D

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Share Repurchase Program	Mgmt	For	For

## British American Tobacco (Malaysia) Berhad

**Meeting Date:** 09/06/2016

**Country:** Malaysia

**Primary Security ID:** Y0971P110

**Meeting Type:** Special

**Ticker:** BAT

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## British American Tobacco (Malaysia) Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal by Tobacco Importers and Manufacturers Sdn Berhad, a Wholly-Owned Subsidiary of the Company, of 2 Parcels of Leasehold Lands Together with Buildings Elected Thereon	Mgmt	For	For

## BTS Group Holdings PCL

**Meeting Date:** 07/22/2016      **Country:** Thailand      **Primary Security ID:** Y0984D179  
**Meeting Type:** Annual      **Ticker:** BTS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Chairman's Statement	Mgmt		
2	Approve Minutes of Previous Meeting	Mgmt	For	For
3	Acknowledge Operational Results	Mgmt	For	For
4	Accept Company and Its subsidiaries? Report and Financial Statements	Mgmt	For	For
5	Approve Dividend	Mgmt	For	For
6	Approve Remuneration of Directors	Mgmt	For	For
7.1	Elect Keeree Kanjanapas as Director	Mgmt	For	For
7.2	Elect Paul Tong as Director	Mgmt	For	For
7.3	Elect Kong Chi Keung as Director	Mgmt	For	Against
7.4	Elect Suchin Wanglee as Director	Mgmt	For	For
7.5	Elect Pichitra Mahaphon as Director	Mgmt	For	For
8	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Authorize Issuance of Debentures	Mgmt	For	For
10	Other Business	Mgmt	For	Against

## Cadila Healthcare Limited

**Meeting Date:** 08/03/2016      **Country:** India      **Primary Security ID:** Y1R73U123  
**Meeting Type:** Annual      **Ticker:** 532321

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cadila Healthcare Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Elect Sharvil P. Patel as Director	Mgmt	For	For
4	Approve Mukesh M. Shah & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of Pankaj R. Patel as Executive Chairman and Managing Director	Mgmt	For	Against
6	Elect Apurva S. Diwanji as Independent Director	Mgmt	For	For
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Cairn India Limited

Meeting Date: 07/21/2016

Country: India

Primary Security ID: Y1081B108

Meeting Type: Annual

Ticker: CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Reelect Priya Agarwal as Director	Mgmt	For	Against
4	Approve S.R. Batliboi & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Directors	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cairn India Limited

**Meeting Date:** 09/11/2016

**Country:** India

**Primary Security ID:** Y1081B108

**Meeting Type:** Special

**Ticker:** CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	Against

## Cairn India Limited

**Meeting Date:** 09/12/2016

**Country:** India

**Primary Security ID:** Y1081B108

**Meeting Type:** Court

**Ticker:** CAIRN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	Against

## CapitaLand Commercial Trust

**Meeting Date:** 07/13/2016

**Country:** Singapore

**Primary Security ID:** Y1091N100

**Meeting Type:** Special

**Ticker:** C61U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of 50.0 Percent of the Units in MSO Trust Which Holds Capitagreen	Mgmt	For	For

## China COSCO Holdings Co., Ltd.

**Meeting Date:** 08/25/2016

**Country:** China

**Primary Security ID:** Y1455B106

**Meeting Type:** Special

**Ticker:** 1919

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China COSCO Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Amend Articles of Association, Rules of Procedures of the General Meeting and Rules of Procedures of the Board of Directors	Mgmt	For	For
2	Amend Rules of Procedures of the Supervisory Committee	Mgmt	For	For
3	Change English Name and Chinese Name	Mgmt	For	For
4	Approve Provision of External Guarantees	Mgmt	For	For
5	Approve Acquisition in Eight Offshore Companies and Related Transactions	SH	For	For

## China Huarong Asset Management Co., Ltd.

Meeting Date: 09/13/2016

Country: China

Primary Security ID: Y149A8109

Meeting Type: Special

Ticker: 2799

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	SPECIAL RESOLUTIONS	Mgmt		
1	Approve Proposed Plan for A Share Offering	Mgmt	For	For
2	Authorize Board to Deal with All Matters Relating to the A Share Offering	Mgmt	For	For
3	Approve Plan for Distribution of Accumulated Profits Before the A Share Offering	Mgmt	For	For
4	Approve Proposal on Price Stabilization of A Shares for the Three Years After the A Share Offering	Mgmt	For	For
5	Approve Undertakings Regarding the Information Disclosed in the Prospectus for the A Share Offering	Mgmt	For	For
6	Approve Remedial Measures for the Dilution of Immediate Returns as a Result of the A Share Offering and Undertakings by Relevant Undertaking Parties	Mgmt	For	For
7	Approve Use of Proceeds from the A Share Offering	Mgmt	For	For
8	Amend Articles of Association	Mgmt	For	For
	ORDINARY RESOLUTIONS	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Huarong Asset Management Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Dividend Distribution Plan for the Three Years After the A Share Offering	Mgmt	For	For
2	Approve Report on the Use of Previously Raised Funds	Mgmt	For	For
3	Approve Self-assessment Report on the Land and Residential Property Sales Matters	Mgmt	For	For
4	Approve Undertaking Letter in Relation to the Land and Residential Property Sales Matters	Mgmt	For	For
5	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For
6	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For
7	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	Mgmt	For	For
8	Elect Zhou Langlang as Director	Mgmt	For	For

## China Huarong Asset Management Co., Ltd.

Meeting Date: 09/13/2016

Country: China

Primary Security ID: Y149A8109

Meeting Type: Special

Ticker: 2799

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Proposed Plan for A Share Offering	Mgmt	For	For
2	Authorize Board to Deal with All Matters Relating to the A Share Offering	Mgmt	For	For
3	Approve Plan for Distribution of Accumulated Profits Before the A Share Offering	Mgmt	For	For
4	Approve Proposal on Price Stabilization of A Shares for the Three Years After the A Share Offering	Mgmt	For	For
5	Approve Undertakings Regarding the Information Disclosed in the Prospectus for the A Share Offering	Mgmt	For	For
6	Approve Remedial Measures for the Dilution of Immediate Returns as a Result of the A Share Offering and Undertakings by Relevant Undertaking Parties	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Longyuan Power Group Corporation Ltd.

**Meeting Date:** 08/12/2016

**Country:** China

**Primary Security ID:** Y1501T101

**Meeting Type:** Special

**Ticker:** 916

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Luan Baoxing as Director, Authorize Remuneration and Assessment Committee of the Board to Fix His Remuneration and Authorize Chairman of the Board to Enter Into Service Contract with Him	Mgmt	For	For
2	Elect Yang Xiangbin as Director, Authorize Remuneration and Assessment Committee of the Board to Fix His Remuneration and Authorize Chairman of the Board to Enter Into Service Contract with Him	Mgmt	For	For

## China Molybdenum Co., Ltd.

**Meeting Date:** 09/23/2016

**Country:** China

**Primary Security ID:** Y1503Z113

**Meeting Type:** Special

**Ticker:** 3993

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	THIRD EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Satisfaction of Conditions of the Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
2.01	Approve Class and Par Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.02	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.03	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.04	Approve Target Subscribers in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.05	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.07	Approve Arrangement on Accumulated Profit Distribution Prior to the Non-public Issuance of A Shares	Mgmt	For	For
2.08	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.09	Approve Use of Proceeds from Fund Raising in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.10	Approve Validity Period of the Resolution Relating to the Non-public Issuance of A Shares	Mgmt	For	For
3	Approve Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
4	Approve Non-public Issuance of A Shares Not Constituting a Connected Transaction	Mgmt	For	For
5	Approve Feasibility Report on the Use of Proceeds from Fund Raising Under Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
6	Approve Report on the Use of Proceeds from Previous Fund Raising	Mgmt	For	For
7	Authorize Board to Handle All Matters Relating to the Share Issuance	Mgmt	For	For
8	Approve Shareholders' Return Plan (2016-2018)	Mgmt	For	For
9	Approve Risk Alert for Dilution of Current Returns as a Result of the Non-public Issuance of A Shares and Adoption of Remedial Measures	Mgmt	For	For
10	Approve Undertakings by Controlling Shareholder and Actual Controller to Take Remedial Measures for Dilution of Current Returns as a Result of Non-public Issuance of A Shares	Mgmt	For	For
11	Approve Undertakings by All Directors and Senior Management to Take Remedial Measures for Dilution of Current Returns as a Result of Non-public Issuance of A Shares	Mgmt	For	For

## China Molybdenum Co., Ltd.

**Meeting Date:** 09/23/2016

**Country:** China

**Primary Security ID:** Y1503Z113

**Meeting Type:** Special

**Ticker:** 3993

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	SECOND EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Satisfying Conditions of Material Asset Reorganization of Listed Companies	Mgmt	For	For
2	Approve Plan of Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses)	Mgmt	For	For
3	Approve Material Asset Acquisition Report (Acquisition of Overseas Copper and Cobalt Businesses) (Draft) of China Molybdenum Co., Ltd. and Its Summary	Mgmt	For	For
4	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Not Constituting a Reverse Takeover	Mgmt	For	For
5	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Satisfying the Requirements Under Article 4 of the Regulations Concerning the Standardization of Certain Issues of Material Asset Reorganization of Listed Companies	Mgmt	For	For
6	Approve Explanation of Whether the Fluctuation in Share Price as a Result of the Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Reached the Relevant Standards	Mgmt	For	For
7	Approve Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses) Not Constituting a Connected Transaction	Mgmt	For	For
8	Authorize Board to Handle All Matters Relating to the Material Asset Acquisition (Acquisition of Overseas Copper and Cobalt Businesses)	Mgmt	For	For

## China Molybdenum Co., Ltd.

**Meeting Date:** 09/23/2016

**Country:** China

**Primary Security ID:** Y1503Z113

**Meeting Type:** Special

**Ticker:** 3993

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	FIRST EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) of the Company Satisfying Conditions of Material Asset Reorganization of Listed Companies	Mgmt	For	For
2	Approve Plan of Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses)	Mgmt	For	For
3	Approve Material Asset Acquisition Report (Acquisition of Overseas Niobium and Phosphates Businesses) (Draft) of China Molybdenum Co., Ltd. and Its Summary	Mgmt	For	For
4	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Not Constituting a Reverse Takeover	Mgmt	For	For
5	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Satisfying the Requirements Under Article 4 of the Regulations	Mgmt	For	For
6	Approve Explanation of Whether the Fluctuation in Share Price as a Result of the Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Reached the Relevant Standards	Mgmt	For	For
7	Approve Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses) Not Constituting a Connected Transaction	Mgmt	For	For
8	Authorize Board to Handle All Matters Relating to the Material Asset Acquisition (Acquisition of Overseas Niobium and Phosphates Businesses)	Mgmt	For	For

## China Molybdenum Co., Ltd.

**Meeting Date:** 09/23/2016

**Country:** China

**Primary Security ID:** Y1503Z113

**Meeting Type:** Special

**Ticker:** 3993

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Molybdenum Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
1.01	Approve Class and Par Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.02	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Target Subscribers in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Arrangement on Accumulated Profit Distribution Prior to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.09	Approve Use of Proceeds from Fund Raising in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Validity Period of the Resolution Relating to the Non-public Issuance of A Shares	Mgmt	For	For
2	Approve Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
3	Authorize Board to Handle All Matters Relating to the Share Issuance	Mgmt	For	For

## China Oilfield Services Ltd.

Meeting Date: 07/22/2016

Country: China

Primary Security ID: Y15002101

Meeting Type: Special

Ticker: 2883

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Oilfield Services Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Provision of Guarantees for Subsidiaries	Mgmt	For	Against
	ELECT 2 EXECUTIVE DIRECTORS AND 1 NON-EXECUTIVE DIRECTOR VIA CUMULATIVE VOTING	Mgmt		
2	Elect Qi Meisheng as Director	Mgmt	For	For
3	Elect Dong Weiliang as Director	Mgmt	For	For
4	Elect Xie Weizhi as Director	Mgmt	For	For
5	Amend Articles of Association	Mgmt	For	For

## China Overseas Land & Investment Ltd.

Meeting Date: 07/20/2016

Country: Hong Kong

Primary Security ID: Y15004107

Meeting Type: Special

Ticker: 688

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## China Railway Signal & Communication Corporation Limited

Meeting Date: 09/06/2016

Country: China

Primary Security ID: Y1R0AG105

Meeting Type: Special

Ticker: 3969

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Fu Jianguo as Director	SH	For	For

## China Shipping Container Lines Co. Ltd.

Meeting Date: 09/06/2016

Country: China

Primary Security ID: Y1513C104

Meeting Type: Special

Ticker: 2866

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China Shipping Container Lines Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Chen Dong as Director	Mgmt	For	For
2	Change Company Name	Mgmt	For	For
3	Amend Articles of Association	Mgmt	For	For

## China Shipping Development Co., Ltd.

**Meeting Date:** 09/19/2016

**Country:** China

**Primary Security ID:** Y1503Y108

**Meeting Type:** Special

**Ticker:** 1138

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Change English Name and Chinese Name	Mgmt	For	For
2	Approve Amendments to Articles of Association	Mgmt	For	For
3	Approve Provision of Guarantees to Subsidiaries	Mgmt	For	For
	ELECT 5 DIRECTORS BY CUMULATIVE VOTING	Mgmt		
4.1	Elect Liu Hanbo as Director	SH	For	For
4.2	Elect Lu Junshan as Director	SH	For	For
4.3	Elect Feng Boming as Director	SH	For	For
4.4	Elect Zhang Wei as Director	SH	For	For
4.5	Elect Lin Honghua as Director	SH	For	For
5	Elect Weng Yi as Supervisor	SH	For	For

## China South City Holdings Ltd

**Meeting Date:** 08/22/2016

**Country:** Hong Kong

**Primary Security ID:** Y1515Q101

**Meeting Type:** Annual

**Ticker:** 1668

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## China South City Holdings Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Cheng Chung Hing as Director	Mgmt	For	For
3b	Elect Ma Kai Cheung as Director	Mgmt	For	For
3c	Elect Hui Chiu Chung as Director	Mgmt	For	Against
3d	Elect Yung Wing Ki Samuel as Director	Mgmt	For	For
3e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve Ernst & Young as Independent Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## Chongqing Changan Automobile Co., Ltd.

Meeting Date: 08/02/2016

Country: China

Primary Security ID: CNE000000R36

Meeting Type: Special

Ticker: 200625

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve External Donations	Mgmt	For	For

## Chongqing Changan Automobile Co., Ltd.

Meeting Date: 08/31/2016

Country: China

Primary Security ID: CNE000000R36

Meeting Type: Special

Ticker: 200625



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Chongqing Changan Automobile Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	APPROVE REVISED STOCK OPTION INCENTIVE PLAN AND ITS SUMMARY	Mgmt		
1.1	Approve Purpose for Implementing Stock Option Incentive Plan	Mgmt	For	For
1.2	Approve Management Institution for Stock Option Incentive Plan	Mgmt	For	For
1.3	Approve Criteria to Select Plan Participants	Mgmt	For	For
1.4	Approve Source, Number and Allocation of Underlying Stocks	Mgmt	For	For
1.5	Approve Validity Period, Granting Date, Waiting Period, Vesting Date and Lock-up Period of Stock Option Incentive Plan	Mgmt	For	For
1.6	Approve Exercise Price and Price-setting Basis	Mgmt	For	For
1.7	Approve Granting Conditions and Vesting Conditions of Stock Option	Mgmt	For	For
1.8	Approve Adjustment Methods and Procedures for Stock Option Incentive Plan	Mgmt	For	For
1.9	Approve Accounting Treatment	Mgmt	For	For
1.10	Approve Procedures for Granting Stock Option and Incentive Rights	Mgmt	For	For
1.11	Approve Respective Rights and Obligations of Company and Incentive Targets	Mgmt	For	For
1.12	Approve How to Implement the Restricted Stock Incentive Plan When There Are Changes for the Company and Incentive Objects	Mgmt	For	For
1.13	Approve Other Significant Matters	Mgmt	For	For
2	Approve Methods to Assess the Performance of Plan Participants (Revised)	Mgmt	For	For
3	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
4	Approve Related Party Transaction in Connection with Stock Option Incentive Plan	Mgmt	For	For

## Cipla Limited

Meeting Date: 09/28/2016

Country: India

Primary Security ID: Y1633P142

Meeting Type: Annual

Ticker: CIPLA

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Cipla Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect M. K. Hamied as Director	Mgmt	For	For
4	Approve Walker Chandio & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Naina Lal Kidwai as Independent Director	Mgmt	For	For
6	Approve Reappointment and Remuneration of S. Radhakrishnan as Executive Director	Mgmt	For	Against
7	Elect Umang Vohra as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Umang Vohra as Managing Director and Global CEO	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Coal India Ltd.

**Meeting Date:** 08/24/2016

**Country:** India

**Primary Security ID:** Y1668L107

**Meeting Type:** Special

**Ticker:** COALINDIA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Share Repurchase Program	Mgmt	For	For

## Coal India Ltd.

**Meeting Date:** 09/21/2016

**Country:** India

**Primary Security ID:** Y1668L107

**Meeting Type:** Annual

**Ticker:** COALINDIA

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Coal India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect C K Dey as Director	Mgmt	For	Against
4	Elect Loretta Mary Vas as Independent Director	Mgmt	For	For
5	Elect Satish Balram Agnihotri as Independent Director	Mgmt	For	For
6	Elect D. C. Panigrahi as Independent Director	Mgmt	For	For
7	Elect Khanindra Pathak as Independent Director	Mgmt	For	For
8	Elect Vinod Jain as Independent Director	Mgmt	For	For
9	Elect Shyam Nandan Prasad as Director and Approve His Appointment as Executive Director	Mgmt	For	Against
10	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For
11	Elect Vivek Bharadwaj as Director	Mgmt	For	Against
12	Elect Rajesh Kumar Sinha as Director	Mgmt	For	Against

## Container Corporation of India Ltd.

Meeting Date: 09/13/2016

Country: India

Primary Security ID: Y1740A137

Meeting Type: Annual

Ticker: CONCOR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Anil Kumar Gupta as Director	Mgmt	For	For
4	Reelect P. Alli Rani as Director	Mgmt	For	Against
5	Approve Kumar Vijay Gupta & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Container Corporation of India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Kamlesh Shivji Vikamsey as Independent Director	Mgmt	For	For
7	Elect Raj Krishnan Malhotra as Independent Director	Mgmt	For	For
8	Elect Sanjeev S. Shah as Independent Director	Mgmt	For	For
9	Elect S. K. Sharma as Director	Mgmt	For	Against
10	Elect Sanjay Bajpai as Director	Mgmt	For	Against
11	Elect Pradip Kumar Agrawal as Director	Mgmt	For	Against
12	Elect Sanjay Swarup as Director	Mgmt	For	Against
13	Increase Authorized Share Capital	Mgmt	For	For
14	Amend Memorandum of Association to Reflect Changes in Capital	Mgmt	For	For
15	Amend Articles of Association to Reflect Changes in Capital	Mgmt	For	For

## Cosel Co. Ltd.

**Meeting Date:** 08/09/2016      **Country:** Japan      **Primary Security ID:** J08306102  
**Meeting Type:** Annual      **Ticker:** 6905

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Tanikawa, Masato	Mgmt	For	Against
1.2	Elect Director Yamakage, Takashi	Mgmt	For	For
1.3	Elect Director Kiyosawa, Satoshi	Mgmt	For	For
1.4	Elect Director Konishi, Yukichi	Mgmt	For	For
1.5	Elect Director Saito, Morio	Mgmt	For	For
1.6	Elect Director Yasuda, Isao	Mgmt	For	For
1.7	Elect Director Mano, Tatsuya	Mgmt	For	For
1.8	Elect Director Uchida, Yasuro	Mgmt	For	For

## COSMOS PHARMACEUTICAL CORP

**Meeting Date:** 08/25/2016      **Country:** Japan      **Primary Security ID:** J08959108  
**Meeting Type:** Annual      **Ticker:** 3349

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## COSMOS PHARMACEUTICAL CORP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 35	Mgmt	For	For
2.1	Elect Director Uno, Masateru	Mgmt	For	For
2.2	Elect Director Iwashita, Masahiro	Mgmt	For	For
2.3	Elect Director Kawasaki, Yoshikazu	Mgmt	For	For
2.4	Elect Director Takemori, Motoi	Mgmt	For	For
2.5	Elect Director Shibata, Futoshi	Mgmt	For	For
2.6	Elect Director Uno, Yukitaka	Mgmt	For	For
2.7	Elect Director Yokoyama, Hideaki	Mgmt	For	For

## Coway Co. Ltd.

Meeting Date: 09/12/2016

Country: South Korea

Primary Security ID: Y1786S109

Meeting Type: Special

Ticker: A021240

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Yoon Jong-ha as Inside Director	Mgmt	For	For
1.2	Elect Kim Gwang-il as Non-independent Non-executive Director	Mgmt	For	For

## Create SD Holdings Co., Ltd.

Meeting Date: 08/26/2016

Country: Japan

Primary Security ID: J09178104

Meeting Type: Annual

Ticker: 3148

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2.1	Elect Director Yamamoto, Hisao	Mgmt	For	For
2.2	Elect Director Hirose, Taizo	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Create SD Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.3	Elect Director Yamamoto, Itsuko	Mgmt	For	For
2.4	Elect Director Saito, Osamu	Mgmt	For	For
2.5	Elect Director Nakaura, Shigeto	Mgmt	For	For
2.6	Elect Director Kasakawa, Kuniaki	Mgmt	For	For
3	Elect Alternate Director and Audit Committee Member Ampo, Yoko	Mgmt	For	For

## CSSC Offshore & Marine Engineering (Group) Co., Ltd.

**Meeting Date:** 08/10/2016      **Country:** China      **Primary Security ID:** Y1824H107  
**Meeting Type:** Special      **Ticker:** 317

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Revision of Certain Provisions of the Rules and Procedure for the Supervisory Committee	Mgmt	For	For
2	Approve Appointment of 2016 Auditors	Mgmt	For	Against
3.01	Elect Chen Liping as Director	Mgmt	For	Against
3.02	Elect Chen Ji as Director	Mgmt	For	For
	ELECT ONE SUPERVISOR VIA CUMULATIVE VOTING	Mgmt		
4.01	Elect Wu Guangjun as Supervisor	Mgmt	For	For

## Dabur India Ltd.

**Meeting Date:** 07/19/2016      **Country:** India      **Primary Security ID:** Y1855D140  
**Meeting Type:** Annual      **Ticker:** DABUR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dabur India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Reelect Anand C Burman as Director	Mgmt	For	For
4	Reelect Pritam Das Narang as Director	Mgmt	For	For
5	Approve Basu & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Daewoo Engineering & Construction Co.

Meeting Date: 08/23/2016

Country: South Korea

Primary Security ID: Y1888W107

Meeting Type: Special

Ticker: A047040

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect One Inside Director and One Outside Director (Bundled)	Mgmt	For	For

## Dah Sing Banking Group Ltd.

Meeting Date: 08/05/2016

Country: Hong Kong

Primary Security ID: Y1923F101

Meeting Type: Special

Ticker: 2356

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Distribution Agreements and Related Transactions	Mgmt	For	For

## Dah Sing Financial Holdings Ltd.

Meeting Date: 08/25/2016

Country: Hong Kong

Primary Security ID: Y19182107

Meeting Type: Special

Ticker: 440

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Share Sale Agreement and Related Transactions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## DAIKOKUTENBUSSAN CO. LTD.

**Meeting Date:** 08/24/2016

**Country:** Japan

**Primary Security ID:** J1012U107

**Meeting Type:** Annual

**Ticker:** 2791

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 25	Mgmt	For	For
2.1	Elect Director Oga, Shoji	Mgmt	For	Against
2.2	Elect Director Kikuchi, Kazuhiro	Mgmt	For	For
2.3	Elect Director Kawada, Tomohiro	Mgmt	For	For
2.4	Elect Director Oue, Tadayoshi	Mgmt	For	For
2.5	Elect Director Oga, Masahiko	Mgmt	For	For
2.6	Elect Director Omura, Masashi	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Kuwahara, Kazunari	Mgmt	For	For

## Daiwa Office Investment Corp

**Meeting Date:** 08/19/2016

**Country:** Japan

**Primary Security ID:** J1250G109

**Meeting Type:** Special

**Ticker:** 8976

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Murakami, Yoshimi	Mgmt	For	For
3	Elect Alternate Executive Director Nishigaki, Yoshiki	Mgmt	For	For
4.1	Elect Supervisory Director Hiraishi, Takayuki	Mgmt	For	For
4.2	Elect Supervisory Director Sakuma, Hiroshi	Mgmt	For	For

## Dalian Wanda Commercial Properties Co Ltd

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y1966E102

**Meeting Type:** Special

**Ticker:** 3699



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dalian Wanda Commercial Properties Co Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Voluntary Withdrawal of the Listing of the H Shares from the Stock Exchange	Mgmt	For	For
2	Approve Special Report in Relation to the Use of Proceeds Raised by Previous H Share Offering	Mgmt	For	For
3	Approve Extension of the Validity Period of the Shareholders' Approval in Relation to the Proposal on the Plan for the A Share Offering	SH	For	For
4	Authorize Board to Handle All Matters in Relation to the A Share Offering	Mgmt	For	For

## Dalian Wanda Commercial Properties Co Ltd

Meeting Date: 08/15/2016

Country: China

Primary Security ID: Y1966E102

Meeting Type: Special

Ticker: 3699

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Voluntary Withdrawal of the Listing of the H Shares from the Stock Exchange	Mgmt	For	For
2	Approve Extension of the Validity Period of the Shareholders' Approval in Relation to the Proposal on the Plan for the A Share Offering	SH	For	For
3	Authorize Board to Handle All Matters in Relation to the A Share Offering	Mgmt	For	For

## Datang International Power Generation Co. Ltd

Meeting Date: 08/29/2016

Country: China

Primary Security ID: Y20020106

Meeting Type: Special

Ticker: 991

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Datang International Power Generation Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Entering Into the Transfer Agreement in Relation to Coal-to-chemical and the Related Project by the Company and Zhongxin Energy and Chemical Technology Company Limited	Mgmt	For	For
2	Approve Provision of Guarantee for Financing Liancheng Power Generation Company	SH	For	Against

## Divi's Laboratories Limited

Meeting Date: 08/29/2016

Country: India

Primary Security ID: Y2076F112

Meeting Type: Annual

Ticker: DIVISLAB

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Madhusudana Rao Divi as Director	Mgmt	For	For
4	Approve P.V.R.K. Nageswara Rao & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## DMCI Holdings, Inc.

Meeting Date: 07/27/2016

Country: Philippines

Primary Security ID: Y2088F100

Meeting Type: Annual

Ticker: DMC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Minutes of the Annual Stockholders' Meeting Held on July 29, 2015	Mgmt	For	For
2	Approve the Management Report	Mgmt	For	For
3	Ratify All Acts of the Board of Directors and Officers During the Preceding Year	Mgmt	For	For
4	Appoint SGV & Co. as External Auditors	Mgmt	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt		
5.1	Elect Isidro A. Consunji as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## DMCI Holdings, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5.2	Elect Cesar A. Buenaventura as Director	Mgmt	For	Withhold
5.3	Elect Jorge A. Consunji as Director	Mgmt	For	Withhold
5.4	Elect Victor A. Consunji as Director	Mgmt	For	Withhold
5.5	Elect Herbert M. Consunji as Director	Mgmt	For	Withhold
5.6	Elect Ma. Edwina C. Laperal as Director	Mgmt	For	Withhold
5.7	Elect Luz Consuelo A. Consunji as Director	Mgmt	For	Withhold
5.8	Elect Antonio Jose U. Periquet as Director	Mgmt	For	For
5.9	Elect Honorio Reyes-Lao as Director	Mgmt	For	For
6a	Amend Article II, Section 1 of the By-Laws to Provide that the Annual Stockholders' Meeting Shall be Held on the Third Tuesday of May of Each Year	Mgmt	For	For
6b	Amend Article VI, Section 1 of the By-Laws to Provide for the Creation of a Separate Corporate Governance Committee	Mgmt	For	For
6c	Amend Article VI, Section 6 of the By-Laws to Specifically Indicate the Duties and Responsibilities of the Corporate Governance Committee	Mgmt	For	For

## Don Quijote Holdings Co., Ltd.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J1235L108

Meeting Type: Annual

Ticker: 7532

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 17	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Indemnify Directors	Mgmt	For	For
3.1	Elect Director Ohara, Koji	Mgmt	For	For
3.2	Elect Director Takahashi, Mitsuo	Mgmt	For	For
3.3	Elect Director Yoshida, Naoki	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Wada, Shoji	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Don Quijote Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4.2	Elect Director and Audit Committee Member Inoue, Yukihiko	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Yoshimura, Yasunori	Mgmt	For	For
4.4	Elect Director and Audit Committee Member Fukuda, Tomiaki	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
7	Approve Deep Discount Stock Option Plan	Mgmt	For	For

## Dr. Reddy's Laboratories

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y21089159

**Meeting Type:** Annual

**Ticker:** 500124

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect K. Satish Reddy as Director	Mgmt	For	For
4	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G V Prasad as Co-Chairman, Managing Director and CEO	Mgmt	For	For
6	Elect Bharat Narotam Doshi as Independent Director	Mgmt	For	For
7	Elect Hans Peter Hasler as Independent Director	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dr. Reddy's Laboratories

**Meeting Date:** 07/27/2016

**Country:** India

**Primary Security ID:** Y21089159

**Meeting Type:** Annual

**Ticker:** 500124

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect K. Satish Reddy as Director	Mgmt	For	For
4	Approve S R Batliboi & Associates LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Reappointment and Remuneration of G V Prasad as Co-Chairman, Managing Director and CEO	Mgmt	For	For
6	Elect Bharat Narotam Doshi as Independent Director	Mgmt	For	For
7	Elect Hans Peter Hasler as Independent Director	Mgmt	For	For
8	Approve Commission Remuneration of Non-Executive Directors	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Fisher & Paykel Healthcare Corp

**Meeting Date:** 08/23/2016

**Country:** New Zealand

**Primary Security ID:** Q38992105

**Meeting Type:** Annual

**Ticker:** FPH

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Michael Daniell as Director	Mgmt	For	For
2	Elect Donal O'Dwyer as Director	Mgmt	For	For
3	Elect Lewis Gradon as Director	Mgmt	For	For
4	Elect Scott St John as Director	Mgmt	For	For
5	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Fisher & Paykel Healthcare Corp

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve the Grant of Performance Share Rights to Lewis Gradon, Managing Director and CEO of the Company	Mgmt	For	For
7	Approve the Grant of Options to Lewis Gradon, Managing Director and CEO of the Company	Mgmt	For	For

## FLEXTRONICS INTERNATIONAL LTD.

**Meeting Date:** 08/24/2016

**Country:** Singapore

**Primary Security ID:** Y2573F102

**Meeting Type:** Annual

**Ticker:** FLEX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director H. Raymond Bingham	Mgmt	For	For
1b	Elect Director Willy C. Shih	Mgmt	For	For
2	Elect Director Lawrence A. Zimmerman	Mgmt	For	For
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Issuance of Shares without Preemptive Rights	Mgmt	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
6	Authorize Share Repurchase Program	Mgmt	For	For
7	Change Company Name to Flex Ltd.	Mgmt	For	For
8	Approve the Adoption of the Company's New Constitution	Mgmt	For	For

## Gail India Ltd.

**Meeting Date:** 09/23/2016

**Country:** India

**Primary Security ID:** Y2R78N114

**Meeting Type:** Annual

**Ticker:** GAIL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Gail India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Subir Purkayastha as Director	Mgmt	For	Against
4	Reelect Ashutosh Jindal as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Joint Statutory Auditors	Mgmt	For	For
6	Elect Sanjay Kumar Srivastava Independent as Director	Mgmt	For	For
7	Elect Anupam Kulshrestha as Independent Director	Mgmt	For	For
8	Elect Sanjay Tandon as Independent Director	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For
10	Approve Related Party Transactions	Mgmt	For	For
11	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## GlaxoSmithkline Consumer Healthcare Ltd.

Meeting Date: 08/05/2016

Country: India

Primary Security ID: Y2710K105

Meeting Type: Annual

Ticker: 500676

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4.1	Elect Kunal Kashyap as Director	Mgmt	For	For
4.2	Elect P. Dwarakanath as Director	Mgmt	For	For
4.3	Elect Naresh Dayal as Director	Mgmt	For	For
4.4	Elect Mukesh H. Butani as Director	Mgmt	For	For
4.5	Elect Sangeeta Talwar as Director	Mgmt	For	For
4.6	Elect Jaiboy John Phillips as Director	Mgmt	For	For
4.7	Elect Vivek Anand as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## GlaxoSmithkline Consumer Healthcare Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Appointment and Remuneration of Vivek Anand as Executive Director, Designated as Director-Finance and CFO	Mgmt	For	For
6	Approve Appointment and Remuneration of Jaiboy John Phillips as Executive Director, Director-Operations	Mgmt	For	For

## Glenmark Pharmaceuticals Ltd.

**Meeting Date:** 08/12/2016

**Country:** India

**Primary Security ID:** Y2711C144

**Meeting Type:** Annual

**Ticker:** 532296

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Final Dividend	Mgmt	For	For
4	Reelect Rajesh Desai as Director	Mgmt	For	For
5	Approve Walker Chandio & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Milind Sarwate as Independent Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Rajesh Desai as Executive Director	Mgmt	For	For
9	Approve Stock Option Plan Grants to Employees of the Company Under the Employee Stock Option Scheme 2016	Mgmt	For	Against
10	Approve Stock Option Plan Grants to Employees of Subsidiaries Under the Employee Stock Option Scheme 2016	Mgmt	For	Against

## Global Logistic Properties Limited

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y27187106

**Meeting Type:** Annual

**Ticker:** MCO



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Global Logistic Properties Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Seek Ngee Huat as Director	Mgmt	For	For
3b	Elect Luciano Lewandowski as Director	Mgmt	For	For
3c	Elect Fang Fenglei as Director	Mgmt	For	For
4a	Elect Paul Cheng Ming Fun as Director	Mgmt	For	For
4b	Elect Yoichiro Furuse as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
8	Approve Grant of Awards and Issuance of Shares Under the GLP Performance Share Plan and/or the GLP Restricted Share Plan	Mgmt	For	For
9	Authorize Share Repurchase Program	Mgmt	For	For
10	Adopt New Constitution	Mgmt	For	For

## Godrej Consumer Products Ltd.

Meeting Date: 07/29/2016

Country: India

Primary Security ID: Y2732X135

Meeting Type: Annual

Ticker: GODREJCP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Tanya Dubash as Director	Mgmt	For	For
4	Approve Kalyaniwalla & Mistry as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Godrej Consumer Products Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Reappointment and Remuneration of Adi Godrej as Executive Director designated as Chairman	Mgmt	For	For
7	Approve Reappointment and Remuneration of Nisaba Godrej as Executive Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of Vivek Gambhir as Executive Director	Mgmt	For	For

## Goldin Properties Holdings Ltd.

Meeting Date: 08/26/2016

Country: Hong Kong

Primary Security ID: Y2761P102

Meeting Type: Annual

Ticker: 283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2A	Elect Pan Sutong as Director	Mgmt	For	For
2B	Elect Zhou Xiaojun as Director	Mgmt	For	For
2C	Elect Cheng Kwan Wai as Director	Mgmt	For	For
3	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve Deloitte Touche Tohmatsu as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5A	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
5B	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against
6	Adopt New Articles of Association	Mgmt	For	For

## Goodman Property Trust

Meeting Date: 07/27/2016

Country: New Zealand

Primary Security ID: Q4232A119

Meeting Type: Annual

Ticker: GMT

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Goodman Property Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Keith Smith as Director	Mgmt	For	For
2	Elect Peter Simmonds as Director	Mgmt	For	For

## Gree Inc.

**Meeting Date:** 09/27/2016

**Country:** Japan

**Primary Security ID:** J18807107

**Meeting Type:** Annual

**Ticker:** 3632

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 8	Mgmt	For	For
2.1	Elect Director Tanaka, Yoshikazu	Mgmt	For	For
2.2	Elect Director Fujimoto, Masaki	Mgmt	For	For
2.3	Elect Director Akiyama, Jin	Mgmt	For	For
2.4	Elect Director Araki, Eiji	Mgmt	For	For
2.5	Elect Director Shino, Sanku	Mgmt	For	For
2.6	Elect Director Maeda, Yuta	Mgmt	For	For
2.7	Elect Director Yamagishi, Kotaro	Mgmt	For	For
2.8	Elect Director Natsuno, Takeshi	Mgmt	For	For
2.9	Elect Director Iijima, Kazunobu	Mgmt	For	For
3.1	Appoint Statutory Auditor Seyama, Masahiro	Mgmt	For	For
3.2	Appoint Statutory Auditor Nagasawa, Toru	Mgmt	For	For
3.3	Appoint Statutory Auditor Shima, Koichi	Mgmt	For	For

## Haitong Securities Co., Ltd.

**Meeting Date:** 09/23/2016

**Country:** China

**Primary Security ID:** Y2988F119

**Meeting Type:** Special

**Ticker:** 600837

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Haitong Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	ORDINARY RESOLUTIONS	Mgmt		
1.1	Elect Zhou Jie as Director	Mgmt	For	For
1.2	Elect Xu Jianguo as Director	Mgmt	For	For
2	Elect Lam Lee G. as Director	SH	For	Against
	SPECIAL RESOLUTIONS	Mgmt		
1	Amend Articles of Association and Rules of Procedures for Shareholders' General Meetings	Mgmt	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF OFFSHORE DEBT FINANCING INSTRUMENTS	Mgmt		
2.1	Approve Type in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.2	Approve Term in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.3	Approve Interest Rate in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.4	Approve Issuer, Issue Size, and Issue Method in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.5	Approve Issue Price in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.6	Approve Security and Other Credit Enhancement Arrangements in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.7	Approve Use of Proceeds in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.8	Approve Issue Target and Arrangements on Placement to Shareholders in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.9	Approve Guarantee Measures for Repayment in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.10	Approve Listing of Debt Financing Instruments	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Haitong Securities Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.11	Approve Validity Period of Resolution in Relation to the Issuance of Offshore Debt Financing Instruments	Mgmt	For	For
2.12	Approve Authorization for Issuance of Offshore Debt Financing Instruments	Mgmt	For	For

## HANKYU REIT INC

Meeting Date: 08/25/2016

Country: Japan

Primary Security ID: J19573104

Meeting Type: Special

Ticker: 8977

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives	Mgmt	For	For
2	Elect Executive Director Shiraki, Yoshiaki	Mgmt	For	For
3	Elect Alternate Executive Director Shoji, Toshinori	Mgmt	For	For
4.1	Elect Supervisory Director Uda, Tamio	Mgmt	For	For
4.2	Elect Supervisory Director Suzuki, Motofumi	Mgmt	For	For
5	Elect Alternate Supervisory Director Shioji, Hiroumi	Mgmt	For	For

## Hartalega Holdings Berhad

Meeting Date: 08/23/2016

Country: Malaysia

Primary Security ID: Y31139101

Meeting Type: Annual

Ticker: HARTA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Final Dividend	Mgmt	For	For
2	Approve Remuneration of Directors	Mgmt	For	For
3	Elect Kuan Mun Leong as Director	Mgmt	For	For
4	Elect Kuan Mun Keng as Director	Mgmt	For	Against
5	Elect Danaraj A/L Nadarajah as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hartalega Holdings Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Mohamed Zakri Bin Abdul Rashid as Director	Mgmt	For	For
7	Elect Tan Guan Cheong as Director	Mgmt	For	For
8	Approve Deloitte as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For

## Havells India Ltd.

Meeting Date: 07/13/2016

Country: India

Primary Security ID: Y3116C119

Meeting Type: Annual

Ticker: 517354

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reappoint Surjit Kumar Gupta as Director	Mgmt	For	For
4	Reelect Anil Rai Gupta as Director	Mgmt	For	For
5	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Havells Employee Stock Purchase Scheme 2016 (ESPS 2016) and Its Implementation Through Trust	Mgmt	For	For
8	Authorize Havells Employees Welfare Trust to Subscribe to Shares of the Company Under the ESPS 2016	Mgmt	For	For
9	Approve Provision of Money to the Havells Employees Welfare Trust /Trustees for Subscription of Shares of the Company under the ESPS 2016	Mgmt	For	For
10	Reelect Avinash Parkash Gandhi as Independent Director	Mgmt	For	For
11	Reelect Vijay Kumar Chopra as Independent Director	Mgmt	For	For
12	Reelect Sunil Behari Mathur as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Havells India Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13	Reelect Surender Kumar Tuteja as Independent Director	Mgmt	For	For
14	Reelect Adarsh Kishore as Independent Director	Mgmt	For	For
15	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For

## HCL Technologies Ltd.

**Meeting Date:** 09/27/2016      **Country:** India      **Primary Security ID:** Y3121G147  
**Meeting Type:** Annual      **Ticker:** HCLTECH

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Reelect Roshni Nadar Malhotra as Director	Mgmt	For	For
3	Approve S. R. Batliboi & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Nishi Vasudeva as Independent Director	Mgmt	For	For

## Hero MotoCorp Ltd.

**Meeting Date:** 09/23/2016      **Country:** India      **Primary Security ID:** Y3194B108  
**Meeting Type:** Annual      **Ticker:** 500182

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect Suman Kant Munjal as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Paul Bradford as Independent Director	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hero MotoCorp Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Reappointment and Remuneration of Pawan Munjal as Chairman, Managing Director & CEO	Mgmt	For	For
7	Approve Appointment and Remuneration of Vikram Sitaram Kasbekar as Head of Operations & Supply Chain	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Hindalco Industries Ltd

Meeting Date: 09/14/2016

Country: India

Primary Security ID: Y3196V185

Meeting Type: Annual

Ticker: 500440

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Rajashree Birla as Director	Mgmt	For	Against
4	Reelect D. Bhattacharya as Director	Mgmt	For	For
5	Approve Singhi & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Girish Dave as Independent Director	Mgmt	For	For
8	Approve Charging of Fee for Delivery of Documents	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Approve Appointment and Remuneration of Satish Pai as Managing Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Praveen Kumar Maheshwari as Executive Director	Mgmt	For	For

## Hiramatsu Inc.

Meeting Date: 09/05/2016

Country: Japan

Primary Security ID: J19688100

Meeting Type: Special

Ticker: 2764



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hiramatsu Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Appoint Statutory Auditor Suzuki, Yasuo	Mgmt	For	For
2	Appoint Alternate Statutory Auditor Iwata, Michiyuki	Mgmt	For	For
3	Approve Director Retirement Bonus	Mgmt	For	For
4	Approve Aggregate Compensation Ceiling for Statutory Auditors	Mgmt	For	For

## Housing Development Finance Corp. Ltd.

Meeting Date: 07/27/2016

Country: India

Primary Security ID: Y37246207

Meeting Type: Annual

Ticker: 500010

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1.b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Declare Final Dividend	Mgmt	For	For
3	Reelect D. M. Sukthankar as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve PKF as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Issuance of Redeemable Non-Convertible Debentures and/or Other Hybrid Instruments	Mgmt	For	For
7	Approve Related Party Transactions with HDFC Bank Ltd.	Mgmt	For	For
8	Approve Increase in Borrowing Powers	Mgmt	For	For
9	Approve Reappointment and Remuneration of Keki M. Mistry as Managing Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Huatai Securities Co., Ltd.

**Meeting Date:** 09/13/2016

**Country:** China

**Primary Security ID:** Y37426106

**Meeting Type:** Special

**Ticker:** 601688

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Provision of Guarantee to Wholly-owned Subsidiary Huatai Securities (Shanghai) Asset Management Co., Ltd.	Mgmt	For	For

## Hyundai Mobis Co.

**Meeting Date:** 07/07/2016

**Country:** South Korea

**Primary Security ID:** Y3849A109

**Meeting Type:** Special

**Ticker:** A012330

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Lim Young-deuk as Inside Director	Mgmt	For	For

## Ichibanya Co. Ltd.

**Meeting Date:** 08/25/2016

**Country:** Japan

**Primary Security ID:** J23124100

**Meeting Type:** Annual

**Ticker:** 7630

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 80	Mgmt	For	For
2	Amend Articles to Change Fiscal Year End	Mgmt	For	For
3.1	Elect Director Hamajima, Toshiya	Mgmt	For	For
3.2	Elect Director Kawai, Naoya	Mgmt	For	For
3.3	Elect Director Hosono, Shuji	Mgmt	For	For
3.4	Elect Director Sakaguchi, Yuji	Mgmt	For	For
3.5	Elect Director Kuzuhara, Mamoru	Mgmt	For	For
3.6	Elect Director Miyazaki, Tatsuo	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ichibanya Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.7	Elect Director Sugihara, Kazushige	Mgmt	For	For
3.8	Elect Director Ishiguro, Keiji	Mgmt	For	For
3.9	Elect Director Adachi, Shiro	Mgmt	For	For
3.10	Elect Director Osawa, Yoshiyuki	Mgmt	For	For

## Ichigo Office REIT Investment

**Meeting Date:** 07/30/2016      **Country:** Japan      **Primary Security ID:** J2382Z106  
**Meeting Type:** Special      **Ticker:** 8975

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Takatsuka, Yoshihiro	Mgmt	For	For
3.1	Elect Supervisory Director Fukunaga, Takaaki	Mgmt	For	For
3.2	Elect Supervisory Director Terada, Masahiro	Mgmt	For	For
4	Elect Alternate Executive Director Chiba, Keisuke	Mgmt	For	For
5	Elect Alternate Supervisory Director Kita, Nagahisa	Mgmt	For	For

## ICICI Bank Limited

**Meeting Date:** 07/11/2016      **Country:** India      **Primary Security ID:** Y3860Z132  
**Meeting Type:** Annual      **Ticker:** ICICIBANK

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect Rajiv Sabharwal as Director	Mgmt	For	For
5	Reelect N.S. Kannan as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ICICI Bank Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve B S R & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Appoint Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Elect Vijay Chandok as Director	Mgmt	For	For
9	Approve Reappointment and Remuneration of Vijay Chandok as a Executive Director	Mgmt	For	For
10	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
11	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Idea Cellular Ltd

Meeting Date: 09/29/2016

Country: India

Primary Security ID: Y3857E100

Meeting Type: Annual

Ticker: IDEA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Kumar Mangalam Birla as Director	Mgmt	For	For
4	Reelect Sanjeev Aga as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Securities	Mgmt	For	For
8	Approve Related Party Transactions	Mgmt	For	For
9	Elect Akshaya Moondra as Director	Mgmt	For	For
10	Approve Appointment and Remuneration of Akshaya Moondra as Executive Director	Mgmt	For	For

## IJM Corporation Berhad

Meeting Date: 08/24/2016

Country: Malaysia

Primary Security ID: Y3882M101

Meeting Type: Annual

Ticker: IJM

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## IJM Corporation Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Tan Boon Seng @ Krishnan as Director	Mgmt	For	For
2	Elect Hamzah bin Hasan as Director	Mgmt	For	For
3	Elect Soam Heng Choon as Director	Mgmt	For	For
4	Elect Goh Tian Sui as Director	Mgmt	For	For
5	Elect Abdul Halim bin Ali as Director	Mgmt	For	For
6	Elect David Frederick Wilson as Director	Mgmt	For	For
7	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Abdul Halim bin Ali to Continue Office as Independent Non-Executive Director	Mgmt	For	For
9	Approve Remuneration of Directors	Mgmt	For	For
10	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
11	Approve Share Repurchase Program	Mgmt	For	For

## Indiabulls Housing Finance Ltd.

Meeting Date: 09/08/2016

Country: India

Primary Security ID: Y3R12A119

Meeting Type: Annual

Ticker: 535789

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Appoint Gagan Banga as an Executive Director designated as Vice-Chairman and Managing Director	Mgmt	For	For
4	Appoint Manjari Ashok Kacker as Non-Executive Director	Mgmt	For	Against
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Indiabulls Housing Finance Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	Against
8	Approve Conversion of Loan to Equity Shares	Mgmt	For	Against
9	Reelect Bisheshwar Prasad Singh as Independent Director	Mgmt	For	For
10	Reelect Labh Singh Sitara as Independent Director	Mgmt	For	For
11	Reelect Shamsher Singh Ahlawat as Independent Director	Mgmt	For	Against
12	Reelect Prem Prakash Mirdha as Independent Director	Mgmt	For	For
13	Reelect Gyan Sudha Misra as Independent Director	Mgmt	For	For

## Industrial & Infrastructure Fund Investment

Meeting Date: 09/30/2016

Country: Japan

Primary Security ID: J24393118

Meeting Type: Special

Ticker: 3249

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Allow Acquisition of Majority Stake in Foreign Real Estate Companies - Change Fiscal Term - Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Kuratsu, Yasuyuki	Mgmt	For	For
3.1	Elect Supervisory Director Takiguchi, Katsuaki	Mgmt	For	For
3.2	Elect Supervisory Director Honda, Kumi	Mgmt	For	For
4	Elect Alternate Executive Director Fukai, Toshiaki	Mgmt	For	For
5	Elect Alternate Supervisory Director Usami, Yutaka	Mgmt	For	Against

## Infratil Limited

Meeting Date: 08/24/2016

Country: New Zealand

Primary Security ID: Q4933Q124

Meeting Type: Annual

Ticker: IFT

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Infratil Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mark Tume as Director	Mgmt	For	For
2	Elect Alison Gerry as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Invincible Investment Corp

Meeting Date: 09/26/2016

Country: Japan

Primary Security ID: J2442V103

Meeting Type: Special

Ticker: 8963

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Fukuda, Naoki	Mgmt	For	For
3	Elect Alternate Executive Director Christopher Reed	Mgmt	For	For
4.1	Elect Supervisory Director Takahashi, Takashi	Mgmt	For	For
4.2	Elect Supervisory Director Fujimoto, Hiroyuki	Mgmt	For	For

## Istyle Inc.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J25587106

Meeting Type: Annual

Ticker: 3660

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Yoshimatsu, Tetsuro	Mgmt	For	Against
1.2	Elect Director Hara, Meyumi	Mgmt	For	For
1.3	Elect Director Sugawara, Kei	Mgmt	For	For
1.4	Elect Director Tsukuda, Shinichiro	Mgmt	For	For
1.5	Elect Director Takamatsu, Yuko	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Istyle Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.6	Elect Director Naka, Michimasa	Mgmt	For	For
2	Approve Stock Option Plan	Mgmt	For	Against

## ITC Ltd.

**Meeting Date:** 07/22/2016

**Country:** India

**Primary Security ID:** Y4211T171

**Meeting Type:** Annual

**Ticker:** ITC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Nakul Anand as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Sanjiv Puri as Director and Approve His Appointment and Remuneration as Executive Director	Mgmt	For	For
6	Elect Rajiv Tandon as Director and Approve His Appointment and Remuneration as Executive Director	Mgmt	For	For
7	Elect Nirupama Rao as Independent Director	Mgmt	For	For
8	Reelect Yogesh Chander Deveshwar as Non-Executive Director and Approve His Appointment and Remuneration as Chairman	Mgmt	For	For
9	Approve Variation in the Terms of Remuneration to Executive Directors	Mgmt	For	For
10	Approve Commission Remuneration for Non-executive Directors	Mgmt	For	For
11	Approve Remuneration of Cost Auditors	Mgmt	For	For
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Ito En Ltd.

**Meeting Date:** 07/27/2016

**Country:** Japan

**Primary Security ID:** J25027103

**Meeting Type:** Annual

**Ticker:** 2593



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ito En Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	For	For
2	Amend Articles to Indemnify Directors - Indemnify Statutory Auditors	Mgmt	For	For
3.1	Elect Director Honjo, Hachiro	Mgmt	For	For
3.2	Elect Director Honjo, Daisuke	Mgmt	For	For
3.3	Elect Director Honjo, Shusuke	Mgmt	For	For
3.4	Elect Director Ejima, Yoshito	Mgmt	For	For
3.5	Elect Director Hashimoto, Shunji	Mgmt	For	For
3.6	Elect Director Watanabe, Minoru	Mgmt	For	For
3.7	Elect Director Yashiro, Mitsuo	Mgmt	For	For
3.8	Elect Director Kobayashi, Yoshio	Mgmt	For	For
3.9	Elect Director Kanayama, Masami	Mgmt	For	For
3.10	Elect Director Nakano, Yoshihisa	Mgmt	For	For
3.11	Elect Director Kamiya, Shigeru	Mgmt	For	For
3.12	Elect Director Yosuke Jay Oceanbright Honjo	Mgmt	For	For
3.13	Elect Director Namioka, Osamu	Mgmt	For	For
3.14	Elect Director Soma, Fujitsugu	Mgmt	For	For
3.15	Elect Director Nakagomi, Shuji	Mgmt	For	For
3.16	Elect Director Ishizaka, Kenichiro	Mgmt	For	For
3.17	Elect Director Yoshida, Hideki	Mgmt	For	For
3.18	Elect Director Uchiki, Hirokazu	Mgmt	For	For
3.19	Elect Director Taguchi, Morikazu	Mgmt	For	For
4	Appoint Statutory Auditor Takasawa, Yoshiaki	Mgmt	For	For

## Jiangxi Copper Company Ltd.

Meeting Date: 07/18/2016

Country: China

Primary Security ID: Y4446C100

Meeting Type: Special

Ticker: 358

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE PROPOSED ISSUANCE OF A SHARES AND H SHARES	Mgmt		
1.1	Approve Class and Par Value of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.2	Approve Method of Issue in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.3	Approve Subscribers in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.4	Approve Method of Subscription in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.5	Approve Issue Price and Basis for Determining the Issue Price in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.6	Approve Number of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.7	Approve Lock-up Period and Place of Listing in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.8	Approve Total Funds to be Raised and Used in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.9	Approve Distribution of Profit in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.10	Approve Relationship Between the A Share Issue and the H Share Issue	Mgmt	For	For
1.11	Approve Validity Period of Resolution in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2	Approve Execution of Conditional Subscription Agreements and Related Transactions	Mgmt	For	For
3	Approve Proposal in Respect of the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
4	Approve Share Issuance Constituting the Connected Transactions	Mgmt	For	For
5	Authorize Board and/or the Board Committee Authorized by the Board to Complete Matters Relating to the Share Issuance	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Feasibility Report on Projects Funded by Use of Proceeds from the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
7	Approve Remedial Measures Regarding Dilution on Current Returns by the Non-public Share Issuance (Revised Version)	Mgmt	For	For
8	Approve Relevant Undertakings in Connection with the Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For
9	Approve Dividend Distribution Policy and 3-year Plan for Shareholders' Return (2016-2018)	Mgmt	For	For
10	Approve All Transactions Contemplated Under the A Share Issue which Constitute a Special Deal	Mgmt	For	For

## Jiangxi Copper Company Ltd.

Meeting Date: 07/18/2016

Country: China

Primary Security ID: Y4446C100

Meeting Type: Special

Ticker: 358

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	SPECIAL RESOLUTIONS	Mgmt		
1	Approve Satisfaction of the Conditions of Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE PROPOSED ISSUANCE OF A SHARES AND H SHARES	Mgmt		
2.1	Approve Class and Par Value of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.2	Approve Method of Issue in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.3	Approve Subscribers in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.4	Approve Method of Subscription in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.5	Approve Issue Price and Basis for Determining the Issue Price in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.6	Approve Number of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.7	Approve Lock-up Period and Place of Listing in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.8	Approve Total Funds to be Raised and Used in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.9	Approve Distribution of Profit in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.10	Approve Relationship Between the A Share Issue and the H Share Issue	Mgmt	For	For
2.11	Approve Validity Period of Resolution in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
3	Approve Execution of Conditional Subscription Agreements and Related Transactions	Mgmt	For	For
4	Approve Proposal in Respect of the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
5	Approve Share Issuance Constituting the Connected Transactions	Mgmt	For	For
6	Authorize Board and/or the Board Committee Authorized by the Board to Complete Matters Relating to the Share Issuance	Mgmt	For	For
7	Approve Feasibility Report on Projects Funded by Use of Proceeds from the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
8	Approve Remedial Measures Regarding Dilution on Current Returns by the Non-public Share Issuance (Revised Version)	Mgmt	For	For
9	Approve Relevant Undertakings in Connection with the Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For
10	Approve Dividend Distribution Policy and 3-year Plan for Shareholders' Return (2016-2018)	Mgmt	For	For
11	Approve All Transactions Contemplated Under the A Share Issue which Constitute a Special Deal	Mgmt	For	For
12	Approve Satisfaction of the Conditions of the Issuance of Bonds	Mgmt	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF THE COMPANY BONDS	Mgmt		

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
13.1	Approve Nominal Value, Issue Price and Size in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.2	Approve Target Subscribers and the Arrangement Regarding Subscription by Existing Shareholders of the Company in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.3	Approve Term and Types of Company Bonds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.4	Approve Interest Rate and Basis of Determination in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.5	Approve Guarantee in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.6	Approve Method of Issuance in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.7	Approve Use of Proceeds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.8	Approve Measures for Safeguarding Repayment in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.9	Approve Place of listing of Company Bonds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.10	Approve Authorization to the Board in Respect of the Issuance of Company Bonds	Mgmt	For	For
13.11	Approve Validity of the Resolution in Relation to the Issuance of the Company Bonds	Mgmt	For	For
	ORDINARY RESOLUTIONS	Mgmt		
1	Approve Statement on Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For
2	Approve Waiver of Jiangxi Copper Corporation's Obligation to Make a General Offer of the Securities of the Company as a Result of the Share Issuance	Mgmt	For	For
3	Approve Application of the Whitewash Waiver by Jiangxi Copper Corporation	Mgmt	For	For
4	Approve Resignation of Liu Fangyun as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve Resignation of Gan Chengjiu as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
6	Approve Resignation of Shi Jialiang as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
7	Approve Resignation of Deng Hui as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
8	Approve Resignation of Qiu Guanzhou as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
9	Approve Resignation of Wu Jinxing as Supervisor and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
10	Approve Resignation of Wan Sujuan as Supervisor and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
	ELECT 3 EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
11.1	Elect Wang Bo as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
11.2	Elect Wu Jinxing as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
11.3	Elect Wu Yuneng as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
	ELECT 2 INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
12.1	Elect Sun Chuanxiao as Director and Authorize Any One Executive Director to Enter Into a Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
12.2	Elect Liu Erfei as Director and Authorize Any One Executive Director to Enter Into a Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
	ELECT 2 SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
13.1	Elect Liao Shengsen as Supervisor and Authorize Any One Director to Enter Into Service Contract or Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
13.2	Elect Zhang Jianhua as Supervisor and Authorize Any One Director to Enter Into Service Contract or Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For

## Jollibee Foods Corporation

Meeting Date: 07/22/2016

Country: Philippines

Primary Security ID: Y4466S100

Meeting Type: Annual

Ticker: JFC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Minutes of the Annual Stockholders' Meeting Held on June 26, 2015 and Special Stockholders' Meeting Held on November 23, 2015	Mgmt	For	For
5	Approve 2015 Audited Financial Statements and Annual Report	Mgmt	For	For
6	Ratify Actions by the Board of Directors and Officers of the Corporation	Mgmt	For	For
	Elect 9 Directors by Cumulative Voting	Mgmt		
7.1	Elect Tony Tan Caktiong as Director	Mgmt	For	For
7.2	Elect William Tan Untiong as Director	Mgmt	For	Against
7.3	Elect Ernesto Tanmantiong as Director	Mgmt	For	For
7.4	Elect Joseph C. Tanbuntiong as Director	Mgmt	For	Against
7.5	Elect Ang Cho Sit as Director	Mgmt	For	Against
7.6	Elect Antonio Chua Poe Eng as Director	Mgmt	For	Against
7.7	Elect Artemio V. Panganiban as Director	Mgmt	For	Against
7.8	Elect Monico V. Jacob as Director	Mgmt	For	For
7.9	Elect Cezar P. Consing as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jollibee Foods Corporation

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Appoint External Auditors	Mgmt	For	For

## Joyful Honda Co., Ltd.

Meeting Date: 09/16/2016

Country: Japan

Primary Security ID: J29248101

Meeting Type: Annual

Ticker: 3191

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 31	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Maruoka, Tadashi	Mgmt	For	For
3.2	Elect Director Hosoya, Taketoshi	Mgmt	For	For
3.3	Elect Director Nonomiya, Hiroshi	Mgmt	For	For
4	Appoint Statutory Auditor Shikano, Hirofumi	Mgmt	For	For
5	Approve Trust-Type Equity Compensation Plan	Mgmt	For	For

## JSW Steel Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y44680109

Meeting Type: Annual

Ticker: 500228

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect Sajjan Jindal as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## JSW Steel Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Elect Malay Mukherjee as Independent Director	Mgmt	For	For
8	Elect Haigreve Khaitan as Independent Director	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
11	Authorize Issuance of Non-Convertible Foreign Currency Denominated Bonds	Mgmt	For	For
12	Approve Loans, Guarantees, Securities and/or Investments in Other Body Corporate	Mgmt	For	Against
13	Approve Increase in Borrowing Powers	Mgmt	For	For
14	Approve Pledging of Assets for Debt	Mgmt	For	For

## KEPCO Plant Service & Engineering Co. Ltd.

Meeting Date: 07/15/2016

Country: South Korea

Primary Security ID: Y7076A104

Meeting Type: Special

Ticker: A051600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Noh Geon-gi as Non-independent Non-executive Director	Mgmt	For	For

## Keyence Corp.

Meeting Date: 09/09/2016

Country: Japan

Primary Security ID: J32491102

Meeting Type: Annual

Ticker: 6861

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, With a Final Dividend of JPY 50	Mgmt	For	Against
2	Appoint Alternate Statutory Auditor Takeda, Hidehiko	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Kiwi Property Group Ltd

**Meeting Date:** 07/29/2016

**Country:** New Zealand

**Primary Security ID:** Q5349C104

**Meeting Type:** Annual

**Ticker:** KPG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Jane Freeman as Director	Mgmt	For	For
2	Elect Mary Jane Daly as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
4	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## Korea Aerospace Industries Co. Ltd.

**Meeting Date:** 09/09/2016

**Country:** South Korea

**Primary Security ID:** Y4838Q105

**Meeting Type:** Special

**Ticker:** A047810

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Cho Yoo-haeng as Member of Audit Committee	Mgmt	For	Against

## Korea Gas Corp.

**Meeting Date:** 07/06/2016

**Country:** South Korea

**Primary Security ID:** Y48861101

**Meeting Type:** Special

**Ticker:** A036460

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Kim Myeong-nam as Inside Director	Mgmt	For	For
1.2	Elect Ahn Wan-gi as Inside Director	Mgmt	For	For

## KUSURI NO AOKI CO LTD

**Meeting Date:** 08/18/2016

**Country:** Japan

**Primary Security ID:** J37523107

**Meeting Type:** Annual

**Ticker:** 3398

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## KUSURI NO AOKI CO LTD

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 6.25	Mgmt	For	For
2	Approve Share Exchange Agreement with KUSURI NO AOKI HOLDINGS CO., LTD.	Mgmt	For	For
3.1	Elect Director Aoki, Keisei	Mgmt	For	For
3.2	Elect Director Aoki, Yasutoshi	Mgmt	For	For
3.3	Elect Director Aoki, Hironori	Mgmt	For	For
3.4	Elect Director Yahata, Ryoichi	Mgmt	For	For
3.5	Elect Director Yoshino, Kunihiko	Mgmt	For	For
3.6	Elect Director Tsuruha, Tatsu	Mgmt	For	For
3.7	Elect Director Okada, Motoya	Mgmt	For	For
4	Appoint Statutory Auditor Hirota, Kazuo	Mgmt	For	For
5	Appoint Alternate Statutory Auditor Morioka, Shinichi	Mgmt	For	For
6	Approve Director and Statutory Auditor Retirement Bonus	Mgmt	For	Against

## Larsen & Toubro Ltd

Meeting Date: 08/26/2016

Country: India

Primary Security ID: Y5217N159

Meeting Type: Annual

Ticker: 500510

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Elect Sunita Sharma as Director	Mgmt	For	For
4	Reelect S. N. Subrahmanyam as Director	Mgmt	For	For
5	Reelect A. M Naik as Director	Mgmt	For	For
6	Elect D. K Sen as Director	Mgmt	For	For
7	Elect M. V Satish as Director	Mgmt	For	For
8	Elect Naina Lal Kidwai as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Larsen & Toubro Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Appointment and Remuneration of S. N Subrahmanyam as Deputy Managing Director and President	Mgmt	For	For
10	Approve Appointment and Remuneration of D. K Sen as Executive Director	Mgmt	For	For
11	Approve Appointment and Remuneration of M. V Satish as Executive Director	Mgmt	For	For
12	Approve Commission Remuneration for Executive Directors	Mgmt	For	Against
13	Approve Reappointment and Remuneration of R. Shankar Raman as Executive Director	Mgmt	For	Against
14	Approve Reappointment and Remuneration of Shailendra Roy as Executive Director	Mgmt	For	Against
15	Elect Sanjeev Aga as Independent Director	Mgmt	For	For
16	Elect Narayanan Kumar as Independent Director	Mgmt	For	For
17	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
18	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
19	Approve Sharp & Tannan as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
20	Approve Deloitte Haskins & Sells as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
21	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Lenovo Group Limited

Meeting Date: 07/07/2016

Country: Hong Kong

Primary Security ID: Y5257Y107

Meeting Type: Annual

Ticker: 992

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Gordon Robert Halyburton Orr as Director	Mgmt	For	For
3b	Elect Zhu Linan as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Lenovo Group Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3c	Elect Tian Suning as Director	Mgmt	For	For
3d	Elect William Tudor Brown as Director	Mgmt	For	For
3e	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For
4	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against

## LIC Housing Finance Ltd.

**Meeting Date:** 08/19/2016

**Country:** India

**Primary Security ID:** Y5278Z133

**Meeting Type:** Annual

**Ticker:** LICHSGFIN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Savita Singh as Director	Mgmt	For	For
4	Approve Chokshi & Chokshi LLP and Shah Gupta & Co. as Joint Statutory Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For
6	Elect Ameet N Patel as Independent Director	Mgmt	For	For
7	Elect Usha Sangwan as Director	Mgmt	For	For

## Link Real Estate Investment Trust

**Meeting Date:** 07/27/2016

**Country:** Hong Kong

**Primary Security ID:** Y5281M111

**Meeting Type:** Annual

**Ticker:** 823

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Link Real Estate Investment Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Note the Financial Statements and Statutory Report	Mgmt		
2	Note the Appointment of Auditor and Fixing of Their Remuneration	Mgmt		
3.1	Elect William Chan Chak Cheung as Director	Mgmt	For	For
3.2	Elect David Charles Watt as Director	Mgmt	For	For
4.1	Elect Poh Lee Tan as Director	Mgmt	For	For
4.2	Elect Nicholas Charles Allen as Director	Mgmt	For	For
4.3	Elect Ed Chan Yiu Cheong as Director	Mgmt	For	For
4.4	Elect Blair Chilton Pickerell as Director	Mgmt	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For

## Lupin Ltd.

Meeting Date: 08/03/2016

Country: India

Primary Security ID: Y5362X101

Meeting Type: Annual

Ticker: 500257

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements	Mgmt	For	For
2	Accept Consolidated Financial Statements	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect Nilesh Gupta as Director	Mgmt	For	For
5	Approve BSR & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Appointment and Remuneration Ramesh Swaminathan as CFO and Executive Director	Mgmt	For	For
7	Elect Jean-Luc Belingard as an Independent Director	Mgmt	For	For
8	Authorize Board to Fix Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Macquarie Group Limited

**Meeting Date:** 07/28/2016

**Country:** Australia

**Primary Security ID:** Q57085286

**Meeting Type:** Annual

**Ticker:** MQG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Approve the Grant of RSUs and PSUs to Nicholas Moore, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
4a	Elect MJ Hawker as Director	Mgmt	For	For
4b	Elect MJ Coleman as Director	Mgmt	For	For
5a	Ratify the Past Issuance of Shares	Mgmt	For	For
5b	Ratify the Past Issuance of Macquarie Group Capital Notes 2	Mgmt	For	For

## Mahindra & Mahindra Financial Services Limited

**Meeting Date:** 07/22/2016

**Country:** India

**Primary Security ID:** Y53987122

**Meeting Type:** Annual

**Ticker:** M&MFIN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect V. S. Parthasarathy as Director	Mgmt	For	For
5	Approve B. K. Khare & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For

## Mahindra & Mahindra Ltd.

**Meeting Date:** 08/10/2016

**Country:** India

**Primary Security ID:** Y54164150

**Meeting Type:** Annual

**Ticker:** M&M

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mahindra & Mahindra Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect S.B. Mainak as Director	Mgmt	For	Against
4	Approve Deloitte Haskins & Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Authorize Issuance of Debt Securities	Mgmt	For	For
7	Approve Keeping of Register of Members and Related Documents at the Office of the Company's New Registrar & Share Transfer Agents	Mgmt	For	For

## Mapletree Commercial Trust

Meeting Date: 07/25/2016

Country: Singapore

Primary Security ID: Y5759T101

Meeting Type: Annual

Ticker: N2IU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mapletree Commercial Trust

Meeting Date: 07/25/2016

Country: Singapore

Primary Security ID: Y5759T101

Meeting Type: Special

Ticker: N2IU



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mapletree Commercial Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of the Property as an Interested Person Transaction	Mgmt	For	For
2	Approve Issuance of New Units Under the Equity Fund Raising	Mgmt	For	For
3	Approve Whitewash Resolution	Mgmt	For	For

## Mapletree Greater China Commercial Trust

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y5759X102

Meeting Type: Annual

Ticker: RWOU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mapletree Industrial Trust

Meeting Date: 07/19/2016

Country: Singapore

Primary Security ID: Y5759S103

Meeting Type: Annual

Ticker: ME8U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mapletree Logistics Trust

**Meeting Date:** 07/18/2016

**Country:** Singapore

**Primary Security ID:** Y5759Q107

**Meeting Type:** Annual

**Ticker:** M44U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Marico Limited

**Meeting Date:** 08/05/2016

**Country:** India

**Primary Security ID:** Y5841R170

**Meeting Type:** Annual

**Ticker:** 531642

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Rajen Mariwala as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Approve Marico Employee Stock Option Plan 2016 (Plan) and Grant of Options to Employees of the Company Under the Plan	Mgmt	For	Against
7	Grant of Options to Employees of Subsidiaries Under the Plan	Mgmt	For	Against

## Maruti Suzuki India Ltd

**Meeting Date:** 09/08/2016

**Country:** India

**Primary Security ID:** Y7565Y100

**Meeting Type:** Annual

**Ticker:** 532500

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Maruti Suzuki India Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect R. C. Bhargava as Director	Mgmt	For	For
4	Reelect Kazuhiko Ayabe as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Reappointment and Remuneration of Kenichi Ayukawa as Managing Director and CEO	Mgmt	For	For
7	Approve Reappointment and Remuneration of Toshiaki Hasuike as Joint Managing Director	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Mason Financial Holdings Ltd.

**Meeting Date:** 07/11/2016

**Country:** Hong Kong

**Primary Security ID:** Y95847318

**Meeting Type:** Special

**Ticker:** 273

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## Metallurgical Corporation Of China Ltd.

**Meeting Date:** 08/23/2016

**Country:** China

**Primary Security ID:** Y5949Y119

**Meeting Type:** Special

**Ticker:** 1618

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Yan Aizhong as Supervisor	SH	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Metallurgical Corporation Of China Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Issuance of RMB8 Billion Renewable Corporate Bonds	SH	For	For
3	Approve Issuance of RMB2 Billion Corporate Bonds	SH	For	For

## Metcash Ltd

**Meeting Date:** 08/31/2016      **Country:** Australia      **Primary Security ID:** Q6014C106  
**Meeting Type:** Annual      **Ticker:** MTS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2a	Elect Patrick Allaway as Director	Mgmt	For	For
2b	Elect Fiona Balfour as Director	Mgmt	For	For
2c	Elect Helen Nash as Director	Mgmt	For	For
2d	Elect Murray Jordan as Director	Mgmt	For	For
3	Approve the Remuneration Report	Mgmt	For	For
4	Approve the Grant of Performance Rights to Ian Morrice, Chief Executive Officer of the Company	Mgmt	For	For

## Mimasu Semiconductor Industry Co. Ltd.

**Meeting Date:** 08/30/2016      **Country:** Japan      **Primary Security ID:** J42798108  
**Meeting Type:** Annual      **Ticker:** 8155

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 13	Mgmt	For	For
2	Appoint External Audit Firm	Mgmt	For	For

## Motherson Sumi Systems Ltd.

**Meeting Date:** 08/31/2016      **Country:** India      **Primary Security ID:** Y6139B141  
**Meeting Type:** Annual      **Ticker:** 517334

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Motherson Sumi Systems Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Noriyo Nakamura as Director	Mgmt	For	For
4	Approve Price Waterhouse Chartered Accountants LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Naveen Ganzu as Independent Director	Mgmt	For	For
6	Approve Revision in the Remuneration of Pankaj Mital, Executive Director and Chief Operating Officer	Mgmt	For	For
7	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
8	Approve Remuneration of Cost Auditors	Mgmt	For	For
9	Approve Issuance of Equity Shares to Sumitomo Wiring Systems Ltd.	Mgmt	For	For
10	Approve Issuance of Foreign Currency Convertible Bonds and/or Other Convertible Securities to Sumitomo Wiring Systems Ltd	Mgmt	For	For
11	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For
12	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Nippon Koei Co. Ltd.

Meeting Date: 09/29/2016

Country: Japan

Primary Security ID: J34770107

Meeting Type: Annual

Ticker: 1954

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Hirose, Noriaki	Mgmt	For	Against
1.2	Elect Director Arimoto, Ryuichi	Mgmt	For	Against
1.3	Elect Director Yamakawa, Asao	Mgmt	For	For
1.4	Elect Director Mizukoshi, Akira	Mgmt	For	For
1.5	Elect Director Takano, Noboru	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Nippon Koei Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.6	Elect Director Akiyoshi, Hiroyuki	Mgmt	For	For
1.7	Elect Director Seki, Takashi	Mgmt	For	For
1.8	Elect Director Honjo, Naoki	Mgmt	For	For
1.9	Elect Director Sakunaka, Hideyuki	Mgmt	For	For
1.10	Elect Director Ichikawa, Hiizu	Mgmt	For	For
1.11	Elect Director Kusaka, Kazumasa	Mgmt	For	For
2	Appoint Statutory Auditor Shimizu, Toshiaki	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Sudo, Hideaki	Mgmt	For	For
4	Approve Reverse Stock Split	Mgmt	For	For
5	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against

## Nippon Prologis REIT Inc.

**Meeting Date:** 08/26/2016

**Country:** Japan

**Primary Security ID:** J5528H104

**Meeting Type:** Special

**Ticker:** 3283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Sakashita, Masahiro	Mgmt	For	For
3	Elect Alternate Executive Director Yamaguchi, Satoshi	Mgmt	For	For
4.1	Elect Supervisory Director Shimamura, Katsumi	Mgmt	For	For
4.2	Elect Supervisory Director Hamaoka, Yoichiro	Mgmt	For	For
5	Elect Alternate Supervisory Director Oku, Kuninori	Mgmt	For	For

## NTPC Ltd.

**Meeting Date:** 09/20/2016

**Country:** India

**Primary Security ID:** Y6421X116

**Meeting Type:** Annual

**Ticker:** NTPC

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## NTPC Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect S.C.Pandey as Director	Mgmt	For	Against
4	Reelect Kulamani Biswal as Director	Mgmt	For	Against
5	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
6	Elect Gurdeep Singh as Director and Approve Appointment of Gurdeep Singh as Chairman and Managing Director	Mgmt	For	For
7	Elect Aniruddha Kumar as Director	Mgmt	For	Against
8	Elect Rajesh Jain as Independent Director	Mgmt	For	For
9	Elect Gauri Trivedi as Independent Director	Mgmt	For	For
10	Elect Seethapathy Chander as Independent Director	Mgmt	For	For
11	Authorize Issuance of Bonds/Debentures	Mgmt	For	For
12	Approve Remuneration of Auditors	Mgmt	For	For

## Nuplex Industries Ltd.

**Meeting Date:** 07/07/2016

**Country:** New Zealand

**Primary Security ID:** Q70156106

**Meeting Type:** Court

**Ticker:** NPX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting	Mgmt		
1	Approve the Scheme of Arrangement Between Nuplex Industries Ltd and Its Shareholders	Mgmt	For	For

## Oil & Natural Gas Corporation Ltd.

**Meeting Date:** 09/08/2016

**Country:** India

**Primary Security ID:** Y64606133

**Meeting Type:** Annual

**Ticker:** ONGC

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Oil & Natural Gas Corporation Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Payment of Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect T K Sengupta as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect A K Srinivasan as Director	Mgmt	For	Against
6	Elect Ajai Malhotra as Director	Mgmt	For	For
7	Elect S B Kedare as Director	Mgmt	For	For
8	Elect K M Padmanabhan as Director	Mgmt	For	For
9	Elect A P Sawhney as Director	Mgmt	For	Against
10	Elect Amar Nath as Director	Mgmt	For	Against
11	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Optex Co., Ltd.

**Meeting Date:** 09/30/2016

**Country:** Japan

**Primary Security ID:** J61654109

**Meeting Type:** Special

**Ticker:** 6914

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Adoption of Holding Company and Transfer of Operations to Wholly Owned Subsidiary	Mgmt	For	For
2	Amend Articles to Change Company Name - Amend Business Lines	Mgmt	For	For
3.1	Elect Director Oguni, Isamu	Mgmt	For	For
3.2	Elect Director Onishi, Hiroyuki	Mgmt	For	For
4	Approve Deep Discount Stock Option Plan	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Oracle Corp. Japan

**Meeting Date:** 08/24/2016

**Country:** Japan

**Primary Security ID:** J6165M109

**Meeting Type:** Annual

**Ticker:** 4716

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Business Lines	Mgmt	For	For
2.1	Elect Director Sugihara, Hiroshige	Mgmt	For	For
2.2	Elect Director Nosaka, Shigeru	Mgmt	For	For
2.3	Elect Director Derek H. Williams	Mgmt		
2.4	Elect Director Samantha Wellington	Mgmt	For	For
2.5	Elect Director John L. Hall	Mgmt	For	Against
2.6	Elect Director Ogishi, Satoshi	Mgmt	For	For
2.7	Elect Director Edward Paterson	Mgmt	For	For
2.8	Elect Director Matsufuji, Hitoshi	Mgmt	For	For
2.9	Elect Director Natsuno, Takeshi	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

## Ozforex Group Ltd

**Meeting Date:** 08/03/2016

**Country:** Australia

**Primary Security ID:** Q71872107

**Meeting Type:** Annual

**Ticker:** OFX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Elect Melinda Conrad as Director	Mgmt	For	For
4	Approve the Change of Company Name to OFX Group Limited	Mgmt	For	For
5	Approve the OFX Group Limited Share Plan	Mgmt	For	For
6	Approve the Grant of Shares and Loan to Richard Kimber, Chief Executive Officer and Managing Director of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ping An Insurance (Group) Co. of China, Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y69790106

**Meeting Type:** Special

**Ticker:** 2318

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Review of the Provision of Assured Entitlement Only to H Shareholders of the Company in Respect of Overseas Listing of Ping An Securities	Mgmt	For	For

## Ping An Insurance (Group) Co. of China, Ltd.

**Meeting Date:** 08/15/2016

**Country:** China

**Primary Security ID:** Y69790106

**Meeting Type:** Special

**Ticker:** 2318

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Review of Overseas Listing Proposal of Ping An Securities	Mgmt	For	For
2	Approve Review of Overseas Listing of Ping An Securities - Compliance with Relevant Provisions	Mgmt	For	For
3	Approve Review of Company's Undertakings to Maintain Its Independent Listing Status	Mgmt	For	For
4	Approve Review of Sustainable Profitability Statement and Prospect	Mgmt	For	For
5	Approve Review of Authorization Concerning Overseas Listing of Ping An Securities	Mgmt	For	For
6	Approve Adjustment of the Remuneration of Non-executive Directors	Mgmt	For	For
7	Approve Adjustment of the Remuneration of Non-employee Representative Supervisors	Mgmt	For	For
8	Approve Review of the Provision of Assured Entitlement Only to H Shareholders of the Company in Respect of Overseas Listing of Ping An Securities	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Piramal Enterprises Limited

**Meeting Date:** 08/01/2016

**Country:** India

**Primary Security ID:** Y6941N101

**Meeting Type:** Annual

**Ticker:** 500302

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Swati A. Piramal as Director	Mgmt	For	For
4	Approve Price Waterhouse as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Increase in Threshold of Loans, Guarantees, and/or Securities in Other Body Corporate	Mgmt	For	For
6	Approve Increase in Borrowing Powers	Mgmt	For	For
7	Approve Purchase of Office Premises from PRL Agastya Private Limited	Mgmt	For	For
8	Approve Commission Remuneration for Non-Executive Directors	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures on Private Placement Basis	Mgmt	For	For
10	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Power Finance Corporation Ltd.

**Meeting Date:** 08/19/2016

**Country:** India

**Primary Security ID:** Y7082R109

**Meeting Type:** Annual

**Ticker:** PFC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends and Approve Final Dividend	Mgmt	For	For
3	Reelect R. Nagarajan as Director	Mgmt	For	Against
4	Authorize Board to Fix Remuneration of Auditors	Mgmt	For	For
5	Elect D. Ravi as Director	Mgmt	For	Against
6	Approve Increase in Borrowing Powers	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Power Finance Corporation Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Authorize Issuance of Debt Securities	Mgmt	For	For
8	Increase Authorized Share Capital and Amend Memorandum of Association	Mgmt	For	For
9	Approve Bonus Issue	Mgmt	For	For

## Programmed Maintenance Services Ltd.

**Meeting Date:** 07/27/2016      **Country:** Australia      **Primary Security ID:** Q7762R105  
**Meeting Type:** Annual      **Ticker:** PRG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Remuneration Report	Mgmt	For	For
2	Elect James Walker as Director	Mgmt	For	For
3	Elect Lisa Paul as Director	Mgmt	For	For
4	Elect Bruce Brook as Director	Mgmt	For	For
5	Elect Andrea Grant as Director	Mgmt	For	For
6	Approve the Programmed Managing Director Long Term Incentive Plan and Grant of Performance Rights to the Managing Director	Mgmt	For	For

## PT Bank Danamon Indonesia Tbk

**Meeting Date:** 09/07/2016      **Country:** Indonesia      **Primary Security ID:** Y71188190  
**Meeting Type:** Special      **Ticker:** BDMN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Rita Mirasari as Director	Mgmt	For	For

## PT Global Mediacom Tbk

**Meeting Date:** 09/30/2016      **Country:** Indonesia      **Primary Security ID:** Y7119T144  
**Meeting Type:** Special      **Ticker:** BMTR

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT Global Mediacom Tbk

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors and Commissioners	Mgmt	For	Against

## PT Jasa Marga (Persero) Tbk

**Meeting Date:** 08/29/2016      **Country:** Indonesia      **Primary Security ID:** Y71285103  
**Meeting Type:** Special      **Ticker:** JSMR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Rights Issue	Mgmt	For	For
2	Elect Directors and Commissioners	Mgmt	For	Against

## PT Lippo Karawaci Tbk

**Meeting Date:** 08/31/2016      **Country:** Indonesia      **Primary Security ID:** Y7129W186  
**Meeting Type:** Special      **Ticker:** LPKR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors	Mgmt	For	Against

## PT Media Nusantara Citra Tbk

**Meeting Date:** 09/30/2016      **Country:** Indonesia      **Primary Security ID:** Y71280104  
**Meeting Type:** Special      **Ticker:** MNCN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors and Commissioners	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## PT PP (Persero) Tbk

**Meeting Date:** 08/23/2016

**Country:** Indonesia

**Primary Security ID:** Y7131Q102

**Meeting Type:** Special

**Ticker:** PTPP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Rights Issue I	Mgmt	For	For
2	Amend Articles of the Association	Mgmt	For	For
3	Elect Directors and Commissioners	Mgmt	For	Against

## PT Unilever Indonesia Tbk

**Meeting Date:** 08/30/2016

**Country:** Indonesia

**Primary Security ID:** Y9064H141

**Meeting Type:** Special

**Ticker:** UNVR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Directors	Mgmt	For	Against

## PT XL Axiata Tbk

**Meeting Date:** 09/29/2016

**Country:** Indonesia

**Primary Security ID:** Y7125N107

**Meeting Type:** Special

**Ticker:** EXCL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Commissioners	Mgmt	For	For

## Reliance Industries Ltd.

**Meeting Date:** 09/01/2016

**Country:** India

**Primary Security ID:** Y72596102

**Meeting Type:** Annual

**Ticker:** RELIANCE

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Reliance Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Audited Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Audited Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend as Final Dividend	Mgmt	For	For
3	Reelect Nikhil R. Meswani as Director	Mgmt	For	For
4	Reelect Pawan Kumar Kapil as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Redeemable Non-Convertible Debentures	Mgmt	For	For

## Ryman Healthcare Ltd.

**Meeting Date:** 07/27/2016

**Country:** New Zealand

**Primary Security ID:** Q8203F106

**Meeting Type:** Annual

**Ticker:** RYM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect George Savvides as Director	Mgmt	For	For
2	Elect David Kerr as Director	Mgmt	For	For
3	Elect Kevin Hickman as Director	Mgmt	For	For
4	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## Sakata Seed Corp.

**Meeting Date:** 08/23/2016

**Country:** Japan

**Primary Security ID:** J66704107

**Meeting Type:** Annual

**Ticker:** 1377

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sakata Seed Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2	Elect Director Ihara, Yoshitaka	Mgmt	For	For
3.1	Appoint Statutory Auditor Enda, Mitsuo	Mgmt	For	For
3.2	Appoint Statutory Auditor Hasegawa, Noboru	Mgmt	For	Against
3.3	Appoint Statutory Auditor Numata, Yasunori	Mgmt	For	For
4	Approve Statutory Auditor Retirement Bonus	Mgmt	For	Against

## Samsung Heavy Industries Co. Ltd.

Meeting Date: 08/19/2016

Country: South Korea

Primary Security ID: Y7474M106

Meeting Type: Special

Ticker: A010140

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For

## Sankyo Tateyama Inc.

Meeting Date: 08/29/2016

Country: Japan

Primary Security ID: J67779124

Meeting Type: Annual

Ticker: 5932

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	For	For
2.1	Elect Director Yamashita, Kiyotsugu	Mgmt	For	For
2.2	Elect Director Kambara, Shozo	Mgmt	For	For
2.3	Elect Director Okamoto, Makoto	Mgmt	For	For
2.4	Elect Director Shoji, Mitsugu	Mgmt	For	For
2.5	Elect Director Yamada, Hiroshi	Mgmt	For	For
2.6	Elect Director Nakano, Takashi	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sankyo Tateyama Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.7	Elect Director Ami, Shuichi	Mgmt	For	For
2.8	Elect Director Hirano, Shozo	Mgmt	For	For
2.9	Elect Director Kurosaki, Satoshi	Mgmt	For	For

## SapuraKencana Petroleum Berhad

Meeting Date: 07/26/2016

Country: Malaysia

Primary Security ID: Y7516Y100

Meeting Type: Annual

Ticker: SKPETRO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Remuneration of Directors	Mgmt	For	For
2	Elect Shahril Shamsuddin as Director	Mgmt	For	For
3	Elect Gee Siew Yoong as Director	Mgmt	For	For
4	Approve Ernst & Young as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Hamzah Bakar as Director	Mgmt	For	For
6	Elect Amar Hamid Bugo as Director	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	For

## SATS Ltd

Meeting Date: 07/19/2016

Country: Singapore

Primary Security ID: Y7992U101

Meeting Type: Annual

Ticker: S58

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Alexander Charles Hungate as Director	Mgmt	For	For
4	Elect Koh Poh Tiong as Director	Mgmt	For	For
5	Elect Thierry Breton as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SATS Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Tan Soo Nan as Director	Mgmt	For	For
7	Approve Directors' Fees	Mgmt	For	For
8	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Issuance of Shares and/or Grant of Awards Under the SATS Performance Share Plan, SATS Restricted Share Plan, and SATS Employee Share Option Plan	Mgmt	For	For
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For
13	Adopt New Constitution	Mgmt	For	For

## Sekisui House Reit Inc.

Meeting Date: 07/28/2016

Country: Japan

Primary Security ID: J91258103

Meeting Type: Special

Ticker: 3309

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Allow Acquisition of Majority Stake in Foreign Real Estate Companies - Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Inoue, Junichi	Mgmt	For	For
3	Elect Alternate Executive Director Kida, Atsuhiko	Mgmt	For	For
4.1	Elect Supervisory Director Nomura, Shigeru	Mgmt	For	For
4.2	Elect Supervisory Director Omiya, Tatsushi	Mgmt	For	For

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

Meeting Date: 08/31/2016

Country: China

Primary Security ID: Y7687D117

Meeting Type: Special

Ticker: 600196

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Elect Wu Yifang as Director	Mgmt	For	For
2	Approve Compliance of the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
	RESOLUTIONS RELATING TO THE OVERSEAS LISTING OF SISRAM MEDICAL LTD.	Mgmt		
3.01	Approve Issuing Entity in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.02	Approve Place of Listing in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.03	Approve Type of Securities to be Issued in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.04	Approve Target Subscribers in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.05	Approve Listing Date in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.06	Approve Method of Issuance in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.07	Approve Size of Issuance in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.08	Approve Offer Price in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.09	Approve Underwriting in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
3.10	Approve Use of Proceeds in Relation to the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For
4	Approve Undertaking of Maintaining Independent Listing Status of the Company	Mgmt	For	For
5	Approve Description of the Sustainable Profitability and Prospects of the Group	Mgmt	For	For
6	Authorize Board to Deal with All Matters in Relation to the Overseas Listing of Sisram Listco	Mgmt	For	For
7	Approve Provision of Assured Entitlements to H Shareholders Only in Connection with the Spin-off and the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

**Meeting Date:** 08/31/2016

**Country:** China

**Primary Security ID:** Y7687D117

**Meeting Type:** Special

**Ticker:** 600196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Provision of Assured Entitlements to H Shareholders Only in Connection with the Spin-off and the Overseas Listing of Sisram Medical Ltd.	Mgmt	For	For

## Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

**Meeting Date:** 09/29/2016

**Country:** China

**Primary Security ID:** Y7687D117

**Meeting Type:** Special

**Ticker:** 600196

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Proposed Acquisition of the Controlling Interest in Gland Pharma Limited	Mgmt	For	For
2	Approve Provision of Additional Guarantee Limit in Respect of Fosun Industrial Co., Ltd.	Mgmt	For	For

## Shanghai Lujiazui Finance & Trade Zone Development Co. Ltd.

**Meeting Date:** 09/14/2016

**Country:** China

**Primary Security ID:** Y7686Q119

**Meeting Type:** Special

**Ticker:** 900932

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Company's Eligibility for Major Assets Restructuring	Mgmt	For	For
2	Approve the Notion that the Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Lujiazui Finance & Trade Zone Development Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	APPROVE PLAN FOR MAJOR ASSET RESTRUCTURING	Mgmt		
3.01	Approve Target Assets, Transaction Manner and Transaction Counterparty	Mgmt	For	For
3.02	Approve Transaction Price and Pricing Basis	Mgmt	For	For
3.03	Approve Payment Manner	Mgmt	For	For
3.04	Approve Time Arrangement Regarding Transition of Assets	Mgmt	For	For
3.05	Approve Attribution of Profit and Loss During the Transition Period	Mgmt	For	For
3.06	Approve Staffing Arrangement	Mgmt	For	For
3.07	Approve Relevant Arrangements in Connection to Funds and Signing of Agreements	Mgmt	For	For
3.08	Approve Signing of Agreements and Vesting conditions	Mgmt	For	For
3.09	Approve Liability for Breach of Contract	Mgmt	For	For
3.10	Approve Resolution Validity Period	Mgmt	For	For
4	Approve Relevant Financial and Appraisal Reports	Mgmt	For	For
5	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	Mgmt	For	For
6	Approve Report (Draft) and Summary of Company's Major Asset Purchase	Mgmt	For	For
7	Approve the Notion That This Transaction Constitute as Related-Party Transaction	Mgmt	For	For
8	Approve Signing of Relevant Agreements	Mgmt	For	For
9	Approve the Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	Mgmt	For	For
10	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	For
11	Approve Impact of Dilution of Current Returns, Relevant Measures to be Taken and Relevant Commitments	Mgmt	For	For
12	Approve Amendments to Articles of Association	Mgmt	For	For
13	Elect Liu Jun as Supervisor	SH	None	For
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shanghai Lujiazui Finance & Trade Zone Development Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
14.01	Elect Li Zuoqiang as Non-Independent Director	SH	None	For
14.02	Elect Deng Weili as Non-Independent Director	SH	None	For

## Shengjing Bank Co Ltd

**Meeting Date:** 09/13/2016      **Country:** China      **Primary Security ID:** Y7704K102  
**Meeting Type:** Special      **Ticker:** 2066

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Amendments to Articles of Association	Mgmt	For	For

## Shenzhen Seg Co. Ltd

**Meeting Date:** 07/15/2016      **Country:** China      **Primary Security ID:** Y7741D111  
**Meeting Type:** Special      **Ticker:** 200058

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
	ELECT NON-INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
1.1	Elect Wang Li as Non-Independent Director	SH	None	For
1.2	Elect Zhang Guangliu as Non-Independent Director	SH	None	For
1.3	Elect Cao Xiang as Non-Independent Director	SH	None	For
1.4	Elect Yu Qian as Non-Independent Director	SH	None	For
1.5	Elect Liu Zhijun as Non-Independent Director	SH	None	For
1.6	Elect Zheng Dan as Non-Independent Director	Mgmt	For	For
	ELECT INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
2.1	Elect Li Luoli as Non-Independent Director	SH	None	For
2.2	Elect Song Pingping as Non-Independent Director	SH	None	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.3	Elect Fan Zhiqing as Non-Independent Director	SH	None	For
	ELECT SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
3.1	Elect Xu Ning as Supervisor	SH	None	For
3.2	Elect Tang Chongyin as Supervisor	SH	None	For
3.3	Elect Liu Fusong as Supervisor	SH	None	For

## Shenzhen Seg Co. Ltd

Meeting Date: 09/02/2016

Country: China

Primary Security ID: Y7741D111

Meeting Type: Special

Ticker: 200058

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF B SHARES	Mgmt		
1	Approve Company's Eligibility for Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds	Mgmt	For	Against
2	Approve Related Party Transaction on Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds	Mgmt	For	Against
3	Approve Transaction Does Not Comply with Article 13 of the Management Approach Regarding Major Asset Restructuring of Listed Companies	Mgmt	For	Against
4	Approve Transaction Complies with Article IV of Provisions on Several Issues Regulating the Major Asset Restructuring of Listed Companies	Mgmt	For	Against
5	Approve Transaction Complies Provisions of the Management Approach Regarding Major Asset Restructuring of Listed Companies and No. 12 Opinion of the Securities and Future Laws	Mgmt	For	Against
	APPROVE ASSET PURCHASE BY CASH PAYMENT AND ISSUANCE OF NEW SHARES AND RAISING SUPPORTING FUNDS AND RELATED PARTY TRANSACTIONS	Mgmt		
6.1	Approve Share Type and Par Value	Mgmt	For	Against
6.2	Approve Issue Manner and Target Parties	Mgmt	For	Against

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6.3	Approve Target Assets	Mgmt	For	Against
6.4	Approve Pricing Basis and Transaction Price	Mgmt	For	Against
6.5	Approve Payment Manner and Source	Mgmt	For	Against
6.6	Approve Issue Price and Pricing Reference	Mgmt	For	Against
6.7	Approve Issue Scale	Mgmt	For	Against
6.8	Approve Cash Payment for Purchase of Asset and Adjustment to Issue Price	Mgmt	For	Against
6.9	Approve Listing Location	Mgmt	For	Against
6.10	Approve Lock-up Period	Mgmt	For	Against
6.11	Approve Performance Commitment and Compensation Arrangement	Mgmt	For	Against
6.12	Approve Attribution of Profit and Loss During the Transition Period	Mgmt	For	Against
6.13	Approve Attribution of Accumulated Profits Prior to the Reference Date	Mgmt	For	Against
6.14	Approve Resolution Validity Period	Mgmt	For	Against
6.15	Approve Share Type and Par Value in Connection to Raising Supporting Funds	Mgmt	For	Against
6.16	Approve Issue Manner and Target Subscriber in Connection to Raising Supporting Funds	Mgmt	For	Against
6.17	Approve Issue Price and Pricing Basis in Connection to Raising Supporting Funds	Mgmt	For	Against
6.18	Approve Issue Scale and Amount of Raised Funds in Connection to Raising Supporting Funds	Mgmt	For	Against
6.19	Approve Adjustment to Issue Price in Connection to Raising Supporting Funds	Mgmt	For	Against
6.20	Approve Listing Location in Connection to Raising Supporting Funds	Mgmt	For	Against
6.21	Approve Lock-up Period in Connection to Raising Supporting Funds	Mgmt	For	Against
6.22	Approve Attribution of Accumulated Profits Prior to the Reference Date in Connection to Raising Supporting Funds	Mgmt	For	Against
6.23	Approve Resolution Validity Period in Connection to Raising Supporting Funds	Mgmt	For	Against
6.24	Approve Intended Usage of Raised Funds	Mgmt	For	Against
7	Approve Report (Draft) on Company's Assets Purchase by Cash Payment and Issuance of New Shares as well as Raising Supporting Funds and Its Summary	Mgmt	For	Against



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shenzhen Seg Co. Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Conditional Subscription Agreement in Connection to the Transaction	Mgmt	For	Against
9	Approve Signing of Performance Commitment and Compensation Agreement in Connection to the Transaction	Mgmt	For	Against
10	Approve Diluted Earnings Per Ratio of this Transaction	Mgmt	For	Against
11	Approve Use of Raised Funds to Replenish Own-funds Used to Pre-invest in Raised Funds Project	Mgmt	For	Against
12	Approve Audit Report, Profit Forecast Report and Appraisal Report of the Major Assets Restructuring Plan	Mgmt	For	Against
13	Approve Transaction Price Reference and Fairness Opinion	Mgmt	For	Against
14	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	Mgmt	For	Against
15	Approve White Wash Waiver	Mgmt	For	Against
16	Approve Authorization of the Board to Handle All Related Matters	Mgmt	For	Against
17	Approve Authorization of the Board to Handle Performance Commitment Compensation and Repurchase and Cancellation	Mgmt	For	Against
18	Approve Self-inspection Report on Company's Real Estate Business	Mgmt	For	Against
19	Approve Commitment of Controlling Shareholder in Relation to the Company's Real Estate Business	Mgmt	For	Against
20	Approve Commitment of Directors, Supervisors, Senior Managers in Relation to the Company's Real Estate Business	Mgmt	For	Against
21	Amend Articles of Association	SH	For	For
22	Approve Use of Own Idle Funds to Invest In Financial Products	SH	For	Against

## Shibuya Kogyo Co. Ltd.

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J71649107

**Meeting Type:** Annual

**Ticker:** 6340

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shibuya Kogyo Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 10	Mgmt	For	For
2.1	Elect Director Ota, Masato	Mgmt	For	For
2.2	Elect Director Nakanishi, Shinji	Mgmt	For	For
3	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against

## Shree Cement Ltd.

Meeting Date: 08/12/2016

Country: India

Primary Security ID: Y7757Y132

Meeting Type: Annual

Ticker: 500387

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividends	Mgmt	For	For
3	Reelect Prashant Bangur as Director	Mgmt	For	Against
4	Approve B. R. Maheswari & Company as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Amend Terms of Appointment and Approve Remuneration of Prashant Bangur	Mgmt	For	For
7	Approve Reappointment and Remuneration of H. M. Bangur as Managing Director	Mgmt	For	For
8	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Shriram Transport Finance Company Limited

Meeting Date: 07/27/2016

Country: India

Primary Security ID: Y7758E119

Meeting Type: Annual

Ticker: 511218

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Shriram Transport Finance Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Approve S. R. Batliboi & Co. LLP and G. D. Apte & Co.as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve that the Vacancy on the Board Resulting from the Retirement of Umesh Revankar Not be Filled	Mgmt	For	For
5	Elect Jasmit Singh Gujral as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Jasmit Singh Gujral as Managing Director and CEO	Mgmt	For	For
7	Approve Increase in Borrowing Powers	Mgmt	For	For
8	Approve Pledging of Assets for Debt	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Amend Articles of Association Re: Common Seal	Mgmt	For	For

## Shun Tak Holdings Ltd.

**Meeting Date:** 08/30/2016

**Country:** Hong Kong

**Primary Security ID:** Y78567107

**Meeting Type:** Special

**Ticker:** 242

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement, Transfer of the Property Under the Promissory Sale and Purchase Agreement, Joint Venture Formation and Related Transactions	Mgmt	For	For

## SIA Engineering Company Limited

**Meeting Date:** 07/22/2016

**Country:** Singapore

**Primary Security ID:** Y78599100

**Meeting Type:** Annual

**Ticker:** S59

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SIA Engineering Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3.1	Elect Manohar Khiatani as Director	Mgmt	For	For
3.2	Elect Chew Teck Soon as Director	Mgmt	For	For
4	Approve Directors' Fees	Mgmt	For	For
5	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6.1	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
6.2	Approve Grant of Awards and Issuance of Shares Pursuant to the SIAEC Performance Share Plan 2014 and/or the SIAEC Restricted Share Plan 2014	Mgmt	For	For
6.3	Approve Mandate for Interested Person Transactions	Mgmt	For	For
6.4	Authorize Share Repurchase Program	Mgmt	For	For
6.5	Adopt New Constitution	Mgmt	For	For

## Sichuan Expressway Company Ltd.

Meeting Date: 07/26/2016

Country: China

Primary Security ID: Y79325109

Meeting Type: Special

Ticker: 107

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	ELECT 12 DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
1.01	Elect Zhou Liming as Director	Mgmt	For	For
1.02	Elect Gan Yongyi as Director	Mgmt	For	For
1.03	Elect Zheng Haijun as Director	Mgmt	For	For
1.04	Elect Tang Yong as Director	Mgmt	For	Against
1.05	Elect Huang Bin as Director	Mgmt	For	For
1.06	Elect Wang Shuanming as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sichuan Expressway Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.07	Elect Luo Maoquan as Director	Mgmt	For	For
1.08	Elect Ni Shilin as Director	Mgmt	For	For
2.01	Elect Sun Huibi as Director	Mgmt	For	For
2.02	Elect Guo Yuanxi as Director	Mgmt	For	For
2.03	Elect Yu Haizong as Director	Mgmt	For	For
2.04	Elect Liu Lina as Director	Mgmt	For	For
	ELECT 4 SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
3.01	Elect Feng Bing as Supervisor	Mgmt	For	For
3.02	Elect Dan Yong as Supervisor	Mgmt	For	For
3.03	Elect Ouyang Huajie as Supervisor	Mgmt	For	For
3.04	Elect Meng Jie as Supervisor	Mgmt	For	For
4	Approve Remuneration Scheme for Zhou Liming	Mgmt	For	For
5	Approve Remuneration Scheme for Gan Yongyi and Luo Maoquan	Mgmt	For	For
6	Approve Remuneration Scheme for Zheng Haijun, Tang Yong, Huang Bin, Wang Shuanming and Ni Shilin	Mgmt	For	For
7	Approve Remuneration Scheme for Sun Huibi, Guo Yuanxi, Yu Haizong and Liu Lina	Mgmt	For	For
8	Approve Remuneration Scheme for Feng Bing	Mgmt	For	For
9	Approve Remuneration Scheme for Dan Yong, Ouyang Huajie and Meng Jie	Mgmt	For	For
10	Approve Remuneration Scheme for the Staff Supervisors	Mgmt	For	For
11	Approve Service Contracts of Directors and Supervisors and Other Related Documents	Mgmt	For	For

## Sime Darby Berhad

**Meeting Date:** 09/30/2016

**Country:** Malaysia

**Primary Security ID:** Y7962G108

**Meeting Type:** Special

**Ticker:** SIME

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Private Placement of New Ordinary Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sime Darby Berhad

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Private Placement to Amanahraya Trustees Berhad - Amanah Saham Bumiputera and/or Persons Connected with It	Mgmt	For	For
3	Approve Placement to Employees Provident Fund Board and/or Persons Connected with It	Mgmt	For	For

## Singapore Airlines Limited

**Meeting Date:** 07/29/2016      **Country:** Singapore      **Primary Security ID:** Y7992P128  
**Meeting Type:** Annual      **Ticker:** C6L

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Gautam Banerjee as Director	Mgmt	For	For
3b	Elect Goh Choon Phong as Director	Mgmt	For	For
3c	Elect Hsieh Tsun-yan as Director	Mgmt	For	For
4	Elect Peter Seah Lim Huat as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
8	Approve Grant of Awards and Issuance of Shares Under the SIA Performance Share Plan 2014 and/or the SIA Restricted Share Plan 2014	Mgmt	For	For
9	Approve Mandate for Interested Person Transactions	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For
11	Adopt New Constitution	Mgmt	For	For

## Singapore Exchange Limited

**Meeting Date:** 09/22/2016      **Country:** Singapore      **Primary Security ID:** Y79946102  
**Meeting Type:** Annual      **Ticker:** S68

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Exchange Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Jane Diplock as Director	Mgmt	For	For
3b	Elect Chew Gek Khim as Director	Mgmt	For	For
4	Elect Lim Sok Hui (Chng Sok Hui) as Director	Mgmt	For	For
5	Approve Payment of Director's Fees to the Chairman and Provision to Him of a Car with a Driver for the Financial Year Ending June 30, 2017	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
9	Authorize Share Repurchase Program	Mgmt	For	For
10	Adopt New Constitution	Mgmt	For	For

## Singapore Post Limited

Meeting Date: 07/14/2016

Country: Singapore

Primary Security ID: Y8120Z103

Meeting Type: Annual

Ticker: S08

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Simon Claude Israel as Director	Mgmt	For	For
4	Elect Aliza Knox as Director	Mgmt	For	For
5	Elect Soo Nam Chow as Director	Mgmt	For	For
6	Elect Zulkifli Bin Baharudin as Director	Mgmt	For	For
7	Approve Directors' Fees	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Post Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Grant of Options and Awards and Issuance of Shares Pursuant to the Share Option Scheme 2012 and Restricted Share Plan 2013	Mgmt	For	For
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For

## Singapore Telecommunications Limited

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y79985225

Meeting Type: Annual

Ticker: Z74

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Christina Hon Kwee Fong (Christina Ong) as Director	Mgmt	For	For
4	Elect Simon Claude Israel as Director	Mgmt	For	For
5	Elect Peter Edward Mason as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Singtel Performance Share Plan 2012	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For
11	Adopt New Constitution	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sky Network Television Ltd.

**Meeting Date:** 07/06/2016

**Country:** New Zealand

**Primary Security ID:** NZSKTE0001S6

**Meeting Type:** Special

**Ticker:** SKT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Acquisition of Shares by SKY of All the Shares of Vodafone	Mgmt	For	For
2	Approve the Incurrence of the New Debt	Mgmt	For	For
3	Approve the Issuance of Shares	Mgmt	For	For

## SMRT Corporation Ltd

**Meeting Date:** 07/05/2016

**Country:** Singapore

**Primary Security ID:** Y8077D105

**Meeting Type:** Annual

**Ticker:** S53

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Approve Directors' Fees	Mgmt	For	For
4	Elect Patrick Ang Peng Koon as Director	Mgmt	For	Against
5	Elect Yap Kim Wah as Director	Mgmt	For	For
6	Elect Peter Tan Boon Heng as Director	Mgmt	For	For
7	Elect Moliah Hashim as Director	Mgmt	For	For
8	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Grant of Awards and Issuance of Shares Under the SMRT Corporation Restricted Share Plan 2014 and/or the SMRT Corporation Performance Share Plan 2014	Mgmt	For	For
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SMRT Corporation Ltd

**Meeting Date:** 09/29/2016

**Country:** Singapore

**Primary Security ID:** Y8077D105

**Meeting Type:** Special

**Ticker:** S53

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale of Operating Assets in Connection with the New Rail Financing Framework	Mgmt	For	For

## SMRT Corporation Ltd

**Meeting Date:** 09/29/2016

**Country:** Singapore

**Primary Security ID:** Y8077D105

**Meeting Type:** Court

**Ticker:** S53

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	For

## S-Oil Corp.

**Meeting Date:** 09/05/2016

**Country:** South Korea

**Primary Security ID:** Y80710109

**Meeting Type:** Special

**Ticker:** A010950

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Othman Al-Ghamdi as Inside Director	Mgmt	For	For

## Soilbuild Business Space REIT

**Meeting Date:** 08/18/2016

**Country:** Singapore

**Primary Security ID:** Y806D3102

**Meeting Type:** Special

**Ticker:** SV3U

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Soilbuild Business Space REIT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of Bukit Batok Connection and the Entry into of the Master Lease Agreement in Relation to Bukit Batok Connection	Mgmt	For	For

## Stride Stapled Group

Meeting Date: 08/29/2016

Country: New Zealand

Primary Security ID: Q8782R109

Meeting Type: Annual

Ticker: N/A

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting of Stride Property Limited	Mgmt		
1	Appoint PricewaterhouseCoopers as Auditor and Authorize the Board to Fix Their Remuneration	Mgmt	For	For
	Meeting of Stride Investment Management Limited	Mgmt		
1	Appoint PricewaterhouseCoopers as Auditor and Authorize the Board to Fix Their Remuneration	Mgmt	For	For
2	Elect Michael Peter Stiassny as Director	Mgmt	For	For
3	Elect David Gregory van Schaardenburg as Director	Mgmt	For	For

## Sun Pharmaceutical Industries Ltd.

Meeting Date: 09/17/2016

Country: India

Primary Security ID: Y8523Y158

Meeting Type: Annual

Ticker: SUNPHARMA

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividends	Mgmt	For	For
3	Reelect Dilip S. Shanghvi as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sun Pharmaceutical Industries Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	Against
5	Approve Remuneration of Cost Auditors	Mgmt	For	For
6	Reelect S. Mohanchand Dadha as Independent Director	Mgmt	For	For
7	Reelect Keki Mistry as Independent Director	Mgmt	For	For
8	Reelect Ashwin Danias Independent Director	Mgmt	For	For
9	Reelect Hasmukh Shah as Independent Director	Mgmt	For	For
10	Reelect Rekha Sethi as Independent Director	Mgmt	For	For
11	Approve Revision in the Remuneration of Dilip S. Shanghvi, Managing Director	Mgmt	For	For
12	Approve Revision in the Remuneration of Sudhir Valia, Executive Director	Mgmt	For	For
13	Approve Revision in the Remuneration of Sailesh T. Desai, Executive Director	Mgmt	For	For
14	Adopt New Articles of Association	Mgmt	For	For
15	Approve Reclassification of Certain Promoter Group/Entities	Mgmt	For	For

## Suncorp Group Ltd.

**Meeting Date:** 09/22/2016

**Country:** Australia

**Primary Security ID:** Q88040110

**Meeting Type:** Annual

**Ticker:** SUN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Remuneration Report	Mgmt	For	For
2	Approve the Grant of Performance Rights to Michael Cameron, Chief Executive Officer and Managing Director of the Company	Mgmt	For	For
3	Elect Sally Herman as Director	Mgmt	For	For
4	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SunEdison Semiconductor Limited

**Meeting Date:** 08/03/2016

**Country:** Singapore

**Primary Security ID:** Y8213L102

**Meeting Type:** Annual

**Ticker:** SEMI

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director Antonio R. Alvarez	Mgmt	For	For
1b	Elect Director Gideon Argov	Mgmt	For	For
1c	Elect Director Michael F. Bartholomeusz	Mgmt	For	For
1d	Elect Director Jeffrey A. Beck	Mgmt	For	For
1e	Elect Director Justine F. Lien	Mgmt	For	For
1f	Elect Director Shaker Sadasivam	Mgmt	For	For
1g	Elect Director Abdul Jabbar Bin Karam Din	Mgmt	For	For
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
3	Approve Non-Employee Directors' Cash Compensation	Mgmt	For	For
4	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
5	Authorize Share Repurchase Program	Mgmt	For	For
6	Amend Constitution to Eliminate the Corporate Opportunity Exclusion for the Former Parent Company	Mgmt	For	For

## Tat Hong Holdings Ltd

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** Y8548U124

**Meeting Type:** Annual

**Ticker:** T03

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Elect Ng San Tiong Roland as Director	Mgmt	For	For
3	Elect Leong Horn Kee as Director	Mgmt	For	For
4	Elect Tse Po Shing Andy as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tat Hong Holdings Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
8	Approve Grant of Options and Issuance of Shares Pursuant to the Tat Hong Share Option Scheme 2006	Mgmt	For	For
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Tat Hong Performance Shares Plan	Mgmt	For	For
10	Approve Mandate for Interested Person Transactions	Mgmt	For	For
11	Authorize Share Repurchase Program	Mgmt	For	For

## Tata Motors Ltd.

Meeting Date: 08/09/2016

Country: India

Primary Security ID: Y85740267

Meeting Type: Annual

Ticker: 500570

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Accept Financial Statements and Statutory Reports	Mgmt	For	For
1b	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend Payment	Mgmt	For	For
3	Reelect Ravindra Pisharody as Director	Mgmt	For	For
4	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Guenter Butschek as Director	Mgmt	For	For
6	Approve Appointment and Remuneration of Guenter Butschek as Chief Executive Officer and Managing Director	Mgmt	For	For
7	Approve Reappointment and Remuneration of Ravindra Pisharody as Executive Director (Commercial Vehicles)	Mgmt	For	For
8	Approve Reappointment and Remuneration of Satish Borwankar as Executive Director (Quality)	Mgmt	For	For
9	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tata Motors Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Tata Power Company Ltd.

**Meeting Date:** 09/21/2016      **Country:** India      **Primary Security ID:** Y85481169  
**Meeting Type:** Annual      **Ticker:** 500400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend Payment	Mgmt	For	For
4	Reelect Anil Sardana as Director	Mgmt	For	For
5	Approve Deloitte Haskins and Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Pravin H. Kutumbe as Director	Mgmt	For	For
7	Elect Sandhya S. Kudtarkar as Director	Mgmt	For	For
8	Approve Reappointment and Remuneration of Anil Sardana as CEO and Managing Director	Mgmt	For	For
9	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
10	Approve Increase in Investment Limits in Other Bodies Corporate	Mgmt	For	Against
11	Approve Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
12	Approve Remuneration of Cost Auditors	Mgmt	For	For

## Tata Steel Ltd.

**Meeting Date:** 08/12/2016      **Country:** India      **Primary Security ID:** Y8547N139  
**Meeting Type:** Annual      **Ticker:** 500470

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tata Steel Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Audited Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Audited Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Declare Dividend	Mgmt	For	For
4	Elect Cyrus P. Mistry as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For

## Tech Mahindra Ltd.

Meeting Date: 08/02/2016

Country: India

Primary Security ID: Y85491127

Meeting Type: Annual

Ticker: TECHM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Standalone Financial Statements and Statutory Reports	Mgmt	For	For
2	Accept Consolidated Financial Statements and Statutory Reports	Mgmt	For	For
3	Approve Dividend	Mgmt	For	For
4	Reelect C. P. Gurnani as Director	Mgmt	For	For
5	Approve Deloitte Haskins & Sells LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Vineet Nayyar as Director	Mgmt	For	For
7	Elect V. S. Parthasarathy as Director	Mgmt	For	For
8	Adopt New Articles of Association	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## TechnoPro Holdings Inc.

**Meeting Date:** 09/29/2016

**Country:** Japan

**Primary Security ID:** J82251109

**Meeting Type:** Annual

**Ticker:** 6028

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 61.52	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Nishio, Yasuji	Mgmt	For	For
3.2	Elect Director Sato, Hiroshi	Mgmt	For	For
3.3	Elect Director Shimaoka, Gaku	Mgmt	For	For
3.4	Elect Director Asai, Koichiro	Mgmt	For	For
3.5	Elect Director Yagi, Takeshi	Mgmt	For	For
3.6	Elect Director Watabe, Tsunehiro	Mgmt	For	For
3.7	Elect Director Yamada, Kazuhiko	Mgmt	For	For
3.8	Elect Director Sakamoto, Harumi	Mgmt	For	For

## Tian An China Investment Co. Ltd.

**Meeting Date:** 09/08/2016

**Country:** Hong Kong

**Primary Security ID:** Y88170207

**Meeting Type:** Special

**Ticker:** 28

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreements for the Sale and Purchase of One Issued Share of Full Choice Resources Limited and One Issued Share of Ace Mission Investments Limited	Mgmt	For	For

## Titan Company Limited

**Meeting Date:** 08/03/2016

**Country:** India

**Primary Security ID:** Y88425148

**Meeting Type:** Annual

**Ticker:** 500114

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Titan Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Interim Dividends	Mgmt	For	For
3	Reelect C. V. Sankar as Director	Mgmt	For	For
4	Approve Deloitte Haskins and Sells as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Appoin Branch Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Approve Reappointment and Remuneration of Bhaskar Bhat as Managing Director	Mgmt	For	For
8	Elect Ashwani Puri as Independent Director	Mgmt	For	For
9	Elect K. Gnanadesikan as Director	Mgmt	For	For

## Token Corp.

Meeting Date: 07/28/2016

Country: Japan

Primary Security ID: J8612T109

Meeting Type: Annual

Ticker: 1766

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 95	Mgmt	For	For
2.1	Appoint Statutory Auditor Hotta, Eiichiro	Mgmt	For	For
2.2	Appoint Statutory Auditor Hibi, Kiyomi	Mgmt	For	For
2.3	Appoint Statutory Auditor Mitsuya, Masahiro	Mgmt	For	For
2.4	Appoint Statutory Auditor Kitamura, Akemi	Mgmt	For	For
3	Approve Annual Bonus	Mgmt	For	For

## TOP REIT INC.

Meeting Date: 07/28/2016

Country: Japan

Primary Security ID: J89592109

Meeting Type: Special

Ticker: 8982

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## TOP REIT INC.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement with Nomura Real Estate Master Fund, Inc.	Mgmt	For	For
2	Terminate Asset Management Contract	Mgmt	For	For

## Tsuruha Holdings Inc.

Meeting Date: 08/10/2016

Country: Japan

Primary Security ID: J9348C105

Meeting Type: Annual

Ticker: 3391

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Tsuruha, Tatsuru	Mgmt	For	For
1.2	Elect Director Horikawa, Masashi	Mgmt	For	For
1.3	Elect Director Tsuruha, Jun	Mgmt	For	For
1.4	Elect Director Goto, Teruaki	Mgmt	For	For
1.5	Elect Director Ofune, Masahiro	Mgmt	For	For
1.6	Elect Director Abe, Mitsunobu	Mgmt	For	For
1.7	Elect Director Kijima, Keisuke	Mgmt	For	For
1.8	Elect Director Aoki, Keisei	Mgmt	For	For
1.9	Elect Director Okada, Motoya	Mgmt	For	For
1.10	Elect Director Mitsuhashi, Shinya	Mgmt	For	For
1.11	Elect Director Yamada, Eiji	Mgmt	For	For
2	Appoint External Audit Firm	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

## Ultratech Cement Ltd.

Meeting Date: 07/19/2016

Country: India

Primary Security ID: Y9046E109

Meeting Type: Annual

Ticker: ULTRACEMCO

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ultratech Cement Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Approve Dividend	Mgmt	For	For
3	Reelect Rajashree Birla as Director	Mgmt	For	Against
4	Approve BSR & Co. LLP as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Approve Khimji Kunverji & Co. as Joint Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Approve Remuneration of Cost Auditors	Mgmt	For	For
7	Elect Krishna Kishore Maheshwari as Director	Mgmt	For	For
8	Approve Appointment and Remuneration of Krishna Kishore Maheshwari as Managing Director	Mgmt	For	For
9	Elect Alka Marezban Bharucha as Director	Mgmt	For	For
10	Elect Atul Satish Daga as Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Atul Satish Daga as Executive Director and CFO	Mgmt	For	For
12	Authorize Issuance of Non-Convertible Debentures	Mgmt	For	For
13	Approve Increase in Borrowing Powers	Mgmt	For	For
14	Approve Pledging of Assets for Debt	Mgmt	For	For
15	Approve Increase in Limit on Foreign Shareholdings	Mgmt	For	For

## Ulvac Inc.

**Meeting Date:** 09/29/2016

**Country:** Japan

**Primary Security ID:** J94048105

**Meeting Type:** Annual

**Ticker:** 6728

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Amend Provisions on Number of Directors - Reduce Directors' Term	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ulvac Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.1	Elect Director Obinata, Hisaharu	Mgmt	For	For
3.2	Elect Director Iwashita, Setsuo	Mgmt	For	For
3.3	Elect Director Fujiyama, Junki	Mgmt	For	For
3.4	Elect Director Odagi, Hideyuki	Mgmt	For	For
3.5	Elect Director Mihayashi, Akira	Mgmt	For	For
3.6	Elect Director Ishida, Kozo	Mgmt	For	For
4	Appoint Alternate Statutory Auditor Nonaka, Takao	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors	Mgmt	For	For
6	Approve Trust-Type Equity Compensation Plan	Mgmt	For	For

## United Spirits Limited

**Meeting Date:** 07/14/2016

**Country:** India

**Primary Security ID:** Y92311102

**Meeting Type:** Annual

**Ticker:** UNITDSPR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Against
2	Reelect Nicholas Bodo Blasquez as Director	Mgmt	For	For
3	Approve Price Waterhouse & Co. as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Elect Vinod Rao as Director	Mgmt	For	For
5	Consider Erosion of Net Worth of the Company	Mgmt	For	For

## Vector Ltd.

**Meeting Date:** 09/29/2016

**Country:** New Zealand

**Primary Security ID:** Q9389B109

**Meeting Type:** Annual

**Ticker:** VCT

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

#### Vector Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Bob Thomson as Director	Mgmt	For	For
2	Elect Karen Sherry as Director	Mgmt	For	For
3	Approve KPMG as Auditor and Authorize the Board to Fix Their Remuneration	Mgmt	For	For
4	Approve the Amendments to the Constitution	Mgmt	For	For

#### Vedanta Ltd.

**Meeting Date:** 09/07/2016      **Country:** India      **Primary Security ID:** Y7673N111  
**Meeting Type:** Special      **Ticker:** VEDL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Postal Ballot	Mgmt		
1	Approve Scheme of Arrangement	Mgmt	For	For
2	Approve Reduction of Capital by an Adjustment/Utilization of the Securities Premium Account	Mgmt	For	For

#### Vedanta Ltd.

**Meeting Date:** 09/08/2016      **Country:** India      **Primary Security ID:** Y7673N111  
**Meeting Type:** Court      **Ticker:** VEDL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court Ordered Meeting for Shareholders	Mgmt		
1	Approve Scheme of Amalgamation	Mgmt	For	For

#### WIPRO Limited

**Meeting Date:** 07/18/2016      **Country:** India      **Primary Security ID:** Y96659142  
**Meeting Type:** Annual      **Ticker:** 507685

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## WIPRO Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Rishad A. Premji as Director	Mgmt	For	For
4	Approve BSR & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Patrick J. Ennis as Independent Director	Mgmt	For	For
6	Elect Patrick Dupuis as Independent Director	Mgmt	For	For
7	Reelect N. Vaghul as Independent Director	Mgmt	For	For
8	Reelect Ashok S. Ganguly as Independent Director	Mgmt	For	For
9	Reelect M. K. Sharma as Independent Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of T. K. Kurien as Executive Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Abidali Z. Neemuchwala as Executive Director	Mgmt	For	For
12	Approve Revision in the Remuneration of Rishad A. Premji as Executive Director and Chief Strategy Officer	Mgmt	For	For

## WIPRO Limited

**Meeting Date:** 07/18/2016

**Country:** India

**Primary Security ID:** Y96659142

**Meeting Type:** Annual

**Ticker:** 507685

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Meeting for ADR Holders	Mgmt		
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Interim Dividend and Approve Final Dividend	Mgmt	For	For
3	Reelect Rishad A. Premji as Director	Mgmt	For	For
4	Approve BSR & Co LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
5	Elect Patrick J. Ennis as Independent Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## WIPRO Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Elect Patrick Dupuis as Independent Director	Mgmt	For	For
7	Reelect N. Vaghul as Independent Director	Mgmt	For	For
8	Reelect Ashok S. Ganguly as Independent Director	Mgmt	For	For
9	Reelect M. K. Sharma as Independent Director	Mgmt	For	For
10	Approve Reappointment and Remuneration of T. K. Kurien as Executive Director	Mgmt	For	For
11	Approve Appointment and Remuneration of Abidali Z. Neemuchwala as Executive Director	Mgmt	For	For
12	Approve Revision in the Remuneration of Rishad A. Premji as Executive Director and Chief Strategy Officer	Mgmt	For	For

## Xero Limited

**Meeting Date:** 07/20/2016

**Country:** New Zealand

**Primary Security ID:** Q98665104

**Meeting Type:** Annual

**Ticker:** XRO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Appoint Ernst & Young as Auditor of the Company	Mgmt	For	For
2	Authorize the Board to Fix the Remuneration of the Auditor	Mgmt	For	For
3	Elect Chris Liddell as Director	Mgmt	For	For
4	Elect Bill Veghte as Director	Mgmt	For	For
5	Approve the Grant of Options to Chris Liddell and Bill Veghte	Mgmt	For	For
6	Approve the Grant of Shares to Lee Hatton, Non-Executive Director of the Company	Mgmt	For	For

## Yanzhou Coal Mining Company Limited

**Meeting Date:** 08/19/2016

**Country:** China

**Primary Security ID:** Y97417102

**Meeting Type:** Special

**Ticker:** 1171



# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Compliance with the Requirements of Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
2.01	Approve Class and Nominal Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.02	Approve Method and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.03	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.04	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.05	Approve Use of Proceeds in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.06	Approve Lock-Up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.07	Approve Arrangement Relating to the Accumulated Undistributed Profits in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.08	Approve Validity of Resolution in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.09	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2.10	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
3	Approve Feasibility Analysis Report of Utilizing the Proceeds Raised from the Non-Public Issuance of A Shares	Mgmt	For	For
4	Approve Proposal in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For
5	Authorize Board to Deal with All Matters in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For
6	Approve Dilution of Immediate Return and Remedial Measures Upon the Non-Public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
7	Approve Certain Commitments by the Controlling Shareholders, Directors and Senior Management on the Recovery of Immediate Return	Mgmt	For	For
8	Approve Shareholders Return for the Next Three Years Ending 2016-2018	Mgmt	For	For
9	Amendment Articles of Association	Mgmt	For	For

## Yanzhou Coal Mining Company Limited

Meeting Date: 08/19/2016

Country: China

Primary Security ID: Y97417102

Meeting Type: Special

Ticker: 1171

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE NON-PUBLIC ISSUANCE OF A SHARES	Mgmt		
1.01	Approve Class and Nominal Value of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.02	Approve Method and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Issue Price and Pricing Principle in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Use of Proceeds in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-Up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Arrangement Relating to the Accumulated Undistributed Profits in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Validity of Resolution in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.09	Approve Place of Listing in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Method of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yanzhou Coal Mining Company Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Proposal in Relation to the Non-Public Issuance of A Shares	Mgmt	For	For

## Yoma Strategic Holdings Ltd.

**Meeting Date:** 07/26/2016      **Country:** Singapore      **Primary Security ID:** Y9841J113  
**Meeting Type:** Annual      **Ticker:** Z59

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Approve Directors' Fees	Mgmt	For	For
3b	Approve Grant of Shares as Part of the Directors' Fees for the Financial Year Ending March 31, 2017	Mgmt	For	For
4	Elect Adrian Chan Pengee as Director	Mgmt	For	For
5	Elect Wong Su Yen as Director	Mgmt	For	For
6	Elect Timothy Ong Teck Mong as Director	Mgmt	For	For
7	Approve Nexia TS Public Accounting Corporation as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Mandate for Interested Person Transactions	Mgmt	For	For
10	Approve Grant of Options and Issuance of Shares Pursuant to the Yoma Strategic Holdings Employee Share Option Scheme 2012	Mgmt	For	Against
11	Approve Grant of Awards and Issuance of Shares Pursuant to the Yoma Performance Share Plan	Mgmt	For	Against

## Z Energy Limited

**Meeting Date:** 07/01/2016      **Country:** New Zealand      **Primary Security ID:** Q9898K103  
**Meeting Type:** Annual      **Ticker:** ZEL

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Z Energy Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
2	Elect Abby Foote as Director	Mgmt	For	For
3	Elect Justine Munro as Director	Mgmt	For	For
4	Elect Mark Cross as Director	Mgmt	For	For
5	Elect Julia Raue as Director	Mgmt	For	For
6	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	For

## Zee Entertainment Enterprises Ltd.

Meeting Date: 07/26/2016

Country: India

Primary Security ID: Y98893152

Meeting Type: Annual

Ticker: ZEEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For
2	Confirm Dividend on Preference Shares	Mgmt	For	For
3	Approve Dividend on Equity Shares	Mgmt	For	For
4	Reelect Subhash Chandra as Director	Mgmt	For	Against
5	Approve MGB & Co. LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
6	Elect Adesh Kumar Gupta as Director	Mgmt	For	For
7	Reelect Sunil Sharma as Independent Director	Mgmt	For	For
8	Reelect Neharika Vohra as Independent Director	Mgmt	For	For
9	Approve Keeping of the Register of Members, Debenture-Holders, and Other Related Documents at the Office of the Registrar and Transfer Agent of the Company	Mgmt	For	For

## Zhaojin Mining Industry Co., Ltd.

Meeting Date: 08/15/2016

Country: China

Primary Security ID: Y988A6104

Meeting Type: Special

Ticker: 1818

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zhaojin Mining Industry Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Issuance of Renewable Bonds	Mgmt	For	For

## Zhaojin Mining Industry Co., Ltd.

**Meeting Date:** 09/19/2016      **Country:** China      **Primary Security ID:** Y988A6104  
**Meeting Type:** Special      **Ticker:** 1818

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Employee Share Purchase Plan	Mgmt	For	For

## Zhaojin Mining Industry Co., Ltd.

**Meeting Date:** 09/19/2016      **Country:** China      **Primary Security ID:** Y988A6104  
**Meeting Type:** Special      **Ticker:** 1818

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
1	Approve Employee Share Purchase Plan	Mgmt	For	For

## Zijin Mining Group Co., Ltd.

**Meeting Date:** 08/25/2016      **Country:** China      **Primary Security ID:** Y9892H115  
**Meeting Type:** Special      **Ticker:** 2899

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	Approve Proposals on the Non-Public Issuance of A Shares	Mgmt		

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.01	Approve Class and Nominal Value of the Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.02	Approve Methods and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.03	Approve Issuing Objects and Methods of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.04	Approve Subscription Price and Pricing Principles in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.05	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.07	Approve Use of Proceeds Raised in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.08	Approve Arrangement of the Accumulated Distributable Profits before the Non-public Issuance of A Shares	Mgmt	For	For
1.09	Approve Listing Place of Shares in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
1.10	Approve Validity Period of the Resolutions in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
2	Approve Plan for the Non-public Issuance of A Shares	Mgmt	For	For
3	Authorize Board to Handle All Matters in Relation to the Non-public Issuance of A Shares	Mgmt	For	For

## Zijin Mining Group Co., Ltd.

Meeting Date: 08/25/2016

Country: China

Primary Security ID: Y9892H115

Meeting Type: Special

Ticker: 2899

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	EGM BALLOT FOR HOLDERS OF H SHARES Approve Phase 1 of the Employee Stock Ownership Scheme	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Formulation of the Administrative Policy of the Employee Stock Ownership Scheme	Mgmt	For	For
3	Authorize Board to Handle All Matters in Relation to the Current Employee Stock Ownership Scheme	Mgmt	For	For
4	Approve Satisfaction of the Conditions for the Non-public Issuance of A Shares	Mgmt	For	For
	Approve Proposals on the Non-public Issuance of A Shares	Mgmt		
5.01	Approve Class and Nominal Value of the Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.02	Approve Methods and Time of Issuance in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.03	Approve Issuing Objects and Methods of Subscription in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.04	Approve Subscription Price and Pricing Principles in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.05	Approve Number of Shares to be Issued in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.06	Approve Lock-up Period in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.07	Approve Use of Proceeds Raised in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.08	Approve Arrangement of the Accumulated Distributable Profits before the Non-public Issuance of A Shares	Mgmt	For	For
5.09	Approve Listing Place of Shares in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
5.10	Approve Validity Period of the Resolutions in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
6	Approve Plan for the Non-public Issuance of A Shares	Mgmt	For	For
7	Approve Feasibility Report on the Use of Proceeds Raised in the Non-public Issuance of A Shares	Mgmt	For	For
8	Approve Non-Production of Report on the Use of Proceeds Previously Raised	Mgmt	For	For
9	Approve Connected Transactions Under the Non-public Issuance of A Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Approve Conditional Share Subscription Agreement for the Non-public Issuance of A Shares with Its Controlling Shareholder	Mgmt	For	For
11	Approve Conditional Share Subscription Agreement for the Non-public Issuance of A Shares with Phase 1 of the Employee Stock Ownership Scheme	Mgmt	For	For
12	Approve Dilutive Impact of the Non-public Issuance of A Shares on Immediate Returns and Adoption of Recovery Measures	Mgmt	For	For
13	Approve Undertakings of the Directors and Senior Management of the Company in Relation to the Recovery Measures for Returns	Mgmt	For	For
14	Authorize Board to Handle All Matters in Relation to the Non-public Issuance of A Shares	Mgmt	For	For
15	Approve Satisfaction of Conditions for Issuance of the Renewable Corporate Bonds	SH	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF THE RENEWABLE CORPORATE BONDS	Mgmt		
16.01	Approve Size of Issuance and Face Value in Relation to the Renewable Corporate Bonds	SH	For	For
16.02	Approve Maturity in Relation to the Renewable Corporate Bonds	SH	For	For
16.03	Approve Coupon Rate and Determination Method in Relation to the Renewable Corporate Bonds	SH	For	For
16.04	Approve Method of Issuance in Relation to the Renewable Corporate Bonds	SH	For	For
16.05	Approve Guarantee Arrangement in Relation to the Renewable Corporate Bonds	SH	For	For
16.06	Approve Redemption Terms or Repurchase Terms in Relation to the Renewable Corporate Bonds	SH	For	For
16.07	Approve Terms for Deferring Interest Payment in Relation to the Renewable Corporate Bonds	SH	For	For
16.08	Approve Restrictions on Deferring Interest Payment in Relation to the Renewable Corporate Bonds	SH	For	For
16.09	Approve Use of Proceeds Raised in Relation to the Renewable Corporate Bonds	SH	For	For
16.10	Approve Issuing Objects and Placement Arrangements for Shareholders in Relation to the Renewable Corporate Bonds	SH	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Zijin Mining Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
16.11	Approve Underwriting Method and Listing Arrangement in Relation to the Renewable Corporate Bonds	SH	For	For
16.12	Approve Creditworthiness and the Guarantee Measures of Repayment in Relation to the Renewable Corporate Bonds	SH	For	For
16.13	Approve Resolutions Validity Period in Relation to the Renewable Corporate Bonds	SH	For	For
16.14	Authorize Board to Handle All Matters in Relation to Issuance of the Renewable Corporate Bonds	SH	For	For

## FLEXTRONICS INTERNATIONAL LTD.

**Meeting Date:** 08/24/2016

**Country:** Singapore

**Primary Security ID:** Y2573F102

**Meeting Type:** Annual

**Ticker:** FLEX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1a	Elect Director H. Raymond Bingham	Mgmt	For	For
1b	Elect Director Willy C. Shih	Mgmt	For	For
2	Elect Director Lawrence A. Zimmerman	Mgmt	For	For
3	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
4	Approve Issuance of Shares without Preemptive Rights	Mgmt	For	For
5	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For
6	Authorize Share Repurchase Program	Mgmt	For	For
7	Change Company Name to Flex Ltd.	Mgmt	For	For
8	Approve the Adoption of the Company's New Constitution	Mgmt	For	For

## Accordia Golf Trust

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** Y000HT119

**Meeting Type:** Annual

**Ticker:** ADQU

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Accordia Golf Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee-Manager, Statement by the Trustee-Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve Deloitte & Touche LLP as Auditors and Authorize Board of the Trustee-Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against

## Accordia Golf Trust

Meeting Date: 07/28/2016

Country: Singapore

Primary Security ID: Y000HT119

Meeting Type: Special

Ticker: ADQU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Share Repurchase Program	Mgmt	For	For

## AGL Energy Ltd.

Meeting Date: 09/28/2016

Country: Australia

Primary Security ID: Q01630195

Meeting Type: Annual

Ticker: AGL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3a	Elect Belinda Jane Hutchinson as Director	Mgmt	For	For
3b	Elect Jacqueline Cherie Hey as Director	Mgmt	For	For
3c	Elect Diane Lee Smith-Gander as Director	Mgmt	For	For
4	Approve the Grant of Performance Rights to Andrew Vesey, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	None	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ai Holdings Corporation

**Meeting Date:** 09/28/2016

**Country:** Japan

**Primary Security ID:** J0060P101

**Meeting Type:** Annual

**Ticker:** 3076

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2.1	Elect Director Sasaki, Hideyoshi	Mgmt	For	For
2.2	Elect Director Oshima, Akihiko	Mgmt	For	For
2.3	Elect Director Yoshida, Shuji	Mgmt	For	For
2.4	Elect Director Miyama, Yuzo	Mgmt	For	For
2.5	Elect Director Kawamoto, Hirotaka	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Kikuchi, Masato	Mgmt	For	For

## AIMS AMP Capital Industrial REIT

**Meeting Date:** 07/26/2016

**Country:** Singapore

**Primary Security ID:** Y0029Z136

**Meeting Type:** Annual

**Ticker:** O5RU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve KPMG LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Ain Holdings Inc

**Meeting Date:** 07/28/2016

**Country:** Japan

**Primary Security ID:** J00602102

**Meeting Type:** Annual

**Ticker:** 9627

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ain Holdings Inc

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 40	Mgmt	For	For
2.1	Elect Director Otani, Kiichi	Mgmt	For	For
2.2	Elect Director Kato, Hiromi	Mgmt	For	For
2.3	Elect Director Sakurai, Masahito	Mgmt	For	For
2.4	Elect Director Shudo, Shoichi	Mgmt	For	For
2.5	Elect Director Mizushima, Toshihide	Mgmt	For	For
2.6	Elect Director Oishi, Miya	Mgmt	For	For
2.7	Elect Director Kimei, Rieko	Mgmt	For	For
2.8	Elect Director Mori, Ko	Mgmt	For	For
2.9	Elect Director Sato, Seiichiro	Mgmt	For	For
2.10	Elect Director Hamada, Yasuyuki	Mgmt	For	For
3.1	Appoint Statutory Auditor Kawamura, Koichi	Mgmt	For	For
3.2	Appoint Statutory Auditor Ibayashi, Akira	Mgmt	For	Against
3.3	Appoint Statutory Auditor Muramatsu, Osamu	Mgmt	For	Against

## Air New Zealand Ltd.

Meeting Date: 09/30/2016

Country: New Zealand

Primary Security ID: Q0169V100

Meeting Type: Annual

Ticker: AIR

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Jan Dawson as Director	Mgmt	For	For
2	Elect Jonathan Mason as Director	Mgmt	For	For
3	Elect Therese Walsh as Director	Mgmt	For	For

## ALS Limited

Meeting Date: 07/26/2016

Country: Australia

Primary Security ID: Q0266A116

Meeting Type: Annual

Ticker: ALQ

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ALS Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mel Bridges as Director	Mgmt	For	For
2	Elect Tonianne Dwyer as Director	Mgmt	For	For
3	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For
4	Approve the Remuneration Report	Mgmt	For	For
5	Approve the Grant of Performance Rights to Greg Kilmister, Managing Director of the Company	Mgmt	For	For
6	Approve the Spill Resolution	Mgmt	Against	Against

## APN News & Media Ltd

**Meeting Date:** 09/16/2016

**Country:** Australia

**Primary Security ID:** Q1076J107

**Meeting Type:** Special

**Ticker:** APN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Sale of All the Share Capital in the ARM Sale Companies to NCA Ventures Pty Ltd	Mgmt	For	For

## Argosy Property Limited

**Meeting Date:** 08/09/2016

**Country:** New Zealand

**Primary Security ID:** Q05262102

**Meeting Type:** Annual

**Ticker:** ARG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Michael Smith as Director	Mgmt	For	For
2	Elect Peter Brook as Director	Mgmt	For	For
3	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### ASAHI INTECC CO. LTD.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J0279C107

Meeting Type: Annual

Ticker: 7747

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Indemnify Directors	Mgmt	For	For
3.1	Elect Director Miyata, Masahiko	Mgmt	For	For
3.2	Elect Director Miyata, Kenji	Mgmt	For	For
3.3	Elect Director Kato, Tadakazu	Mgmt	For	For
3.4	Elect Director Yugawa, Ipei	Mgmt	For	For
3.5	Elect Director Terai, Yoshinori	Mgmt	For	For
3.6	Elect Director Matsumoto, Munechika	Mgmt	For	For
3.7	Elect Director Ito, Mizuho	Mgmt	For	For
3.8	Elect Director Ito, Kiyomichi	Mgmt	For	For
3.9	Elect Director Shibazaki, Akinori	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Watanabe, Yukiyo	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Sato, Masami	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Obayashi, Toshiharu	Mgmt	For	For
5	Elect Alternate Director and Audit Committee Member Tomida, Ryuji	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
7	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
8	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against
9	Approve Director Retirement Bonus	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Askul Corporation

**Meeting Date:** 08/03/2016

**Country:** Japan

**Primary Security ID:** J03325107

**Meeting Type:** Annual

**Ticker:** 2678

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 18	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Iwata, Shoichiro	Mgmt	For	For
3.2	Elect Director Imamura, Toshio	Mgmt	For	For
3.3	Elect Director Yoshida, Hitoshi	Mgmt	For	For
3.4	Elect Director Yoshioka, Akira	Mgmt	For	For
3.5	Elect Director Koshimizu, Hironori	Mgmt	For	For
3.6	Elect Director Toda, Kazuo	Mgmt	For	For
3.7	Elect Director Imaizumi, Koji	Mgmt	For	Against
3.8	Elect Director Ozawa, Takao	Mgmt	For	For
3.9	Elect Director Miyata, Hideaki	Mgmt	For	For
3.10	Elect Director Higuchi, Yasuyuki	Mgmt	For	For
4.1	Appoint Statutory Auditor Orimo, Yoshiyuki	Mgmt	For	For
4.2	Appoint Statutory Auditor Yasumoto, Takaharu	Mgmt	For	Against
5	Approve Aggregate Compensation Ceiling for Directors	Mgmt	For	For

## ASX Ltd.

**Meeting Date:** 09/28/2016

**Country:** Australia

**Primary Security ID:** Q0604U105

**Meeting Type:** Annual

**Ticker:** ASX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3a	Elect Ken Henry as Director	Mgmt	For	For
3b	Elect Melinda Conrad as Director	Mgmt	For	For
4	Approve the Remuneration Report	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## ASX Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Approve the Grant of Performance Rights to Dominic Stevens, Managing Director and CEO of the Company	Mgmt	For	For

## AusNet Services

**Meeting Date:** 07/21/2016      **Country:** Australia      **Primary Security ID:** Q0708Q109  
**Meeting Type:** Annual      **Ticker:** AST

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2a	Elect Peter Mason as Director	Mgmt	For	For
2b	Elect Tan Chee Meng as Director	Mgmt	For	Against
3	Approve the Remuneration Report	Mgmt	For	For
4	Approve the Grant of Performance Rights to Nino Ficca, Managing Director of the Company	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	Against
6	Approve the Issue of Shares Up to 10 Percent Pro Rata	Mgmt	For	For
7	Approve the Issuance of Shares Pursuant to the Dividend Reinvestment Plan	Mgmt	For	For
8	Approve the Issuance of Shares Pursuant to an Employee Incentive Scheme	Mgmt	For	For

## Australian Agricultural Company Ltd.

**Meeting Date:** 07/14/2016      **Country:** Australia      **Primary Security ID:** Q08448112  
**Meeting Type:** Annual      **Ticker:** AAC

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Elect David Crombie as Director	Mgmt	For	For
4	Elect Tom Keene as Director	Mgmt	For	For
5	Elect Neil Reisman as Director	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Australian Agricultural Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For

## Bapcor Limited

**Meeting Date:** 07/04/2016      **Country:** Australia      **Primary Security ID:** Q1921R106  
**Meeting Type:** Special      **Ticker:** BAP

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Change of Company Name to BAPCOR Limited	Mgmt	For	For

## Boustead Singapore Limited

**Meeting Date:** 07/28/2016      **Country:** Singapore      **Primary Security ID:** V12756165  
**Meeting Type:** Annual      **Ticker:** F9D

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Wong Yu Loon as Director	Mgmt	For	For
4	Elect Godfrey Ernest Scotchbrook as Director	Mgmt	For	For
5	Elect Wong Fong Fui as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Grant of Awards and Issuance of Shares Under the Boustead Restricted Share Plan 2011	Mgmt	For	For
10	Approve Issuance of Shares Under the Boustead Scrip Dividend Scheme	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Boustead Singapore Limited

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** V12756165

**Meeting Type:** Special

**Ticker:** F9D

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize Share Repurchase Program	Mgmt	For	For

## CapitaLand Commercial Trust

**Meeting Date:** 07/13/2016

**Country:** Singapore

**Primary Security ID:** Y1091N100

**Meeting Type:** Special

**Ticker:** C61U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of 50.0 Percent of the Units in MSO Trust Which Holds Capitagreen	Mgmt	For	For

## Cosel Co. Ltd.

**Meeting Date:** 08/09/2016

**Country:** Japan

**Primary Security ID:** J08306102

**Meeting Type:** Annual

**Ticker:** 6905

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Tanikawa, Masato	Mgmt	For	Against
1.2	Elect Director Yamakage, Takashi	Mgmt	For	For
1.3	Elect Director Kiyosawa, Satoshi	Mgmt	For	For
1.4	Elect Director Konishi, Yukichi	Mgmt	For	For
1.5	Elect Director Saito, Morio	Mgmt	For	For
1.6	Elect Director Yasuda, Isao	Mgmt	For	For
1.7	Elect Director Mano, Tatsuya	Mgmt	For	For
1.8	Elect Director Uchida, Yasuro	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Create SD Holdings Co., Ltd.

**Meeting Date:** 08/26/2016

**Country:** Japan

**Primary Security ID:** J09178104

**Meeting Type:** Annual

**Ticker:** 3148

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2.1	Elect Director Yamamoto, Hisao	Mgmt	For	For
2.2	Elect Director Hirose, Taizo	Mgmt	For	For
2.3	Elect Director Yamamoto, Itsuko	Mgmt	For	For
2.4	Elect Director Saito, Osamu	Mgmt	For	For
2.5	Elect Director Nakaura, Shigeto	Mgmt	For	For
2.6	Elect Director Kasakawa, Kuniaki	Mgmt	For	For
3	Elect Alternate Director and Audit Committee Member Ampo, Yoko	Mgmt	For	For

## Daewoo Engineering & Construction Co.

**Meeting Date:** 08/23/2016

**Country:** South Korea

**Primary Security ID:** Y1888W107

**Meeting Type:** Special

**Ticker:** A047040

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect One Inside Director and One Outside Director (Bundled)	Mgmt	For	For

## Dah Sing Banking Group Ltd.

**Meeting Date:** 08/05/2016

**Country:** Hong Kong

**Primary Security ID:** Y1923F101

**Meeting Type:** Special

**Ticker:** 2356

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Distribution Agreements and Related Transactions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Dah Sing Financial Holdings Ltd.

**Meeting Date:** 08/25/2016

**Country:** Hong Kong

**Primary Security ID:** Y19182107

**Meeting Type:** Special

**Ticker:** 440

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Share Sale Agreement and Related Transactions	Mgmt	For	For

## DAIKOKUTENBUSSAN CO. LTD.

**Meeting Date:** 08/24/2016

**Country:** Japan

**Primary Security ID:** J1012U107

**Meeting Type:** Annual

**Ticker:** 2791

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 25	Mgmt	For	For
2.1	Elect Director Oga, Shoji	Mgmt	For	Against
2.2	Elect Director Kikuchi, Kazuhiro	Mgmt	For	For
2.3	Elect Director Kawada, Tomohiro	Mgmt	For	For
2.4	Elect Director Oue, Tadayoshi	Mgmt	For	For
2.5	Elect Director Oga, Masahiko	Mgmt	For	For
2.6	Elect Director Omura, Masashi	Mgmt	For	For
3	Appoint Alternate Statutory Auditor Kuwahara, Kazunari	Mgmt	For	For

## Daiwa Office Investment Corp

**Meeting Date:** 08/19/2016

**Country:** Japan

**Primary Security ID:** J1250G109

**Meeting Type:** Special

**Ticker:** 8976

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Daiwa Office Investment Corp

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Elect Executive Director Murakami, Yoshimi	Mgmt	For	For
3	Elect Alternate Executive Director Nishigaki, Yoshiki	Mgmt	For	For
4.1	Elect Supervisory Director Hiraishi, Takayuki	Mgmt	For	For
4.2	Elect Supervisory Director Sakuma, Hiroshi	Mgmt	For	For

## Digital Garage, Inc.

Meeting Date: 09/29/2016

Country: Japan

Primary Security ID: J1229F109

Meeting Type: Annual

Ticker: 4819

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Change Fiscal Year End	Mgmt	For	For
3.1	Elect Director Hayashi, Kaoru	Mgmt	For	For
3.2	Elect Director Rokuyata, Yasuyuki	Mgmt	For	For
3.3	Elect Director Soda, Makoto	Mgmt	For	For
3.4	Elect Director Odori, Keizo	Mgmt	For	For
3.5	Elect Director Tanaka, Masashi	Mgmt	For	For
3.6	Elect Director Ito, Joichi	Mgmt	For	For
3.7	Elect Director Fujiwara, Kenji	Mgmt	For	For
3.8	Elect Director Omura, Emi	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Ushiku, Hitoshi	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Sakai, Makoto	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Inoue, Junji	Mgmt	For	For
4.4	Elect Director and Audit Committee Member Makino, Koji	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Digital Garage, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
6	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
7	Approve Deep Discount Stock Option Plan	Mgmt	For	For
8	Approve Restricted Stock Plan	Mgmt	For	For
9	Approve Stock Option Plan	Mgmt	For	For

## Don Quijote Holdings Co., Ltd.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J1235L108

Meeting Type: Annual

Ticker: 7532

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 17	Mgmt	For	For
2	Amend Articles to Adopt Board Structure with Audit Committee - Authorize Directors to Execute Day to Day Operations without Full Board Approval - Amend Provisions on Number of Directors - Indemnify Directors	Mgmt	For	For
3.1	Elect Director Ohara, Koji	Mgmt	For	For
3.2	Elect Director Takahashi, Mitsuo	Mgmt	For	For
3.3	Elect Director Yoshida, Naoki	Mgmt	For	For
4.1	Elect Director and Audit Committee Member Wada, Shoji	Mgmt	For	For
4.2	Elect Director and Audit Committee Member Inoue, Yukihiko	Mgmt	For	For
4.3	Elect Director and Audit Committee Member Yoshimura, Yasunori	Mgmt	For	For
4.4	Elect Director and Audit Committee Member Fukuda, Tomiaki	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors Who Are Not Audit Committee Members	Mgmt	For	For
6	Approve Aggregate Compensation Ceiling for Directors Who Are Audit Committee Members	Mgmt	For	For
7	Approve Deep Discount Stock Option Plan	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Fisher & Paykel Healthcare Corp

**Meeting Date:** 08/23/2016

**Country:** New Zealand

**Primary Security ID:** Q38992105

**Meeting Type:** Annual

**Ticker:** FPH

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Michael Daniell as Director	Mgmt	For	For
2	Elect Donal O'Dwyer as Director	Mgmt	For	For
3	Elect Lewis Gradon as Director	Mgmt	For	For
4	Elect Scott St John as Director	Mgmt	For	For
5	Authorize Board to Fix Remuneration of the Auditors	Mgmt	For	For
6	Approve the Grant of Performance Share Rights to Lewis Gradon, Managing Director and CEO of the Company	Mgmt	For	For
7	Approve the Grant of Options to Lewis Gradon, Managing Director and CEO of the Company	Mgmt	For	For

## Global Logistic Properties Limited

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y27187106

**Meeting Type:** Annual

**Ticker:** MCO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Seek Ngee Huat as Director	Mgmt	For	For
3b	Elect Luciano Lewandowski as Director	Mgmt	For	For
3c	Elect Fang Fenglei as Director	Mgmt	For	For
4a	Elect Paul Cheng Ming Fun as Director	Mgmt	For	For
4b	Elect Yoichiro Furuse as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Global Logistic Properties Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
8	Approve Grant of Awards and Issuance of Shares Under the GLP Performance Share Plan and/or the GLP Restricted Share Plan	Mgmt	For	For
9	Authorize Share Repurchase Program	Mgmt	For	For
10	Adopt New Constitution	Mgmt	For	For

## Goodman Property Trust

**Meeting Date:** 07/27/2016      **Country:** New Zealand      **Primary Security ID:** Q4232A119  
**Meeting Type:** Annual      **Ticker:** GMT

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Keith Smith as Director	Mgmt	For	For
2	Elect Peter Simmonds as Director	Mgmt	For	For

## Gree Inc.

**Meeting Date:** 09/27/2016      **Country:** Japan      **Primary Security ID:** J18807107  
**Meeting Type:** Annual      **Ticker:** 3632

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 8	Mgmt	For	For
2.1	Elect Director Tanaka, Yoshikazu	Mgmt	For	For
2.2	Elect Director Fujimoto, Masaki	Mgmt	For	For
2.3	Elect Director Akiyama, Jin	Mgmt	For	For
2.4	Elect Director Araki, Eiji	Mgmt	For	For
2.5	Elect Director Shino, Sanku	Mgmt	For	For
2.6	Elect Director Maeda, Yuta	Mgmt	For	For
2.7	Elect Director Yamagishi, Kotaro	Mgmt	For	For
2.8	Elect Director Natsuno, Takeshi	Mgmt	For	For
2.9	Elect Director Iijima, Kazunobu	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Gree Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.1	Appoint Statutory Auditor Seyama, Masahiro	Mgmt	For	For
3.2	Appoint Statutory Auditor Nagasawa, Toru	Mgmt	For	For
3.3	Appoint Statutory Auditor Shima, Koichi	Mgmt	For	For

## HANKYU REIT INC

Meeting Date: 08/25/2016

Country: Japan

Primary Security ID: J19573104

Meeting Type: Special

Ticker: 8977

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives	Mgmt	For	For
2	Elect Executive Director Shiraki, Yoshiaki	Mgmt	For	For
3	Elect Alternate Executive Director Shoji, Toshinori	Mgmt	For	For
4.1	Elect Supervisory Director Uda, Tamio	Mgmt	For	For
4.2	Elect Supervisory Director Suzuki, Motofumi	Mgmt	For	For
5	Elect Alternate Supervisory Director Shioji, Hiroumi	Mgmt	For	For

## Hiramatsu Inc.

Meeting Date: 09/05/2016

Country: Japan

Primary Security ID: J19688100

Meeting Type: Special

Ticker: 2764

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Appoint Statutory Auditor Suzuki, Yasuo	Mgmt	For	For
2	Appoint Alternate Statutory Auditor Iwata, Michiyuki	Mgmt	For	For
3	Approve Director Retirement Bonus	Mgmt	For	For
4	Approve Aggregate Compensation Ceiling for Statutory Auditors	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Hyundai Mobis Co.

**Meeting Date:** 07/07/2016

**Country:** South Korea

**Primary Security ID:** Y3849A109

**Meeting Type:** Special

**Ticker:** A012330

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Lim Young-deuk as Inside Director	Mgmt	For	For

## Ichibanya Co. Ltd.

**Meeting Date:** 08/25/2016

**Country:** Japan

**Primary Security ID:** J23124100

**Meeting Type:** Annual

**Ticker:** 7630

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 80	Mgmt	For	For
2	Amend Articles to Change Fiscal Year End	Mgmt	For	For
3.1	Elect Director Hamajima, Toshiya	Mgmt	For	For
3.2	Elect Director Kawai, Naoya	Mgmt	For	For
3.3	Elect Director Hosono, Shuji	Mgmt	For	For
3.4	Elect Director Sakaguchi, Yuji	Mgmt	For	For
3.5	Elect Director Kuzuhara, Mamoru	Mgmt	For	For
3.6	Elect Director Miyazaki, Tatsuo	Mgmt	For	For
3.7	Elect Director Sugihara, Kazushige	Mgmt	For	For
3.8	Elect Director Ishiguro, Keiji	Mgmt	For	For
3.9	Elect Director Adachi, Shiro	Mgmt	For	For
3.10	Elect Director Osawa, Yoshiyuki	Mgmt	For	For

## Ichigo Office REIT Investment

**Meeting Date:** 07/30/2016

**Country:** Japan

**Primary Security ID:** J2382Z106

**Meeting Type:** Special

**Ticker:** 8975

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ichigo Office REIT Investment

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Takatsuka, Yoshihiro	Mgmt	For	For
3.1	Elect Supervisory Director Fukunaga, Takaaki	Mgmt	For	For
3.2	Elect Supervisory Director Terada, Masahiro	Mgmt	For	For
4	Elect Alternate Executive Director Chiba, Keisuke	Mgmt	For	For
5	Elect Alternate Supervisory Director Kita, Nagahisa	Mgmt	For	For

## Industrial & Infrastructure Fund Investment

Meeting Date: 09/30/2016

Country: Japan

Primary Security ID: J24393118

Meeting Type: Special

Ticker: 3249

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Allow Acquisition of Majority Stake in Foreign Real Estate Companies - Change Fiscal Term - Amend Dividend Payout Policy to Reflect Tax Reform	Mgmt	For	For
2	Elect Executive Director Kuratsu, Yasuyuki	Mgmt	For	For
3.1	Elect Supervisory Director Takiguchi, Katsuaki	Mgmt	For	For
3.2	Elect Supervisory Director Honda, Kumi	Mgmt	For	For
4	Elect Alternate Executive Director Fukai, Toshiaki	Mgmt	For	For
5	Elect Alternate Supervisory Director Usami, Yutaka	Mgmt	For	Against

## Infratil Limited

Meeting Date: 08/24/2016

Country: New Zealand

Primary Security ID: Q4933Q124

Meeting Type: Annual

Ticker: IFT

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Infratil Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mark Tume as Director	Mgmt	For	For
2	Elect Alison Gerry as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Invincible Investment Corp

Meeting Date: 09/26/2016

Country: Japan

Primary Security ID: J2442V103

Meeting Type: Special

Ticker: 8963

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Fukuda, Naoki	Mgmt	For	For
3	Elect Alternate Executive Director Christopher Reed	Mgmt	For	For
4.1	Elect Supervisory Director Takahashi, Takashi	Mgmt	For	For
4.2	Elect Supervisory Director Fujimoto, Hiroyuki	Mgmt	For	For

## Istyle Inc.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J25587106

Meeting Type: Annual

Ticker: 3660

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Yoshimatsu, Tetsuro	Mgmt	For	Against
1.2	Elect Director Hara, Meyumi	Mgmt	For	For
1.3	Elect Director Sugawara, Kei	Mgmt	For	For
1.4	Elect Director Tsukuda, Shinichiro	Mgmt	For	For
1.5	Elect Director Takamatsu, Yuko	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Istyle Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.6	Elect Director Naka, Michimasa	Mgmt	For	For
2	Approve Stock Option Plan	Mgmt	For	Against

## Ito En Ltd.

Meeting Date: 07/27/2016

Country: Japan

Primary Security ID: J25027103

Meeting Type: Annual

Ticker: 2593

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	For	For
2	Amend Articles to Indemnify Directors - Indemnify Statutory Auditors	Mgmt	For	For
3.1	Elect Director Honjo, Hachiro	Mgmt	For	For
3.2	Elect Director Honjo, Daisuke	Mgmt	For	For
3.3	Elect Director Honjo, Shusuke	Mgmt	For	For
3.4	Elect Director Ejima, Yoshito	Mgmt	For	For
3.5	Elect Director Hashimoto, Shunji	Mgmt	For	For
3.6	Elect Director Watanabe, Minoru	Mgmt	For	For
3.7	Elect Director Yashiro, Mitsuo	Mgmt	For	For
3.8	Elect Director Kobayashi, Yoshio	Mgmt	For	For
3.9	Elect Director Kanayama, Masami	Mgmt	For	For
3.10	Elect Director Nakano, Yoshihisa	Mgmt	For	For
3.11	Elect Director Kamiya, Shigeru	Mgmt	For	For
3.12	Elect Director Yosuke Jay Oceanbright Honjo	Mgmt	For	For
3.13	Elect Director Namioka, Osamu	Mgmt	For	For
3.14	Elect Director Soma, Fujitsugu	Mgmt	For	For
3.15	Elect Director Nakagomi, Shuji	Mgmt	For	For
3.16	Elect Director Ishizaka, Kenichiro	Mgmt	For	For
3.17	Elect Director Yoshida, Hideki	Mgmt	For	For
3.18	Elect Director Uchiki, Hirokazu	Mgmt	For	For
3.19	Elect Director Taguchi, Morikazu	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ito En Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Appoint Statutory Auditor Takasawa, Yoshiaki	Mgmt	For	For

## Jiangxi Copper Company Ltd.

Meeting Date: 07/18/2016

Country: China

Primary Security ID: Y4446C100

Meeting Type: Special

Ticker: 358

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	CLASS MEETING FOR HOLDERS OF H SHARES	Mgmt		
	RESOLUTIONS RELATING TO THE PROPOSED ISSUANCE OF A SHARES AND H SHARES	Mgmt		
1.1	Approve Class and Par Value of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.2	Approve Method of Issue in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.3	Approve Subscribers in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.4	Approve Method of Subscription in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.5	Approve Issue Price and Basis for Determining the Issue Price in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.6	Approve Number of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.7	Approve Lock-up Period and Place of Listing in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.8	Approve Total Funds to be Raised and Used in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.9	Approve Distribution of Profit in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
1.10	Approve Relationship Between the A Share Issue and the H Share Issue	Mgmt	For	For
1.11	Approve Validity Period of Resolution in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve Execution of Conditional Subscription Agreements and Related Transactions	Mgmt	For	For
3	Approve Proposal in Respect of the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
4	Approve Share Issuance Constituting the Connected Transactions	Mgmt	For	For
5	Authorize Board and/or the Board Committee Authorized by the Board to Complete Matters Relating to the Share Issuance	Mgmt	For	For
6	Approve Feasibility Report on Projects Funded by Use of Proceeds from the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
7	Approve Remedial Measures Regarding Dilution on Current Returns by the Non-public Share Issuance (Revised Version)	Mgmt	For	For
8	Approve Relevant Undertakings in Connection with the Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For
9	Approve Dividend Distribution Policy and 3-year Plan for Shareholders' Return (2016-2018)	Mgmt	For	For
10	Approve All Transactions Contemplated Under the A Share Issue which Constitute a Special Deal	Mgmt	For	For

## Jiangxi Copper Company Ltd.

Meeting Date: 07/18/2016

Country: China

Primary Security ID: Y4446C100

Meeting Type: Special

Ticker: 358

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt		
	SPECIAL RESOLUTIONS	Mgmt		
1	Approve Satisfaction of the Conditions of Non-public Issuance of A Shares	Mgmt	For	For
	RESOLUTIONS RELATING TO THE PROPOSED ISSUANCE OF A SHARES AND H SHARES	Mgmt		
2.1	Approve Class and Par Value of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2.2	Approve Method of Issue in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.3	Approve Subscribers in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.4	Approve Method of Subscription in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.5	Approve Issue Price and Basis for Determining the Issue Price in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.6	Approve Number of Shares to be Issued in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.7	Approve Lock-up Period and Place of Listing in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.8	Approve Total Funds to be Raised and Used in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.9	Approve Distribution of Profit in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
2.10	Approve Relationship Between the A Share Issue and the H Share Issue	Mgmt	For	For
2.11	Approve Validity Period of Resolution in Relation to the Proposed Issuance of A Shares and H Shares	Mgmt	For	For
3	Approve Execution of Conditional Subscription Agreements and Related Transactions	Mgmt	For	For
4	Approve Proposal in Respect of the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
5	Approve Share Issuance Constituting the Connected Transactions	Mgmt	For	For
6	Authorize Board and/or the Board Committee Authorized by the Board to Complete Matters Relating to the Share Issuance	Mgmt	For	For
7	Approve Feasibility Report on Projects Funded by Use of Proceeds from the Non-public Issuance of A Shares (Revised Version)	Mgmt	For	For
8	Approve Remedial Measures Regarding Dilution on Current Returns by the Non-public Share Issuance (Revised Version)	Mgmt	For	For
9	Approve Relevant Undertakings in Connection with the Remedial Measures in Relation to Dilution on Current Returns by the Non-public Share Issuance	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
10	Approve Dividend Distribution Policy and 3-year Plan for Shareholders' Return (2016-2018)	Mgmt	For	For
11	Approve All Transactions Contemplated Under the A Share Issue which Constitute a Special Deal	Mgmt	For	For
12	Approve Satisfaction of the Conditions of the Issuance of Bonds	Mgmt	For	For
	RESOLUTIONS RELATING TO THE ISSUANCE OF THE COMPANY BONDS	Mgmt		
13.1	Approve Nominal Value, Issue Price and Size in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.2	Approve Target Subscribers and the Arrangement Regarding Subscription by Existing Shareholders of the Company in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.3	Approve Term and Types of Company Bonds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.4	Approve Interest Rate and Basis of Determination in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.5	Approve Guarantee in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.6	Approve Method of Issuance in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.7	Approve Use of Proceeds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.8	Approve Measures for Safeguarding Repayment in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.9	Approve Place of listing of Company Bonds in Relation to the Issuance of the Company Bonds	Mgmt	For	For
13.10	Approve Authorization to the Board in Respect of the Issuance of Company Bonds	Mgmt	For	For
13.11	Approve Validity of the Resolution in Relation to the Issuance of the Company Bonds	Mgmt	For	For
	ORDINARY RESOLUTIONS	Mgmt		
1	Approve Statement on Utilization of Proceeds from Previous Fund Raising	Mgmt	For	For
2	Approve Waiver of Jiangxi Copper Corporation's Obligation to Make a General Offer of the Securities of the Company as a Result of the Share Issuance	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

### Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Approve Application of the Whitewash Waiver by Jiangxi Copper Corporation	Mgmt	For	For
4	Approve Resignation of Liu Fangyun as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
5	Approve Resignation of Gan Chengjiu as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
6	Approve Resignation of Shi Jialiang as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
7	Approve Resignation of Deng Hui as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
8	Approve Resignation of Qiu Guanzhou as Director and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
9	Approve Resignation of Wu Jinxing as Supervisor and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
10	Approve Resignation of Wan Sujuan as Supervisor and Authorize Any One Executive Director to Sign All Documents, Agreements and Do All Such Acts and Things to Give Effect to Such Matters	Mgmt	For	For
	ELECT 3 EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
11.1	Elect Wang Bo as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
11.2	Elect Wu Jinxing as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For
11.3	Elect Wu Yuneng as Director and Authorize Any One Executive Director to Enter Into Service Contract with Him on Behalf of the Company	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Jiangxi Copper Company Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	ELECT 2 INDEPENDENT NON-EXECUTIVE DIRECTORS VIA CUMULATIVE VOTING	Mgmt		
12.1	Elect Sun Chuanxiao as Director and Authorize Any One Executive Director to Enter Into a Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
12.2	Elect Liu Erfei as Director and Authorize Any One Executive Director to Enter Into a Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
	ELECT 2 SUPERVISORS VIA CUMULATIVE VOTING	Mgmt		
13.1	Elect Liao Shengsen as Supervisor and Authorize Any One Director to Enter Into Service Contract or Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For
13.2	Elect Zhang Jianhua as Supervisor and Authorize Any One Director to Enter Into Service Contract or Letter of Appointment with Him on Behalf of the Company	Mgmt	For	For

## Keyence Corp.

**Meeting Date:** 09/09/2016      **Country:** Japan      **Primary Security ID:** J32491102  
**Meeting Type:** Annual      **Ticker:** 6861

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, With a Final Dividend of JPY 50	Mgmt	For	Against
2	Appoint Alternate Statutory Auditor Takeda, Hidehiko	Mgmt	For	For

## Kiwi Property Group Ltd

**Meeting Date:** 07/29/2016      **Country:** New Zealand      **Primary Security ID:** Q5349C104  
**Meeting Type:** Annual      **Ticker:** KPG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Jane Freeman as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Kiwi Property Group Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Elect Mary Jane Daly as Director	Mgmt	For	For
3	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
4	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## Korea Gas Corp.

Meeting Date: 07/06/2016

Country: South Korea

Primary Security ID: Y48861101

Meeting Type: Special

Ticker: A036460

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Kim Myeong-nam as Inside Director	Mgmt	For	For
1.2	Elect Ahn Wan-gi as Inside Director	Mgmt	For	For

## Link Real Estate Investment Trust

Meeting Date: 07/27/2016

Country: Hong Kong

Primary Security ID: Y5281M111

Meeting Type: Annual

Ticker: 823

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Note the Financial Statements and Statutory Report	Mgmt		
2	Note the Appointment of Auditor and Fixing of Their Remuneration	Mgmt		
3.1	Elect William Chan Chak Cheung as Director	Mgmt	For	For
3.2	Elect David Charles Watt as Director	Mgmt	For	For
4.1	Elect Poh Lee Tan as Director	Mgmt	For	For
4.2	Elect Nicholas Charles Allen as Director	Mgmt	For	For
4.3	Elect Ed Chan Yiu Cheong as Director	Mgmt	For	For
4.4	Elect Blair Chilton Pickerell as Director	Mgmt	For	For
5	Authorize Repurchase of Issued Share Capital	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Macquarie Group Limited

**Meeting Date:** 07/28/2016

**Country:** Australia

**Primary Security ID:** Q57085286

**Meeting Type:** Annual

**Ticker:** MQG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Approve the Grant of RSUs and PSUs to Nicholas Moore, Managing Director and Chief Executive Officer of the Company	Mgmt	For	For
4a	Elect MJ Hawker as Director	Mgmt	For	For
4b	Elect MJ Coleman as Director	Mgmt	For	For
5a	Ratify the Past Issuance of Shares	Mgmt	For	For
5b	Ratify the Past Issuance of Macquarie Group Capital Notes 2	Mgmt	For	For

## Mapletree Commercial Trust

**Meeting Date:** 07/25/2016

**Country:** Singapore

**Primary Security ID:** Y5759T101

**Meeting Type:** Annual

**Ticker:** N2IU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mapletree Commercial Trust

**Meeting Date:** 07/25/2016

**Country:** Singapore

**Primary Security ID:** Y5759T101

**Meeting Type:** Special

**Ticker:** N2IU

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mapletree Commercial Trust

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Acquisition of the Property as an Interested Person Transaction	Mgmt	For	For
2	Approve Issuance of New Units Under the Equity Fund Raising	Mgmt	For	For
3	Approve Whitewash Resolution	Mgmt	For	For

## Mapletree Greater China Commercial Trust

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y5759X102

Meeting Type: Annual

Ticker: RWOU

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mapletree Industrial Trust

Meeting Date: 07/19/2016

Country: Singapore

Primary Security ID: Y5759S103

Meeting Type: Annual

Ticker: ME8U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize the Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Mapletree Logistics Trust

**Meeting Date:** 07/18/2016

**Country:** Singapore

**Primary Security ID:** Y5759Q107

**Meeting Type:** Annual

**Ticker:** M44U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee, Statement by the Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For

## Mason Financial Holdings Ltd.

**Meeting Date:** 07/11/2016

**Country:** Hong Kong

**Primary Security ID:** Y95847318

**Meeting Type:** Special

**Ticker:** 273

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Sale and Purchase Agreement and Related Transactions	Mgmt	For	For

## Metcash Ltd

**Meeting Date:** 08/31/2016

**Country:** Australia

**Primary Security ID:** Q6014C106

**Meeting Type:** Annual

**Ticker:** MTS

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2a	Elect Patrick Allaway as Director	Mgmt	For	For
2b	Elect Fiona Balfour as Director	Mgmt	For	For
2c	Elect Helen Nash as Director	Mgmt	For	For
2d	Elect Murray Jordan as Director	Mgmt	For	For
3	Approve the Remuneration Report	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Metcash Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
4	Approve the Grant of Performance Rights to Ian Morrice, Chief Executive Officer of the Company	Mgmt	For	For

## Mimasu Semiconductor Industry Co. Ltd.

**Meeting Date:** 08/30/2016      **Country:** Japan      **Primary Security ID:** J42798108  
**Meeting Type:** Annual      **Ticker:** 8155

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 13	Mgmt	For	For
2	Appoint External Audit Firm	Mgmt	For	For

## Nippon Koei Co. Ltd.

**Meeting Date:** 09/29/2016      **Country:** Japan      **Primary Security ID:** J34770107  
**Meeting Type:** Annual      **Ticker:** 1954

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Hirose, Noriaki	Mgmt	For	Against
1.2	Elect Director Arimoto, Ryuichi	Mgmt	For	Against
1.3	Elect Director Yamakawa, Asao	Mgmt	For	For
1.4	Elect Director Mizukoshi, Akira	Mgmt	For	For
1.5	Elect Director Takano, Noboru	Mgmt	For	For
1.6	Elect Director Akiyoshi, Hiroyuki	Mgmt	For	For
1.7	Elect Director Seki, Takashi	Mgmt	For	For
1.8	Elect Director Honjo, Naoki	Mgmt	For	For
1.9	Elect Director Sakunaka, Hideyuki	Mgmt	For	For
1.10	Elect Director Ichikawa, Hiizu	Mgmt	For	For
1.11	Elect Director Kusaka, Kazumasa	Mgmt	For	For
2	Appoint Statutory Auditor Shimizu, Toshiaki	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Nippon Koei Co. Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3	Appoint Alternate Statutory Auditor Sudo, Hideaki	Mgmt	For	For
4	Approve Reverse Stock Split	Mgmt	For	For
5	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against

## Nippon Prologis REIT Inc.

**Meeting Date:** 08/26/2016      **Country:** Japan      **Primary Security ID:** J5528H104  
**Meeting Type:** Special      **Ticker:** 3283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Dividend Payout Policy to Reflect Tax Reform - Amend Permitted Investment Objectives - Amend Asset Management Compensation	Mgmt	For	For
2	Elect Executive Director Sakashita, Masahiro	Mgmt	For	For
3	Elect Alternate Executive Director Yamaguchi, Satoshi	Mgmt	For	For
4.1	Elect Supervisory Director Shimamura, Katsumi	Mgmt	For	For
4.2	Elect Supervisory Director Hamaoka, Yoichiro	Mgmt	For	For
5	Elect Alternate Supervisory Director Oku, Kuninori	Mgmt	For	For

## Nuplex Industries Ltd.

**Meeting Date:** 07/07/2016      **Country:** New Zealand      **Primary Security ID:** Q70156106  
**Meeting Type:** Court      **Ticker:** NPX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
	Court-Ordered Meeting	Mgmt		
1	Approve the Scheme of Arrangement Between Nuplex Industries Ltd and Its Shareholders	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Optex Co., Ltd.

**Meeting Date:** 09/30/2016

**Country:** Japan

**Primary Security ID:** J61654109

**Meeting Type:** Special

**Ticker:** 6914

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Adoption of Holding Company and Transfer of Operations to Wholly Owned Subsidiary	Mgmt	For	For
2	Amend Articles to Change Company Name - Amend Business Lines	Mgmt	For	For
3.1	Elect Director Oguni, Isamu	Mgmt	For	For
3.2	Elect Director Onishi, Hiroyuki	Mgmt	For	For
4	Approve Deep Discount Stock Option Plan	Mgmt	For	For

## Oracle Corp. Japan

**Meeting Date:** 08/24/2016

**Country:** Japan

**Primary Security ID:** J6165M109

**Meeting Type:** Annual

**Ticker:** 4716

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Amend Articles to Amend Business Lines	Mgmt	For	For
2.1	Elect Director Sugihara, Hiroshige	Mgmt	For	For
2.2	Elect Director Nosaka, Shigeru	Mgmt	For	For
2.3	Elect Director Derek H. Williams	Mgmt		
2.4	Elect Director Samantha Wellington	Mgmt	For	For
2.5	Elect Director John L. Hall	Mgmt	For	Against
2.6	Elect Director Ogishi, Satoshi	Mgmt	For	For
2.7	Elect Director Edward Paterson	Mgmt	For	For
2.8	Elect Director Matsufuji, Hitoshi	Mgmt	For	For
2.9	Elect Director Natsuno, Takeshi	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Orion Health Group Ltd.

**Meeting Date:** 09/22/2016

**Country:** New Zealand

**Primary Security ID:** Q7161U105

**Meeting Type:** Annual

**Ticker:** OHE

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Ronald Andrews as Director	Mgmt	For	For
2	Elect Roger France as Director	Mgmt	For	For
3	Elect Paul Shearer as Director	Mgmt	For	For
4	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For
5	Approve the Issuance of Equity Securities as Director Remuneration	Mgmt	For	For
6	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For

## Ozforex Group Ltd

**Meeting Date:** 08/03/2016

**Country:** Australia

**Primary Security ID:** Q71872107

**Meeting Type:** Annual

**Ticker:** OFX

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
2	Approve the Remuneration Report	Mgmt	For	For
3	Elect Melinda Conrad as Director	Mgmt	For	For
4	Approve the Change of Company Name to OFX Group Limited	Mgmt	For	For
5	Approve the OFX Group Limited Share Plan	Mgmt	For	For
6	Approve the Grant of Shares and Loan to Richard Kimber, Chief Executive Officer and Managing Director of the Company	Mgmt	For	For

## Programmed Maintenance Services Ltd.

**Meeting Date:** 07/27/2016

**Country:** Australia

**Primary Security ID:** Q7762R105

**Meeting Type:** Annual

**Ticker:** PRG

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Programmed Maintenance Services Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Remuneration Report	Mgmt	For	For
2	Elect James Walker as Director	Mgmt	For	For
3	Elect Lisa Paul as Director	Mgmt	For	For
4	Elect Bruce Brook as Director	Mgmt	For	For
5	Elect Andrea Grant as Director	Mgmt	For	For
6	Approve the Programmed Managing Director Long Term Incentive Plan and Grant of Performance Rights to the Managing Director	Mgmt	For	For

## PT Vale Indonesia Tbk

**Meeting Date:** 07/01/2016      **Country:** Indonesia      **Primary Security ID:** Y7150Y101  
**Meeting Type:** Special      **Ticker:** INCO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect Mahendra Siregar as Commissioner	Mgmt	For	For

## Religare Health Trust

**Meeting Date:** 07/29/2016      **Country:** Singapore      **Primary Security ID:** Y72378105  
**Meeting Type:** Annual      **Ticker:** RF1U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Report of the Trustee-Manager, Statement by the Trustee-Manager, Audited Financial Statements and Auditors' Report	Mgmt	For	For
2	Approve Ernst & Young LLP as Auditors and Authorize the Trustee-Manager to Fix Their Remuneration	Mgmt	For	For
3	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
4	Other Business (Voting)	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Religare Health Trust

**Meeting Date:** 07/29/2016

**Country:** Singapore

**Primary Security ID:** Y72378105

**Meeting Type:** Special

**Ticker:** RF1U

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Disposal of the Relevant Securities to Interested Persons and Related Arrangements	Mgmt	For	For
2	Approve Whitewash Resolution	Mgmt	For	For

## Ryman Healthcare Ltd.

**Meeting Date:** 07/27/2016

**Country:** New Zealand

**Primary Security ID:** Q8203F106

**Meeting Type:** Annual

**Ticker:** RYM

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Elect George Savvides as Director	Mgmt	For	For
2	Elect David Kerr as Director	Mgmt	For	For
3	Elect Kevin Hickman as Director	Mgmt	For	For
4	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
5	Approve the Increase in Maximum Aggregate Remuneration of Directors	Mgmt	For	For

## Sakata Seed Corp.

**Meeting Date:** 08/23/2016

**Country:** Japan

**Primary Security ID:** J66704107

**Meeting Type:** Annual

**Ticker:** 1377

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 15	Mgmt	For	For
2	Elect Director Ihara, Yoshitaka	Mgmt	For	For
3.1	Appoint Statutory Auditor Enda, Mitsuo	Mgmt	For	For
3.2	Appoint Statutory Auditor Hasegawa, Noboru	Mgmt	For	Against

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Sakata Seed Corp.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.3	Appoint Statutory Auditor Numata, Yasunori	Mgmt	For	For
4	Approve Statutory Auditor Retirement Bonus	Mgmt	For	Against

## Sankyo Tateyama Inc.

Meeting Date: 08/29/2016

Country: Japan

Primary Security ID: J67779124

Meeting Type: Annual

Ticker: 5932

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 20	Mgmt	For	For
2.1	Elect Director Yamashita, Kiyotsugu	Mgmt	For	For
2.2	Elect Director Kambara, Shozo	Mgmt	For	For
2.3	Elect Director Okamoto, Makoto	Mgmt	For	For
2.4	Elect Director Shoji, Mitsugu	Mgmt	For	For
2.5	Elect Director Yamada, Hiroshi	Mgmt	For	For
2.6	Elect Director Nakano, Takashi	Mgmt	For	For
2.7	Elect Director Ami, Shuichi	Mgmt	For	For
2.8	Elect Director Hirano, Shozo	Mgmt	For	For
2.9	Elect Director Kurosaki, Satoshi	Mgmt	For	For

## SATS Ltd

Meeting Date: 07/19/2016

Country: Singapore

Primary Security ID: Y7992U101

Meeting Type: Annual

Ticker: S58

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Alexander Charles Hungate as Director	Mgmt	For	For
4	Elect Koh Poh Tiong as Director	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SATS Ltd

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
5	Elect Thierry Breton as Director	Mgmt	For	For
6	Elect Tan Soo Nan as Director	Mgmt	For	For
7	Approve Directors' Fees	Mgmt	For	For
8	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
9	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
10	Approve Issuance of Shares and/or Grant of Awards Under the SATS Performance Share Plan, SATS Restricted Share Plan, and SATS Employee Share Option Plan	Mgmt	For	For
11	Approve Mandate for Interested Person Transactions	Mgmt	For	For
12	Authorize Share Repurchase Program	Mgmt	For	For
13	Adopt New Constitution	Mgmt	For	For

## Shibuya Kogyo Co. Ltd.

Meeting Date: 09/28/2016

Country: Japan

Primary Security ID: J71649107

Meeting Type: Annual

Ticker: 6340

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 10	Mgmt	For	For
2.1	Elect Director Ota, Masato	Mgmt	For	For
2.2	Elect Director Nakanishi, Shinji	Mgmt	For	For
3	Approve Takeover Defense Plan (Poison Pill)	Mgmt	For	Against

## SHO-BOND Holdings Co., Ltd.

Meeting Date: 09/26/2016

Country: Japan

Primary Security ID: J7447D107

Meeting Type: Annual

Ticker: 1414

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## SHO-BOND Holdings Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 60	Mgmt	For	For
2.1	Elect Director Ishihara, Kazuhiro	Mgmt	For	For
2.2	Elect Director Fujii, Soshi	Mgmt	For	For
2.3	Elect Director Kishimoto, Tatsuya	Mgmt	For	For
2.4	Elect Director Takeo, Koyo	Mgmt	For	For
2.5	Elect Director Suzuki, Shigeaki	Mgmt	For	For
2.6	Elect Director Tojo, Shunya	Mgmt	For	For
3	Elect Alternate Director and Audit Committee Member Yamazaki, Kaoru	Mgmt	For	Against

## Singapore Airlines Limited

Meeting Date: 07/29/2016

Country: Singapore

Primary Security ID: Y7992P128

Meeting Type: Annual

Ticker: C6L

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Gautam Banerjee as Director	Mgmt	For	For
3b	Elect Goh Choon Phong as Director	Mgmt	For	For
3c	Elect Hsieh Tsun-yan as Director	Mgmt	For	For
4	Elect Peter Seah Lim Huat as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
8	Approve Grant of Awards and Issuance of Shares Under the SIA Performance Share Plan 2014 and/or the SIA Restricted Share Plan 2014	Mgmt	For	For



## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Airlines Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
9	Approve Mandate for Interested Person Transactions	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For
11	Adopt New Constitution	Mgmt	For	For

## Singapore Exchange Limited

**Meeting Date:** 09/22/2016      **Country:** Singapore      **Primary Security ID:** Y79946102  
**Meeting Type:** Annual      **Ticker:** S68

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3a	Elect Jane Diplock as Director	Mgmt	For	For
3b	Elect Chew Gek Khim as Director	Mgmt	For	For
4	Elect Lim Sok Hui (Chng Sok Hui) as Director	Mgmt	For	For
5	Approve Payment of Director's Fees to the Chairman and Provision to Him of a Car with a Driver for the Financial Year Ending June 30, 2017	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
9	Authorize Share Repurchase Program	Mgmt	For	For
10	Adopt New Constitution	Mgmt	For	For

## Singapore Telecommunications Limited

**Meeting Date:** 07/29/2016      **Country:** Singapore      **Primary Security ID:** Y79985225  
**Meeting Type:** Annual      **Ticker:** Z74

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Singapore Telecommunications Limited

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For
3	Elect Christina Hon Kwee Fong (Christina Ong) as Director	Mgmt	For	For
4	Elect Simon Claude Israel as Director	Mgmt	For	For
5	Elect Peter Edward Mason as Director	Mgmt	For	For
6	Approve Directors' Fees	Mgmt	For	For
7	Approve Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	For
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Singtel Performance Share Plan 2012	Mgmt	For	For
10	Authorize Share Repurchase Program	Mgmt	For	For
11	Adopt New Constitution	Mgmt	For	For

## Suncorp Group Ltd.

**Meeting Date:** 09/22/2016

**Country:** Australia

**Primary Security ID:** Q88040110

**Meeting Type:** Annual

**Ticker:** SUN

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve the Remuneration Report	Mgmt	For	For
2	Approve the Grant of Performance Rights to Michael Cameron, Chief Executive Officer and Managing Director of the Company	Mgmt	For	For
3	Elect Sally Herman as Director	Mgmt	For	For
4	Approve the Renewal of the Proportional Takeover Provisions	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tat Hong Holdings Ltd

**Meeting Date:** 07/28/2016

**Country:** Singapore

**Primary Security ID:** Y8548U124

**Meeting Type:** Annual

**Ticker:** T03

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Elect Ng San Tiong Roland as Director	Mgmt	For	For
3	Elect Leong Horn Kee as Director	Mgmt	For	For
4	Elect Tse Po Shing Andy as Director	Mgmt	For	For
5	Approve Directors' Fees	Mgmt	For	For
6	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
7	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
8	Approve Grant of Options and Issuance of Shares Pursuant to the Tat Hong Share Option Scheme 2006	Mgmt	For	For
9	Approve Grant of Awards and Issuance of Shares Pursuant to the Tat Hong Performance Shares Plan	Mgmt	For	For
10	Approve Mandate for Interested Person Transactions	Mgmt	For	For
11	Authorize Share Repurchase Program	Mgmt	For	For

## TechnoPro Holdings Inc.

**Meeting Date:** 09/29/2016

**Country:** Japan

**Primary Security ID:** J82251109

**Meeting Type:** Annual

**Ticker:** 6028

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 61.52	Mgmt	For	For
2	Amend Articles to Amend Business Lines	Mgmt	For	For
3.1	Elect Director Nishio, Yasuji	Mgmt	For	For
3.2	Elect Director Sato, Hiroshi	Mgmt	For	For
3.3	Elect Director Shimaoka, Gaku	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## TechnoPro Holdings Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.4	Elect Director Asai, Koichiro	Mgmt	For	For
3.5	Elect Director Yagi, Takeshi	Mgmt	For	For
3.6	Elect Director Watabe, Tsunehiro	Mgmt	For	For
3.7	Elect Director Yamada, Kazuhiko	Mgmt	For	For
3.8	Elect Director Sakamoto, Harumi	Mgmt	For	For

## Token Corp.

Meeting Date: 07/28/2016

Country: Japan

Primary Security ID: J8612T109

Meeting Type: Annual

Ticker: 1766

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 95	Mgmt	For	For
2.1	Appoint Statutory Auditor Hotta, Eiichiro	Mgmt	For	For
2.2	Appoint Statutory Auditor Hibi, Kiyomi	Mgmt	For	For
2.3	Appoint Statutory Auditor Mitsuya, Masahiro	Mgmt	For	For
2.4	Appoint Statutory Auditor Kitamura, Akemi	Mgmt	For	For
3	Approve Annual Bonus	Mgmt	For	For

## TOP REIT INC.

Meeting Date: 07/28/2016

Country: Japan

Primary Security ID: J89592109

Meeting Type: Special

Ticker: 8982

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Merger Agreement with Nomura Real Estate Master Fund, Inc.	Mgmt	For	For
2	Terminate Asset Management Contract	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Tsuruha Holdings Inc.

**Meeting Date:** 08/10/2016

**Country:** Japan

**Primary Security ID:** J9348C105

**Meeting Type:** Annual

**Ticker:** 3391

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1.1	Elect Director Tsuruha, Tatsuru	Mgmt	For	For
1.2	Elect Director Horikawa, Masashi	Mgmt	For	For
1.3	Elect Director Tsuruha, Jun	Mgmt	For	For
1.4	Elect Director Goto, Teruaki	Mgmt	For	For
1.5	Elect Director Ofune, Masahiro	Mgmt	For	For
1.6	Elect Director Abe, Mitsunobu	Mgmt	For	For
1.7	Elect Director Kijima, Keisuke	Mgmt	For	For
1.8	Elect Director Aoki, Keisei	Mgmt	For	For
1.9	Elect Director Okada, Motoya	Mgmt	For	For
1.10	Elect Director Mitsunashi, Shinya	Mgmt	For	For
1.11	Elect Director Yamada, Eiji	Mgmt	For	For
2	Appoint External Audit Firm	Mgmt	For	For
3	Approve Stock Option Plan	Mgmt	For	For

## Ulvac Inc.

**Meeting Date:** 09/29/2016

**Country:** Japan

**Primary Security ID:** J94048105

**Meeting Type:** Annual

**Ticker:** 6728

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 30	Mgmt	For	For
2	Amend Articles to Amend Provisions on Number of Directors - Reduce Directors' Term	Mgmt	For	For
3.1	Elect Director Obinata, Hisaharu	Mgmt	For	For
3.2	Elect Director Iwashita, Setsuo	Mgmt	For	For
3.3	Elect Director Fujiyama, Junki	Mgmt	For	For
3.4	Elect Director Odagi, Hideyuki	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Ulvac Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3.5	Elect Director Mihayashi, Akira	Mgmt	For	For
3.6	Elect Director Ishida, Kozo	Mgmt	For	For
4	Appoint Alternate Statutory Auditor Nonaka, Takao	Mgmt	For	For
5	Approve Aggregate Compensation Ceiling for Directors	Mgmt	For	For
6	Approve Trust-Type Equity Compensation Plan	Mgmt	For	For

## Xero Limited

Meeting Date: 07/20/2016

Country: New Zealand

Primary Security ID: Q98665104

Meeting Type: Annual

Ticker: XRO

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Appoint Ernst & Young as Auditor of the Company	Mgmt	For	For
2	Authorize the Board to Fix the Remuneration of the Auditor	Mgmt	For	For
3	Elect Chris Liddell as Director	Mgmt	For	For
4	Elect Bill Veghte as Director	Mgmt	For	For
5	Approve the Grant of Options to Chris Liddell and Bill Veghte	Mgmt	For	For
6	Approve the Grant of Shares to Lee Hatton, Non-Executive Director of the Company	Mgmt	For	For

## Yoma Strategic Holdings Ltd.

Meeting Date: 07/26/2016

Country: Singapore

Primary Security ID: Y98411113

Meeting Type: Annual

Ticker: Z59

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Adopt Financial Statements and Directors' and Auditors' Reports	Mgmt	For	For
2	Approve Final Dividend	Mgmt	For	For

## Voting Records for Northern Trust (Asia)

### Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016

## Yoma Strategic Holdings Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
3a	Approve Directors' Fees	Mgmt	For	For
3b	Approve Grant of Shares as Part of the Directors' Fees for the Financial Year Ending March 31, 2017	Mgmt	For	For
4	Elect Adrian Chan Pengee as Director	Mgmt	For	For
5	Elect Wong Su Yen as Director	Mgmt	For	For
6	Elect Timothy Ong Teck Mong as Director	Mgmt	For	For
7	Approve Nexia TS Public Accounting Corporation as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For
8	Approve Issuance of Equity or Equity-Linked Securities with or without Preemptive Rights	Mgmt	For	Against
9	Approve Mandate for Interested Person Transactions	Mgmt	For	For
10	Approve Grant of Options and Issuance of Shares Pursuant to the Yoma Strategic Holdings Employee Share Option Scheme 2012	Mgmt	For	Against
11	Approve Grant of Awards and Issuance of Shares Pursuant to the Yoma Performance Share Plan	Mgmt	For	Against

## Z Energy Limited

Meeting Date: 07/01/2016

Country: New Zealand

Primary Security ID: Q9898K103

Meeting Type: Annual

Ticker: ZEL

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Vote Instruction
1	Authorize the Board to Fix Remuneration of the Auditors	Mgmt	For	For
2	Elect Abby Foote as Director	Mgmt	For	For
3	Elect Justine Munro as Director	Mgmt	For	For
4	Elect Mark Cross as Director	Mgmt	For	For
5	Elect Julia Raue as Director	Mgmt	For	For
6	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	For

# Voting Records for Northern Trust (Asia)

## Vote Summary Report

Reporting Period: 07/01/2016 to 09/30/2016